Form pto-1390 (rev. 1-98)			U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 3726 US	
	T	RANSMITTAL LETTER T DESIGNATED/ELECTEI	O OFFICE (DO/EO/US)	ES	U.S. APPLICATION NO. (If known, see 37 CFR 1.5 09/284113	
NT	FDN	CONCERNING A FILING		DIOD	Not Yet Known ITY DATE CLAIMED	
			FILING DATE			
		99/01988 DF INVENTION	28 January 1999 30	0 Janu	lary 1998	
ys	tem A	And Method For Creating And Man	ipulating Information Containers	s With	Dynamic Registers	
\PI	PLIC	CANT(S) FOR DO/EO/US	4 00 · · · · , , , , , , ,			
1ic	hael	De Angelo				
			ates Designated/Elected Office (DO/E0	O/US) the following items and other	
ıfc	ormat	tion:				
•	$\mathbf{\nabla}$	This is a FIRST submission of iten	ns concerning a filing under 35 V	U.S.C.	. 371.	
•		This is a SECOND or SUBSEQUE	ENT submission of items concern	ning a	filing under 35 U.S.C. 371.	
•	Ø	This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).				
•		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
5. \square A copy of the International Application as filed (35 U.S.C. 371(c)(2)).						
		a. 🔲 is transmitted herewith (required only if not transmitted l	by the	International Bureau).	
		b. has been transmitted by t	the International Bureau.			
		c. 🗹 is not required, as the application was filed in the United States Receiving Office (RO/US).				
.		A translation of the International A	Application into English (35 U.S.	.C. 37	1(c)(2)).	
-	\square	Amendments to the claims of the I	nternational Application under F	PCT A	rticle 19 (35 U.S.C. 371(c)(3)).	
		a. are transmitted herewith	(required only if not transmitted	l by th	e International Bureau).	
		b. 📋 have been transmitted by	y the International Bureau.			
			wever, the time limit for making	such a	amendments has NOT expired.	
		d. have not been made and				
S.		A translation of the amendments to		9 (35	U.S.C. 371(c)(3)).	
•		An oath or declaration of the inven	tor(s) (35 U.S.C. 371(c)(4)).			
0.		A translation of the annexes of the (35 U.S.C. 371(c)(5)).	International Preliminary Exam	inatio	n Report under PCT Article 36	
	Iten	ns 11. to 16. below concern docume	nt(s) or information included:			
1.		An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.9			
2.	☑	An assignment document for recor	ding. A separate cover sheet in	compl	liance with 37 CFR 3.28 and 3.31 is included	
3.		A FIRST preliminary amendment.				
		A SECOND or SUBSEQUENT pr	eliminary amendment.			
4.		A substitute specification.				
5.		A change of power of attorney and	l/or address letter.			
6.	\square	Other items or information: A Veri	ified Statement Claiming Small	Entitv	Status	

U.S. APPLICATION NO. (if known.	see 37 CFR 1.5)	INTERNATIONAL
Not Yet Known		PCT/US99/01988

NTERNATIONAL APPLICATION NO. PCT/US99/01988 ATTORNEY'S DOCKET NUMBER 3726 US

17. Z The following fees are submitted:				CALCULATIONS PTO USE ONLY	
-					
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):					
Neither international pr nor international search and International Search	fee (37 CFR 1.445(a				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$840.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$760.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(2)-(4)\$670.00					
International preliminar and all claims satisfied					
ENTER AP	PROPRIATE BASI	C FEE AMOUNT	-	\$760.00	
Surcharge of \$130.00 for 30 months from the				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	36 - 20 =	16	x \$18.00	\$288.00	
Independent claims	3 - 3 =	0	x \$78.00	\$288.00	
MULTIPLE DEPENDE		plicable)	+ \$260.00	\$0	-
		OF ABOVE CALCU	LATIONS =	\$1048.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). +				\$524.00	
SUBTOTAL =				\$524.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0	
TOTAL NATIONAL FEE =				\$524.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$40.00	
TOTAL FEES ENCLOSED =				\$564.00	
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				charged:	S
a. 🗹 A check in the	e amount of \$ <u>564.00</u>	to cov	er the above f	ees is enclosed.	
b. Delease charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2555</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appro or (b)) must be filed and SEND ALL CORRESP	granted to restore the	e application to pend	.495 has not king status.	een met, a petition to rev m	ive (37 CFR 1.137 (a)
Greg T. Sueoka FENWICK & WEST L	ĹP		Greg T. Sueok NAME		
Two Palo Alto Square Palo Alto, CA 94306			33,800 REGISTRATI	ON NUMBER	

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U.S. APPLICATION NO. (if known. see 37 CFR 1.5) Not Yet Known

INTERNATIONAL APPLICATION NO. PCT/US99/01988

ATTORNEY'S DOCKET NUMBER 3726 US

17. 🗹 The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL	FEE (37 CFR 1.492(a)	(1)-(5)):			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$970.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$840.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$760.00					
	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(2)-(4)\$670.00				
International prelimi and all claims satisfie	nary examination fee (3 ed provisions of PCT Ai	7 CFR 1.482) paid to ticle 33(2)-(4)	USPTO \$96.00		
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) for furnishing the oath he earliest claimed prior			\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	36 - 20 =	16	x \$18.00	\$288.00	
Independent claims	3 - 3 =	0	x \$78.00	\$200.00	
MULTIPLE DEPEN	DENT CLAIM(S) (if ap	plicable)	+ \$260.00	\$0	
	TOTAL	OF ABOVE CALCU	LATIONS =	\$1048.00	
Reduction of 1/2 for Statement must also	filing by small entity, if be filed (Note 37 CFR 1	.9, 1.27, 1.28).	+	\$524.00	
		S	UBTOTAL =	\$524.00	
Processing fee of \$13 20	30.00 for furnishing the om the earliest claimed p	English translation la priority date (37 CFR	iter than [] 1.492(f)).	\$0	
		TOTAL NATIC	NAL FEE =	\$524.00	
Fee for recording the must be accompanied \$40.00 per property	enclosed assignment (3 l by an appropriate cove	7 CFR 1.21(h)). The r sheet (37 CFR 3.28	assignment , 3.31). +	\$40.00	
		TOTAL FEES E	NCLOSED =	\$564.00	
				Amount to be rendered:	\$564.00
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c. 🗹 The Comm overpayme	issioner is hereby autho nt to Deposit Account N	rized to charge any a lo. <u>19-2555</u> . A dupli	dditional fees v cate copy of th	which may be required is sheet is enclosed.	, or credit any
NOTE: Where an ap or (b)) must be filed a SEND ALL CORRE	and granted to restore th	er 37 CFR 1.494 or 1 e application to pend	.495 has not ing status.	me Sour	evive (37 CFR 1.137 (a)
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Greg T. Sueoka FENWICK & WEST	LLP		Greg T. Sueok NAME	<u>a</u>	
Two Palo Alto Squar	e		33,800		
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		PTO/SB/ 10 (6-95) (modified) rrough 07/31/96. OMB 0651-0031 DEPARTMENT OF COMMERCE
	VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))SMALL BUSINESS CONCERN	Docket Number (Optional): 3726
	Applicant or Patentee: Michael De Angelo	
	Application or Patent No.:	
	Filing Date or Issue Date:	
	Title: System And Method For Creating And Manipulating Information Containers Wit	th Dynamic Registers
	I hereby declare that I am [] the owner of the small business concern identified below: [X] an official of the small business concern empowered to act on behalf of the concern identified of SMALL BUSINESS CONCERN	
	ADDRESS OF SMALL BUSINESS CONCERN 104 West Anapamu, Suite C	
	Santa Barbara, California 93101	
	I hereby declare that the above identified small business concern qualifies as a small 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the U Trademark Office, in that the number of employees of the concern, including those of its affilia For purposes of this statement, (1) the number of employees of the business concern is the aver of the concern of the persons employed on a full-time, part-time or temporary basis during each year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern control the other, or a third party or parties controls or has the power to control both.	United States Patent and ates, does not exceed 500 persons. rage over the previous fiscal year h of the pay periods of the fiscal rn controls or has the power to
	I hereby declare that rights under contract or law have been conveyed to and remain widentified above with regard to the invention described in: [X] the specification filed herewith with title as listed above.	with the small business concern
	[] the application identified above.[] the patent identified above.	
	If the rights held by the above identified small business concern are not exclusive, each organization having rights in the invention must file separate verified statements averring to the rights to the invention are held by any person, other than the inventor, who would not qualify as 37CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).	eir status as small entities, and no as an independent inventor under a small business concern under
	 Each such person, concern or organization having any rights in the invention is listed [X] No such person, concern, or organization exists. [] Each such person, concern or organization is listed below: 	l below:
		······
	Separate verified statements are required from each named person, concern or organize invention averring to their status as small entities. (37 CFR 1.27)	
	I acknowledge the duty to file, in this application or patent, notification of any change entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issu after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))	e in status resulting in loss of ue fee or any maintenance fee due
	I hereby declare that all statements made herein of my own knowledge are true and th information and belief are believed to be true; and further that these statements were made with statements and the like so made are punishable by fine or imprisonment, or both, under section States Code, and that such willful false statements may jeopardize the validity of the application	the knowledge that willful false 1001 of Title 18 of the United

NAME OF PERSON SIGNING Michael De Angelo

any patent to which this verified statement is directed.

TITLE OF PERSON IF OTHER THAN OWNER ____Officer___

ADDRESS OF PERSON SIGNING104 West Anapamu, Suite C, Santa Barbara, California	<u>a 93101</u>
SIGNATURE Michael & Cherry DATE	April 5, (999

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SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to computer systems in a multi-user mainframe or mini computer system, a client server network, or in local, wide area or public networks, and in particular, to computer networks for creating and manipulating information containers with dynamic interactive registers in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by offering the means to create and manipulate information containers with dynamic registers.

2. Description of the Related Art

In the present day, querying and usage of information resources on a computer network is accomplished by individuals directing a search effort by submitting key words or phrases to be compared to those key words or phrases contained in the content or description of that information resource, with indices and contents residing in a fixed location unchanging except by human input. Similarly, the class of storage medium upon which information resides, it class and subclass organizational structures, and its routes of access all remain fundamentally unaltered by ongoing user queries and usage. Only the direct and intended intervention of the owner of the information content or computer hosting site changes these parameters, normally accomplished manually by programmers or systems operators at their own discretion or the discretion of the site owner.

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There exists currently in the art a limited means of interfacing a computer user with the information available on computer networks such as the world wide web. Primarily, these means are search engines. Search engines query thousands or tens of thousands of index pages per second to suggest the location of information while the user waits. While factual information can be accessed, the more complex, particular or subtle the inquiry, the more branches and sub-branches need to be explored in a time consuming fashion in order to have any chance of success. Further, there are no such automatic devices that reconstruct the information into more useful groupings or makes it more accessible according to factors

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attached to the content by the content creator such as the space or time relevancy of its content, or factors attached to the content by the system's compilation and analysis of the accumulated biography of that specific content's readership.

The utility of wide area and public computer networks is thus greatly limited by the static information model and infrastructure upon which those networks operate.

One problem is that on a wide area or public network, specific content such as a document remains inert, except by the direct intervention of users, and is modified neither by patterns or history of usage on the network, or the existence of other content on the network.

Another problem is that content does not reside in an information infrastructure conducive to reconstruction by expert rule-based, fuzzy logic, or artificial intelligence based systems. Neither the intelligence of other information users nor the expert intelligence of an observant network computer system can be utilized in constructing, or re-constructing information resources. Where content resides in a fixed location and structure, "information" becomes something defined by the mind of the information provider rather than the mind of the information user, where the actual construction and utility of information exists. Information remains, like raw ore, in an unrefined state.

Another problem is that the class of storage medium upon which data resides cannot be system or user managed and altered according to the actual recorded and analyzed hierarchically graded usage of any given information resource residing on that storage medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

Another problem is that information resource groupings remain fixed on the given storage medium location according to the original installation by the resource author, not altered according to the actual recorded and analyzed hierarchically graded usage of that given information resource. Content itself remains inert, with no possibility of evolution.

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A further problem with the prior art is that neither the search templates generated by those more knowledgeable in a given field of inquiry, nor the search strategies historically determined to be successful, or system-constructed according to analyses of search strategies historically determined to be successful, are available to inquiring users. A search template is here defined as one or more text phrases, graphics, video or audio bits, alone or in any defined outline or relational format designed to accomplish an inquiry. Internet or wide area network search may return dozens of briefs to a keyword or key phrase inquiry sometimes requiring the

time-consuming examination of multiple information resources or locations, with no historical relation to the success of any given search strategy.

A further problem is that there is limited means to add to, subtract from, or alter the information content of documents, databases, or sites without communicating with the owners or operators of those information resources, e.g., contacting, obtaining permission, negotiating and manually altering, adding or subtracting content. Additionally, once so altered, there is not a means to derive a proportionate value, and thereby a proportionate royalty as the information is used.

A final problem is that the physical residence of a body of data or its cyberspace location may not serve its largest body of users in the most expedient manner of access. Neither the expert intelligence of other information users nor the expert intelligence of an observant computer system is presently utilized by inherent network intelligence to analyze, re-design and construct access routes to information medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

Therefore, there is a need for a system and methods for creating and manipulating information containers with dynamic interactive registers defining more comprehensive information about contained content in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by providing a searching user the means to utilize the searches of other users or the historically determined and compiled searches of the system, a means to containerize information with multiple registers governing the interaction of that container, a means to reclassify the storage medium and location of information resources resident on the network, a means to allow the reconstruction of content into more useful formations, and a means to reconstruct the access routes to that information.

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SUMMARY OF THE INVENTION

The present invention is a system and methods for manufacturing information on, upgrading the utility of, and developing intelligence in, a computer or digital network, local, wide area, public, corporate, or digital-based, supported, or enhanced physical media form or public or published media, or other by offering the means to create and manipulate information containers with dynamic registers.

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The system of the present invention comprises an input device, an output device, a processor, a memory unit, a data storage device, and a means of communicating with other computers, network of computers, or digital-based, supported or enhanced physical media forms or public or published media. These components are preferably coupled by a bus and configured for multi-media presentation, but may also be distributed throughout a network according to the requirements of highest and best use.

The memory unit advantageously includes an information container made interactive with dynamic registers, a container editor, a search interface, a search engine, a search engine editor, system-wide hierarchical container gateways interacting with dynamic container registers, a gateway editor, a register editor, a data collection means with editor, a data reporting means with editor, an analysis engine with editor, an executing engine with editor, databases, and a means of communicating with other computers as above. These components may reside in a distributed fashion in any configuration on multiple computer systems or networks.

The present invention advantageously provides a container editor for creating containers, containerizing storing information in containers and defining and altering container registers. A container is an interactive nestable logical domain configurable as both subset and superset, including a minimum set of attributes coded into dynamic interactive evolving registers, containing any information component, digital code, file, search string, set, database, network, event or process, and maintaining a unique network-wide lifelong identity.

The container editor allows the authoring user to create containers and encapsulate any information component in a container with registers, establishing a unique network lifelong identity, characteristics, and parameters and rules of interaction. The authoring user defines and sets the register with a starting counter and/or mathematical description by utilizing menus and simple graphing tools or other tools appropriate to that particular register. The registers determine the interaction of that container with other containers, system components, system gateways, events and processes on the computer network.

Containers and registers, upon creation, may be universal or class-specific. The editor provides the means to create system-defined registers as well as the means to create other registers. The editor enables the register values to be set by the user or by the system, in which case the register value may be fixed or alterable by the user upon creation. Register values are

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evolving or non-evolving for the duration of the life of the container on the system. Evolving registers may change through time, space, interaction, system history and other means.

System-defined registers comprise: (1) an historical container register, logging the history of the interaction of that container with other containers, events and processes on the network, (2) an historical system register, logging the history of pertinent critical and processes on the network, (3) a point register accumulating points based upon a hierarchically rated history of usage, (4) an identity register maintaining a unique network wide identification and access location for a given container, (5) a brokerage register maintaining a record of ownership percentage and economic values, and others.

The present invention also includes user-defined registers. User defined registers may be created wholly by the user and assigned a starting value, or simply assigned value by the user when that register is pre-existent in the system or acquired from another user, and then appended to any information container, or detached from any container.

Exemplary user-defined registers comprise (1) a report register, setting trigger levels for report sequences, content determination and delivery target, (2) a triple time register, consisting of a range, map, graph, list, curve or other representation designating time relevance, actively, assigning the time characteristics by which that container will act upon another container or process, passively, assigning the time characteristics by which that container be acted upon by another container or process, and neutrally, assigning the time characteristics by which that container will interact with another container or process, (3) a triple space register, consisting of a range, map, graph, list, curve or other representation designating the domain and determinants of space relevance, actively, assigning the space characteristics by which that content will act upon another container or process, passively, assigning the space, characteristics by which that content will be acted upon by another container or process, and neutrally, assigning the space characteristics by which that container will interact with another container or process, (4) a domain of influence register, determining the set, class and range of containers upon which that container will act, (5) a domain of receptivity register, determining the set, class and range of containers allowed to act upon that container, (6) a domain of neutrality register, determining the set, class and range of containers with which that container will interact, (7) a domain of containment register, determining the set, class and range of containers which that container may logically encompass, (8) a domain of inclusion register, determining the set, class and

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range of containers by which that container might be encompassed, (9) an ownership register, recording the original ownership of that containers, (10) a proportionate ownership register, determining the proportionate ownership of that containers, (11) a creator profile register, describing the creator or creators of that container, (12) an ownership address register, maintaining the address of the creator or creators of that container, (13) a value register, assigning a monetary or credit value to that container, and (14) other registers created by users or the system.

Containers are nestable and configurable as both subset and superset and may be designated hierarchically according to inclusive range, such as image component, image, image file, image collection, image database, or if text, text fragment, sentence, paragraph, page, document, document collection, document, database, document library, or any arrangement wherein containers are defined as increasingly inclusive sets of sets of digital components.

The present invention also includes, structurally integrated into each container, or strategically placed within a network at container transit points, unique gateways, nestable in a hierarchical or set and class network scheme. Gateways gather and store container register 15 information according to system-defined, system-generated, or user determined rules as containers exit and enter one another, governing how containers system processes or system components interact within the domain of that container, or after exiting and entering that container, and governing how containers, system components and system processes interact with that unique gateway, including how data collection and reporting is managed at that 20 gateway. The gateways record the register information of internally nested sub and superset containers, transient containers and search templates, including the grade of access requested, and, acting as an agent of an analysis engine and execution engine, govern the traffic and interaction of those containers and searches with the information resource of which they are the gateway and other gateways. The gateways' record of internally nested and transient container 25 registers, and its own interaction with those containers, is made available, according to a rulesbased determination, to the process of the analysis engine by the data collection and/or data reporting means.

The present invention also includes a means of data storage at any given gateway.

The present invention also includes a data collection means, residing anywhere on the network, or located at one or more hierarchical levels of nestable container gateways for

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gathering information from other gateways and analysis engines according to system, systemgenerated or user determined rules. The data collection means manages the gathering of data regarding network-wide user choices, usage and information about information, by collecting it from container and gateway registers as those containers and gateways pass through one another. Such statistics as frequency, pattern, and range of time, space and logical class is collected as directed by the analysis engine, and made that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally, to the next greater hierarchically inclusive collection level. The rules of data collection may be manually set or altered by the system manager, or set by the system and altered by the system in its evolutionary capacity.

The present invention also includes a data reporting means, located at one or more hierarchical levels of nestable container gateways for submitting information to other gateways and analysis engines according to system, system-generated or user determined rules. The data reporting means manages the sending of data from the registers, gateways and search templates in a frequency, pattern, and range of time, space and logical class as directed by the analysis engine, and makes that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally to the next greater hierarchically inclusive reporting level. The rules of data collection may be manually set or altered by the system manager, or set by the system and altered by the system in its evolutionary capacity. The data reporting means may be established to work in concert, in redundancy, or in contiguous or interwoven threads of hierarchically nested containers.

The present invention also includes an analysis engine that receives, reports and collects information regarding the interaction of user searches with gateways and container registers, as well as container registers with other container registers, and container registers with gateways. 25 The analysis engine analyzes the information submitted by the gateways and instructs the execution engine to create new information containers, content assemblages, storage schemes, access routes, search templates, and gateway instructions. The analysis engine includes an editor that provides a system manager with a means of editing the operating principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

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The present invention also includes an execution engine, fulfilling the instructions of the analysis engine, to create new information containers, content sun and superset assemblages, storage schemes, access routes, search templates, and gateway instructions. The execution engine includes an editor that provides a system manager with a means of editing the operating principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

The present invention also includes a search interface or browser. The search interface provides a means for a searching user to submit, record and access search streams or phrases generated historically by himself, other users, or the system. Search streams or phrases of other users are those that have been historically determined by the system to have the highest probability of utility to the searching user. Search streams or phrases generated by the system are those that have been constructed by the system through the analysis engine based upon the same criteria.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a first and preferred embodiment of a system constructed according to the present invention.

FIG. 2 A is block diagram of a preferred embodiment of the memory unit.

FIG. 2 B is an exemplary embodiment of a computer network showing computer servers,
 personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways.

FIG. 2B1 is an exemplary embodiment of a computer network showing computer servers, personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways and exemplary locations of gateway storage in proximity to one or more of the various sites.

FIGS. 2C through 2H are exemplary embodiments in block diagram form of computer network components showing a possible placement of nested containers, computer servers, gateways, and the software components named in Fig. 2 A on a network.

FIG. **3A** is a graphical representation for one embodiment of a container having a plurality of containers nested within that container.

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FIG. **3C** is a drawing showing elements that might be logically encapsulated by a container. FIG. **4** is a drawing of an information container showing a gateway and registers logically

encapsulating containerized elements.

FIG. 5 is a flowchart showing a preferred method for the containerization process and container editor operating on the communication device.

FIG. 6 is a flowchart showing a preferred method for searching for containers within a node.

FIG. 7 is a flowchart further showing a preferred method for searching for containers over one or more gateways.

