UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD PHIGENIX, INC. Petitioner v. IMMUNOGEN, INC. Patent Owner CASE: IPR2014-00676 Patent 8,337,856

DECLARATION OF JOYCE O'SHAUGHNESSY, M.D.



Inter Partes Review of USPN 8,337,856 Declaration of Joyce O'Shaughnessy, M.D. (Exhibit 2105) TABLE OF CONTENTS

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I, Joyce O'Shaughnessy, M.D., do hereby declare as follows:

I. Overview

- 1. This declaration is based on my personal knowledge as an oncologist and my opinions as an expert in the field of cancer research and treatment, including breast cancer. I understand that this declaration is being submitted together with ImmunoGen, Inc.'s Patent Owner Reply to Phigenix, Inc.'s Petition for *inter partes* review ("IPR") of claims 1-8 of U.S. Patent No. 8,337,856 ("the '856 patent," Ex. 1001). I also understand that this declaration is being submitted together with a Declaration by Linda T. Vahdat, M.D. (Ex. 2103). I have read Dr. Vahdat's Declaration and agree with the facts and opinions expressed therein.
- 2. I have been retained as an expert witness on behalf of ImmunoGen, Inc. for this IPR. I am being compensated for my time in connection with this declaration at my standard consulting rate of \$500 per hour. I have no personal or financial interest in the outcome of this proceeding. I am over the age of eighteen and otherwise competent to make this declaration.
- 3. I understand that the '856 patent issued on December 25, 2012, and resulted from U.S. Application No. 11/949,351, filed on December 3, 2007. I also understand that the U.S. Patent and Trademark Office ("USPTO") records state that the '856 patent is currently assigned to ImmunoGen, Inc.

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- 4. The face page of the '856 patent lists a series of patent applications. I understand that the '856 patent is related to these patent applications. The earliest filing date of any of those applications is March 16, 2000. It is my understanding that the earliest date to which the '856 patent may claim priority is that March 16, 2000 date.
 - 5. I am providing this declaration to establish that:
 - T-DM1 achieved unexpectedly superior results over prior Herceptin®-based treatments for metastatic HER2-positive breast cancer and received praise in the industry.
- 6. In preparing this declaration, I have reviewed the '856 patent (Ex. 1001). I have also considered each of the documents listed in the table below or cited herein, in light of general knowledge in the art.

Exhibit #	Description
1001	U.S. Patent No. 8,337,856 B2
1008	Herceptin [®] Label
1012	Chari, R., <i>et al.</i> , "Immunoconjugates containing novel maytansinoids: promising anticancer drugs." <i>Cancer Res.</i> , 52: 127-131 (1992).
1018	Rosenblum, M., <i>et al.</i> , "Recombinant immunotoxins directed against the <i>c-erbB-2/HER2/neu</i> oncogene product: <i>in vitro</i> cytotoxicity, pharmacokinetics, and <i>in vivo</i> efficacy studies in xenograft models." <i>Clin. Cancer Res.</i> , 5: 865-874 (1999).
1020	Pegram, M., <i>et al.</i> , "Inhibitory effects of combinations of HER-2/ <i>neu</i> antibody and chemotherapeutic agents used for treatment of human breast cancers." <i>Oncogene</i> , 18: 2241-2251 (1999).



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Deciaration of Joyce O Snaughnessy, M.D. (Exhibit 2103)			
Exhibit #	Description		
1029	Trail, P.A., <i>et al.</i> , "Monoclonal antibody drug conjugates in the treatment of cancer," <i>Current Opinion in Immunology</i> 11: 584-588 (1999), Exhibit F to Declaration of Barbara Klencke, M.D., filed on July 6, 2010, in U.S. Appl. No. 11/949,351		
	Laino, C., "Targeted Breast Cancer Drug Shrinks Tumors: Study Shows T-DM1 Helps Patients Who Were Unsuccessfully Treated with Other Drugs," <i>WebMD</i> (2009), Exhibit I to Declaration of Barbara Klencke, M.D., filed on July 6, 2010, in U.S. App. No. 11/949,351		
2012	Krop, I., <i>et al.</i> , "Trastuzumab emtansine versus treatment of physician's choice for pretreated HER2-positive advanced breast cancer (TH3RESA): a randomised, open-label, phase 3 trial," <i>Lancet Oncology</i> 15: 689-699 (2014)		
2016	Cao, Y., and Rosenblum, M.G., "Design, Development, and Characterization of Recombinant Immunotoxins Targeting HER2/neu," in <i>Antibody-Drug Conjugates and Immunotoxins:</i> From Pre-Clinical Development to Therapeutic Applications, Chapter 18, pp. 319-348 (2013)		
2017	Engert, A., et al., "The Emerging Role of Ricin in A-Chain Immunotoxins in Leukemia and Lymphoma" in <i>Clinical Applications of Immunotoxins</i> by Frankel, A.E., (Ed.), pp. 13-33(1998)		
2018	Roth, B., <i>et al.</i> , "Clinical Cancer Advances 2012: Annual Report on Progress Against Cancer From the American Society of Clinical Oncology," <i>Journal of Clinical Oncology</i> 31: 131-161, 2063 (2013)		
2019	Gochenauer, G., "Roche/Genentech's "Magic Bullet" in HER2+ Breast Cancer," <i>available at</i> http://www.kantarhealth.com/blog/gordon- gochenauer/2012/06/03/Roche_Genentech_Magic_Bullet_in_HE R2_Breast_Cancer (last visited Jan. 14, 2015) pp. 1-2 (2012)		
2020	Miller, K., <i>et al.</i> , "T-DM1: Golden Age in HER2+ Breast Cancer?" pp. 1-6 <i>available at</i> http://www.medscape.com/viewarticle/765248 (last visited Jan. 14, 2015)		



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