

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

**NOVEN PHARMACEUTICALS, INC.,
Petitioner**

v.

**NOVARTIS AG AND LTS LOHMANN THERAPIE-SYSTEME AG,
Patent Owners**

Inter Partes Review No.: 2014-00550

**PETITION FOR *INTER PARTES* REVIEW
OF U.S. PATENT 6,335,031 UNDER
35 U.S.C. §§ 311-319 AND 37 C.F.R. § 42**

TABLE OF CONTENTS

TABLE OF CONTENTS	i
LIST OF EXHIBITS	iii
I. MANDATORY NOTICES	1
A. Real Party-In-Interest	1
B. Related Matters	1
C. Lead and Back-Up Counsel	2
D. Service Information	2
II. GROUNDS FOR STANDING	3
III. IDENTIFICATION OF CHALLENGE AND STATEMENT OF THE PRECISE RELIEF REQUESTED	3
IV. THRESHOLD REQUIREMENT FOR INTER PARTES REVIEW	3
V. STATEMENT OF REASONS FOR THE RELIEF REQUESTED	4
A. Level of Ordinary Skill in the Art	5
B. Claim Construction	6
C. Scope and Content of the Prior Art	10
1. Rivastigmine Was Being Developed for the Treatment of Alzheimer's Disease	10
2. Rosin Taught the Use of Antioxidants in Compositions Comprising RA ₇ (Racemic Rivastigmine)	11
3. Elmalem Taught Adding Antioxidants to a Compositions Comprising RA ₇ to Prevent Oxidation	12
4. Enz Taught Transdermal Rivastigmine Compositions	13
5. Ebert Taught a Transdermal Drug Delivery System For Liquid, Oxidizable Drugs	14

6.	Sasaki Taught Using the Antioxidant Tocopherol to Promote Storage Stability of the Active Ingredient in Transdermal Compositions.....	16
7.	The Handbook of Pharmaceutical Excipients Detailed Common Antioxidants Used in Approved Pharmaceutical Compositions	17
D.	Ground 1: Claim 15 is Unpatentable as Anticipated by Elmalem.....	19
E.	The Challenged Claims are Unpatentable as Obvious Over the Prior Art.....	22
1.	There was motivation to select rivastigmine and modify existing rivastigmine treatments	22
2.	Ground 2: Claims 16 and 18 are Unpatentable as Obvious Over Elmalem and the Handbook.....	24
3.	Ground 3: Claims 1, 2, 7, 15, and 18 are Obvious Over Enz and the Handbook, Optionally in View of Rosin and/or Elmalem and/or Ebert.....	30
4.	Ground 4: Dependent Claims 3 and 16 are Unpatentable as Obvious Over Enz and the Handbook and/or Rosin and/or Ebert.....	41
5.	Ground 5: The Challenged Claims Are Unpatentable As Obvious over Enz and Sasaki	43
VI.	CONCLUSION.....	52

LIST OF EXHIBITS

Noven Exhibit No.	Description
Ex. 1001	U.S. Patent No. 6,335,031, issued January 1, 2002
Ex. 1002	UK Patent Application GB 2,203,040 A, to Enz, published October 12, 1988 (“Enz”)
Ex. 1003	Handbook of Pharmaceutical Excipients, A. Wade and P. J. Weller (eds.) 1994, 2 nd Edition, The Pharmaceutical Press London (the “Handbook”)
Ex. 1004	Japanese Patent Application Publication No. JP 59-184121 to Sasaki et al., published October 19, 1984
Ex. 1005	Certified English Translation of Japanese Patent Application Publication No. JP 59-184121 to Sasaki et al. (“Sasaki”)
Ex. 1006	PCT Publication No. WO 95/024172 to Ebert et al, published September 14, 1995 (“Ebert”)
Ex. 1007	Carey & Sundberg, ADVANCED ORGANIC CHEMISTRY, Part A: Structure and Mechanism, 3rd Edition (Plenum Press, NY), pp. 651-727 (1990)

...

Ex. 1008	U.S. Patent 4,948,807 (“Rosin”)
Ex. 1009	Elmalem et al. 1991, Neuropharmacology 30: 1059-1064 (“Elmalem”)
Ex. 1010	Declaration of Agis Kydonieus, Ph.D.
Ex. 1011	Declaration of Christian Schöneich, Ph.D.
Ex. 1012	“Safety/Tolerability Trial of SDZ ENA 713 in Patients with Probable Alzheimer’s Disease,” John J. Sramek et al., Life Sciences, Vol. 58, No. 15, pp. 1201-1207 (1996) (“Sramek”)
Ex. 1013	“New acetylcholinesterase inhibitor shows promise in largest Alzheimer’s trial to date,” Formulary, Vol. 32, Dec. 1997 (“Formulary Article”)
Ex. 1014	ICH Topic Q 1 A, Stability Testing Guidelines: Stability Testing of New Drug Substances and Products (CPMP/ICH/380/95)
Ex. 1015	Connors, Amidon, & Stella, Oxidation and Photolysis in Chemical Stability of Pharmaceuticals – A Handbook for Pharmacists (2nd Edition), John Wiley & Sons, NY (1986), pp. 82-114
Ex. 1016	Howard C. Ansel, Introduction to Pharmaceutical Dosage Forms,

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