FIG. 8 is a flowchart showing a method for performing the data collection and reporting on containers.

FIG. 9 is a flowchart showing the operation of the analysis engine.

FIG. 10 is a flowchart showing the operation of the execution engine.

FIG. 11 is a flowchart showing the operation of the gateway editor.

FIG. 12 is a flowchart showing the operation of the gateway process.

FIG. 13A is a drawing showing an example of nested containers, gateways, registers, analysis engines and an execution engine prior to container reconstruction as depicted in 13 B, 13 C and 13 D.

FIG. 13B is a drawing showing the reconstructed nested containers of Figure 13A.

FIG. 13C is a drawing showing further reconstruction of nested containers, with a container relocated to reside within another container.

FIG. 13D is a drawing showing a flowchart of the reconstruction process

FIG. 14 is a drawing showing the screen interface of the container editor.

FIG. 15 is a drawing showing the screen interface of the gateway editor.

FIG. 16 is a drawing showing the screen interface of the search interface.

FIG. 17 is a drawing of a generic application program showing a drop-down menu link, and a button link to the containerization process or container editor.

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DESCRIPTION OF THE PREFERRED EMBODIMENT

THE SYSTEM

Referring now to FIG. 1, a preferred embodiment of a system 10 for creating and manipulating information containers with dynamic interactive registers in a computer, media, or publishing network 201 in order to manufacture information on, upgrade the utility of, and develop intelligence in that network 201, is shown. The system 10 preferably comprises an input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 20, and a communication device 26 operating on a network 201. The input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 20, are preferably coupled together by a bus 12 in a von Neumann architecture. Those skilled in the art will realize that these components 24, 16, 18, 22, 20, and 26 may be coupled together according to various other computer architectures including any physical distribution of components linked together by the communication device 26 without departing from the spirit or scope of the present invention, and may be infinitely nested or chained, both as computer systems within a network 202, and as networks within networks 201.

The output device 16 preferably comprises a computer monitor for displaying highresolution graphics and speakers for outputting high fidelity audio signals. The output device 16 is used to display various user interfaces 110, 125, 210, 300, 510, 610, 710, as will be described below, for searching for and containerizing information, and editing the container gateways, containers, container registers, the data reporting means and the data collection means, and the search, analysis and execution engines. The author uses the input device 24 to 20 manipulate icons, text, charts or graphs, or to select objects or text, in the process of packaging, searching or editing in a conventional manner such as in the Macintosh of Windows operating systems.

The processor 18 preferably executes programmed instruction steps, generates commands, stores data and analyzes data configurations according to programmed instruction steps that are stored in the memory unit 22 and in the data storage device 20. The processor 22 is preferably a microprocessor such as the Motorola 680(x)0, the Intel 80(x)86 or Pentium, Pentium II, and successors, or processors made by AMD, or Cyrix CPU of the any class.

The memory unit 22 is preferably a predetermined amount of dynamic random access memory, a read-only memory, or both. The memory unit 22 stores data, operating systems, and programmed instructions steps, and manages the operations of all hardware and software components in the system 10 and on the network 201, utilizing the communication device 26

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whenever necessary or expeditious to link multiple computer systems 202 within the network 201.

The data storage device 20 is preferably a disk storage device for storing data and programmed instruction steps. In the exemplary embodiment, the data storage device 20 is a hard disk drive. Historical recordings of network usage are stored on distributed and centralized data storage devices 20.

The preferred embodiment of the input device 24 comprises a keyboard, microphone, and mouse type controller. Data and commands to the system 10 are input through the input device 24.

10 The present invention also includes a communication device 26. The communication device 26 underlies and sustains the operations of, referring now also to Fig 2 the analysis 400 and execution 500 engines, the data reporting 600 and collection 700 means, the container editor 110, the search interface 300, and the search engine 320, providing the means to search, access, move, copy, utilize or otherwise perform operations with and on data. The communication 15 device 26 utilizes one or more of the following technologies: modem, infrared, microwave, laser, photons, electrons, wave phenomena, cellular carrier, satellite, laser, router hub, direct cabling, physical transport, radio, broadcast or cable TV or other to communicate with other computers, digital-supported television, computer networks, or digital-based or supported public or published media, or physical media forms, on any a local, wide area, public, or any computer-based computer supported, or computer interfaced network, including but not limited 20 to the Internet. It also allows for the functioning and distribution of any container 100 or container component herein described to reside anywhere on any computer system in any configuration on that local, wide area, public, or corporate computer-based or computer related network, or digital-based or supported media form.

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Referring now to Figure 2 A, a preferred embodiment of the memory unit 22 is shown. The memory unit includes: an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting module, 600, a data reporting editor 610, a data collection module 700, a data collection editor 710, screen interfaces (GUI's) 936, menu or access buttons from generic computer programs 937,

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and databases 900, all residing in memory optimized between a data storage means 20 such as magnetic, optical, laser, or other fixed storage, and a memory means 22 such as RAM. The memory unit 22 functions by operating on communications network 12 with a communication device 26 on multiple computer systems 202 within the network 201. These components will be described first briefly in the following paragraphs, then in more detail with reference to Figures 3 A through 17.

Those skilled in the art will realize that these components might also be stored in contiguous blocks of memory, and that software components or portions thereof may reside in the memory unit 22 or the data storage means 20.

The present invention includes information containers 100 as noted above. The information container 100 is a logically defined data enclosure which encapsulates any element or digital segment (text, graphic, photograph, audio, video, or other), or set of digital segments, or referring now to FIG. 3 C, any system component or process, or other containers or sets of containers. A container 100 at minimum includes in its construction a logically encapsulated portion of cyberspace, a register and a gateway. A container 100 at minimum encapsulates a single digital bit, a single natural number or the logical description of another container, and at maximum all defined cyberspace, existing, growing and to be discovered, including but not limited to all containers, defined and to be defined in cyberspace. A container 100 contains the code to enable it to interact with the components enumerated in 2 A, and to reconstruct itself internally and manage itself on the network 201.

The container 100 also includes container registers 120. Container registers 120 are interactive dynamic values appended to the logical enclosure of an information container 100, and serve to govern the interaction of that container 100 with other containers 100, container gateways 200 and the system 10, and to record the historical interaction of that container 100 on the system 10. Container registers 120 may be values alone or contain code to establish certain parameters in interaction with other containers 100 or gateways 200.

The present invention also includes container gateways 200. Container gateways 200 are logically defined gateways residing both on containers 100 and independently in the system 10. Gateways 200 govern the interactions of containers 100 within their domain, and alter the registers 120 of transiting containers 100 upon ingress and egress.

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The present invention also includes container gateway storage 205 to hold the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and to store the rules governing the operations of its particular gateway 200, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers 100 within the container 100 to which that gateway 200 is attached. Gateway storage 205 may be located on gateways 200 themselves, containers 100 or anywhere on the network 202, 201, including but not limited to Internet, Intranet, LAN, WAN, according to best analysis and use.

The memory unit 22 also includes an execution engine 500 to perform the functions on the system 10 as directed by the analysis engine after its analysis of data from the data reporting means 600, the data collection means 700, and the search interface 300.

The memory unit 22 also includes a search interface 300, by which the user enters, selects or edits search phrases or digital strings to be used by the search engine 320 to locate containers 100.

The memory unit 22 also includes an analysis engine 400 which performs rules based or other analysis upon the data collected from the search interface 300 and the data collection 700 and data reporting 600 means.

The memory unit 22 also includes a data reporting means 600, by which means the information collected by gateways 200 from transient containers 100 is sent to the analysis engine 400.

The memory unit 22 also includes a data collection means 700, by which means the analysis engine 400 gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 also includes a container editor 110 for creating, selecting, 25 acquiring, modifying and appending registers 120 and gateways 200 to containers 100, for creating, selecting, acquiring, and modifying containers, and for selecting content 01 to encapsulate.

The memory unit 22 also includes a register editor 125, for creating, selecting, acquiring and modifying container registers 120 and establishing and adjusting the values therein.

The memory unit 22 also includes a gateway editor 210, by which means the user determines the rules governing the interaction of a given gateway 210 with the registers 120 of

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transient containers **100**, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers within the container to which that gateway is attached.

The memory unit 22 also includes databases 900, by which means the analysis engine 400, the execution engine 500, the gateways 100, the editors 110, 125, 210, 510, 610, 710, and the search interface 300, store information for later use.

The memory unit 22 present invention also includes a search engine 320 by which means the user is able to locate containers 100 and, referring now to Fig. 4, containerized elements 01.

The memory unit 22 present invention also includes an engine editor 510, by which means the user establishes the rules and operating procedures for the analysis engine 400 and the execution engine 500.

The memory unit 22 present invention also includes a reporting means editor 610, by which means the user establishes the rules and schedule under which the information collected by gateways 200 from transient containers 100 will be sent to the analysis engine 400.

The memory unit 22 present invention also includes a collection means editor 710, by which means the user establishes the rules and schedule under which the analysis engine 400 will gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 present invention also includes screen interfaces (GUI's) 936, specifically designed to simplify and enhance the operations of the container editor 110, the gateway editor 210, and the search interface 300.

The present invention also includes a menu or button access 937, by which a user utilizing any generic computer program may access the system 10 or the container editor 110 from a menu selection(s) or button(s) within that program.

The present invention also includes a computer, media or publishing network 201, comprising computers, digital devices and digital media 202 and a communication device 26, within which the components enumerated in Fig. 2 A interact, compiling, analyzing, and altering containers 100 and the network 201 according to information gathered from container registers 120.

The memory unit 22 also includes one or more computers 202, by which means the components of Fig 1 sustain the operations described in Fig. 2 A.

The memory unit 22 also includes flat or relational databases 900, used where, and as required. Databases are used to store search phrases, search templates, system history for the

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analysis engine and execution engine, container levels and container, sites and digital elements, or any and all storage required to operate the system.

Referring now to FIG. 2 B, a drawing of a computer network 201 as a system 10, showing a possible placement of nested containers 100, computer servers, gateways 200, on the sites described below. (Note: Fig. 2 B utilizes in parts the same numbering scheme as Fig. 13 A, 13 B, 13 C, 13 D and as Fig. 2 A.) In FIG. 2 B various exemplary sites are shown, any or all of which might interact dynamically within the system. Site 1 shows a single workstation with a container and gateway connected to an Intranet. .(Individual containers may be a floppy or CD-Rom to be downloaded or inserted.) Site 2 shows a server with a gateway in relationship to various containers.. Site 3 shows an Internet web page with a container residing on it. Site 4 shows a personal computer with containers and a gateway connected to the Internet. Site 5 shows a configuration of multiple servers and containers on a Wide Area Network.. Site 6 shows a workstations with a gateway and containers within a container connected to a Wide Area Network. Site 7 shows an independent gateway, capable of acting as a data collection and data reporting site as it gathers data from the registers of transiting containers, and as an agent of the execution engine as it alters the registers of transient containers. A container 100 contains the code to enable it to interact with the components enumerated in 2A, and to reconstruct itself internally and manage itself on the network 201. The code resides in and with the container in its registers and gateway definitions and controls. Additional system code resides in all sites to manage the individual and collective operation and oversight of the components enumerated in 2A, with the specific components distributed amongst the sites according to the requirements of optimization.

Referring now to Fig. 2 B 1 various exemplary sites are shown as described above in Fig. 2 B, with the addition of possible location of one or more gateway storage 205 locations.

Referring now to Figures 2 C through 2 H, various exemplary sites with one or more of the logical components of the system 10 in relationship are shown. Site 1 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all

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residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 2 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 3 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 4 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, a search interface 300, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 5 comprises an interactive information container 100, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, and databases 900, all residing on data storage means 20, accessed and utilized by non-resident memory unit 22, operating on communications network 12 with a communication device 26.

Site 6 includes an independent analysis engine 400, execution engine 500, data collection means 700, and data reporting means 600 gateway editors 210, engine editors 510, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

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Referring now to FIG. 3 A and FIG. 3 B, a block diagram of several nested information containers is shown, including examples of elements, e.g., code 1100, text 1200, audio 1300, video 1400, photograph 1500, graphic images 1600, and examples of possible container level

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classifications in increasing size, e.g., element 10900000, document 10800000, database 10700000, warehouse 10600000, domain 10500000, and continuing increasingly larger on Fig 3 (B), subject 10400000, field 10300000, master field 10200000, species 10100000. Containers may be infinitely nested and assigned any class, super class or sub class scheme and description by the creator of the container to govern nesting within that container. In addition to digital elements, containers may also include system process and components, including containerization itself.

Referring now to FIG. 3 C, a block diagram of an information container system is shown, listing, without any relationship indicated, some of the possible system components and processes, or sets thereof, that may be encapsulated as elements 01 in an information container 100. An information container 100 may include one or more of the following: any unique, container 100, gateway 200, output device 16, input device 24, output device process 160, input device process 240, data storage device 20, data storage device process 2000, processor 18, bus 12, content 01, search process 02, interface 04, memory unit 22, communication device 26, search interface 300, search process 98, network 201, class of device, process or content 999, class of process at any unique class of device 990, process at any unique device 99, editor 110, 125, 210, 510, 610, 710, engine 320, 400, 500, containerization process 1098, or process 08.

Any container may include (n) other containers, to infinity. The use of value evolving container registers 120 in conjunction with gateways 200, data reporting modules 600, data collection modules 700, the analysis engine 400, and the execution engine 500 provides the information container 100 with extensive knowledge of the use, operation of its internal contents, prior to, during and after those contents' residence within that container 100, and extensive knowledge of the use, operation and contents of the system 10 external to itself, and allows the container 100 to establish and evolve its own identity and course of interaction on the system 10. Further, containers 100, as logical enclosures, can exist and operate independent of their digital contents, whether encapsulating audio, video, text, graphic, or other.

Referring now to FIG. 4, a block diagram of an information container 100 is shown. The information container 100 is a logically defined data enclosure which encapsulates any element, digital segment (text, graphic, photograph, audio, video, or other), set of digital segments as described above with reference to FIG. 3 (C), any system component or process, or other

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containers or sets of containers. The container 100 comprises the containerized elements 01, registers 120 and a gateway 200.

Registers 120 appended to an information container 110 are unique in that they operate independently of the encapsulated contents, providing rules of interaction, history of interaction, identity and interactive life to that container 100 through the duration of its existence on a 5 network 201, without requiring reference to, or interaction with, its specific contents. They enable a container 100 to establish an identity independent of its contents. Additionally, registers 120 are unique in that their internal values evolve through interaction with other containers 100, gateways 200, the analysis engine 400, the execution engine 500, and the 10 choices made by the users in the search interface 300, the container editor 110, the register editor 125, the gateway editor 210, the engine editor 510. Registers 120 are also unique in that they can interact with any register of a similar definition on any container 100 residing on the network 201, independent of that container's contents. Registers 120, once constructed, may be copied and appended to other containers 100 with their internal values reset, to form new containers. Register values, when collected at gateways 200 and made available to the analysis 15 engine 400 through the data collection means 700 and the data reporting means 600, provide an entirely new layer of network observation and analysis and operational control through the execution engine 500. Registers 120 accomplish not only a real time information about information system, but also a real time information about information usage on a network. 20 Further, because the user base of a network determines usage, the system 10, in gathering information about information usage, is observing the choices of the human mind. When these choices are submitted to the analysis of a rules-based or other analysis engine 400, the system 10 becomes capable of becoming progressively more responsive to the need of the user base, in effect, learning to become more useful by utilizing the execution engine 500 to create systemwide changes by altering the rules of gateway 200 interaction and thereby altering the registers 120 of transient containers 100 and establishing a complete evolutionary cycle of enhanced utility.

Further, in establishing the pre-defined registers as described in the following four paragraphs, the following unique aspects of information about information are utilized for the first time: 1) the dynamic governance of information according to its utility through time, in active, passive and neutral aspects, as explained below; 2) the dynamic governance of

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information according to its utility through space in active, passive and neutral aspects, as explained below; 3) the dynamic governance of information according to its ownership, as explained below; 4) the dynamic governance of information according to its unique history of interaction as an identity on a network, as explained below; 5) the dynamic governance of information according to the history of the system on which it exists, as explained below; 6) the dynamic governance of information according to established rules of interaction, in active, passive and neutral aspects, as explained below; 7) the dynamic governance of information according to the profile of its creator, as explained below; 8) the dynamic governance of information according to the value established by its ongoing usage, as explained below; 9) the dynamic governance of information according to it distributed ownership, as explained below; 10) the dynamic governance of information according to what class of information it might be incorporated into, and according to what class of information container it might incorporate, as explained below; 11) the dynamic governance of information according to self-reporting, as explained below.

15 Referring now to Fig 4, registers 120 may be (1) pre-defined, (2) created by the user or acquired by the user, or (3) system-defined or system-created. Pre-defined registers 120 are those immediately available for selection by the user within a given container editor as part of that container editor, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 created by the user are those conceived and created by a specific user or user group and made immediately available for selection by the user or user group in conjunction with any of a wide number of container editors, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 acquired by the user are those registers existing network-wide 201, created by the user base, that might be located and acquired by the user in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. System-defined registers are those registers whose values are set and/or controlled by the system 10. System-created registers are those registers created by the system 10.

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Registers 120 are user or user-base created or system-created values or ranges made available by the system 10 to attach to a unique container, and hold system-set, user-set, or system-evolved values. Values may be numeric, may describe domains of time or space, or may

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provide information about the container 100, the user, or the system 10. Registers 120 may be active, passive or interactive and may evolve with system use. Pre-defined registers include, but are not limited to, system history 110000, container history 101000, active time 102000, passive time 103000, neutral time 104000, active space 111000, passive space 112000, neutral space 113000, containment 105000, inclusion 106000, identity 114000, value 115000, ownership 107000, ownership addresses 116000, proportionate ownership 117000, creator profile 108000, receptivity 118000, influence 119000, points 109000, others 120000, reporting 121000, neutrality 122000, acquire 123000, create 124000, content title 125000, content key phrase(s) 126000, and content description 127000, security 12800, and parent rules 129000.

Pre-defined registers comprise an historical container register 101000, logging the history of the interaction of that container 100 with other containers, events and processes on the network 201, an historical system register 110000, logging the history of pertinent critical and processes on the network, a point register 109000 accumulating points based upon a hierarchically rated history of usage, an identity register 114000 maintaining a unique network wide identification and access location for a given container specifying a unique time and place of origin and original residence, a proportionate ownership register 117000 maintaining a record of ownership percentage and economic values, and others 120000.

User-defined registers include a report register 121000 setting trigger levels for report sequences, content determination and delivery target, three time registers, consisting of a range, map, graph, list, curve or other designating time relevance, 102000 assigning the time 20 characteristics by which that container will act upon another container or process, 103000 assigning the time characteristics by which that container be acted upon by another container or process, and 104000 assigning the time characteristics by which that container will interact with another container or process, three space registers, consisting of a range, map, graph, list, curve or other designating the domain and determinants of space relevance, 111000 assigning the space characteristics by which that content will act upon another container or process, 112000 assigning the space, characteristics by which that content will be acted upon by another container or process, and 113000 assigning the space characteristics by which that container will interact with another container or process, a domain of influence register 119000, determining the set, class and range of containers upon which that container will act, a domain of receptivity register 118000, determining the set, class and range of containers allowed to act upon that

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container, a domain of neutrality register 122000, determining the set, class and range of containers with which that container will interact, a domain of containment register 105000, determining the set, class and range of containers which that container may logically encompass, a domain of inclusion 106000 register, determining the set, class and range of containers by which that container might be encapsulated, an ownership register 107000, recording the original ownership of that containers, a creator profile register 108000, describing the creator or creators of that container, an ownership address register 116000, maintaining the address of the creator or creators of that container, a value register 115000, assigning a monetary or credit value to that container, other registers 120000 created by users or the system, a reporting register 121000, determining the content, scheduling and recipients of information about that container, a neutrality register 122000, an acquire register 123000, enabling the user to search and utilize other registers residing on the network, a create register 124000, enabling the user to construct a new register, a content title register 125000, naming the contents of the container, a content key register, 126000, identifying the container contents with a key phrase generated by the user and/or the system based upon successful usage of that phrase in conjunction with the utilization of the information within that container 100, a content description register 127000, identifying the container contents with additional description, a security register 128000, controlling container security, and a parent container register 129000, storing the rules governing container interaction as dictated by the parent (encapsulating) container.

The container also includes a gateway 200 and gateway storage 205.

Gateways 200 are logically defined passageways residing both on containers 100 and independently in the system 10. Gateways 200 govern the interactions of containers 100 encapsulated within their domain by reading and storing register 120 information of containers entering and exiting that container 100.

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The present invention also includes container gateway storage 205. Gateway storage 205 stores information regarding the residence, absence, transience, and alteration of encapsulated and encapsulating containers 100, and their attached registers 120, holding the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and storing the rules governing the operations of its particular gateway 200.

Referring now to FIG. 5, a flow chart of the preferred method for creating a container 100.is shown.

Input is received from the user selecting a container level through use of a drop-down menu 10100. A menu of all possible container classes within the subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, 5 domain, and more, is displayed on the output device 10200. Input is received from the user selecting a class 10300.

A graphic representation of a container in that class, with registers common to all containers as well as registers unique to its class is displayed 10301.

Input is received from the user choosing to "create" 10400, "edit" 10500, or "locate" 10600.

When the input of "create" 10400 is received from the user, a container template in that class appears 10410. Input from the user is then received adding or selecting a register 10540 to append to that container template. When input is received from the user adding a register, a list of registers that might be added to that class of container is made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540.

If the input of "locate" 10600 is received from the user, the system prompts the user to enter the identity of the container or class of containers 10605. The system locates the container(s) 10610. Input is received from the user selecting a container 10620. The system prompts the user for a security code for permission to access the container for template use, or to alter its registers, or to alter its content 10630. . Input is received from the user entering a name and password providing access to one of the security levels 10640. Input is received from the user editing the container accordingly by transition to step 10500 and performing the steps for editing.

