

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

PHIGENIX, INC.  
Petitioner

v.

IMMUNOGEN, INC.  
Patent Owner

---

CASE: IPR2014-00676  
Patent 8,337,856

---

**DECLARATION OF LINDA T. VAHDAT, M.D.**

TABLE OF CONTENTS

I. Overview..... 3

II. My background and qualifications ..... 12

III. Person of ordinary skill in the art ..... 14

IV. The '856 patent and T-DM1 (Kadcyla®) ..... 15

V. HER2-positive breast cancer ..... 17

VI. T-DM1 met a long-felt, unmet need for an immunoconjugate capable of providing targeted delivery of a cytotoxic agent to treat a solid tumor ..... 19

    A. By March 2000, the need for an immunoconjugate capable of targeting delivery of cytotoxic agents to treat a solid tumor had gone unmet for decades ..... 20

    B. T-DM1 is a pioneering immunoconjugate that met the need for targeting delivery of cytotoxic agents to treat a solid tumor..... 30

VII. T-DM1 was praised as groundbreaking in the field of clinical immunoconjugates..... 35

VIII. Conclusion ..... 39

***Declaration of Linda T. Vahdat, M.D. (Exhibit 2103)***

I, Linda T. Vahdat, M.D., do hereby declare as follows:

**I. Overview**

1. I am a board certified oncologist and Professor of Medicine at Weill Cornell Medical College. This declaration is based on my personal knowledge as an oncologist and my opinions as an expert in the field of cancer research and treatment, including breast cancer. I understand that this declaration is being submitted together with a Patent Owner's Reply to Phigenix, Inc.'s Petition for *inter partes* review ("IPR") of claims 1-8 of U.S. Patent No. 8,337,856 ("the '856 patent," Ex. 1001). I also understand that this declaration is being submitted together with a Declaration by Joyce O'Shaughnessy, M.D. (Ex. 2105). I have read Dr. O'Shaughnessy's Declaration and agree with the facts and opinions expressed therein.

2. I have been retained as an expert witness on behalf of ImmunoGen, Inc. for this IPR. I am being compensated for my time in connection with this declaration at my standard consulting rate of \$800 per hour. I have no personal or financial interest in the outcome of this proceeding. I am over the age of eighteen and otherwise competent to make this declaration.

3. Based on the work I have done in this matter and my expertise in this field, I have concluded that:

**Declaration of Linda T. Vahdat, M.D. (Exhibit 2103)**

- T-DM1 filled a long-felt, unmet need for an immunoconjugate capable of targeting delivery of a cytotoxic agent to treat a solid tumor (as discussed in more detail in Section VI, below); and
- T-DM1 received praise in the industry for this path-breaking achievement (as discussed in more detail in Section VII below).

4. In preparing this declaration, I have reviewed the '856 patent (Ex. 1001) as well as each of the other documents listed in the table below or cited herein, in light of general knowledge in the art.

<b><i>Exhibit #</i></b>	<b><i>Description</i></b>
<b>1001</b>	U.S. Patent No. 8,337,856 B2
<b>1008</b>	Herceptin® Label
<b>1012</b>	Chari, R.V.J., <i>et al.</i> , "Immunoconjugates Containing Novel Maytansinoids: Promising Anticancer Drugs," <i>Cancer Research</i> 52: 127-131 (1992)
<b>1015</b>	Chari, R.V.J., "Targeted delivery of chemotherapeutics: tumor activated prodrug therapy," <i>Advanced Drug Delivery Reviews</i> 31: 89-104 (1998)
<b>1018</b>	Rosenblum, M.G., "Recombinant Immunotoxins Directed against the <i>c-erb-2/HER2/neu</i> Oncogene Product: <i>In Vitro</i> Cytotoxicity, Pharmacokinetics, and <i>in Vivo</i> Efficacy Studies in Xenograft Models," <i>Clinical Cancer Research</i> 5: 865-874 (1999)
<b>1020</b>	Pegram M., "Inhibitory effects of combinations of HER-2/ <i>neu</i> antibody and chemotherapeutic agents used for treatment of human breast cancers," <i>Oncogene</i> 18: 2241-2251 (1999)
<b>1028</b>	Trail, P.A., <i>et al.</i> , "Monoclonal antibody drug conjugates in the treatment of cancer," <i>Current Opinion in Immunology</i> 11: 584-588 (1999), Exhibit H to Declaration of Mark X. Sliwowski, Ph.D., dated on June 30, 2010, filed in U.S. Appl. No. 11/949,351

*Declaration of Linda T. Vahdat, M.D. (Exhibit 2103)*

<i>Exhibit #</i>	<i>Description</i>
<b>2006</b>	Walter Blättler, <i>et al.</i> "Immunoconjugates," <i>Cancer Therapeutics: Experimental and Clinical Agents</i> (Beverly A. Teicher ed., 1997)
<b>2010</b>	Tolcher, A., <i>et al.</i> , "Randomized Phase II Study of BR96-Doxorubicin Conjugate in Patients With Metastatic Breast Cancer," <i>Journal of Clinical Oncology</i> 17: 478-484 (1999)
<b>2011</b>	Elias, D., <i>et al.</i> , "Monoclonal Antibody KS1/4-Methotrexate Immunoconjugate Studies in Non-Small Cell Lung Carcinoma," <i>American Journal of Respiratory and Critical Care Medicine</i> 150: 1114-1122 (1994)
<b>2012</b>	Krop, I., <i>et al.</i> , "Trastuzumab emtansine versus treatment of physician's choice for pretreated HER2-positive advanced breast cancer (TH3RESA): a randomised, open-label, phase 3 trial," <i>Lancet Oncology</i> 15: 689-699 (2014)
<b>2015</b>	Cao, Y., <i>et al.</i> , "Construction and Characterization of Novel, Completely Human Serine Protease Therapeutics Targeting Her2/neu," <i>Molecular Cancer Therapeutics</i> 12: 979-991 (2013)
<b>2016</b>	Cao, Y., and Rosenblum, M.G., "Design, Development, and Characterization of Recombinant Immunotoxins Targeting HER2/neu," in <i>Antibody-Drug Conjugates and Immunotoxins: From Pre-Clinical Development to Therapeutic Applications</i> , Chapter 18, pp. 319-348 (2013)
<b>2025</b>	Kadcyla™ Prescribing Information, pp. 1-21(2013)
<b>2029</b>	Pai-Scherf, L., <i>et al.</i> , "Hepatotoxicity in Cancer Patients Receiving erb-38, a Recombinant Immunotoxin That Targets the erbB2 Receptor," <i>Clinical Cancer Research</i> 5: 2311-2315 (1999)
<b>2030</b>	Pai, L., <i>et al.</i> , "Clinical Evaluation of Intraperitoneal <i>Pseudomonas</i> Exotoxin Immunoconjugate OVB3-PE in Patients With Ovarian Cancer," <i>Journal of Clinical Oncology</i> 9: 2095-2103 (1991)
<b>2031</b>	Gould, B., <i>et al.</i> , "Phase I Study of an Anti-Breast Cancer Immunotoxin by Continuous Infusion: Report of a Targeted Toxic Effect Not Predicted by Animal Studies," <i>Journal of the National Cancer Institute</i> 81: 775-781 (1989)

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.