

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NOVEN PHARMACEUTICALS, INC.,
Petitioner

v.

NOVARTIS AG AND LTS LOHMANN THERAPIE-SYSTEME AG,
Patent Owners

Inter Partes Review No.: 2014-00549

U.S. Patent 6,316,023

PETITIONER'S EXHIBIT LIST 1

Pursuant to 37 C.F.R. §42.63(e), Petitioner Noven submits the following current exhibit list.

Noven Exhibit No.	Description
Ex. 1001	U.S. Patent No. 6,316,023, issued November 13, 2001
Ex. 1002	UK Patent Application GB 2,203,040 A, to Enz, published October 12, 1988 (“Enz”)
Ex. 1003	Handbook of Pharmaceutical Excipients, A. Wade and P. J. Weller (eds.) 1994, 2 nd Edition, The Pharmaceutical Press London (the “Handbook”)
Ex. 1004	Japanese Patent Application Publication No. JP 59-184121 to Sasaki et al., published October 19, 1984
Ex. 1005	Certified English Translation of Japanese Patent Application Publication No. JP 59-184121 to Sasaki et al. (“Sasaki”)
Ex. 1006	PCT Publication No. WO 95/024172 to Ebert et al, published September 14, 1995 (“Ebert”)
Ex. 1007	European Patent Application No. 0155 229 A2 to Kissel et al., published September 18, 1985 (“Kissel”)

Ex. 1008	U.S. Patent No. 4,948,807 (“Rosin”)
Ex. 1009	Elmalem et al. 1991, Neuropharmacology 30: 1059-1064 (“Elmalem”)
Ex. 1010	Declaration of Agis Kydonieus, Ph.D.
Ex. 1011	Declaration of Christian Schöneich, Ph.D.
Ex. 1012	“Safety/Tolerability Trial of SDZ ENA 713 in Patients with Probable Alzheimer’s Disease,” John J. Sramek et al., Life Sciences, Vol. 58, No. 15, pp. 1201-1207 (1996) (“Sramek”)
Ex. 1013	“New acetylcholinesterase inhibitor shows promise in largest Alzheimer’s trial to date,” Formulary, Vol. 32, Dec. 1997 (“Formulary Article”)
Ex. 1014	ICH Topic Q 1 A, Stability Testing Guidelines: Stability Testing of New Drug Substances and Products (CPMP/ICH/380/95)

Ex. 1015	Connors, Amidon, & Stella, Oxidation and Photolysis in Chemical Stability of Pharmaceuticals – A Handbook for Pharmacists (2nd Edition), John Wiley & Sons, NY (1986), pp. 82-114
Ex. 1016	Howard C. Ansel, Introduction to Pharmaceutical Dosage Forms, 4th Edition, Lea & Febiger, Philadelphia (1985), pp. 83-116
Ex. 1017	Ho-Leung Fung, Chapter 7 – Chemical Kinetics and Drug Stability in MODERN PHARMACEUTICS (G.S. Banker and C.T. Rhodes, eds.), Marcel Dekker, NY (1979), pp. 227-62
Ex. 1018	Carey & Sundberg, ADVANCED ORGANIC CHEMISTRY, Part A: Structure and Mechanism, 3rd Edition (Plenum Press, NY), pp. 651-727 (1990)
Ex. 1019	Miguel-Hidalgo, J., 2000, Current Opinion in CPNS Investigations Drugs, 2000 2(4):438-453
Ex. 1020	Boccardi G. et al. Photochemical Iron(III)-Mediated Autoxidation of Dextromethorphan. Chemical & Pharmaceutical Bulletin. Vol. 37, 308–310 (1989)

Ex. 1021	Bateman, L., Olefin Oxidation, Quarterly Review (1954) Vol. 8, pp. 147–167
Ex. 1022	Linnell, R.H., The Oxidation of Nicotine. I. Kinetics of the Liquid Phase Reaction Near Room Temperature. Tobacco Science, Vol. 4, pp. 89–91 (1960)
Ex. 1023	Resume/ Curriculum Vitae of Agis Kydonieus, Ph.D.
Ex. 1024	Resume/ Curriculum Vitae of Christian Schöneich, Ph.D.
Ex. 1025	U.S. Patent No. 5,602,176, issued Feb. 11, 1997
Ex. 1026	Redacted Copy of Bench Trial Transcript (Day 1). C.A. No. 13-527, D.I. 154 (D. Del).
Ex. 1027	Redacted Copy of Bench Trial Transcript (Day 2). C.A. No. 13-527, D.I. 155 (D. Del).
Ex. 1028	Redacted Copy of Bench Trial Transcript (Day 3). C.A. No. 13-527, D.I. 156 (D. Del).
Ex. 1029	U.S. Patent No. 5,061,480. [Not Filed]
Ex. 1030	Transcript of 1/13/2015 Deposition of Dr. Agis Kydonieus

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