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If the input of "edit" 10500 is received, a list of containers available to edit at that level is shown 10510. Input is received from the user selecting a container 10520. That container appears, available to edit 10530. Input is received from the user selecting "add" or "select" registers 10540 by the user clicking on the graphically depicted register, or from a drop down menu. Input is received from the user selecting the register to edit 10560. Input is received from the user selecting "modify" or "delete" for that register 10565. If input is received from the user

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to "delete," that register is severed from the container. If input is received from the user to "modify", the register editor 10570 screen appropriate to that register appears, i.e., an x-y type graph to define a curve of relevant active time, in which the user manipulates the x-y termini, scale and curve, or a global map in which Input is received from the user selecting the locale of active space, whether zip code, city, county, state, country, continent, plant or other. When input is received from the user saving the definition, the screen returns to the main container screen to make another selection available. Input is received from the user defining as many registers as he chooses. One of the registers may be named "new register." Input is received from the user selecting the new register, and if chosen by the user, defining a wholly unique and new kind of register by the user entering input into the register editor **125**.

When the input is received from the user choosing to add a register, a list of registers that might be added to that class of container are made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540, and in turn to Input – Select Container.

Input may then be received from the user choosing to add, modify, or delete the container contents 10700. Once the registers are defined, input is received from the user indicating completion and the interface reverts to the container editor. When input is received from the user choosing "select component" (to select the component to containerize) from the main menu bar 10700, a window appears allowing the user to select any file, component, or other container. If for example, the user were creating a warehouse container, and wishes to incorporate several databases into that container, input would then be received from the user selecting "database." The program would prompt the user for the location (directory) of that database or container. If the requested selection is not containerized, input may then be received from the user choosing to containerize the element at that time, after which the program returns to "select component." Once input is received from the user defining the database location, the program logically encases the directory or directories in the defined container. The above procedure may be repeated as many times as desired to include multiple databases within a single container. While logical simplicity would dictate that all containers within a container be of the same subset, it would be possible for input to be received from the user choosing containers of any subset to include in the container. When input is received from the user choosing "finished," the container is created with a unique network identity, preferably through

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some combination of exact time and digital device serial number, or centralized numbering system, or other means. The container **100** contains all digital code, including data and program software from the selected items or containers.

Input may then be received from the user to publish the container 11100 at a useridentified or system suggested location 11200 to be selected 11400.

Input is received from the user to "publish", from the main menu bar 11100. Input is received from the user choosing to leave the container where it was created, move or copy it to another drive, directory, computer, or network the user designates, or select the location from location options offered by the system 11200, or submit, or duplicate and submit, the container to the analysis engine 400 for intelligent inclusion in other containers, thus allowing the system to publish the container as instructed or choose the residence of the container 11400.

If input is received from the user to choosing to "move," or "copy" a browse function allows the user to name the new location or browse a list of possible locations. If input is received from the user choosing to "submit," a browser function allows the user to name the analysis search engine **310** or browse a list of possible analyses engines. When input is received from the user choosing the residence of the container **11300**, the program restores the search interface screen.

Referring now to FIG. 6, a flow chart of the method for searching for containers 100.

When input is received from the user selecting "search interface" from the main title bar, the search interface screen appears. The user is given the choice of containerizing selected content or requesting that container levels be displayed **30100**. From a drop down menu another menu appears allowing input to be received from the user selecting the container level **30200**. Input is received from the user selecting the container level **30200**.

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Input is received **30310** from the user selecting the phrases, containers or components, which then are re-submitted to the same process, until the input is received from the user selecting a specific site or container.

The search phrase, whether containerized or not, is submitted simultaneously to the search engine **30400** and the analysis engine **30500**.

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The screen then reports in a selection menu, the number of applicable sites found by the search engine 30410, the number of historically proven applicable sites found by the analysis

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engine 30410, the number of historically proven applicable containers at the selected container level or any container level found by the analysis engine 30410, and the number of historically proven new search phrases or digital segments found by the analysis engine 30320. . Input is received from the user selecting one of the named sets above 30330. If input is received from the user choosing the search engine, the search interface lists the applicable site titles with a brief description 30410. If input is received from the user choosing the site list of the analysis, the search interface lists the applicable site titles with a brief description 30410. . If input is received from the user choosing the container list of the analysis engine, the search interface lists the applicable container titles with a brief description 30410. . If input is received from the user selecting a container 30420, the system offers the means to view titles and descriptions of sub-containers at any chosen class level. . If input is received from the user choosing the phrase list of the analysis engine, the search interface lists the applicable phrases or digital segments with a brief description 30320. The search and search result cycle repeats until input is received from the user choosing to go to an individual container or site.

Input is received from the user entering text or any digital string describing his search objectives into a text or search box. When input is received from the user submitting the search string, the system provides the option of containerizing the search through the container editor 110. Once the search container 101 is created, the system restores the search interface 300 screen the user.

20 Input is received from the user selecting "search", "supported search" or "both" from another drop-down menu and from submitting the search. When input is received from the user selecting "search" 30310, the search phrase is submitted to the search engine 30400, which searches both content and the appropriate container registers, as pre-indexed in the search engine, and returns a list of appropriate locations, components or containers. When input is received from the selecting "supported search", the search phrase is submitted to the analysis engine search support, which returns a list, in a drop-down menu, of search phrases or individual containers, for any and all container levels, used by other users or created by the system and known to be historically successful for the described effort and the described searching user, as per the results of the analysis search engine. Input is received from the user selecting a new search phrase or specific container from the drop down menu 30330. When input is received from the user choosing a new search phrase, that phrase is also submitted to the

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analysis engine 30500 which returns a list of pre-compiled historically proven sites, components or containers associated with that search phrase 30320. Input is received from the user choosing a selection 30420 and the system calls up that specific site, container or component. If input is received from the user selecting a specific site, container or component at any time during the search process, that element is called up by the system 30440.

Input is received from the user choosing to containerize a search or select a container level in which to search 30100. When input is received from the user choosing to containerize the search, the software moves to the container editor as described in Fig. 5, and then returns the user to the search interface screen. Input is received from the user selecting to search a specific container level or the whole network. The system shows the available levels 30200. Input is received from the user selecting a container level 30300, and entering the text or digital component comprising the search string 30310. The system searches the containers 30400 while simultaneously submitting the search string to the analysis engine 30500. While the system is accessing containers, sites or templates 30700, the analysis engine 30500 inquires of the appropriate database 30600 to access historically successful containers, sites or search templates corresponding to the search request 30700, which is then shown on another portion or option of the search interface, either as available containers or sites 30410 or as search template options 30320. On one portion or option of the search interface screen the corresponding containers or sites are listed and/or previewed for selection 30410. Input is received from the user selecting the container to access 30420. The system accesses that container 30430 and shows it on the screen 30440 for user review. Input is received from the user selecting an operation, i.e., preview, read, purchase, move, copy, lease, in any composed schedule with operations assigned specific values 30460, and the system obtains the specified result 30470. The selection of the operation including any interaction with any uniquely defined container 100 is recorded 30800 by the container gateway (Fig. 2 A, 200), stored in the gateway storage 205 and made available to the analysis engine (Fig. 9) by the data collection and reporting means (Fig. 8). Reporting and collection occurs on a regular basis according to user determined times or rules. The analysis engine compiles and analyzes selections according to various rules-based systems applicable to the particular container area of residence in cyberspace.

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. Input is received from the user selecting the container or site 30410, proceeding as described above, or selecting a search template 30330, and editing it to re-enter the search

30310. All operations on Fig. 6 utilize the communication device 26 whenever necessary or expeditious.

Referring now to FIG. 7, a flow chart of the search process is shown. Steps in FIG. 7 repeated from FIG. 6 are given the same reference number as in FIG. 6 for convenience and ease of understanding. Fig. 7 commences with "SEARCH TRANSITS GATEWAY 32100", continuing from Fig. 6, "SYSTEM SEARCHES CONTAINERS 30400". The submitted search 32100 transits the gateway 200. The gateway 200 interacts with the container registers 32200. The gateways 200 store the information downloaded from the registers 32300, and the container registers are altered 32500. The container registers 120 then interact with the registers 120 of the encapsulated search, which registers, and the values set within, have been constructed and appended to the search through the search interface 32600. Values are exchanged and compared and operations performed under the rules governing both interacting containers 100, and the rules governing the search container 100 and any gateway 200. The search engine 320, operating under the principles and means of search engines presently existing as described elsewhere, then provides to the search interface 32600 a list of containers 100 meeting the requirements of the search and its appended registers, as well as additional search options 32900. The gateway 200 reports and makes available for collection to the analysis engine 400 the information obtained from the interaction 32400. On a periodic basis defined by the user or a rules-based system, the analysis engine 400 (Fig. 9) stores in databases 900, analyzes and instructs the execution engine 500, and the execution engine 500 executes changes in the system components as defined below. (Fig. 10). All operations on Fig. 7 utilize the communication device 26 whenever necessary or expeditious.

On the remaining figures, shapes referring to other figures, to operations external to the scope of the present figures, or to the subject of the present drawing, are indicated with dashed lines, and are shown only to place the described operations in the context of continuous and continual operations external to the drawing.

Referring now to FIG. 8, a flow chart of the preferred process for collecting and reporting information on containers is shown. The data reporting 600 and data collection 700 means utilizes subroutines within the analysis engines 400 and gateways 200 to submit and collect register information and sub level analysis to other analysis engines 400 or other gateways 200 of a higher (larger) logical set in a set pattern and frequency defined by the administrator.

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Input is received from the user selecting "data reporting" **70100** from the "edit gateway" drop-down menu. Container levels are displayed **70200**. Input is received from the user selecting container level **70300**. A menu of all possible gateways **70320** and analysis engines **70330** residing on gateways on the above defined container class appears, depicted graphically as a tree of analysis engines and gateways at that container level. Input is received from the user selecting "source" from "source or destination." Input is received from the user **70400** selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level on a display device. Input is received from the user **70410** selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine level on a display device. A time scheduler is displayed. Input is received from the user **70510** selecting the reporting frequency for the selected gateways to report data to the selected engines. The data from the gateways is thenceforth continuously moved or copied to the analysis engines by the system **10** utilizing the execution engine **500** according to the defined schedule, rules and pattern **70420**, **70520**.

Input is received from the user selecting "choose container level" 70300 from the gateway editor drop-down menu. A menu 70320 appears listing the classes of containers on the system within the defined subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, domain, appears. Input is received from the user selecting the class of containers. A graphic representation of that container level throughout the system appears. Input 70300 is received from the user selecting individual containers or all the containers in that class.

From the gateway editor drop-down menu input 70100 is received from the user selecting "data collecting" A menu of all possible gateways and analysis engines residing on gateways on the above defined container class appears, depicted graphically as a tree of analysis engines, and gateways at that container level. Input 70510 is received from the user selecting "source" from "source or destination." Input is received from the user selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level. Input 70510 is received from the user selecting "source or destination." Input 30 70510 is received from the user selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine

level. A time scheduler appears. Input 70510 is received from the user selecting the collecting frequency for the selected engines to collect data from the selected gateways. The data from the gateways is thenceforth continuously moved or copied to the analysis engines by the system 10 utilizing the execution engine 500 according to the defined schedule, rules and pattern.

The data collection **700** means, utilizing the communication device **26** and an execution engine **500**, comprises one or more subroutines or agents programmed to travel through the network collecting the accumulated data and analyses from selected analysis engines, gateways or selected subset level of analysis engines or gateways (as above) in a pattern and frequency defined by the gateway administrator at a given container level. Input **70510** is received from the user or administrator, defining the collection and reporting of data, thus controlling permission within his gateway, and being subject to permission levels defined by others beyond his gateway.

Input is received from the user or gateway administrator selecting collection or reporting **70100** and the system shows the container levels available **70200**. Input is received from the user selecting a container level **70300**. Input is received from the user selecting "gateway" **70400** or "engine" **70500**. The system shows gateways **70320** or engines **70330** associated with that level. Input is received from the user editing the reporting parameters associated with a gateway or a class of gateways **70410** or an engine or class of engines **70510**. Input is received from the user selecting the collecting frequency for the chosen engines. When input is received from the user choosing to user save the definition, the screen returns to the main container screen, step **70100** to make another selection available. Input is received from the user choosing to repeat the cycle, choosing "destination" to describe the destination analysis engines and the data collecting frequency from those destination analysis engines. The data collection means **700** collects the accumulated gateway information in a pattern and frequency defined by the gateway administrator or user at a given container level.

The system utilizing the execution engine (see Fig. 10) distributes the new parameters to the gateways 70420 or engines 70520 by the communication device 26. Using the new parameters the gateways report to the analysis engines 70430 after, in some cases, conducting sub-analysis 70440, or using sub-analysis 70440 to submit directly to specified gateways under certain conditions and parameters, and the analysis engines collect from the gateways 70530.

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The analysis engine uploads, downloads and utilizes information to databases 900 to conducts its analysis.

The invention includes an analysis engine 400. Through the data reporting 600 means and data collection 700 the analysis engine 400 receives data and sub-analysis from the search interface and the gateways. Data includes, for each gateway 200, the frequency and grade of access, the description of the user accessing, the identity of the container 100 accessing, the register parameters, and the historically accumulated register data.

Referring now to FIG. 9, a flow chart of the operation of the analysis engine 400 is shown. Analysis engines 400 may reside at any gateway or anywhere in the system 10. The analysis engine 400, operating under its own programmed sequence, utilizing the communication device 26, works, by means of programmed rules of logical, mathematical, statistical or other analysis upon gateway and register information, in continuous interaction with the search process 410 and the data collection and reporting process 420 to analyze, determine and compile instructions 40100 on container construction 40110 to containerize in an automated process 40115, on container contents 40120 to move, copy or delete containers 40125, on storage schemes 40130 to move or copy containers to new storage 40135, on access routes 40140 to alter gateway pointers to sought information 40145, on search templates 40150 to add, delete or change search phrases and the referenced objects indicated by those search phrases 40155 and on gateway instructions 40160 to alter gateway registers and pointers 40165.

20 Thus, analyses might include, but are not limited to, the physical locus of the users accessing, the demographic classification of the users accessing, the access frequency for a given container, the range or curve of time relevance affecting a container, the range or region of space relevance affecting a container 100, the number or number of a specific type of container 100 transiting a gateway 200, the hierarchically graded usage of containers 100 or 25 container contents 01 compared with the demographic of those users accessing the container, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300 compared with the demographic of the users accessing, the number of pertinent containers nested within a given container 100. Once an analysis is accomplished, the result is compared to

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pre-programmed rules triggering instruction sets (such as moving a container to nest within another container).

Instructions are then sent to the execution engine 40200, which utilizes the communication device 26 to execute the instructions derived from the analyses. These containerized instructions transit the gateways 40300 and are utilized in the gateway process (Fig. 12)

Referring now to FIG. 10, a flow chart of the operation of the execution engine is shown. The execution engine 400, operating under its own programmed sequence in response to the instructions from the analysis engine 50100, utilizing the communication device 26, works in continuous process as its containerized execution instructions transit the gateways 50200 to create containers 50210 in an automated containerization process 50215, alter container contents 50230 by moving or copying containers to new containers 50235, to alter storage 50240 by moving or copying containers to new storage 50245, to alter access routes 50250 by altering gateway pointers 50255, to alter search templates 50260 by adding, changing and deleting search phrases and the referenced objects indicated by those search phrases 50265, to alter gateway instructions 50270 by altering gateway registers and pointers 50275. The execution works in a continuous loop with the gateway process 50300, the data collection and reporting process 50400 and the analysis engine process 50300.

The invention includes gateways 200. Gateways may be placed and reside anywhere on the network where containers transit. Gateways also reside on any or all containers. The gateway reads and stores the chosen register information from transient containers entering or exiting its logical boundaries. The resident analysis search engine, if any, performs the specified level of analysis. Data and analysis is both held for the collection means according to the pattern and timing specified in the data reporting 600 editor and submitted according to the pattern and timing specified in the data collection means editor 700.

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The gateways are network-wide, hierarchical, and nestable, and reside with a container encompassing any component, digital code, file, search string, set, database, network, event or process and maintaining a unique lifelong network wide identity and unique in all the universe historical identity, or may be strategically placed at such container transit points to gather and store register information attached to any such container, according to system-defined, systemgenerated, or user determined rules residing in its registers defining the behavior of those

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containers and components as they exit and enter one another, or interact with one another or any system process or system component within the logical domain of that container, or after exiting and entering that container, or defining how they interact with that unique gateway.

Gateway's registers comprise both system-defined and user-defined registers, alterable by author, duration, location, network-wide history, individual container history and/or interaction with other containers, gateways, networks or media, and evolve according to that gateway's history on a computer network, or according to the network history of events and processes, or according to that information component's interaction with other information containers, components, system components, network events or processes.

Referring now to FIG. 11, a flow chart of the gateway editor is shown. From the main title bar input is received from the user selecting "containerize" or "gateway level" 20100. When input is received from the user selecting "containerize" the system enters the container editor process 110. When input is received from the user selecting "gateway," the system shows the gateway levels available 20200. A menu of all possible gateways within the subset and superset scheme of defined multiple hierarchically nested gateways appears. Input is received from the user selecting the gateway level 20300. The system searches the gateways 20500 to locate the available gateway templates 20700 and the available gateways 20600. Input is received from the user selecting the gateway 20610 or gateway level template 20720. The system goes to the gateway 20620 or to the template 20720. A graphic representation of the chosen gateway 20630 or template 20730 appears. Input is received from the user to edit 20640 or create a gateway 20740. Once completed, input may be received from the user selecting "analysis level" from the gateway 200 drop-down menu, to select the level of analysis in a multi-level analysis sequence to be accomplished at the local level by a gateway-resident analysis engine. The user accesses the container editor to containerize (Fig. 5). Input is received from the user selecting the registers by clicking on the graphically depicted register, or from a drop down menu.). Input is received from the user setting the registers as described elsewhere in ("container registers"). Input is received from the user selecting or defining the rules governing the interaction of that gateway with transient containers. Input is received from the user selecting or defining the rules governing the interaction of containers existing within the logical domain of the container 100 to which that gateway is attached. The user publishes the gateway (Fig. 5). Input is received from the user selecting "residence" from the main menu bar.

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). Input is received from the user choosing to leave the gateway where it was created, move it to container on another drive, directory, computer, or network. If the user chooses "move," a browse function allows the user to name the new location or browse a list of possible locations. Once input is received from the user choosing the residence of the gateway, the program restores the search interface screen.

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The invention includes a data reporting means editor 610, and a data collection means editor 710, Fig. 2 A, as a menu option under the gateway editor 210.

The present invention also includes a gateway process.

Referring now to FIG. 12, a flow chart of the gateway process is shown. A system operation, search process or element container or process container is shown in transit 21100 passing through a gateway 21200. The container, operation or process interacts with the gateway 21300, uploading, downloading and exchanging information with the container, operation or process. The gateway stores container information 21400 and the container registers are altered 21500. The container registers also interact with the search interface 21600. The gateways report the register information or make it available for collection by the data reporting and collection means (Fig. 8) operating on the communication device 26 to provide the information to the analysis engine 21800, which stores 90100, analyzes and instructs the execution engine 21900, which processes and instructions are also stored 90100 by the execution engine upon receipt.

All operations in Fig. 12 utilize the communication device 26 whenever necessary or expeditious.

Referring now to FIG. 13 A, a drawing of nested containers 100 prior to the container modification process on a network 201 is shown. (Note: The same container numbering scheme is used in Fig. 13 A, 13 B, 13 C, 13 D and in 2 B.) Information containers 505 and 909, residing within container 908, operating under the rules governing container interaction within that container 908 downloaded to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded from execution engine 500 acting under the direction of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare the specified (by those rules) set of registers 404120, 909120, i.e., time and space, and determine a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

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Referring now to FIG. 13 B a drawing of nested containers during a container modification process on a network 201 is shown. Container 404 is moved to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 upon egress or egress as programmed in the gateway editor 210 and modified by the execution engine 500 executing the instructions of the analysis engine 400, or any greater logical analysis engine 408 providing execution instructions to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records the register information of container 404. The gateway 5051 reports the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds in gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or more data reporting processes 600, serving one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The analysis engine 400, comparing reports of user hierarchically graded usage under the operations of the search engine 320 and the search interface 300, on information container 808 after receiving reports from the data reporting means of container 404 being moved to container 909 determines, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs the execution engine 500 to copy container 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the gateway of container 908, the gateway 9081 records the instruction. The copy instruction interacts with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved by the governing rules of registers 909120 appended to container 909, container 909 is duplicated. As the duplicate container 909 exits the container 908, the gateway records the register information 909120 of container 909, and the registers 909120 of container 909 are altered by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. Passing through independent gateway 707, the register information 909120 is recorded, and awaits data collection or reporting 700, 600. As container 909 enters container 808, the gateway records the register information 909120 of container 909, the registers 909120

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of 909 are altered by special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence within container 808.

Referring now to FIG. 13 C, a drawing of nested containers after the container modification process on a network 201 process is shown. Container 909, now also logically residing within container 808, commences to interact with other containers 606 in 808 under the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised of container's 909 new duplicate residence. I.e., operating under the registers of space relevance, a body of law pertaining to Boston Municipal tax law may be housed in a container holding Massachusetts tax law, but it would be more appropriately located in a container holding Boston tax law, with only a pointer to that location residing in the Massachusetts tax law container. In this example, such an analysis could be accomplished by comparison of zip code information in the space registers, or logical rules-based analysis, with "state" being a larger set than "city". Or, i.e., operating under the registers of time relevance, the curve of time relevance for a concert might follow an ascending curve for the months prior, hit a brief plateau, and then reach a precipitous decline, at which time certain pertinent information only might be moved to an archival container of city events or rock concerts of that year. In this example, once the curve is mapped into a register, that map would cause an increasing frequency of pointers to that container in other containers or gateways, or inclusion of that container in other containers, as the analysis engine compares that curve with increasing user inquiry.

Referring now to Fig. 13 D, a flowchart of the reconstruction process is shown.

