

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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MEDTRONIC, INC., MEDTRONIC VASCULAR, INC.,  
and MEDTRONIC COREVALVE, LLC  
Petitioner

v.

TROY R. NORRED  
Patent Owner

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Case IPR2014-00395  
Patent 6,482,228

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Attorney Docket No. 058888-0000019

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**CORRECTED PETITION FOR INTER PARTES REVIEW  
UNDER 37 C.F.R. § 42.100**

## TABLE OF CONTENTS

|      |  |    |
|------|--|----|
| I.   | MANDATORY NOTICES UNDER 37 C.F.R. § 42.8(a)(1).....  | 1  |
| A.   | Real Party-In-Interest Under 37 C.F.R. § 42.8(b)(1) .....  | 1  |
| B.   | Related Matters Under 37 C.F.R. § 42.8(b)(2) .....   | 1  |
| C.   | Lead and Back-Up Counsel Under 37 C.F.R. § 42.8(b)(3) .....  | 1  |
| D.   | Service Information Under 37 C.F.R. § 42.8(b)(4).....  | 2  |
| II.  | PAYMENT OF FEES UNDER 37 C.F.R. § 42.103.....  | 2  |
| III. | SUMMARY OF THE ‘228 PATENT .....   | 3  |
| A.   | Description of the Alleged Invention of the ‘228 Patent .....  | 3  |
| B.   | Summary of the Prosecution History of the ‘228 Patent .....  | 6  |
| IV.  | REQUIREMENTS FOR <i>INTER PARTES</i> REVIEW UNDER<br>37 C.F.R. §§ 42.104.....  | 8  |
| A.   | Grounds for Standing Under 37 C.F.R. § 42.104(a).....  | 8  |
| B.   | Identification of Challenge Under 37 C.F.R. § 42.104(b) and<br>Relief Requested.....   | 9  |
| C.   | Claims for Which <i>Inter Partes</i> Review Is Requested Under<br>37 CFR § 42.104(b)(1) .....  | 9  |
| D.   | The Specific Art and Statutory Grounds on Which the Challenge<br>Is Based Under 37 C.F.R. § 42.104(b)(2) .....   | 9  |
| E.   | How the Challenged Claims Are to Be Construed Under<br>37 C.F.R. § 42.104(b)(3) .....  | 10 |
| 1.   | “Membrane” .....   | 12 |
| 2.   | “Means for mounting” .....   | 13 |
| 3.   | “Tissue Valve” .....   | 14 |
| 4.   | “Means for maintaining” .....  | 14 |
| F.   | How the Construed Claims Are Unpatentable Under<br>37 C.F.R. § 42.104(b)(4) .....  | 14 |
| G.   | Supporting Evidence Under 37 C.F.R. § 42.104(b)(5).....  | 15 |
| V.   | DETAILED EXPLANATION OF PERTINENCE AND MANNER OF<br>APPLYING CITED PRIOR ART TO EVERY CLAIM FOR WHICH<br>REVIEW IS REQUESTED UNDER 37 C.F.R. § 42.104(b)(4)..... | 15 |

|     |  |    |
|-----|--|----|
| A.  | Claims 16 and 19-24 are Anticipated Under 35 U.S.C. §102(b) by<br>US 5,957,949 to Leonhardt et al. (Exh. 1004) .....                 | 15 |
| B.  | Claims 16 and 19-24 are Anticipated Under 35 U.S.C. §102(b) by<br>US 5,411,552 to Andersen et al. (Exh. 1005) .....                  | 17 |
| C.  | Claims 16 and 19-24 are Anticipated Under 35 U.S.C. §102(e) by<br>US 6,458,153 to Bailey (Exh. 1006) .....                           | 19 |
| D.  | Claims 16 and 19 are Anticipated Under 35 U.S.C. §102(b) by DE<br>Patent Application No. 195 46 692 to Figulla (Exhs. 1007 & 1008).. | 22 |
| E.  | Claims 16 and 19 are Anticipated Under 35 U.S.C. §102(b) by<br>US 5,855,597 to Jayaraman (Exh. 1009) .....                           | 24 |
| F.  | Claims 16 and 19 are Anticipated Under 35 U.S.C. §102(b) by<br>US 3,657,744 to Ersek (Exh. 1010) .....                               | 26 |
| VI. | CONCLUSION.....  | 27 |

**Exhibit List for *Inter Partes* Review of U.S. Patent No. 6,482,228**

| <b>Exhibit Description</b>   | <b>Exhibit No.</b> |
|--|--------------------|
| U.S. Patent 6,482,228 to Norred  | 1001               |
| File History for U.S. Patent 6,482,228 to Norred   | 1002               |
| Patent Owner's Initial Infringement Contentions  | 1003               |
| U.S. Patent 5,957,949 to Leonhardt et al.  | 1004               |
| U.S. Patent 5,411,552 to Andersen et al.   | 1005               |
| U.S. Patent 6,458,153 to Bailey et al.   | 1006               |
| German Patent App. No. DE 195-46-692 to Figulla et al. - Application as Filed  | 1007               |
| Translation of German Patent App. No. DE 195-46-692 to Figulla et al. - Application as Filed (with a certification from Abraham I. Holczer attesting to the accuracy of the translation)   | 1008               |
| U.S. Patent 5,855,597 to Jayaraman   | 1009               |
| U.S. Patent 3,657,744 to Ersek   | 1010               |
| Declaration of Felix Harbsmeier with attached exhibits (1-5):<br>Exhibit 1: German Patent No. DE 195-46-692 C2 as granted on November 7, 2002 [also attached at Exh. 1012].<br>Exhibit 2: The complete prosecution history for patent DE 195-46-692 C1 [also attached at Exh. 1013].<br>Exhibit 3: German Patent App. No. DE 195-46-692 as filed on December 14, 1995 [also attached at Exh. 1007, with certified English translation at Exh. 1008].<br>Exhibit 4: German Patent App. No. DE 195-46-692 A1 as published on June 19, 1997 [also attached at Exh. 1014, with certified English translation at Exh. 1015].<br>Exhibit 5: Sections 31 and 32 of the German Patent Act in effect as of June 19, 1997 through November 14, 2000 [also attached at Exh. 1016, with certified English translation at Exh. 1017]. | 1011               |
| German Patent No. DE 195-46-692 C2   | 1012               |
| German Patent No. DE 195-46-692 C1 Prosecution History   | 1013               |
| German Patent App. No. DE 195-46-692 A1 to Figulla et al.  | 1014               |

| Exhibit Description  | Exhibit No. |
|--|-------------|
| Translation of German Patent App. No. DE 195-46-692 A1 to Figulla et al. (with a certification from Abraham I. Holczer attesting to the accuracy of the translation)   | 1015        |
| Sections 31 and 32 of the German Patent Act in effect as of June 19, 1997 through November 14, 2000  | 1016        |
| Translation of Sections 31 and 32 of the German Patent Act in effect as of June 19, 1997 through November 14, 2000 (with a certification from Abraham I. Holczer attesting to the accuracy of the translation) | 1017        |
| Declaration of Thomas Vassiliades, Jr., M.D. with attached Exhibit 1: Curriculum Vitae of Thomas Vassiliades, Jr., M.D.  | 1018        |
| Declaration of Russell Hodge with attached Exhibit 1: Curriculum Vitae of Russell Hodge  | 1019        |
| Declaration of Nicholas Mathews with attached Exhibit 1: Patent Owner's Initial Infringement Contentions (also attached at Exh. 1003)  | 1020        |

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