

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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FINISAR CORP.  
Petitioner

v.

THOMAS SWAN & CO. LTD.  
Patent Owner

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Case IPR2014-00465  
Patent 8,335,033

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**PATENT OWNER THOMAS SWAN & CO. LTD.'S  
MANDATORY NOTICES**

**PATENT OWNER'S MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, the patent owner, Thomas Swan & Co. Ltd. ("Patent Owner"), hereby submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 8,335,033.

**1. Real Party-In-Interest under 37 CFR § 42.8(b)(1)**

Thomas Swan & Co. Ltd. is the Patent Owner and the Real Party-In-Interest in this proceeding.

**2. Related Matters under 37 CFR § 42.8(b)(2)**

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

*Thomas Swan & Co. Ltd. v. Finisar Corp., et al.*, No. 2:13-cv-178 (E.D. Texas).

**3. Lead and Back-Up Counsel, under 37 CFR § 42.8(b)(3)**

The Patent Owner designates the following lead and back-up counsel:

LEAD COUNSEL	BACK-UP COUNSEL
Marc M. Wefers Reg. No. 56,842 3200 RBC Plaza 60 South Sixth Street Minneapolis, MN 55402 Tel: (617) 521-7857 Email: <a href="mailto:wefers@fr.com">wefers@fr.com</a> <a href="mailto:IPR28733-002IP1@fr.com">IPR28733-002IP1@fr.com</a> Fax: (877) 769-7945	W. Karl Renner Reg. No. 41,265 3200 RBC Plaza 60 South Sixth Street Minneapolis, MN 55402 Tel: (202) 783-5070 Email: <a href="mailto:axf@fr.com">axf@fr.com</a> <a href="mailto:IPR28733-0002IP1@fr.com">IPR28733-0002IP1@fr.com</a> Fax: (877) 769-7945

**4. Service Information under 37 CFR § 42.8(b)(4)(i) *et seq.***

Please address all correspondence and service to counsel as listed above.

Patent Owner consents to service by email at [IPR28733-0005IP1@fr.com](mailto:IPR28733-0005IP1@fr.com) (ref.:

Docket No. 28733-0005IP1).

Respectfully submitted,

Date: March 19, 2014

/Marc M. Wefers Reg. No. 56,842/

Marc M. Wefers

Reg. No. 56,842

Customer Number 26171

Fish & Richardson P.C.

Telephone: (612) 337-2508

Facsimile: (612) 288-9696

## CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.205(b), the undersigned certifies that on March 19, 2014, a complete and entire copy of this Patent Owner Thomas Swan & Co. Ltd.'s Mandatory Notices and Power of Attorney were provided via email, to the Petitioner by serving the correspondence email addresses of record as follows:

David Radulescu  
Greg Maskel  
Radulescu LLP  
136 Madison Avenue, 6<sup>th</sup> Floor  
New York, NY 10016

Kurt Rauschenbach  
Rauschenbach Patent Law Group  
P.O. Box 849  
Franconia, NH 03580

Email: [david@radulescullp.com](mailto:david@radulescullp.com)

Email: [greg@radulescullp.com](mailto:greg@radulescullp.com)

/Edward G. Faeth/

Edward G. Faeth  
Fish & Richardson P.C.  
60 South Sixth Street, Suite 3200  
Minneapolis, MN 55402  
(202) 626-6420