Information containers 505 and 909, residing within container 908, operating under the 25 rules governing container interaction within that container 908 downloaded 888103 to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded 888102 from execution engine 500 acting under the direction 888101 of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare 888104 the specified (by those rules) set of registers 404120, 909120, i.e., time and space, and 30 determine 888105 a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

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Container 404 is moved 888106 to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 upon egress or egress as programmed in the gateway editor 210 and modified 888108 by the execution engine 500 executing the instructions of the analysis engine 400, or any greater logical analysis engine 408 providing execution instructions 888107 to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records 888109 the register information of container 404, and alters the register information of container 404. The gateway 5051 reports 888110 the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds 888111 in gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or more data reporting processes 600, serving 888112 one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The analysis engine 400, comparing 888114 reports of user hierarchically graded usage on information container 808 under the operations of the search engine 320 and the search interface 300, after receiving 888113 reports from the data reporting means of container 404 being moved to container 909, determines 888115, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs 888115 the execution engine 500 to copy container 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the gateway of container 908, the gateway 9081 records 888116 the instruction. The copy instruction interacts 888117 with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved 888118 by the governing rules of registers 909120 appended to container 909, container 909 is duplicated 888118. As the duplicate container 909 exits the container 908, the gateway records 888119 the register information 909120 of container 909, and the registers 909120 of container 909 are altered 888120 by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. 30 Passing through independent gateway 707, the register information 909120 is recorded 888121, and awaits 888122 data collection or reporting 700, 600. As container 909 enters container 808,

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the gateway records 888123 the register information 909120 of container 909, the registers 909120 of 909 are altered 888124 by special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence 888125 within container 808.

Container 909, now also logically residing (in addition to its original container residence) within container 808, commences to interact 888126 with other containers 606 in 808 under the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised 888127 of container's 909 new duplicate residence.

Referring now to Fig. 14, the screen interface of the container editor is shown. This interface is a process wherein input is received by the user using the main menu 78 or drop down menu 1419, or using an input device to "drag and drop" or click, causing the system 10 to acquire 1409, edit 1410 or create 1411 a file 1407, container 1408 or digital content 01, to search for 1412, acquire 1413, edit 1414 or create 1415, print 1416, or containerize 1417 a container 100, to select 1402, (or by clicking on register), search 1403, acquire 1404, edit 1405, or create a register 1406 to append or detach registers 120 to those containers, to set register values in those registers 120, to utilize the register editor 125 through 1405 to create new registers, or to 1418 add, detach, acquire a gateway 200 to append or detach to those containers, and utilize the gateway editor 210 through 1418. (See detailed description referring to Fig. 5)

Referring now to Fig. 15, the screen interface of the gateway editor is shown. This interface is a process wherein input is received by the user using the main menu 1501 or drop down menu 1513, or using an input device to "drag and drop" or click, causing the system 10 to search for 1507, acquire 1508, edit 1509 create 1510, print 1511 or containerize 1512 gateways, and causing the system 10 to establish rules by which an individual gateway governs the transiting 1502, entering 1503, exiting 1504 of containers and the interaction of containers within its domain 1505, and external of its domain.1506. (See detailed description referring to Fig. 11).

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Referring now to Fig. 16, the screen interface of the search interface. This interface is a process wherein input is received by the user using the main menu 1625 or drop down menu

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1624, or using an input device to "drag and drop" or click, or by entering text, causing the system 10 to select 1615, search for 1616, acquire 1617, edit 1618 create 1619, print 1620, containerize 1621 (by accessing the container editor 110) or insert 1622 digital search strings into the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to select 1602, search for 1603, acquire 1604, edit 1605, create 1612, containerize 1613 (by accessing the container editor 110), or insert 1614 search keys (templates that comprise search scope in geographic range, container level, and specific key words or digital strings), or containerized searches (containers 110), into the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to set a search range by geographic range 1607, container level 1608, or acquire 1609, edit 1610 or create 1611 a scope template. (templates that comprise search scope in geographic range and, container level.) (See detailed description referring to Fig. 6).

Referring now to Fig. 17, a drawing showing, on an input device or computer screen 24, in any generic (dashed lines) software application program, a drop-down menu link 1403 on a drop down menu 1402 dropping down from a main menu 1401, and a free-floating button link 1404, is shown. When input is received at 1402 or 1403, the system 10 makes available to the user the containerization process or container editor 110. When input is received at drop-down menu link 1405 or a button link 1406, the system 10 makes available to the user the means to enter and interact with this system 10 or this network 201 in any of their aspects. The interfaces 1403, 1404 show a process wherein input is received causing the system 10 to encapsulate content or access the container editor 110. The link also allows the user to encapsulate the page or file on which he is currently working, without selecting content, and if so desired, without accessing the container editor. The interfaces 1405, 1406 show a process wherein input is received causing the system 10 or the network 201.

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The present invention also includes a search engine 320. Once the key word(s), phrase or digital segment is entered into the search interface 300, or an offered selection chosen on the menu, it is utilized by the search engine 320 to locate the desired site or data.

The search engine employed may be any industry standard search engine such as Verity "Topic", or Personal Library Software, as used in Dow Jones News Retrieval, or Internet search engines such as Webcrawler, Yahoo, Excite, Infoseek, Alexa or any Internet search engine, or

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any new engines to be developed capable of searching for and locating digital segments, whether text, audio, video or graphic.

The present invention also includes an analysis engine **400**. Utilizing rules-based analysis, the analysis engine determines the class of storage medium upon which containers reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the containers, and the grade of access chosen by the user in accessing that container **100**.

Utilizing a pre-programmed sequence of compilation, and inductive, deductive and derivative analysis, the analysis engine manufactures instructions based upon the analysis of the information submitted by the gateways and the search interface, and submits those instructions to the appropriate execution engine **500** in order to create new information containers, content assemblages, storage schemes, access routes, search templates, and gateway instructions, and others, and to provide informed search options through the search interface to the inquiring user.

The present invention also includes an engine editor 510, that provides a system administrator with a means of editing the operating principles of that search engine, and search template loading in the search interface 300, a reporting and collection means editor 610, 710, governing data reporting 600 and data collection 700 at the gateways 200 as defined by the gateway editor 210 and the register editor 125, a container editor 110 for creating and modifying containers and appending registers to containers, a register editor 125 for creating and modifying container registers and establishing and adjusting the values therein, container gateways 200 with their own storage 205, information containers and their history on the network.

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The present invention also includes an execution engine 300. Based upon instructions received from the analysis engine 400 utilizing the communication device 26, the execution engine 500 provides search phrases to the search interface 300 based upon initially received inquiries, relocates containers including their programs, data and registers to other directories, drives, computers, networks on other classes of storage mediums, i.e., tape drive, optical drive, CD-ROM, deletes, copies, moves containers to nest within or encompass other containers on other directories, drives, computers, networks to nest within other containers, alters the class of

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storage medium upon which containers reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, and the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the container and the grade of access chosen by the user in accessing that container.

The execution engine 400 fulfills the instructions of the analysis search engine 500, to create new information containers, content sub and superset assemblages, storage schemes, access routes, search templates, gateway 200 instructions and other system functions. The execution engine includes an editor 510 that provides a system manager with a means of editing the operating principles of that search engine, governing data reporting, data collection 700, search template loading, gateway instructions, and other functions.

The present invention also includes flat or relational databases 900, used where, and as required.

The present invention also includes a communication device 26 supporting all operations on a network wide basis.

The present invention also includes a search engine 300 to locate the desired site or data. The present invention also includes databases 900, flat or relational, to serve the other components of the system as needed and where needed.

The present invention also includes editors, by which the user may alter the governing aspects of the system. Editors include, but are not limited to, a container editor 110, a register editor 125, a gateway editor 210, an engine editor 510, a reporting means editor 610, a search interface 300, and a collection means editor 710.

The present invention also includes specific screen interfaces for the editors, as described in Fig. 14, Fig. 15. and Fig. 16.

The present invention also includes a means for this system 10 and network 201 or **container editor 110** to be accessed from a menu or button selection within any program, as described in Fig. 17.

While the present invention has been described with reference to certain preferred embodiments, those skilled in the art will recognize that various modifications may be provided. For example, both analysis engine and execution engine may be duplicated or modified for

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distribution at various locations and hierarchical positions in the gateway and container system

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throughout the network and designed to work in concert. Also, the physical computing infrastructure may be mainframe, mini, client server or other with various network and distributed computing designs, including digitally supported or based physical or public media, and the components of the system 10, as described in Fig. 1 may be physically distributed through space. Even the contents of a single container may be logically referenced but be physically distributed through the network and reside at multiple storage locations. The whole system may be hierarchically nested within other systems to the nth degree. Whole systems may also be encapsulated within containers. A single container may also encompass a single physical media, such as a CD-ROM disk, programmed with the container, gateway and register design. Gateways may be strategically placed on containers at ingress and/or egress points or may be placed strategically throughout the system for optimal collection and reporting output and gateway system control. Also, the loop of gateway data collection and reporting, analysis engine analysis, instruction, and gateway modification, and execution engine operations may be infinitely nested, from the smallest container of two sub-containers to whole networks holding millions of containers and thousands of levels, with analysis itself nested within the multiple levels. Gateways may be established at both logical and physical junctures such as a satellite uplink point. Also, the provision to establish a unique network identity might be designed to include as of yet unknown computer networks as they arise. The analysis and execution engines may operate on a rules-based, fuzzy logic, artificial intelligence, neural net, or other system not yet devised. Other variations upon and modifications to the preferred embodiments are provided for by the present invention, which is limited only by the following claims. Also, the classification scheme of nested containers, while designated by the container creators, may transform, be utilized otherwise, or be wholly discarded according to usage. Also, hardware configurations, such as the use of RAM or hard drives for storage or lasers for communication may assume myriad forms without altering the essential operation of this invention.

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WHAT IS CLAIMED IS:

1. An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element;

a plurality of registers, the plurality of register forming part of the container, a first register of the plurality of registers for storing a unique container identification value, a second register of the plurality of registers that stores information and evolves according to the relationship, use and interaction of the container with other containers, processes and systems; and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems and process.

2. The apparatus of claim 1, wherein the information element is one from the group of text, graphic images, video, audio, a digital pattern, a process, a nested container, bit, natural number and a system.

3. The system of claim 1, wherein the plurality of registers include at least one container history register for storing information regarding past interaction of the container with other container, system or processes, the container history register being modified.

The system of claim 1, wherein the plurality of registers include at least one
 system history register for storing information regarding past interaction of the container with different operating system and network processes.

5. The system of claim 1, wherein the plurality of registers include at least one predefined register, the predefined register being a register associated with an editor for user selection, the predefined register appendable to any container.

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6. The system of claim 1, wherein the plurality of registers include a user-created register, the user-created register generated by the user, one or more of which is appendable to any container.

7. The system of claim 1, wherein the plurality of registers include a systemdefined register, the system-defined register set, controlled and used by the system, one or more of which is appendable to any container.

8. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers, systems and processes using time as a parameter.

 The system of claim 8, wherein the plurality of registers include: an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways;

an passive time register for identifying times at which the container can be acted upon other containers, processes systems, or gateways; and

a neutral time register for identifying times at which the container may interact with other containers.

10. The system of claim 1, wherein the plurality of registers include at least one acquire register for controlling whether the container adds a register or a container from other containers when interacting with them.

11. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers using space as a parameter.

12. The system of claim 11, wherein space refers to the geographic location of a the container.

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13. The system of claim 11, wherein space refers to the logical address space of a network in which a container resides.

14. The system of claim 11, wherein the plurality of registers include: an active space register for identifying space in which the container will act upon other

5 containers, processes, systems or gateways;

an passive space register for identifying from which the container can be acted upon other containers, processes systems, or gateways; and

a neutral time register for identifying space in which the container may interact with other containers.

15. The system of claim 1, wherein the gateway includes means for acting upon another container, the means for acting upon another container using the plurality of register to determine whether and how the container acts upon other containers.

16. The system of claim 1, wherein the gateway includes means for allowing interaction, the means for allowing interaction using the plurality of registers to determine whether and how another container can act upon the container.

17. The system of claim 1, wherein the gateway includes means for gathering information, the means for gathering information recording register information from other containers, systems and processes that interact with the container.

18. The system of claim 1, wherein the gateway includes means for reporting
20 information, the means for reporting information providing register information to other containers, systems and processes that interact with the container.

19. The system of claim 1, wherein the gateway includes an expert system including rules defining the interaction of the container with other containers, systems and processes.

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20. A method for creating an interactive information container, the method including the steps of:

forming a container;

selecting an interactive register for the container;

identifying an item for inclusion in a container; and

creating a container element that includes the identified item.

21. The method of claim 20, wherein the step of forming a container further comprising the steps of:

displaying a plurality of container levels;

receiving input from a user selecting one of the displayed container level; and displaying a container template corresponding to the container level input.

22. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

displaying a list of available registers;

receiving input selecting an available register from the list of available registers; receiving input values for the selected available register; and appending the register to the container.

23. The method of claim 20, wherein the step of creating a container element that includes the identified item further comprising the steps of:

providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

24. The method of claim 20, wherein the step of forming a container includes the step of providing for the container a gateway that uses the interactive register to control the
25 interaction of the container with other containers, processes, and systems.

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25. The method of claim 24, wherein the step of providing a gateway further comprising the steps of:

determining a current gateway for a system upon which the container is being created; replicating the current gateway to create a new gateway; and associating the new gateway with the container.

26. The method of claim 24, wherein the step of providing a gateway further comprising the steps of:

determining a register for a system upon which the container is being created; replicating the determined register to create a new register; and associating the new register with the container.

27. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

retrieving a list of available registers;

selecting an available register from the list of available registers by the system;

receiving input values for the selected available register from the system; and appending the register to the container.

28. The method of claim 20, wherein the step of creating a container element that includes the identified item is performed by a system interacting with the container, and further comprising the steps of:

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providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

29. A method for interacting between a first interactive information container and a second interactive information container, the method including the steps of:

determining identification information for the first container using a first gateway; determining identification information for the second container using a second gateway;

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determining whether the first container can act upon the second container using the first gateway and a register of the first container;

determining whether the second container can be acted upon by the first container using the second gateway and a register of the second container; and

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performing the interaction between the first and second containers prescribed by the first gateway and the register of the first container if both the first container can act upon the second container and the second container can be acted upon by the first container.

30. The method for interacting of claim 29, wherein the steps of determining identification information are performed by reading respective identification registers of the first and second containers.

31. The method for interacting of claim 29, further comprising the step of altering a register of the first container and a register of the second container to reflect the interaction between the first container and the second container.

32. The method for interacting of claim 29, further comprising the step of adding
 registers to the first container based on the registers in the second container and the second gateway.

33. The method for interacting of claim 29, wherein the step of performing also uses the second gateway and the register of the second container to determine the prescribe action to be taken.

34. The method for interacting of claim 29, further comprising the steps of:
 determining whether the first container should add an identified register of the second
 container as a new register of the first container using an acquire register and the first gateway
 of the first container; and

adding the new register to the first container if it is determined that the new register should be added to the first container.

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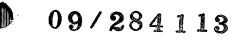
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35. The method for interacting of claim 29, further comprising the step of modifying the first gateway of the first container based on the interaction between the first container and the second container.

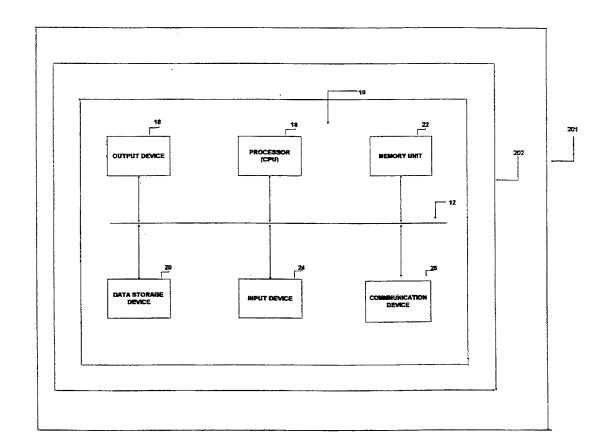
36. The method for interacting of claim 35, wherein the step of modifying includes modifying rules of an expert system that forms the first gateway of the first container.

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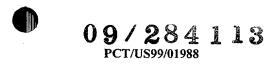


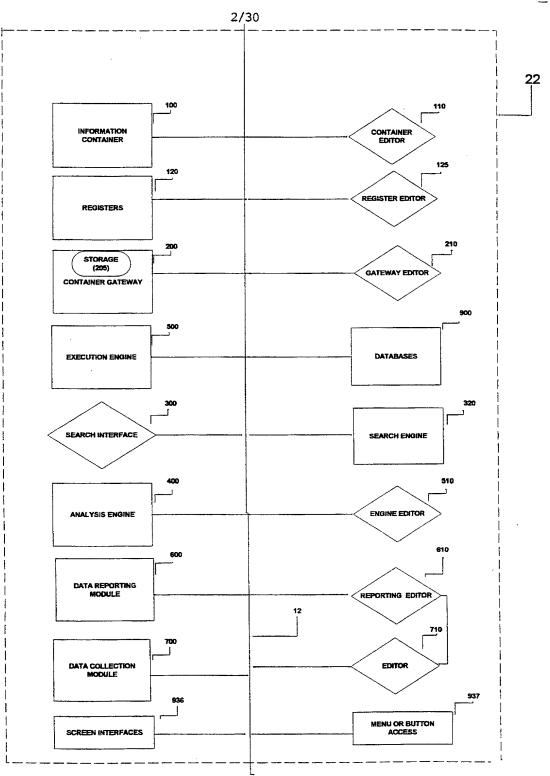
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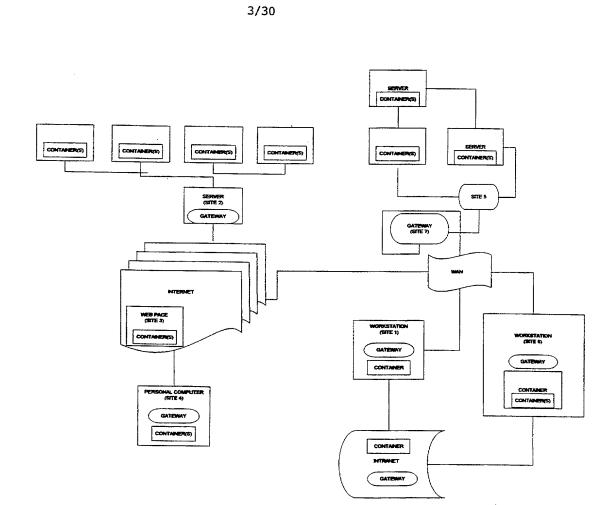








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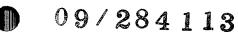


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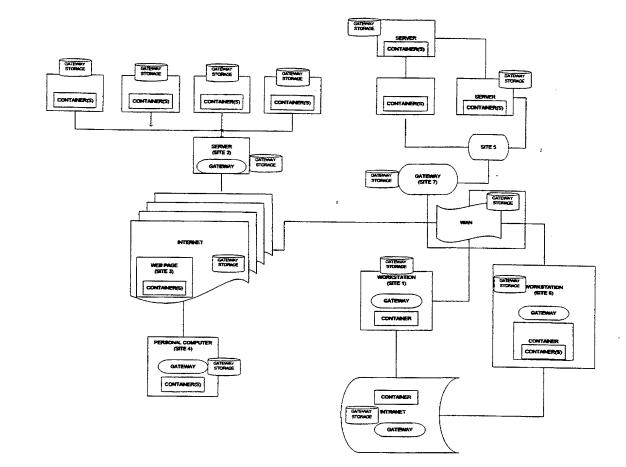
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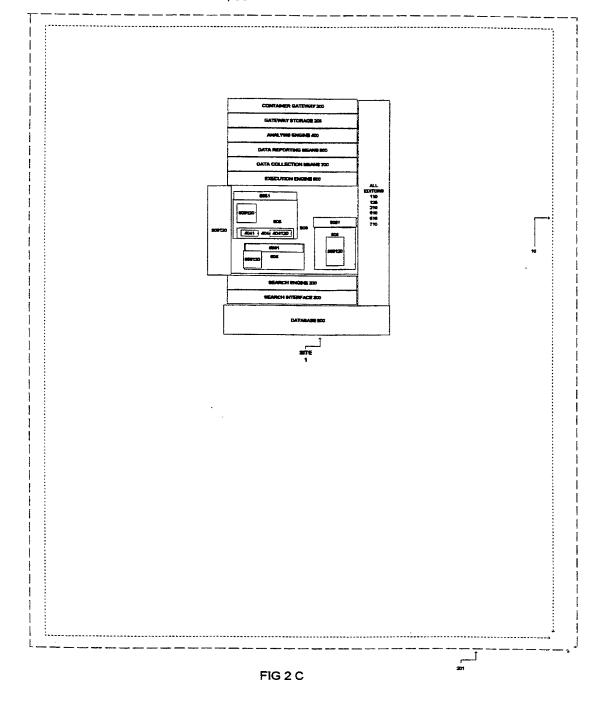
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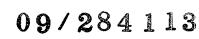




