UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

NOVEN PHARMACEUTICALS, INC. AND MYLAN PHARMACEUTICALS INC.,

Petitioners

v.

NOVARTIS AG AND LTS LOHMANN THERAPIE-SYSTEME AG, Patent Owners

Inter Partes Review No.: 2014-00549¹

U.S. Patent 6,316,023

PETITIONER'S EXHIBIT LIST 3

Case IPR2015-00265 has been joined with this proceeding.



Pursuant to 37 C.F.R. §42.63(e), Petitioners submit the following current exhibit list.

Noven Exhibit No.	Description
Ex. 1001	U.S. Patent No. 6,316,023, issued November 13, 2001
Ex. 1002	UK Patent Application GB 2,203,040 A, to Enz, published
	October 12, 1988 ("Enz")
Ex. 1003	Handbook of Pharmaceutical Excipients, A. Wade and P. J.
	Weller (eds.) 1994, 2 nd Edition, The Pharmaceutical Press
	London (the "Handbook")
Ex. 1004	Japanese Patent Application Publication No. JP 59-184121 to
	Sasaki et al., published October 19, 1984
Ex. 1005	Certified English Translation of Japanese Patent Application
	Publication No. JP 59-184121 to Sasaki et al. ("Sasaki")
Ex. 1006	PCT Publication No. WO 95/024172 to Ebert et al, published
	September 14, 1995 ("Ebert")
Ex. 1007	European Patent Application No. 0155 229 A2 to Kissel et al.,
	published September 18, 1985 ("Kissel")



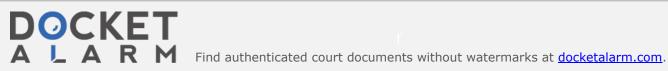
Ex. 1008	U.S. Patent No. 4,948,807 ("Rosin")
Ex. 1009	Elmalem et al. 1991, Neuropharmacology 30: 1059-1064
	("Elmalem")
Ex. 1010	Declaration of Agis Kydonieus, Ph.D.
Ex. 1011	Declaration of Christian Schöneich, Ph.D.
Ex. 1012	"Safety/Tolerability Trial of SDZ ENA 713 in Patients with
	Probable Alzheimer's Disease," John J. Sramek et al., Life
	Sciences, Vol. 58, No. 15, pp. 1201-1207 (1996) ("Sramek")
Ex. 1013	"New acetylcholinesterase inhibitor shows promise in largest
	Alzheimer's trial to date," Formulary, Vol. 32, Dec. 1997
	("Formulary Article")
Ex. 1014	ICH Topic Q 1 A, Stability Testing Guidelines: Stability
	Testing of New Drug Substances and Products
	(CPMP/ICH/380/95)
1	



Ex. 1015	Connors, Amidon, & Stella, Oxidation and Photolysis in
	Chemical Stability of Pharmaceuticals – A Handbook for
	Pharmacists (2nd Edition), John Wiley & Sons, NY (1986), pp.
	82-114
Ex. 1016	Howard C. Ansel, Introduction to Pharmaceutical Dosage
	Forms, 4th Edition, Lea & Febiger, Philadelphia (1985), pp.
	83-116
Ex. 1017	Ho-Leung Fung, Chapter 7 – Chemical Kinetics and Drug
	Stability in MODERN PHARMACEUTICS (G.S. Banker and
	C.T. Rhodes, eds.), Marcel Dekker, NY (1979), pp. 227-62
Ex. 1018	Carey & Sundberg, ADVANCED ORGANIC CHEMISTRY,
	Part A: Structure and Mechanism, 3rd Edition (Plenum Press,
	NY), pp. 651-727 (1990)
Ex. 1019	Miguel-Hidalgo, J., 2000, Current Opinion in CPNS
	Investigations Drugs, 2000 2(4):438-453
Ex. 1020	Boccardi G. et al. Photochemical Iron(III)-Mediated
	Autoxidation of Dextromethorphan. Chemical &
	Pharmaceutical Bulletin. Vol. 37, 308–310 (1989)



Ex. 1021	Bateman, L., Olefin Oxidation, Quarterly Review (1954) Vol.
	8, pp. 147–167
Ex. 1022	Linnell, R.H., The Oxidation of Nicotine. I. Kinetics of the
	Liquid Phase Reaction Near Room Temperature. Tobacco
	Science, Vol. 4, pp. 89–91 (1960)
Ex. 1023	Resume/ Curriculum Vitae of Agis Kydonieus, Ph.D.
Ex. 1024	Resume/ Curriculum Vitae of Christian Schöneich, Ph.D.
Ex. 1025	U.S. Patent No. 5,602,176, issued Feb. 11, 1997
Ex. 1026	Redacted Copy of Bench Trial Transcript (Day 1). C.A. No.
	13-527, D.I. 154 (D. Del).
Ex. 1027	Redacted Copy of Bench Trial Transcript (Day 2). C.A. No.
	13-527, D.I. 155 (D. Del).
Ex. 1028	Redacted Copy of Bench Trial Transcript (Day 3). C.A. No.
	13-527, D.I. 156 (D. Del).
Ex. 1029	U.S. Patent No. 5,061,480. [Not Filed]
Ex. 1030	Transcript of 1/13/2015 Deposition of Dr. Agis Kydonieus
Ex. 1031	Reply Declaration of Agis Kydonieus



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

