

DO/EO WORKSHEET

U.S. Appl. No. **09/284113**

International Appl. No. US99/01988

Application filed by : 20 months 30 months

WIPO PUBLICATION INFORMATION :

Publication No.: WO99/39285 Publication Language: English Screening Done by : Barbara Campbell
 Publication Date: 8/5/99 Not Published : U.S. only designated National Stage Processing
 EP request (703) 305-3631

INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE :

- | | |
|---|--|
| <input checked="" type="checkbox"/> International Application (RECORD COPY) | <input type="checkbox"/> International Appl. on Double Sided Paper (COPIES MADE) |
| <input type="checkbox"/> Article 19 Amendments | <input type="checkbox"/> Request form PCT/RO/101 |
| <input type="checkbox"/> PCT/IB/331 | <input checked="" type="checkbox"/> PCT/ISA/210 - Search Report |
| <input type="checkbox"/> PCT/IPEA/409 IPER (PCT/IPEA/416 on front) | <input type="checkbox"/> Search Report References |
| <input type="checkbox"/> Annexes to 409 | <input type="checkbox"/> Other : _____ |
| <input type="checkbox"/> Priority Document (s) No. _____ | |

RECEIPTS FROM THE APPLICANT (other than checked above) :

- | | |
|--|---|
| <input checked="" type="checkbox"/> Basic National Fee (paid or authorized to charge) | <input type="checkbox"/> Preliminary Amendment(s) Filed on : _____ |
| <input checked="" type="checkbox"/> Description | <input type="checkbox"/> Information Disclosure Statement(s) Filed on : _____ |
| <input checked="" type="checkbox"/> Claims | <input checked="" type="checkbox"/> Assignment Document |
| <input checked="" type="checkbox"/> Words in the Drawing Figure(s) | <input checked="" type="checkbox"/> Power of Attorney/ Change of Address |
| <input type="checkbox"/> Article 19 Amendments | <input type="checkbox"/> Substitute Specification Filed on : _____ |
| <input type="checkbox"/> Annexes to 409
<input type="checkbox"/> entered <input type="checkbox"/> not entered | <input checked="" type="checkbox"/> Verified Small Status Claim
(if submitted after Receipt Date - Is it timely <u>Y/N</u>) |
| <input checked="" type="checkbox"/> Oath/ Declaration (executed) | <input type="checkbox"/> Other : _____ |
| <input type="checkbox"/> DNA Diskette | |

NOTES : Used IA

U.S.C. 371 - Receipt of Request (PTO-1390)

April 7, 1999

Acceptable Oath/ Declaration Received

||

Complete 35 U.S.C. 371

||

(c) Date

||

Completion of DO/EO 906 - Notification of Missing 102(e) Requirements

Completion of DO/EO 907 - Notification of Acceptance for 102(c) Date

Completion of DO/EO 911 - Application Accepted Under 35 U.S.C. 111

Completion of DO/EO 905 - Notification of Missing Requirements

Completion of DO/EO 916 - Notification of Defective Response

Completion of DO/EO 903 - Notification of Acceptance

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents
 United States Patent and Trademark
 Office
 Box PCT
 Washington, D.C.20231
 ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 13 December 1999 (13.12.99)	Applicant's or agent's file reference 3726PCT
International application No. PCT/US99/01988	Priority date (day/month/year) 30 January 1998 (30.01.98)
International filing date (day/month/year) 28 January 1999 (28.01.99)	
Applicant DE ANGELO, Michael	

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

 23 July 1999 (23.07.99)

in a notice effecting later election filed with the International Bureau on:

2. The election was
 was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Antonia Muller
--	---

PATENT COOPERATION TREATY

02/28413
5610
2700

5630
RECEIVED
JUN 13 2000
MAIL ROOM

PCT

From the INTERNATIONAL BUREAU

To:

SUEOKA, Greg, T.
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
ÉTATS-UNIS D'AMÉRIQUE

INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

Date of mailing (day/month/year) 13 December 1999 (13.12.99)		
Applicant's or agent's file reference 3726PCT		IMPORTANT INFORMATION
International application No. PCT/US99/01988	International filing date (day/month/year) 28 January 1999 (28.01.99)	Priority date (day/month/year) 30 January 1998 (30.01.98)
Applicant EMATRIX CORPORATION et al		

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP : GH, GM, KE, LS, MW, SD, SZ, UG, ZW
EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE
National : AU, BG, BR, CA, CN, CZ, DE, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US


2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
OA : BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
National : AL, AM, AT, AZ, BA, BB, BY, CH, CU, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IN, IS, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MW, MX, PT, SD, SG, SI, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer: Antonia Muller 
---	---

74

5040

09/9 84 113

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

REC'D 07 FEB 2000
 JUN 13 2000
 RECEIVED
 WIPO MAIL ROOM

Applicant's or agent's file reference 3726 PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US99/01988	International filing date (day/month/year) 28 JANUARY 1999	Priority date (day/month/year) 30 JANUARY 1998
International Patent Classification (IPC) or national classification and IPC Please See Supplemental Sheet.		
Applicant EMATRIX CORPORATION		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets.

This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 0 sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

Date of submission of the demand 23 JULY 1999	Date of completion of this report 26 NOVEMBER 1999
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer RUAY LIAN HO <i>F. R. Ruyian Ho</i> Telephone No. (703) 305-3834

I. Basis of the report

1. This report has been drawn on the basis of *(Substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments).*

- the international application as originally filed.
- the description, pages (See Attached) , as originally filed.
 pages _____ , filed with the demand.
 pages _____ , filed with the letter of _____
 pages _____ , filed with the letter of _____
- the claims, Nos. (See Attached) , as originally filed.
 Nos. _____ , as amended under Article 19.
 Nos. _____ , filed with the demand.
 Nos. _____ , filed with the letter of _____
 Nos. _____ , filed with the letter of _____
- the drawings, sheets/~~fig~~ (See Attached) , as originally filed.
 sheets/~~fig~~ _____ , filed with the demand.
 sheets/~~fig~~ _____ , filed with the letter of _____
 sheets/~~fig~~ _____ , filed with the letter of _____

2. The amendments have resulted in the cancellation of:

- the description, pages NONE
- the claims, Nos. NONE
- the drawings, sheets/~~fig~~ NONE

This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box Additional observations below (Rule 70.2(c)).

Additional observations, if necessary:

ONE

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.