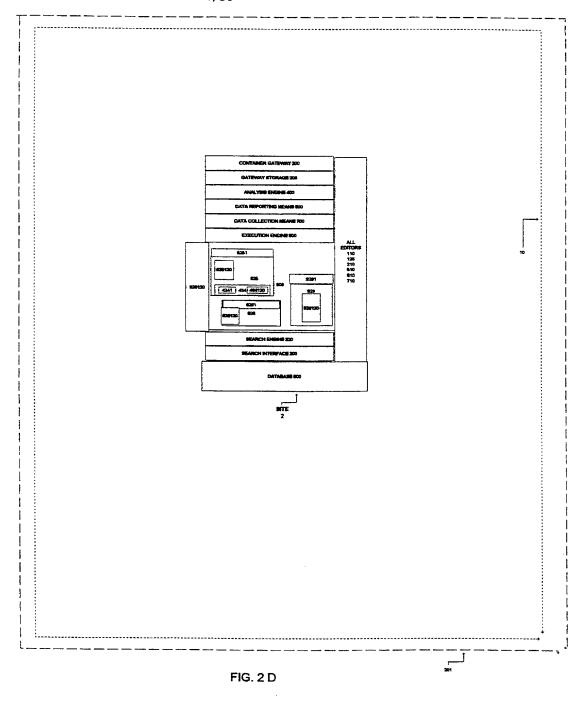




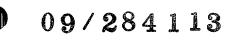


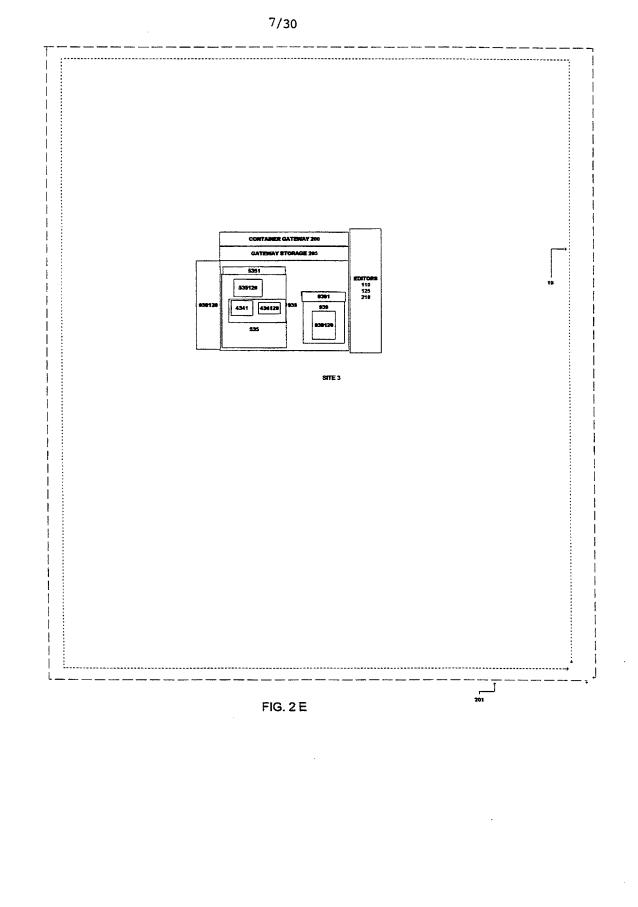


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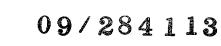




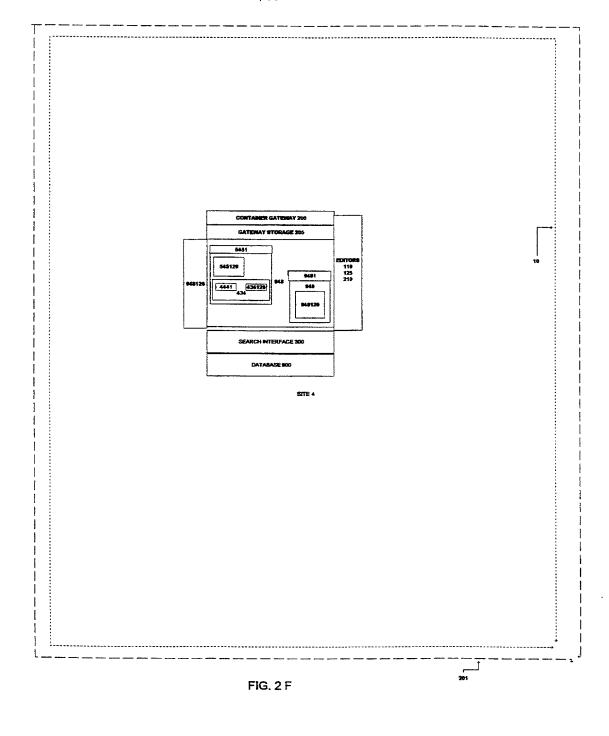




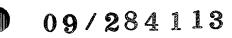




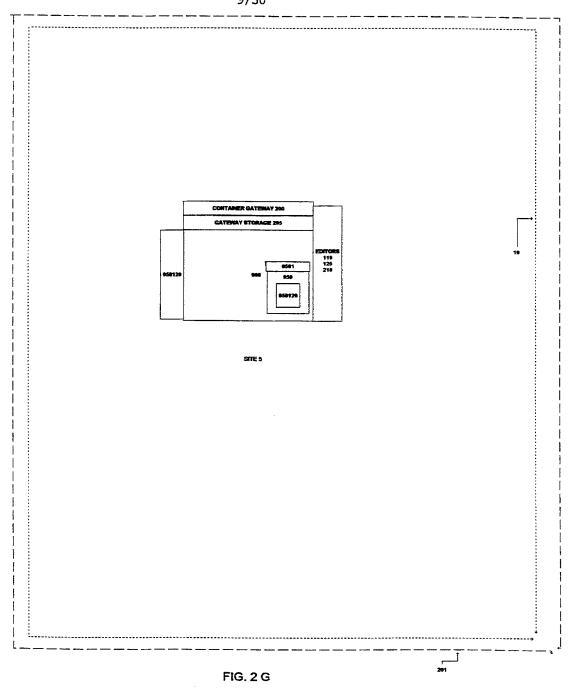
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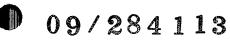




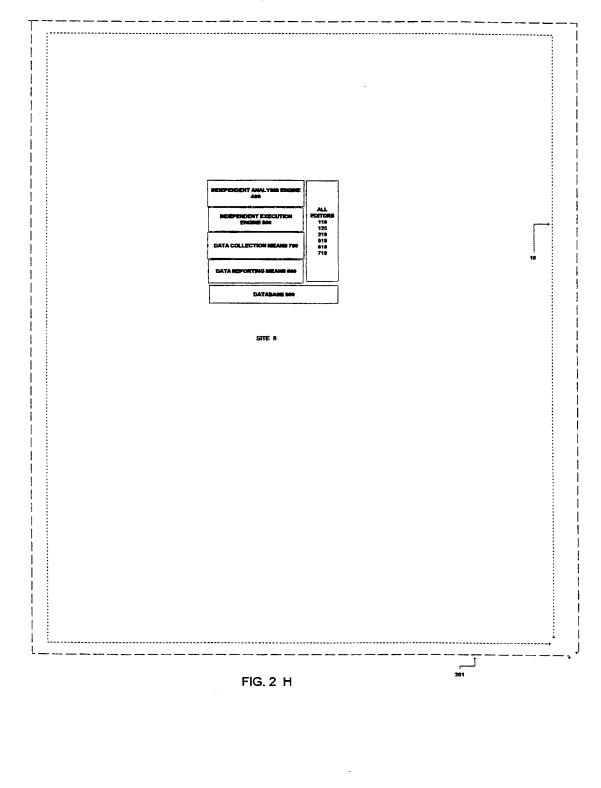


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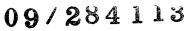












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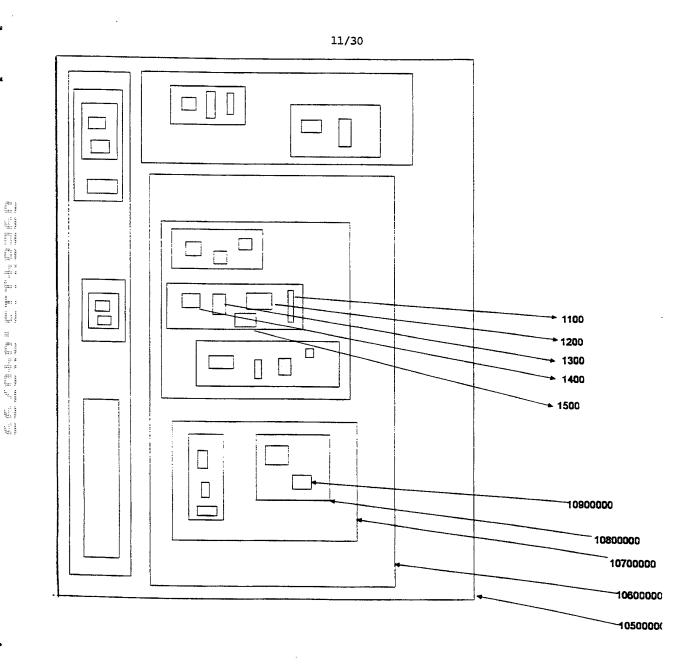
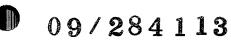
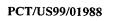
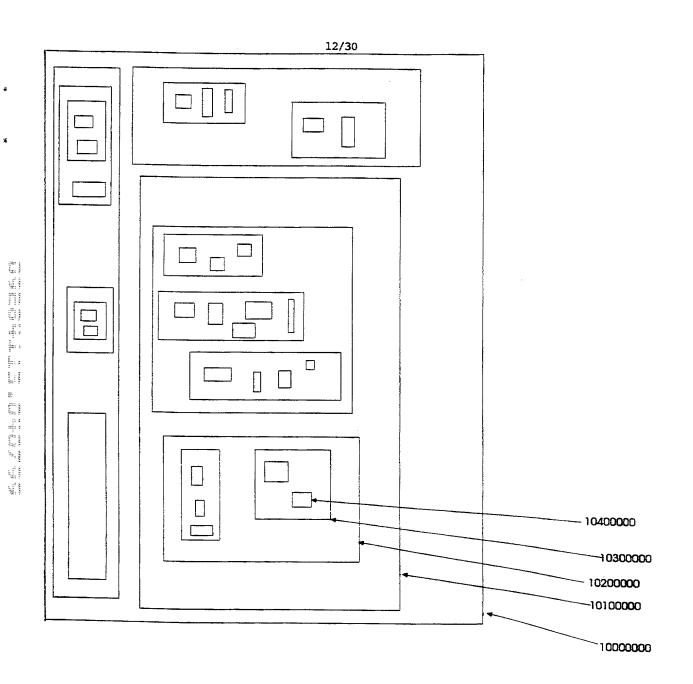


FIG. 3 A

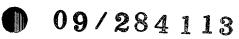












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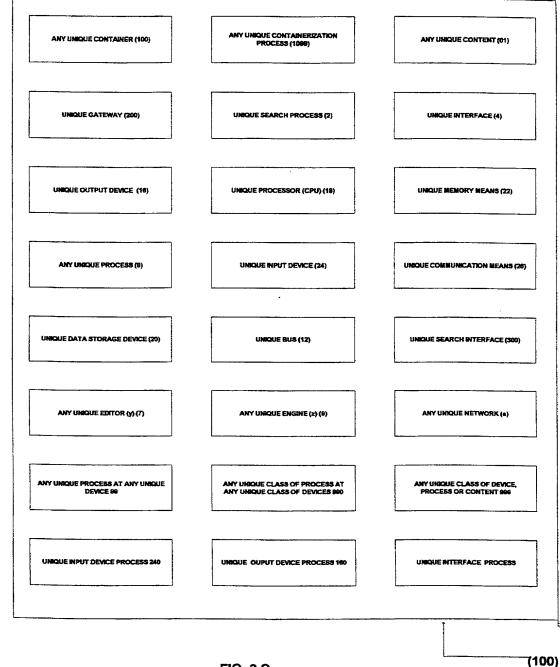
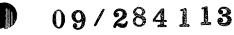
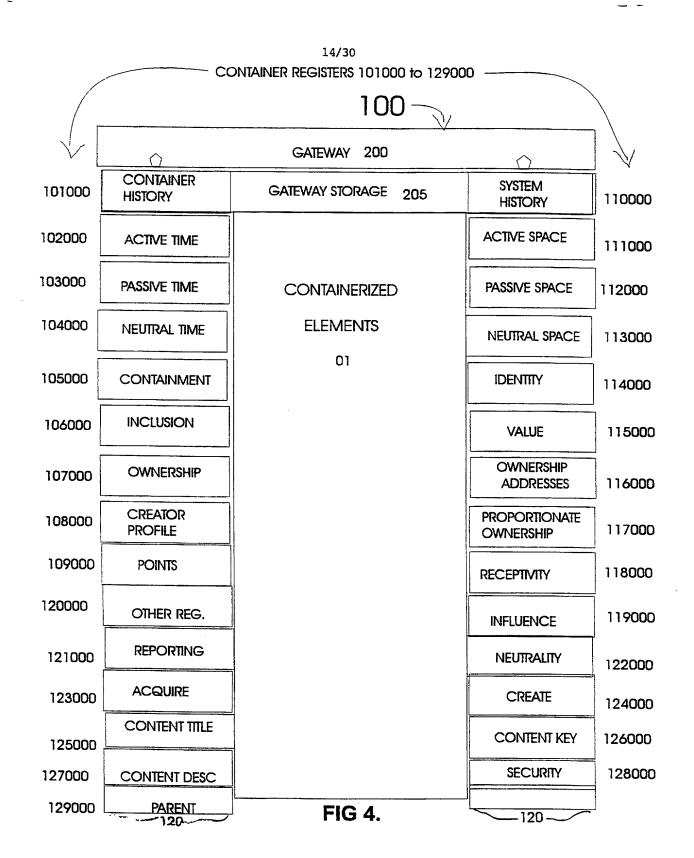


FIG. 3 C



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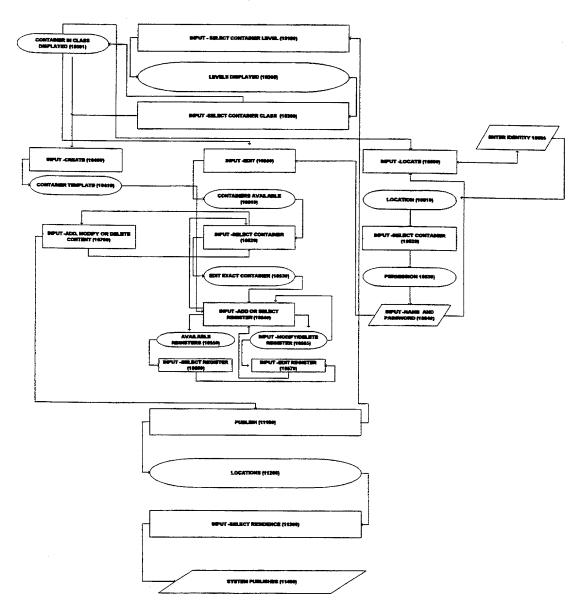








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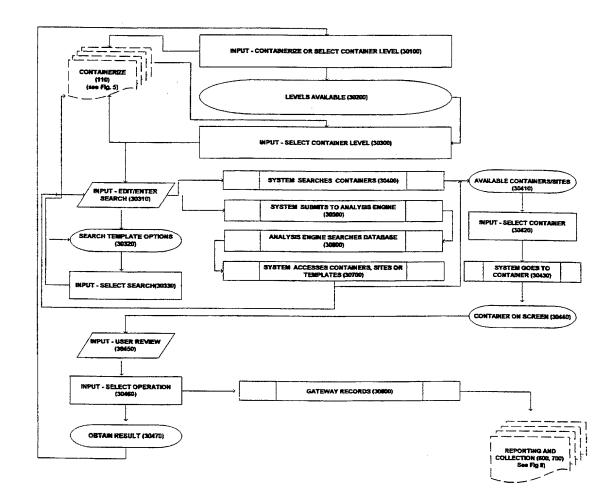


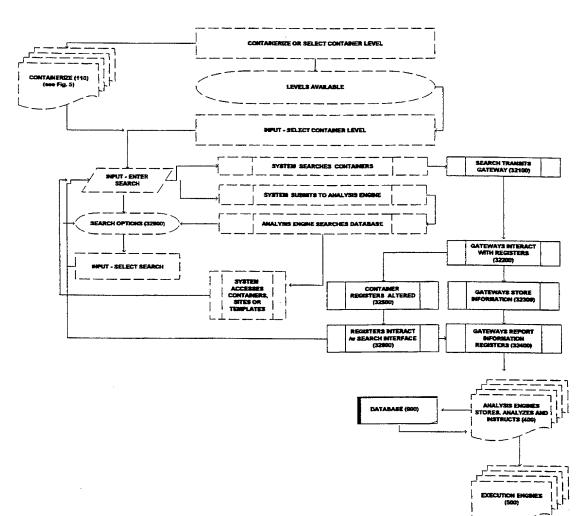
FIG. 6

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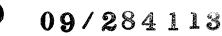
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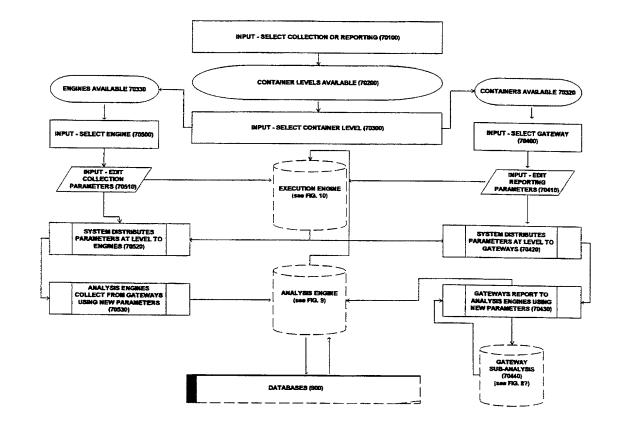
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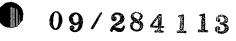
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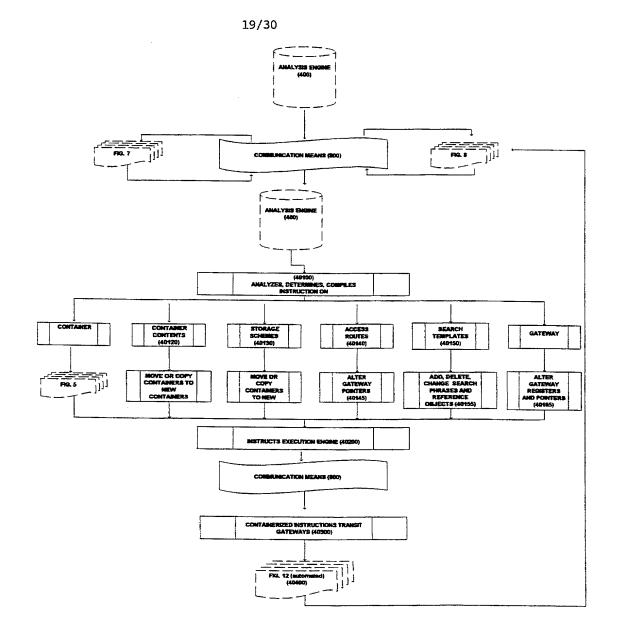


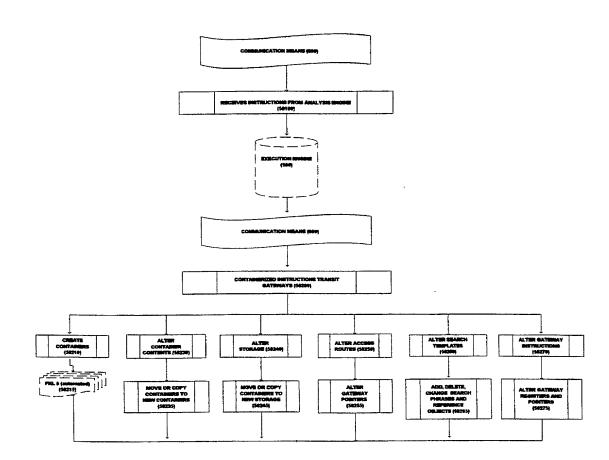
FIG. 9







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EXECUTION ENGINE

FIG.10



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GATEWAY EDITOR

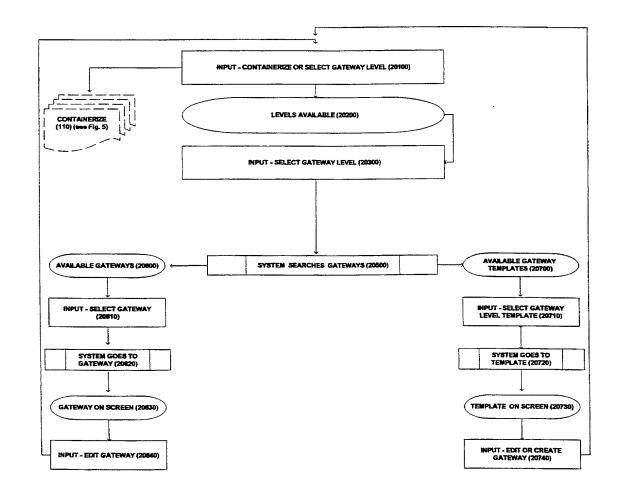


FIG. 11





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GATEWAY PROCESS

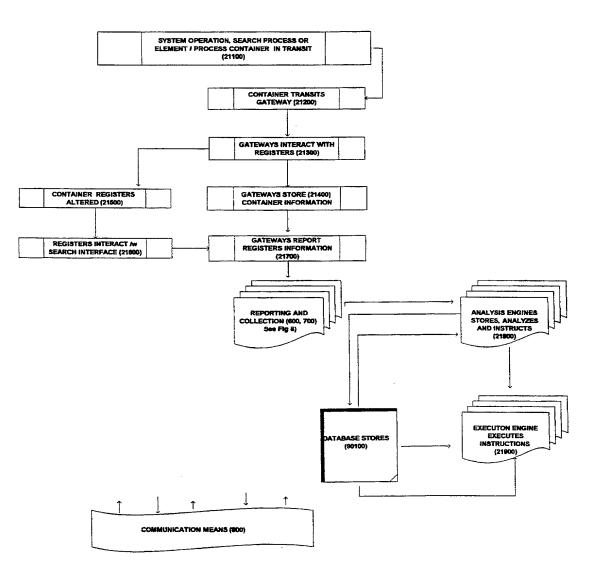
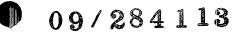


