UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
FINISAR CORP. Petitioner
v.
THOMAS SWAN & CO. LTD. Patent Owner
Case IPR2014-00460 Patent 7,145,710

# PATENT OWNER THOMAS SWAN & CO. LTD.'S MANDATORY NOTICES



Case IPR2014-00460 Attorney Docket No: 28733-0002IP1

# PATENT OWNER'S MANDATORY NOTICES UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8, the patent owner, Thomas Swan & Co. Ltd. ("Patent Owner"), hereby submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 7,145,710.

### 1. Real Party-In-Interest under 37 CFR § 42.8(b)(1)

Thomas Swan & Co. Ltd. is the Patent Owner and the Real Party-In-Interest in this proceeding.

# 2. Related Matters under 37 CFR § 42.8(b)(2)

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

Thomas Swan & Co. Ltd. v. Finisar Corp., et al., No. 2:13-cv-178 (E.D. Texas).

## 3. Lead and Back-Up Counsel, under 37 CFR § 42.8(b)(3)

The Patent Owner designates the following lead and back-up counsel:

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### 4. Service Information under 37 CFR § 42.8(b)(4)(i) et seq.

Please address all correspondence and service to counsel as listed above.

Patent Owner consents to service by email at <a href="mailto:IPR28733-0002IP1@fr.com">IPR28733-0002IP1@fr.com</a> (ref.:

Docket No. 28733-0002IP1).

Respectfully submitted,

Date: March 19, 2014 /Marc M. Wefers Reg. No. 56,842/

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Date. March 19, 2014

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#### **CERTIFICATE OF SERVICE**

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.205(b), the undersigned certifies that on March 19, 2014, a complete and entire copy of this Patent Owner Thomas Swan & Co. Ltd.'s Mandatory Notices and Power of Attorney were provided via email, to the Petitioner by serving the correspondence email addresses of record as follows:

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