

1 UNITED STATES PATENT AND TRADEMARK OFFICE
2 BEFORE THE PATENT TRIAL AND APPEAL BOARD

3
4 MEDTRONIC, INC., MEDTRONIC)
5 VASCULAR, INC., and)
6 MEDTRONIC COREVALVE, LLC,)
7)
8 Petitioner,)
9)
10 vs.) No. IPR2014-00110
11) No. IPR2014-00111
12 TROY R. NORRED, M.D.,)
13)
14 Patent Owner.)

15
16
17 THE DEPOSITION OF JAMES JOSEPH
18 KERNELL, a Witness, taken on behalf of the
19 Petitioner, before Alison A. Tracy, Missouri CCR
20 No. 554, pursuant to Notice on the 9th day of
21 October, 2014, at the Law Offices of Bartle &
22 Marcus, 1100 Main Street, Suite 2730, Kansas
23 City, Missouri.

24
25

1 A P P E A R A N C E S

2

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A P P E A R A N C E S (Continued)

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I N D E X

WITNESS:	PAGE:
James Joseph Kernell	
EXAMINATION BY MR. FINKEL	
EXAMINATION BY MR. MARCUS	

E X H I B I T S

NO.:	DESCRIPTION:	PAGE:
1001:	228 Patent	5
2094:	Kernell declaration in 110 IPR	9
2103:	Norred conception of invention document	7
2116:	9/22/00 cover letter to Dr. Norred enclosing draft patent application	41
2144:	Draft of patent application	41
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2194:	Kernell Declaration in 111 IPR	9
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1 (The deposition commenced at 9:15 a.m.)

2 JAMES JOSEPH KERNELL,
3 a Witness, being first duly sworn, testified
4 under oath as follows:

5 EXAMINATION

6 BY MR. FINKEL:

7 Q. Please state your full name for the
8 record, sir.

9 A. James Joseph Kernell.

10 Q. I'm handing you a copy of Exhibit 1001
11 in IPR2014-00111 and also in 00110. This is a
12 copy of U.S. Patent number 6,482,228. Do you
13 recognize that patent, sir?

14 A. Yes, I do.

15 Q. I'm going to refer to that as the 228
16 patent, okay?

17 A. Okay.

18 Q. My understanding is, is that you are the
19 attorney that prepared, prosecuted the
20 application that led to the issuance of the 228
21 patent, is that correct?

22 A. That's correct.

23 Q. My understanding is you are lead counsel
24 for Mr. Troy Norred in a number of IPR
25 proceedings initiated by Medtronic entities

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