FIG. 12

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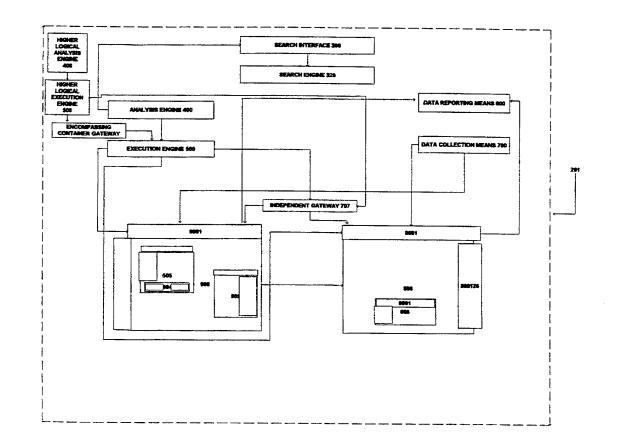
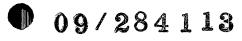
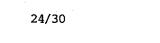


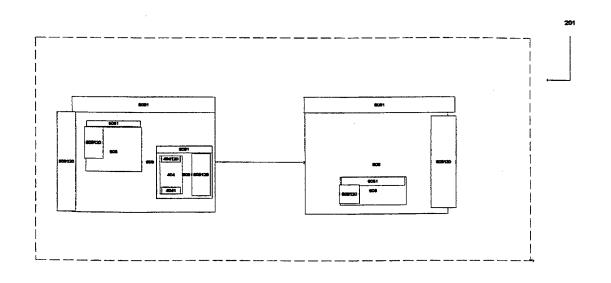
FIG. 13 A

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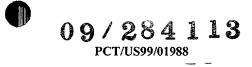




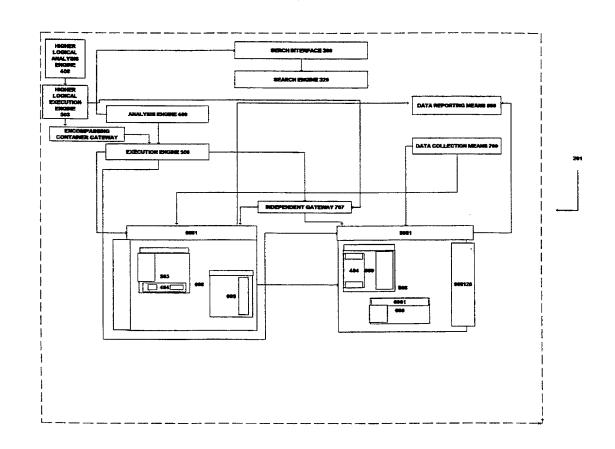


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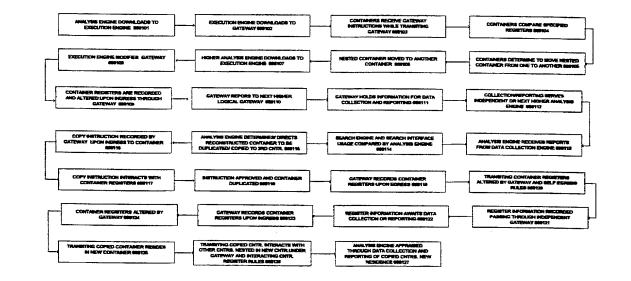
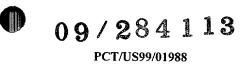


FIG. 13 D

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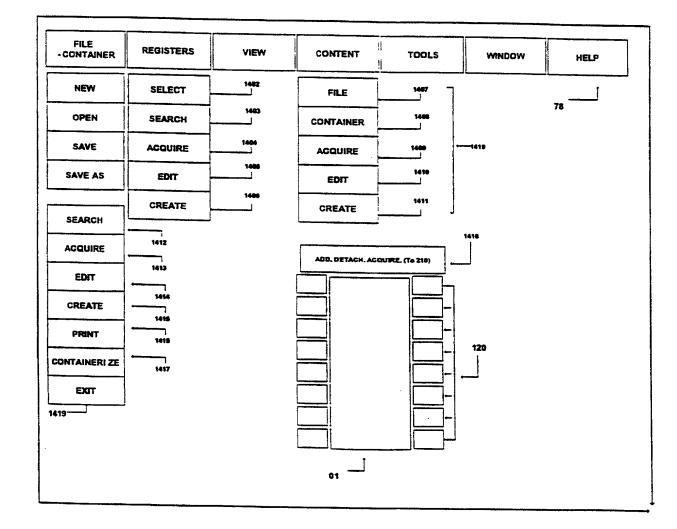


FIG. 14

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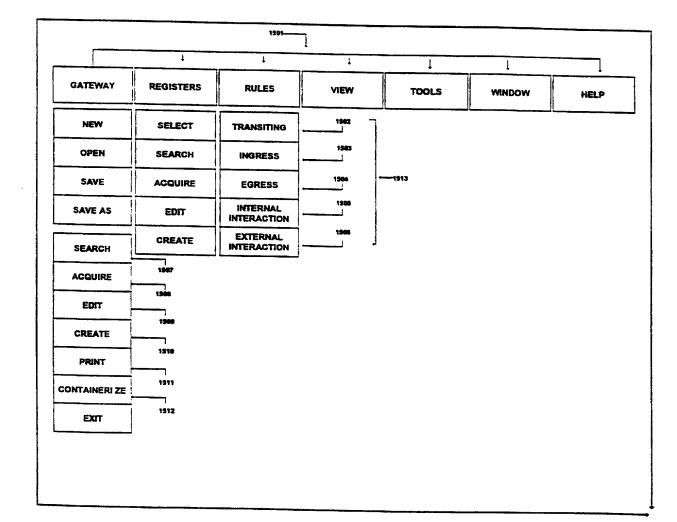


FIG. 15

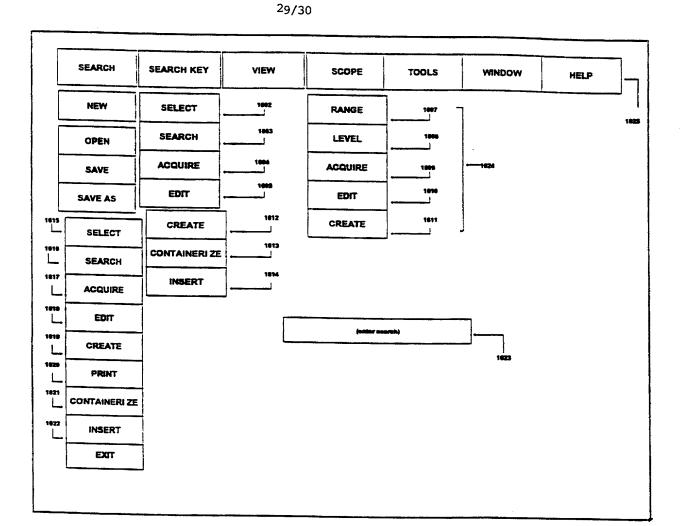
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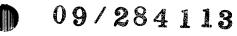
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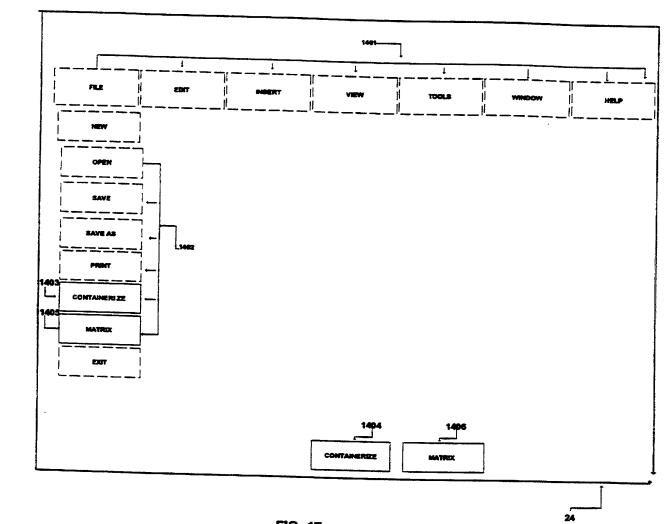
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Petitioner Apple Inc. - Exhibit 1002, p. 82



PTO/SB/01 (6-95) (modified) Approved for use through 10/31/96 OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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0010/PTO Rev. 6/95	U.S. Departme Patent and Tra	ent of Commerce demark Office	Attorney Docket	Number	3726				
			First Named Inv	entor	Michael D	e Angelo			
DECI	ARATION F	OR		CO	MPLETE IF KI	VOWN			
	ITY OR DESI T APPLICAT		Application Num	nber	Unknown				
			Filing Date		Even Date	Herewith			
			Group Art Unit	Ę	Unknown				
[X] Declaration Submitted with Initial Fili	S	Declaration Submitted after nitial Filing	Examiner Name		Unknown				
My residence, post office I believe I am the origina plural names are listed be	As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS								
the specification of which (Title of the Invention) [] is attached hereto OR [X] was filed on (MM/DD/YYYY) [01/28/1999] as United States Application Number or PCT International Application Number [PCT/US99/01988] and was amended on (MM/DD/YYYY) [] (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.									
for patent or inventor's c States of America, listed	I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application on which priority is claimed.								
Prior Foreign Applicatio	n Coun		ign Filing Date		ority	Certified Cor	-		
Number(s)	Number(s) (MM/DD/YYYY) Not Claimed YES NO []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []] []]						[] [] []		
[] Additional foreig	n application numb	ers are listed on a	supplemental pric	ority sheet at	tached hereto				
I hereby claim the bene Application N							l below.		
60/073,			e (MM/DD/YYYY) 1/30/1998		[] Additional provisional application numbers are listed on a supplemental sheet attached hereto				

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	2	LARATIO							Page 2		
I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.											
U.S. Parent	t Application	PCT	Parent	T	Parent	Filin	g Date		Par	ent Paten	it Number
Nu	mber		mber		(MM/E					(if applie	cable)
	PCT/US99/01988 01/28/1999 [] Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.										
[] Addition	al U.S. or PCT in	iternational app	lication numbe	rs are	listed or	1 a su	ıppleme	ental priori	ty sheet	: attached	l hereto.
As a named in business in the	ventor, I hereby e Patent and Trad	appoint the foll lemark Office c	lowing attorney connected there	/(s) an with:	id/or age	nt(s)	to pros	ecute this a	applicat	ion and t	to transact all
	Name		Registratio				1	Name			Registration
	Greg T. Sueoka		Number								Number
	Greg 1. Sueoka ames K. Okamo		<u>33,800</u> <u>40,110</u>							ŀ	
			- 344 9 4 4 4 4								
[] Addition	al attorney(s) and	d/or agent(s) na	med on a supp	lemen	tal sheet	attac	ched her	reto.		l	
	[] Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto. Please direct all correspondence to: Greg T. Sueoka Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306										
Telephone	(650) 858-7194	,		U.S. <i>A</i>		ax	(650) 494-1417	7	p=1=1 11	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.											
Given	ole or First In					filea	. for this	s unsigned	invento		~ ~ ~
Name Mic	chael		Middle Initial		Family Name	De	Angelo	D			Suffix e.g. Jr.
Inventor's Signature	Mill	rae/Bi	Hople					Date	lhs	ril s	5, 1999
Residence: Ci	ity Santa Bar	bara	State	CAC	Count	ry	USA		Citi	zenship	
Mailing Addre	255 1324 J S	state Street, Su	ite 290		•						·
Mailing Addre	ss										
	Barbara		State	CA	Zip		101	Cou	intry	USA	
Additiona	l inventors are be	ing named on s	supplemental sł	ieet(s)) attached	d her	eto				

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Petitioner Apple Inc. - Exhibit 1002, p. 84

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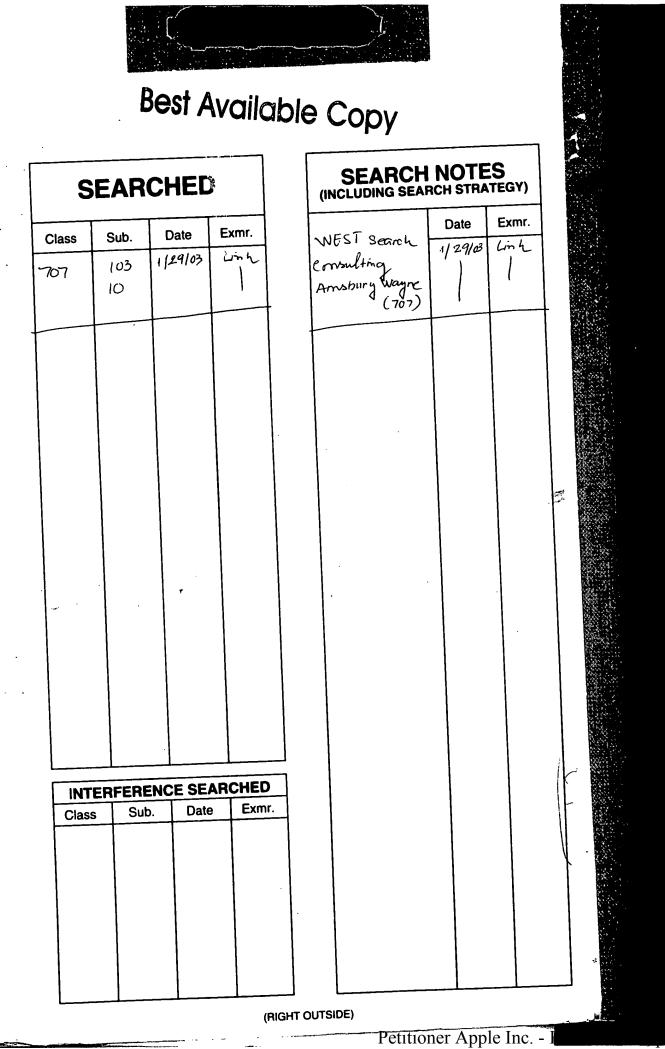
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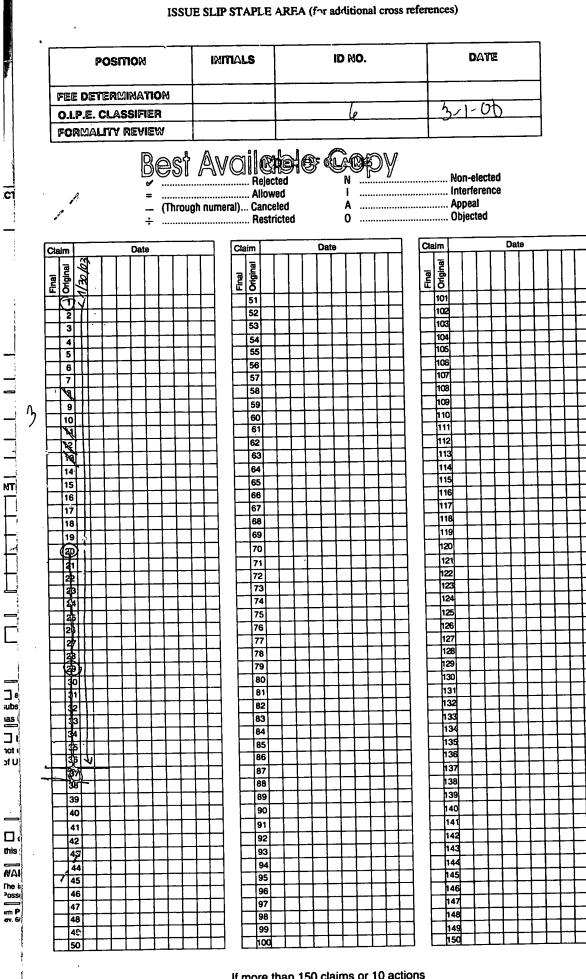
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	rmat		submits to the United St	ates Designated/Elected Offic	e (DO/EO	/US) the following items and other
۱.	Ø	This is a	FIRST submission of iter	ms concerning a filing under 3	5 U.S.C. 3	371.
2.		This is a	SECOND or SUBSEQU	ENT submission of items con	cerning a f	iling under 35 U.S.C. 371.
3.	Ø					371 (f)) at any time rather than delay C. 371(b) and PCT Articles 22 and 39(1).
4.		A proper priority d		al Preliminary Examination wa	as made by	the 19th month from the earliest claimed
5.	☑	A copy o	f the International Applic	cation as filed (35 U.S.C. 371)	(c)(2)).	
		a. 🗖	is transmitted herewith ((required only if not transmitte	ed by the I	nternational Bureau).
		b. 🗖		the International Bureau.		
		c. 🗹	is not required, as the ar	plication was filed in the Unit	ted States	Receiving Office (RO/US).
5.		A translat	tion of the International A	Application into English (35 U	J.S.C. 371	(c)(2)).
7.		Amendm	ents to the claims of the	International Application under	er PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).
		a. 🗖	are transmitted herewith	(required only if not transmit	ted by the	International Bureau).
		Ъ. 🗖	have been transmitted b	y the International Bureau.		
		c. ☑	have not been made; ho	wever, the time limit for maki	ng such an	nendments has NOT expired.
		d. 🗖	have not been made and	will not be made.	-	- -
3.		A translat	tion of the amendments t	o the claims under PCT Articl	e 19 (35 U	J.S.C. 371(c)(3)).
€.	\square	An oath c	or declaration of the invest	ntor(s) (35 U.S.C. 371(c)(4)).		
10.		A translat	tion of the annexes of the	e International Preliminary Ex	amination	Report under PCT Article 36
			C. 371(c)(5)).			
	Iten			ent(s) or information included		
11.				nent under 37 CFR 1.97 and 1.		
12.	Ø	An assigr	ment document for reco	rding. A separate cover sheet	in complia	ance with 37 CFR 3.28 and 3.31 is included
13.		A FIRST	preliminary amendment			
		A SECO	ND or SUBSEQUENT p	reliminary amendment.		
14		A substit	ute specification.			
14.	_	A change	of power of attorney and	d/or address letter.		
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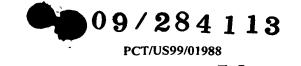
5) INTERNATIONAL APPLICATION NO. PCT/US99/01988

ATTORNEY'S DOCKET NUMBER 3726 US

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17. 🗹 The following	g fees are submitted:		CALCULATIONS P	TO USE ONLY			
BASIC NATIONAL FI	EE (37 CFR 1.492(a)((1)-(5)):					
Neither international pr nor international search and International Search	fee (37 CFR 1.445(a)						
International preliminat USPTO but Internation							
International preliminat but international search							
International preliminat but all claims did not sa							
International preliminat and all claims satisfied							
ENTER AP	PROPRIATE BASI	C FEE AMOUNT	=	\$760.00			
Surcharge of \$130.00 for 30 months from the				\$0			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	36 - 20 =	16	x \$18.00	\$288.00			
Independent claims	3 - 3 =	0	x \$78,00	\$0	····		
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 c. If The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2555</u>. A duplicate copy of this sheet is enclosed. 							
NOTE: Where an appro or (b)) must be filed and SEND ALL CORRESP	d granted to restore th	er 37 CFR 1.494 or 1 e application to pend	1.495 has not a ling status.	m Jun	evive (37 CFR 1.137 (a)		
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FORM PTO-1390 (REV 1-98) PAGE 2 of 2



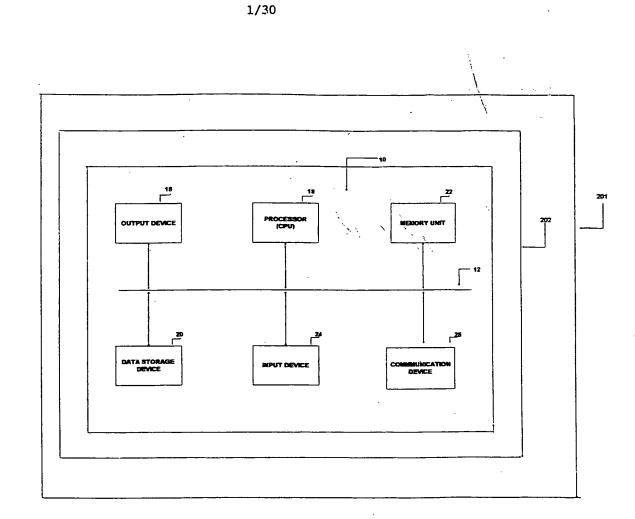
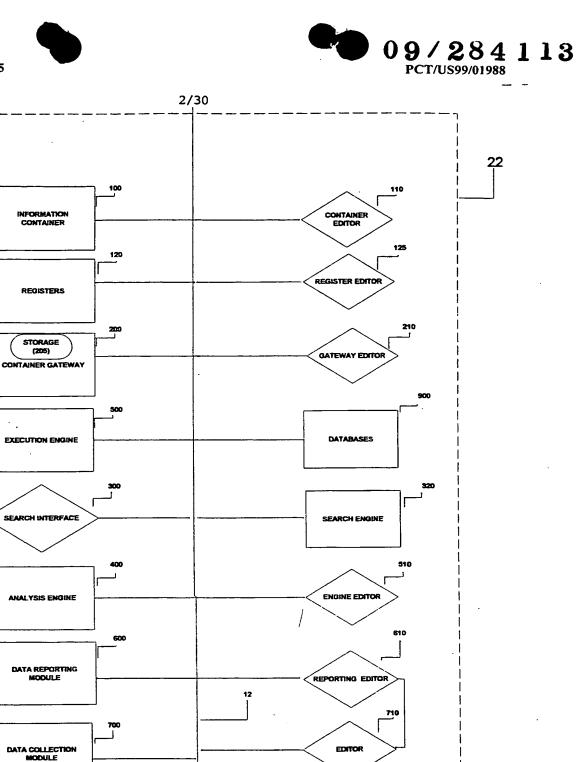


FIG. 1

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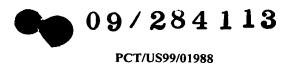
MENU OR BUTTON

