

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MEDTRONIC, INC., MEDTRONIC VASCULAR, INC.,
and MEDTRONIC COREVALVE, LLC
Petitioner

v.

TROY R. NORRED, M.D.
Patent Owner

Case IPR2014-00395
Patent 6,482,228

Attorney Docket No. 058888-0000019

PETITIONER'S CURRENT EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Petitioner provides herewith a current exhibit list (attached hereto as Appendix A) which is updated to list Exhibits 1021-1031, described below:

- Exhibit 1021 is U.S. Patent No. 5,469,868 to Reger;
- Exhibit 1022 is U.S. Patent No. 6,245,101 to Drasler et al.;
- Exhibit 1023 is a transcript of the deposition of Timothy Titus Catchings, M.D. conducted on October 7, 2014;
- Exhibit 1024 is a transcript of the deposition of Troy R. Norred, M.D. conducted on October 8, 2014;
- Exhibit 1025 is a transcript of the deposition of James J. Kernell conducted on October 9, 2014;
- Exhibit 1026 is the declaration of Petitioner's expert, Alexander J. Hill, Ph.D;
- Exhibit 1027 is an article titled "Anatomy of the aortic root: implications for valve-sparing surgery", by Efstratios I. Charitos, Hans-Hinrich Sievers, Department of Cardiac and Thoracic Vascular Surgery, University of Lübeck, Lübeck, Germany, published in the Annals of Cardiothoracic Surgery, Vol. 2, No 1., pages 53-56 (January 2013).
- Exhibit 1028 is the Corrected Petition (Paper 4) filed in related IPR2014-00111;

- Exhibits 1029-1030 are exhibits filed with Corrected Petition (Paper 4) in related IPR2014-00111; and
- Exhibit 1031 is the Decision Instituting Inter Partes Review in related IPR2014-00111 (Paper 10).

Respectfully submitted,

Date: November 24, 2014

PILLSBURY WINTHROP SHAW PITTMAN LLP

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Attachments: Appendix A (Exhibit List) (37 C.F.R. § 42.63)

Appendix A (Exhibit List) (37 C.F.R. § 42.63)

Exhibit Description	Exhibit No.
U.S. Patent 6,482,228 to Norred	1001
File History for U.S. Patent 6,482,228 to Norred	1002
Patent Owner's Initial Infringement Contentions	1003
U.S. Patent 5,957,949 to Leonhardt et al.	1004
U.S. Patent 5,411,552 to Andersen et al.	1005
U.S. Patent 6,458,153 to Bailey et al.	1006
German Patent App. No. DE 195-46-692 to Figulla et al. - Application as Filed	1007
Translation of German Patent App. No. DE 195-46-692 to Figulla et al. - Application as Filed (with a certification from Abraham I. Holczer attesting to the accuracy of the translation)	1008
U.S. Patent 5,855,597 to Jayaraman	1009
U.S. Patent 3,657,744 to Ersek	1010
Declaration of Felix Harbsmeier with attached exhibits (1-5): Exhibit 1: German Patent No. DE 195-46-692 C2 as granted on November 7, 2002 [also attached at Exh. 1012]. Exhibit 2: The complete prosecution history for patent DE 195-46-692 C1 [also attached at Exh. 1013]. Exhibit 3: German Patent App. No. DE 195-46-692 as filed on December 14, 1995 [also attached at Exh. 1007, with certified English translation at Exh. 1008]. Exhibit 4: German Patent App. No. DE 195-46-692 A1 as published on June 19, 1997 [also attached at Exh. 1014, with certified English translation at Exh. 1015]. Exhibit 5: Sections 31 and 32 of the German Patent Act in effect as of June 19, 1997 through November 14, 2000 [also attached at Exh. 1016, with certified English translation at Exh. 1017].	1011
German Patent No. DE 195-46-692 C2	1012
German Patent No. DE 195-46-692 C1 Prosecution History	1013
German Patent App. No. DE 195-46-692 A1 to Figulla et al.	1014

Exhibit Description	Exhibit No.
Translation of German Patent App. No. DE 195-46-692 A1 to Figulla et al. (with a certification from Abraham I. Holczer attesting to the accuracy of the translation)	1015
Sections 31 and 32 of the German Patent Act in effect as of June 19, 1997 through November 14, 2000	1016
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Declaration of Thomas Vassiliades, Jr., M.D. with attached Exhibit 1: Curriculum Vitae of Thomas Vassiliades, Jr., M.D.	1018
Declaration of Russell Hodge with attached Exhibit 1: Curriculum Vitae of Russell Hodge	1019
Declaration of Nicholas Mathews with attached Exhibit 1: Patent Owner's Initial Infringement Contentions (also attached at Exh. 1003)	1020
U.S. Patent 5,469,868 to Reger	1021
U.S. Patent 6,245,101 to Drasler et al.	1022
Transcript of October 7, 2014 Deposition of Timothy Titus Catchings, M.D.	1023
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Transcript of October 9, 2014 Deposition of James J. Kernell	1025
Expert Declaration of Alexander J. Hill, PhD	1026
"Anatomy of the aortic root: implications for valve-sparing surgery", by Efstratios I. Charitos, Hans-Hinrich Sievers, Department of Cardiac and Thoracic Vascular Surgery, University of Lübeck, Lübeck, Germany, published in the Annals of Cardiothoracic Surgery, Vol. 2, No 1., pages 53-56 (January 2013)	1027
Corrected Petition (Paper 4) in IPR2014-00111	1028
German Patent App. No. DE 198-57-887 A1 to Fraunhofer Corporation (This is Exhibit 1007 in IPR2014-00111)	1029
Translation of German Patent App. No. DE 198-57-887 A1 to Fraunhofer Corporation (with a certification from Abraham I.	1030

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