

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

BROSE NORTH AMERICA, INC.
and
BROSE FAHRZEUGTEILE GMBH & CO.
Petitioners

v.

UUSI, LLC
Patent Owner

Case Number: IPR2014-00416
Patent No. 8,217,612

PETITIONERS' EXHIBIT LIST

Pursuant to the Order regarding Conduct of Proceeding under 37 C.F.R. § 42.5 (Paper 16) and 37 C.F.R. § 42.63, Petitioners Brose North America, Inc. and Brose Fahrzeugteile GmbH & Co. (“Brose”) hereby submits a current listing of its exhibits.

Exhibit No.	Description
1001	Declaration of Dr. Art MacCarley Under 37 C.F.R. §1.68 in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 8,217,612
1002	Appendix A to the Declaration of Dr. Art MacCarley: Dr. MacCarley's current <i>curriculum vitae</i>
1003	Submission Pursuant to 35 U.S.C. § 301 and 37 C.F.R. § 1.501 in Support of Petition for <i>Inter Partes</i> Review of U.S. Pat. No. 8,217,612
1004	Declaration of Alyse Wu
1005	U.S. Pat. No. 8,217,612 to Boisvert, <i>et al.</i> ("the '612 Patent")
1006	USPTO Assignments on the Web for the '612 Patent, available at http://assignments.uspto.gov/assignments/q?db=pat&qt=pat&reel=&frame=&pat=8217612&pub=&asnr=&asnri=&asne=&asnei=&asns= (accessed February 6, 2014)
1007	U.S. Pat. No. 4,870,333 to Itoh, <i>et al.</i> ("Itoh")
1008	U.S. Pat. No. 4,468,596 to Kinzl, <i>et al.</i> ("Kinzl")
1009	U.S. Pat. No. 5,069,000 to Zuckerman ("Zuckerman")
1010	UUSI's U.S. Pat. No. 6,064,165
1011	Excerpts from U.S. Pat. Appl. No. 12/360,942 ("the '942 Application"): Specification, claims, and drawings
1012	Excerpt from the file history of the '942 Application: January 28, 2009 Preliminary Amendment
1013	Excerpt from the file history of the '942 Application: February 10, 2009 Second Preliminary Amendment
1014	Excerpt from the file history of the '942 Application: November 24, 2010 Office Action
1015	Excerpt from the file history of the '942 Application: January 13, 2011 Response to Office Action

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1016	Excerpt from the file history of the '942 Application: April 1, 2011 Office Action
1017	Excerpt from the file history of the '942 Application: April 29, 2011 Examiner Summary of April 26, 2011 Interview
1018	Excerpt from the file history of the '942 Application: April 27, 2011 Response to Office Action
1019	Excerpts from the file history of the '942 Application: July 21, 2011 Office Action; October 12, 2011 Amendment; December 23, 2011 Office Action; March 7, 2012 Response Under Rule 116; and March 29, 2012 Notice of Allowance
1020	UUSI's U.S. Pat. No. 5,334,876 (the 1992 specification to which applicant claimed priority during prosecution of the '612 Patent)
1021	Excerpt from UUSI's September 19, 2013 First Supplemental Responses to Brose North America, Inc.'s First Set of Interrogatories to UUSI, LLC (Nos. 1-7): Supplemental Response to Interrogatory No. 1, and Attachment F thereto from <i>UUSI, LLC, d/b/a Nartron v. Robert Bosch LLC & Brose N. Am., Inc.</i> , Civil Action No. 2:13-cv-10444 (E.D. Mich.)
1022	Diagram showing claimed chain of priority of the '612 Patent
1023	U.S. Pat. No. 3,513,374
1024	U.S. Pat. No. 3,651,389
1025	Excerpt from the Otis Elevator Company, <i>Otis: A Visual Timeline: 1950s, A Polite Reversal</i> , available at http://www.otisworldwide.com/d31-timeline.html (accessed February 6, 2014)
1026	U.S. Pat. No. 2,751,219
1027	U.S. Pat. No. 2,756,990
1028	U.S. Pat. No. 2,887,311
1029	U.S. Pat. No. 3,581,174

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1030	Affidavit of Mr. Luke Dauchot in Support of Motion for <i>Pro Hac Vice</i> Admission
1031	Transcript of Telephonic Status Conference held on September 4, 2014
1032	Transcript of Deposition of Dr. Mark Ehsani, held on December 16-17, 2014
1033	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: June 5, 2006 Response by Applicant
1034	U.S. Pat. No. 6,274,947 (Terashima)
1035	U.S. Pat. No. 5,404,673 (Takeda)
1036	U.S. Pat. No. 7,579,802 (Boisvert)
1037	Excerpts from the file history of U.S. Pat. Appl. No. 10/765,487: Original specification, claims, and drawings, filed January 27, 2004
1038	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: January 27, 2004 Preliminary Amendment
1039	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: October 16, 2006 Office Action
1040	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: December 13, 2006 Response by Applicant
1041	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: April 10, 2007 Office Action
1042	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: June 20, 2007 Response by Applicant
1043	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: October 31, 2007 Office Action
1044	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: July 23, 2008 Office Action

Exhibit No.	Description
1045	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: August 19, 2008 Response by Applicant
1046	Excerpt from the file history of U.S. Pat. Appl. No. 10/765,487: January 5, 2009 Office Action
1047	Declaration of Dr. Mark Ehsani in support of Patent Owner's Response regarding U.S. Pat. No. 7,579,802 (Case No. IPR2014-00417)
1048	Excerpts of Exhibit A to UUSI's March 30, 2014 Disclosure of Asserted Claims and Infringement Contentions as to Brose North America, Inc. (Redacted, Public Version)
1049	U.S. Pat. No. 6,404,158 (Boisvert)
1050	U.S. Pat. No. 7,548,037 (Boisvert)
1051	U.S. Pat. No. 5,952,801 (Boisvert)
1052	U.S. Pat. No. 6,548,979 (Boisvert)
1053	Reply Declaration of Dr. C. Arthur MacCarley in Support of Petition for <i>Inter Partes</i> Review of U.S. Pat. No. 8,217,612 and Attachment A thereto
1054	Exhibit 7 to the Deposition of Dr. Mark Ehsani, held on December 16-17, 2014: U.S. Pat. No. 5,982,124 (Wang)
1055	Exhibit 8 to the Deposition of Dr. Mark Ehsani, held on December 16-17, 2014: U.S. Patent Appl. Publication No. 2002/0121872
1056	Exhibit 9 to the Deposition of Dr. Mark Ehsani, held on December 16-17, 2014: Figures 2A, 2B, 2C and 2D of the '802 Patent (and the '612 Patent; the figures are the same)
1057	Exhibit 10 to the Deposition of Dr. Mark Ehsani, held on December 16-17, 2014: Witness's Hand Drawn Illustration

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