ACCESS

FIG. 2 A

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SCREEN INTERFACES





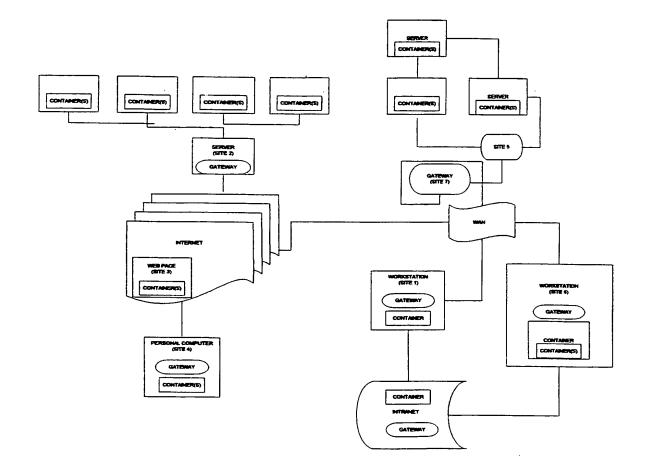
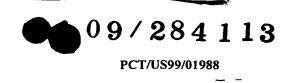


FIG. 2 B



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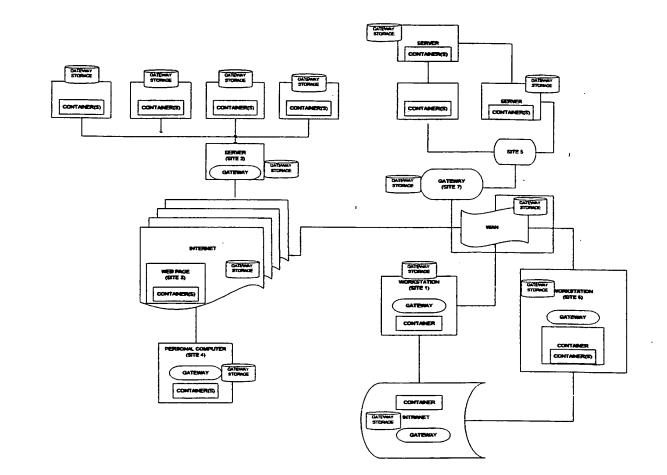


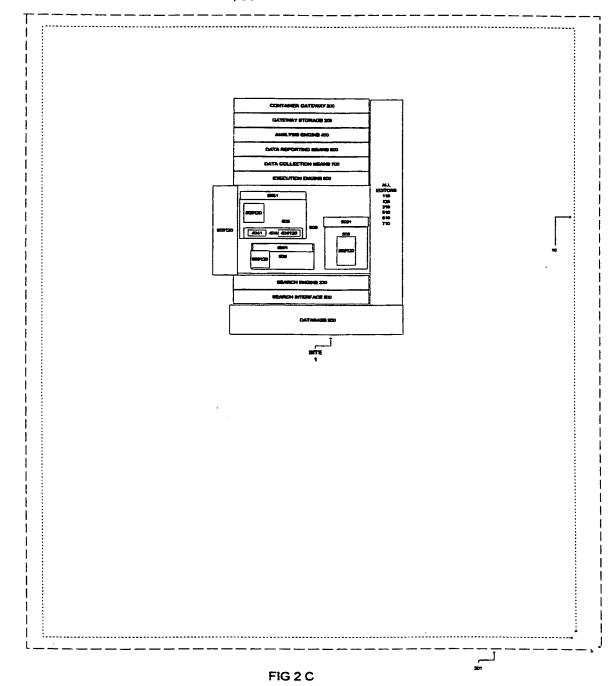
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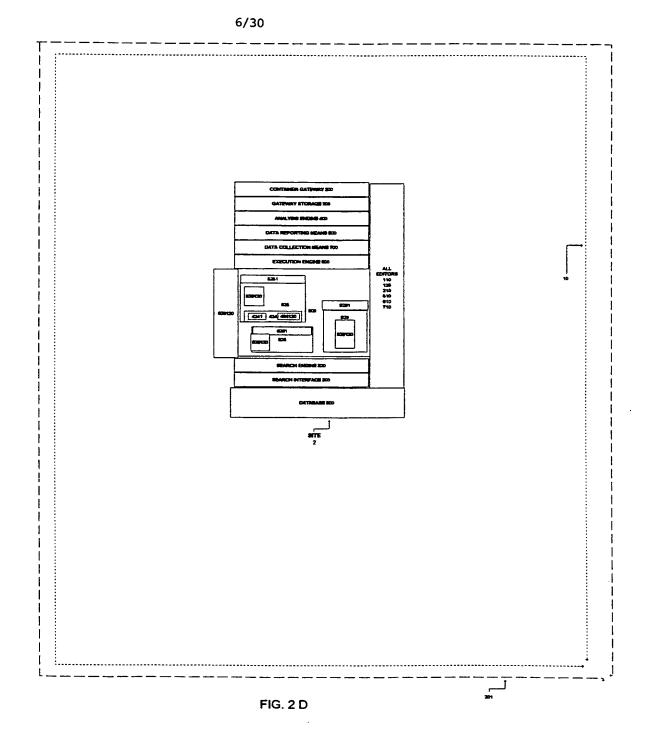


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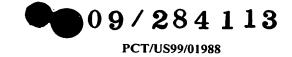


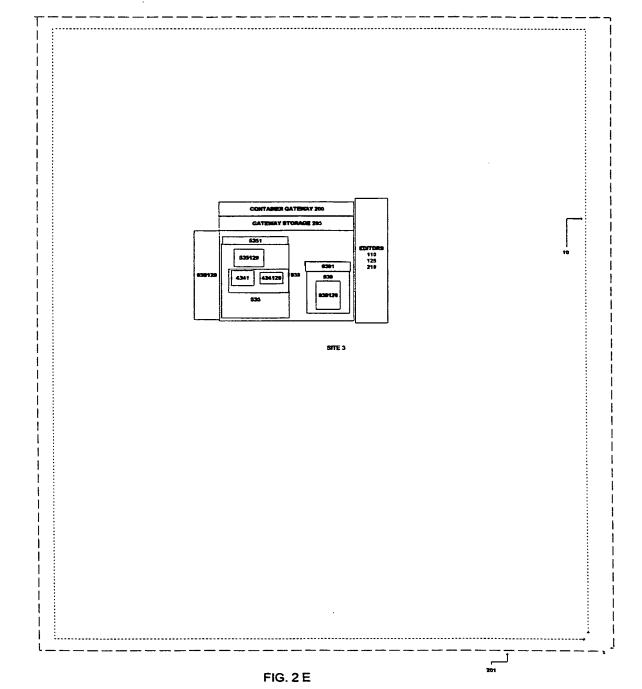
Petitioner Apple Inc. - Exhibit 1002, p. 95

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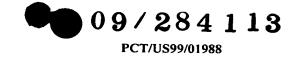
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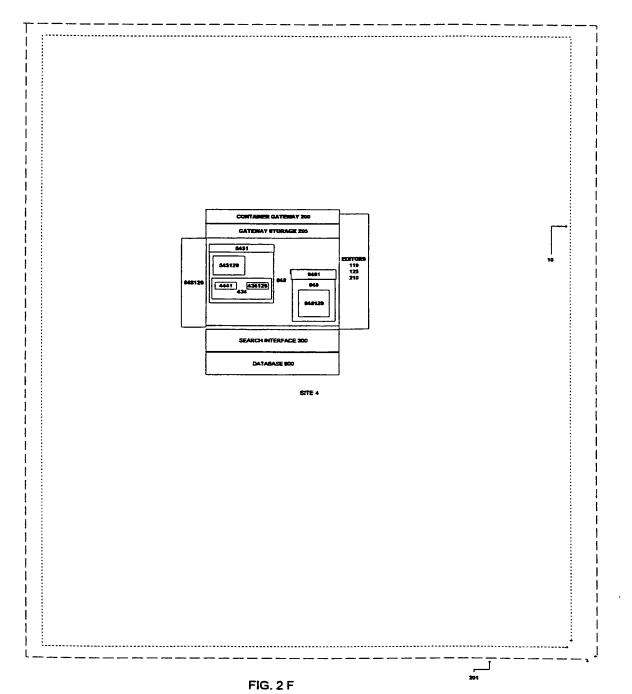






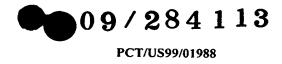




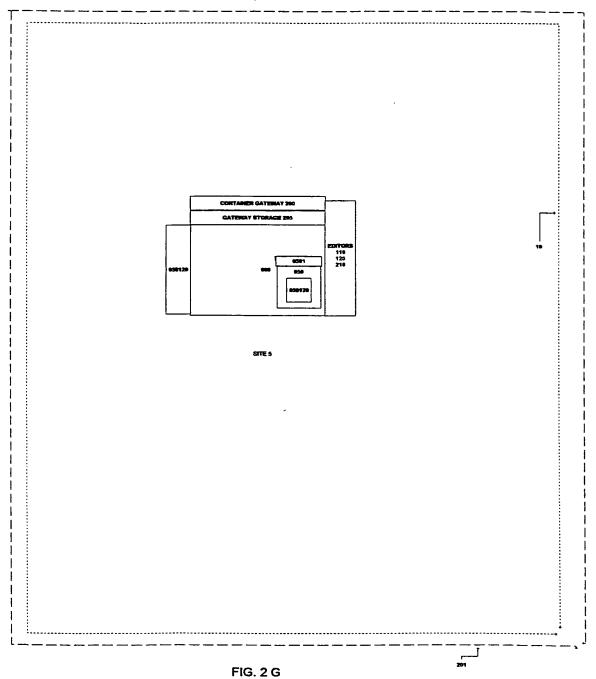


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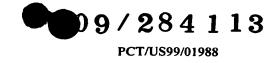






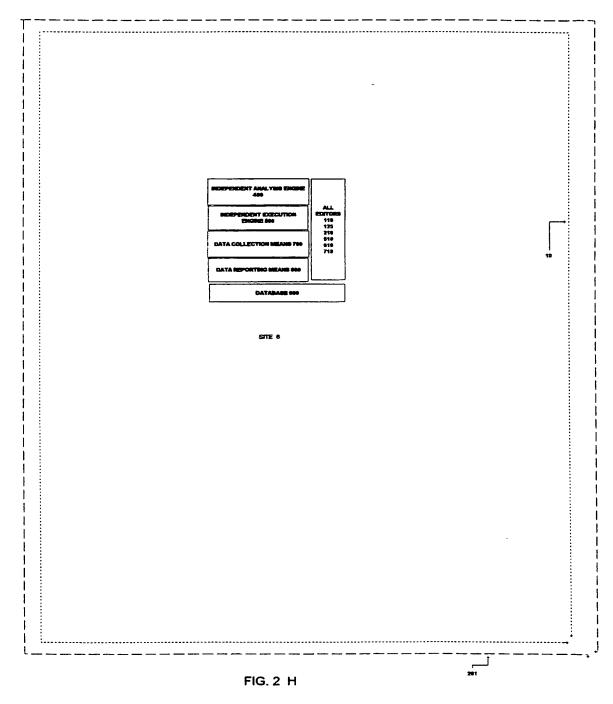


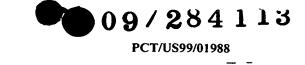
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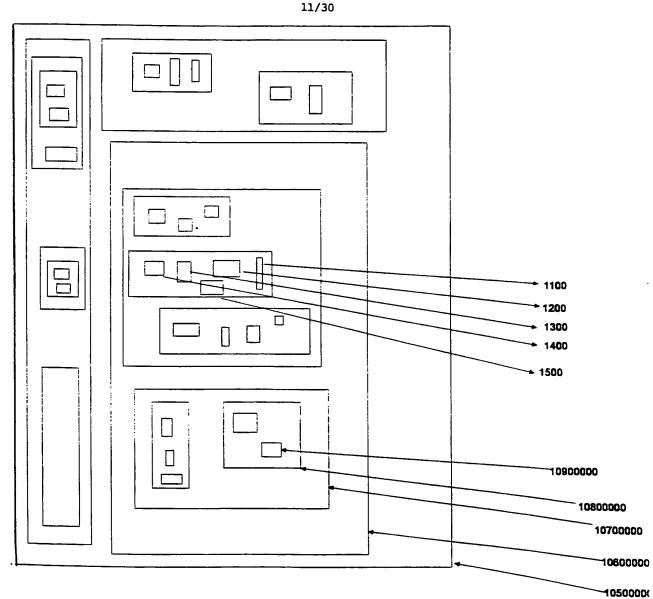


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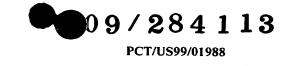


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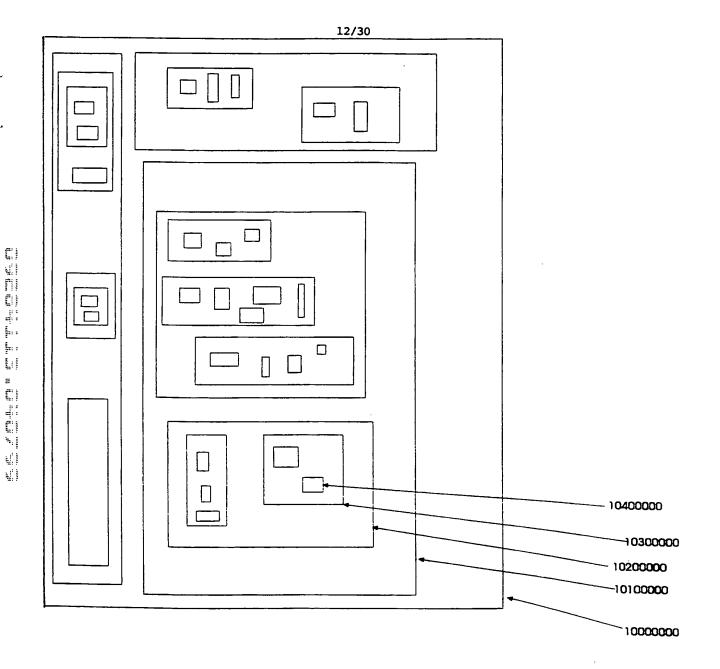
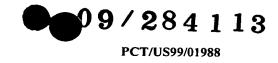
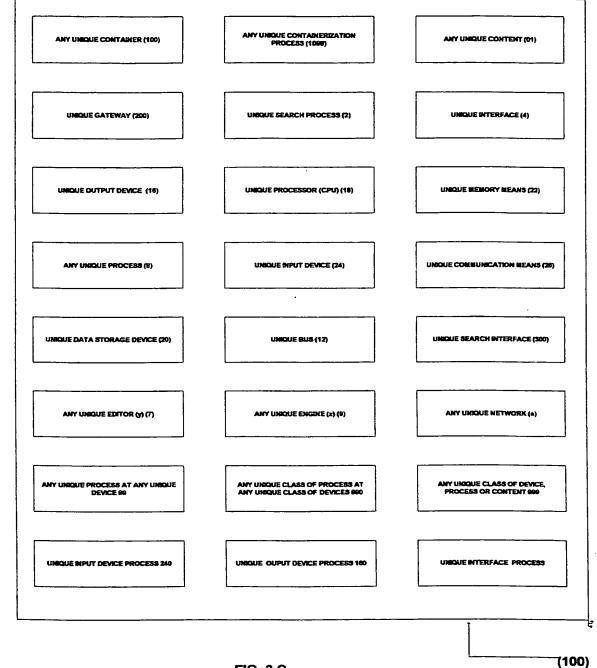


FIG. 3 B



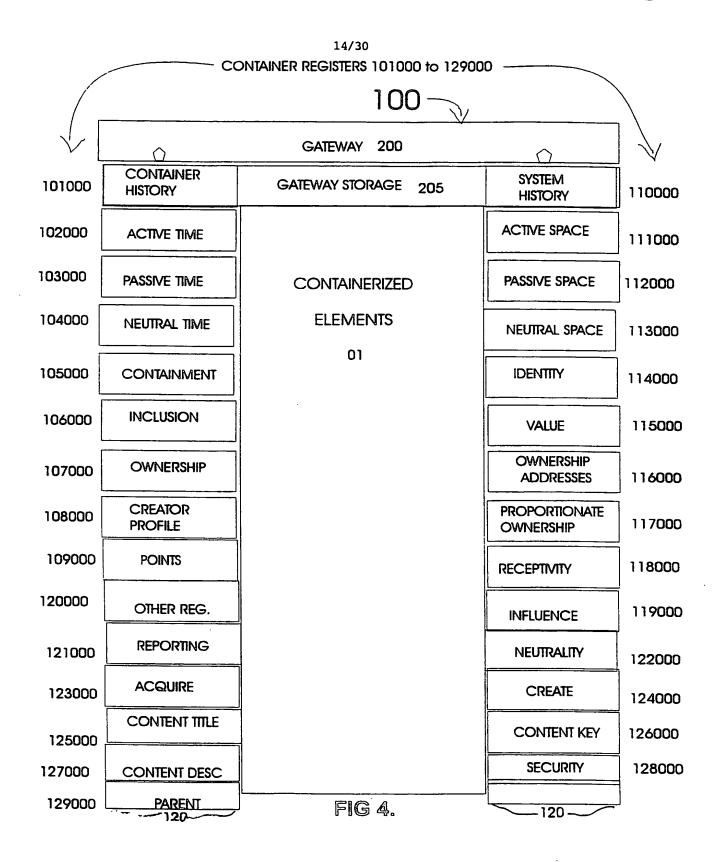






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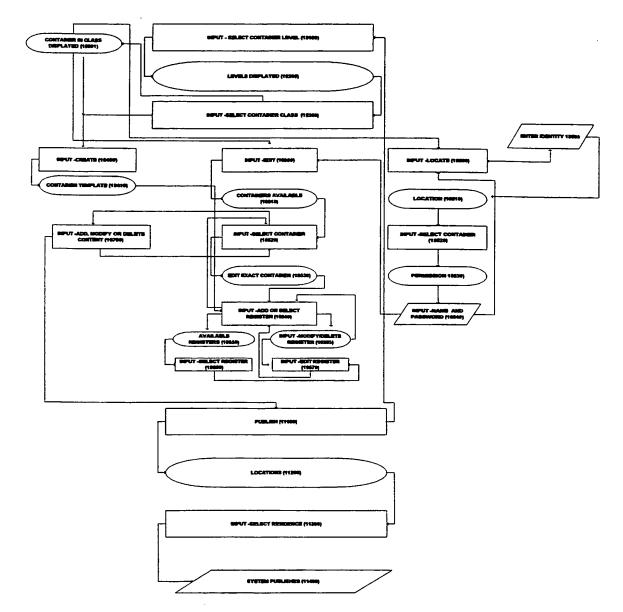
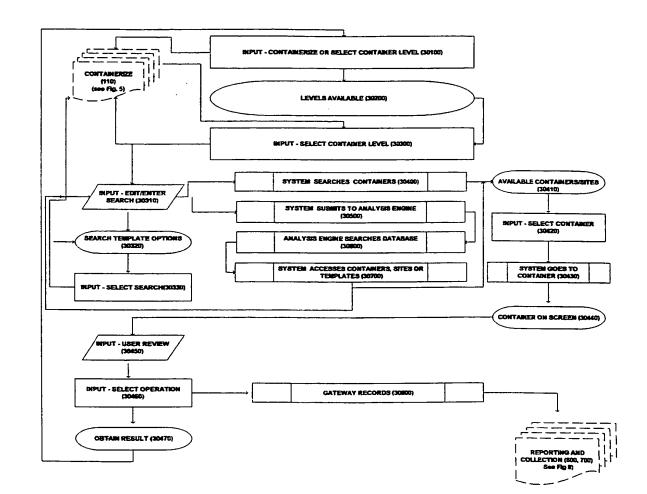


FIG. 5



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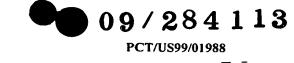


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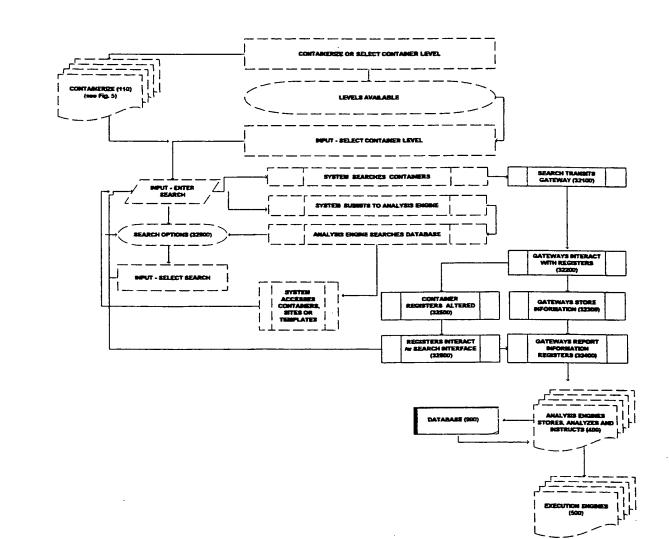
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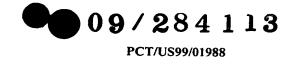
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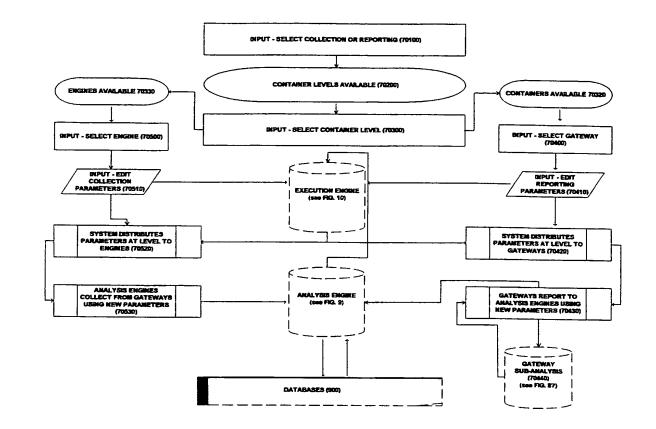
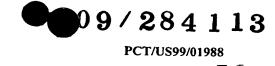


FIG. 8

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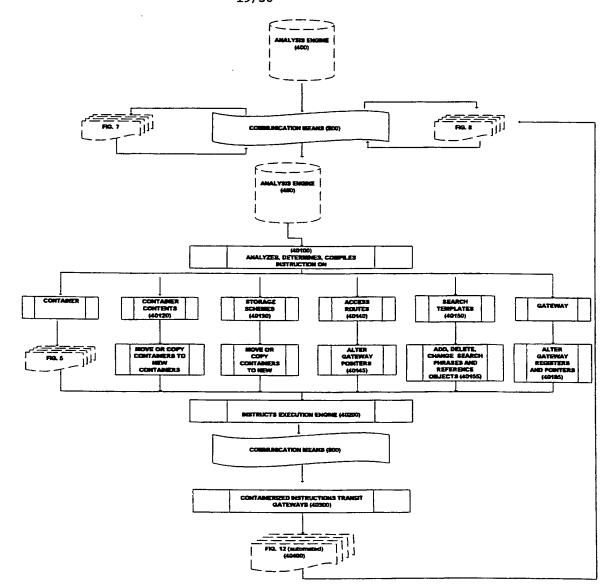
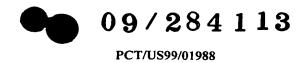


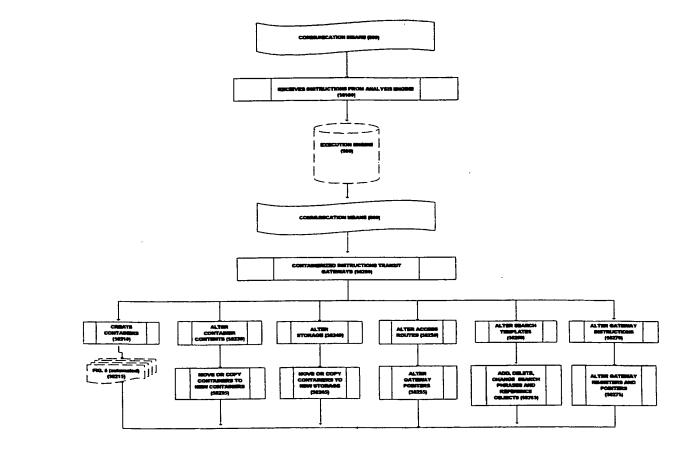
FIG. 9

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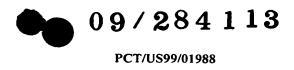
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EXECUTION ENGINE









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GATEWAY EDITOR

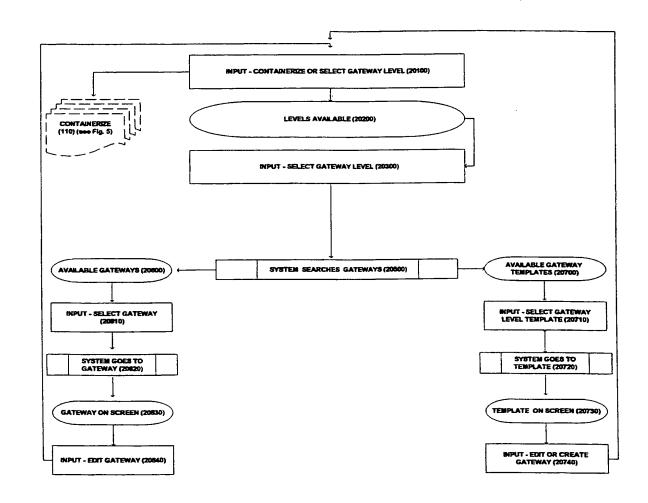
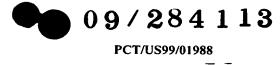


FIG. 11





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GATEWAY PROCESS

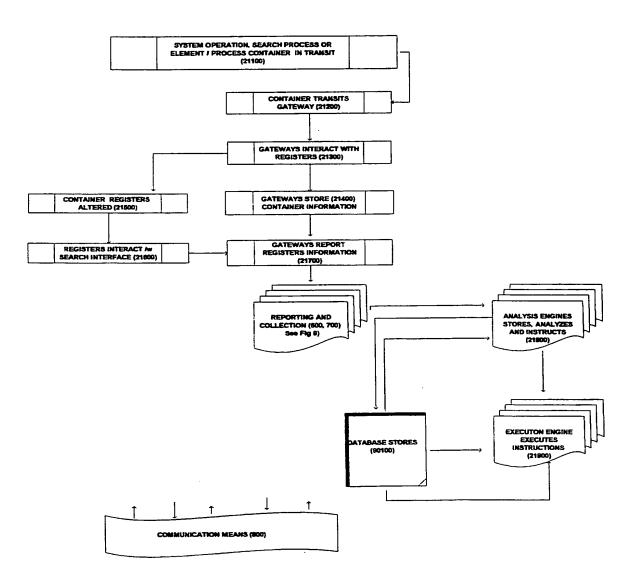
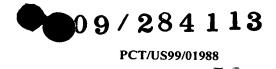


FIG. 12

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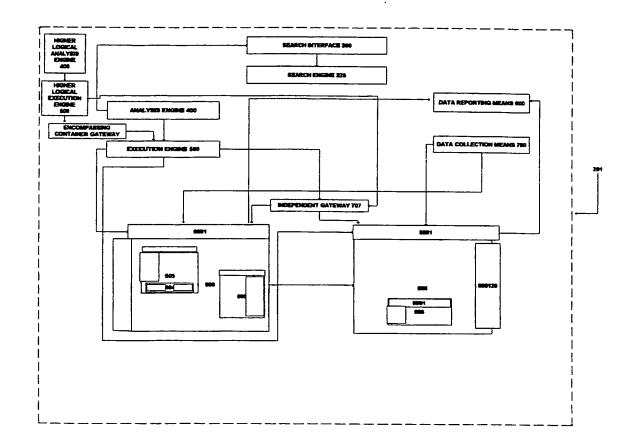
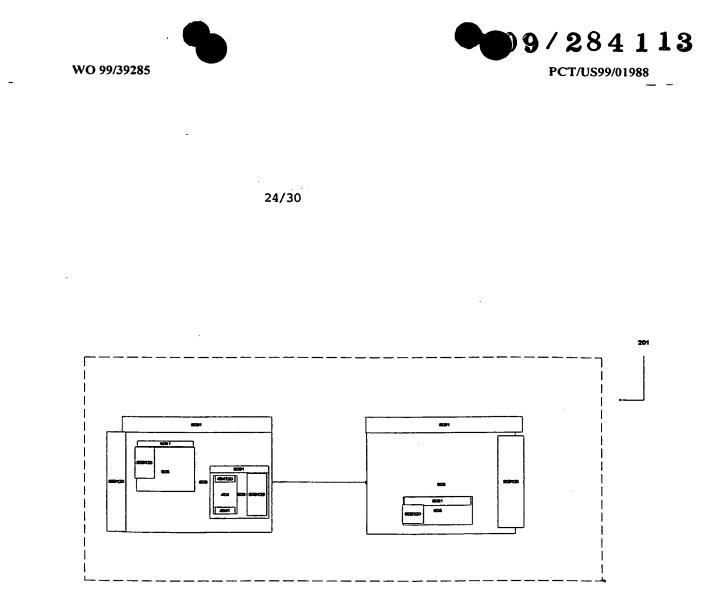


FIG. 13 A

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FIG. 13 B



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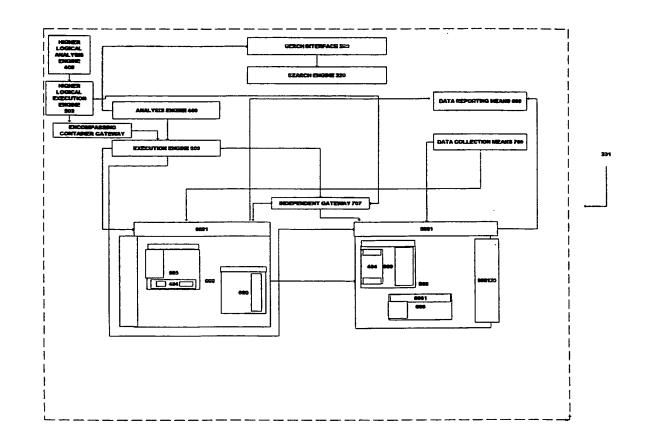
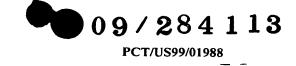


FIG. 13 C

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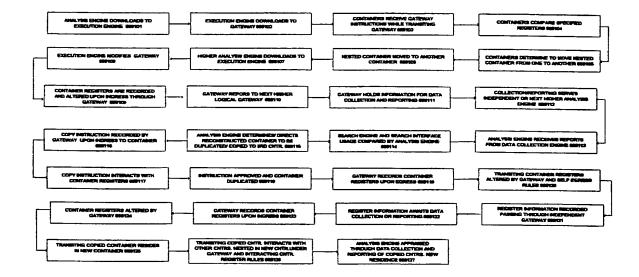
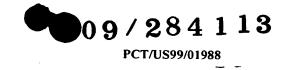


FIG. 13 D

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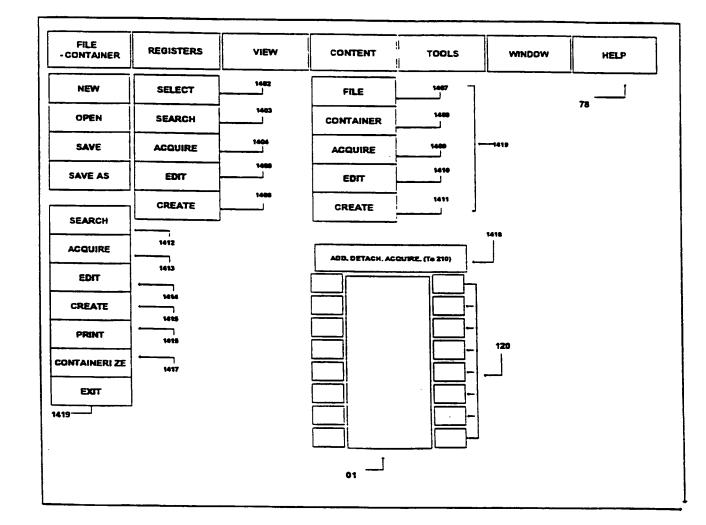


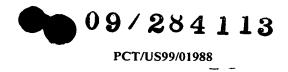
FIG. 14

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GATEWAY	REGISTERS	RULES	VIEW	TOOLS	WINDOW	HELP
NEW	SELECT	TRANSITING	1982			
OPEN	SEARCH	INGRESS	1560 			
SAVE	ACQUIRE	EGRESS	1904			
SAVE AS	EDIT	INTERNAL INTERACTION	1505 			
SEARCH	CREATE	EXTERNAL INTERACTION	1998			
ACQUIRE	1987					
EDIT	1968 					
CREATE	1980					
PRINT	1918					
CONTAINERI ZE	1311					
EXIT	1512					

FIG. 15

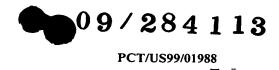
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Petitioner Apple Inc. - Exhibit 1002, p. 117

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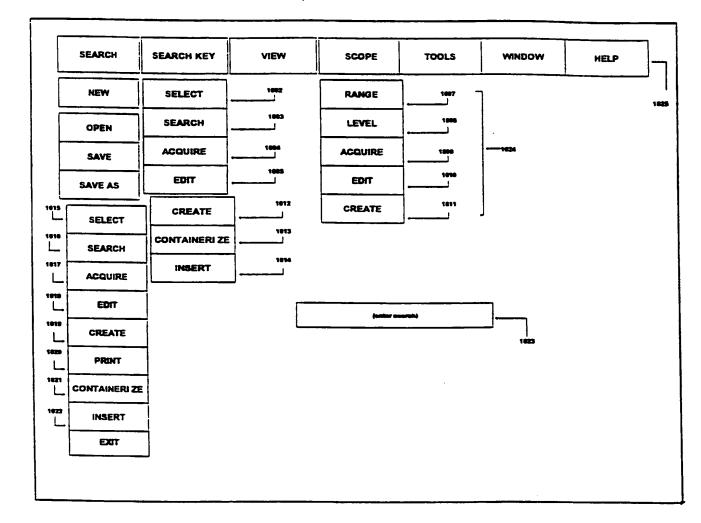


FIG. 16

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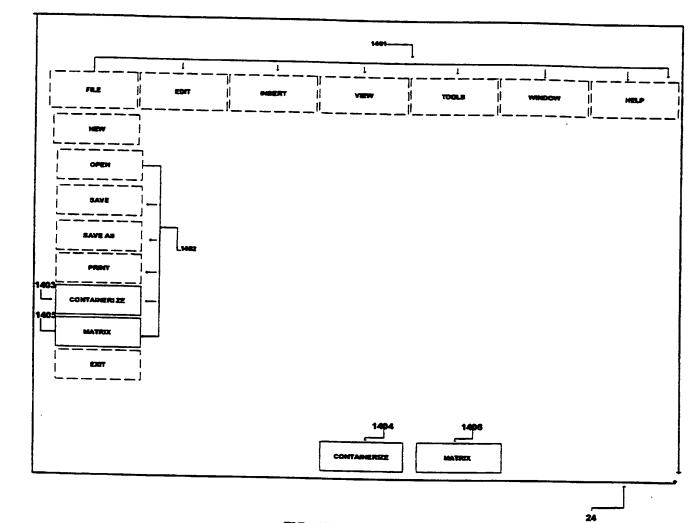
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SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to computer systems in a multi-user mainframe or mini computer system, a client server network, or in local, wide area or public networks, and in particular, to computer networks for creating and manipulating information containers with dynamic interactive registers in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by offering the means to create and manipulate information containers with dynamic registers.

2. Description of the Related Art

In the present day, querying and usage of information resources on a computer network is accomplished by individuals directing a search effort by submitting key words or phrases to be compared to those key words or phrases contained in the content or description of that information resource, with indices and contents residing in a fixed location unchanging except by human input. Similarly, the class of storage medium upon which information resides, it class and subclass organizational structures, and its routes of access all remain fundamentally unaltered by ongoing user queries and usage. Only the direct and intended intervention of the owner of the information content or computer hosting site changes these parameters, normally accomplished manually by programmers or systems operators at their own discretion or the discretion of the site owner.

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There exists currently in the art a limited means of interfacing a computer user with the information available on computer networks such as the world wide web. Primarily, these means are search engines. Search engines query thousands or tens of thousands of index pages per second to suggest the location of information while the user waits. While factual information can be accessed, the more complex, particular or subtle the inquiry, the more branches and sub-branches need to be explored in a time consuming fashion in order to have any chance of success. Further, there are no such automatic devices that reconstruct the information into more useful groupings or makes it more accessible according to factors

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attached to the content by the content creator such as the space or time relevancy of its content, or factors attached to the content by the system's compilation and analysis of the accumulated biography of that specific content's readership.

The utility of wide area and public computer networks is thus greatly limited by the static information model and infrastructure upon which those networks operate.

One problem is that on a wide area or public network, specific content such as a document remains inert, except by the direct intervention of users, and is modified neither by patterns or history of usage on the network, or the existence of other content on the network.

Another problem is that content does not reside in an information infrastructure 10 conducive to reconstruction by expert rule-based, fuzzy logic, or artificial intelligence based systems. Neither the intelligence of other information users nor the expert intelligence of an observant network computer system can be utilized in constructing, or re-constructing information resources. Where content resides in a fixed location and structure, "information" becomes something defined by the mind of the information provider rather than the mind of the information user, where the actual construction and utility of information exists. Information remains, like raw ore, in an unrefined state.

Another problem is that the class of storage medium upon which data resides cannot be system or user managed and altered according to the actual recorded and analyzed hierarchically graded usage of any given information resource residing on that storage medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

Another problem is that information resource groupings remain fixed on the given storage medium location according to the original installation by the resource author, not altered according to the actual recorded and analyzed hierarchically graded usage of that given information resource. Content itself remains inert, with no possibility of evolution.

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A further problem with the prior art is that neither the search templates generated by those more knowledgeable in a given field of inquiry, nor the search strategies historically determined to be successful, or system-constructed according to analyses of search strategies historically determined to be successful, are available to inquiring users. A search template is here defined as one or more text phrases, graphics, video or audio bits, alone or in any defined outline or relational format designed to accomplish an inquiry. Internet or wide area network search may return dozens of briefs to a keyword or key phrase inquiry sometimes requiring the

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time-consuming examination of multiple information resources or locations, with no historical relation to the success of any given search strategy.

A further problem is that there is limited means to add to, subtract from, or alter the information content of documents, databases, or sites without communicating with the owners or operators of those information resources, e.g., contacting, obtaining permission, negotiating and manually altering, adding or subtracting content. Additionally, once so altered, there is not a means to derive a proportionate value, and thereby a proportionate royalty as the information is used.

A final problem is that the physical residence of a body of data or its cyberspace location may not serve its largest body of users in the most expedient manner of access. Neither the expert intelligence of other information users nor the expert intelligence of an observant computer system is presently utilized by inherent network intelligence to analyze, re-design and construct access routes to information medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

15 Therefore, there is a need for a system and methods for creating and manipulating information containers with dynamic interactive registers defining more comprehensive information about contained content in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by providing a searching user the means to utilize the searches of other users or the historically determined and compiled searches of the system, a means to containerize information with multiple registers governing the interaction of that container, a means to reclassify the storage medium and location of information resources resident on the network, a means to allow the reconstruction of content into more useful formations, and a means to reconstruct the access routes to that information.

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SUMMARY OF THE INVENTION

The present invention is a system and methods for manufacturing information on, upgrading the utility of, and developing intelligence in, a computer or digital network, local, wide area, public, corporate, or digital-based, supported, or enhanced physical media form or public or published media, or other by offering the means to create and manipulate information containers with dynamic registers.

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The system of the present invention comprises an input device, an output device, a processor, a memory unit, a data storage device, and a means of communicating with other computers, network of computers, or digital-based, supported or enhanced physical media forms or public or published media. These components are preferably coupled by a bus and configured for multi-media presentation, but may also be distributed throughout a network according to the requirements of highest and best use.

The memory unit advantageously includes an information container made interactive with dynamic registers, a container editor, a search interface, a search engine, a search engine editor, system-wide hierarchical container gateways interacting with dynamic container registers, a gateway editor, a register editor, a data collection means with editor, a data reporting means with editor, an analysis engine with editor, an executing engine with editor, databases, and a means of communicating with other computers as above. These components may reside in a distributed fashion in any configuration on multiple computer systems or networks.

The present invention advantageously provides a container editor for creating containers, containerizing storing information in containers and defining and altering container registers. A container is an interactive nestable logical domain configurable as both subset and superset, including a minimum set of attributes coded into dynamic interactive evolving registers, containing any information component, digital code, file, search string, set, database, network, event or process, and maintaining a unique network-wide lifelong identity.

The container editor allows the authoring user to create containers and encapsulate any information component in a container with registers, establishing a unique network lifelong identity, characteristics, and parameters and rules of interaction. The authoring user defines and sets the register with a starting counter and/or mathematical description by utilizing menus and simple graphing tools or other tools appropriate to that particular register. The registers determine the interaction of that container with other containers, system components, system gateways, events and processes on the computer network.

Containers and registers, upon creation, may be universal or class-specific. The editor provides the means to create system-defined registers as well as the means to create other registers. The editor enables the register values to be set by the user or by the system, in which case the register value may be fixed or alterable by the user upon creation. Register values are

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evolving or non-evolving for the duration of the life of the container on the system. Evolving registers may change through time, space, interaction, system history and other means.

System-defined registers comprise: (1) an historical container register, logging the history of the interaction of that container with other containers, events and processes on the network, (2) an historical system register, logging the history of pertinent critical and processes on the network, (3) a point register accumulating points based upon a hierarchically rated history of usage, (4) an identity register maintaining a unique network wide identification and access location for a given container, (5) a brokerage register maintaining a record of ownership percentage and economic values, and others.

The present invention also includes user-defined registers. User defined registers may be created wholly by the user and assigned a starting value, or simply assigned value by the user when that register is pre-existent in the system or acquired from another user, and then appended to any information container, or detached from any container.

Exemplary user-defined registers comprise (1) a report register, setting trigger levels for report sequences, content determination and delivery target, (2) a triple time register, consisting 15 of a range, map, graph, list, curve or other representation designating time relevance, actively, assigning the time characteristics by which that container will act upon another container or process, passively, assigning the time characteristics by which that container be acted upon by another container or process, and neutrally, assigning the time characteristics by which that container will interact with another container or process, (3) a triple space register, consisting of 20 a range, map, graph, list, curve or other representation designating the domain and determinants of space relevance, actively, assigning the space characteristics by which that content will act upon another container or process, passively, assigning the space, characteristics by which that content will be acted upon by another container or process, and neutrally, assigning the space characteristics by which that container will interact with another container or process, (4) a 25 domain of influence register, determining the set, class and range of containers upon which that container will act, (5) a domain of receptivity register, determining the set, class and range of containers allowed to act upon that container, (6) a domain of neutrality register, determining the set, class and range of containers with which that container will interact, (7) a domain of containment register, determining the set, class and range of containers which that container 30 may logically encompass, (8) a domain of inclusion register, determining the set, class and

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range of containers by which that container might be encompassed, (9) an ownership register, recording the original ownership of that containers, (10) a proportionate ownership register, determining the proportionate ownership of that containers, (11) a creator profile register, describing the creator or creators of that container, (12) an ownership address register, maintaining the address of the creator or creators of that container, (13) a value register, assigning a monetary or credit value to that container, and (14) other registers created by users or the system.

Containers are nestable and configurable as both subset and superset and may be designated hierarchically according to inclusive range, such as image component, image, image file, image collection, image database, or if text, text fragment, sentence, paragraph, page, document, document collection, document, database, document library, or any arrangement wherein containers are defined as increasingly inclusive sets of sets of digital components.

The present invention also includes, structurally integrated into each container, or strategically placed within a network at container transit points, unique gateways, nestable in a hierarchical or set and class network scheme. Gateways gather and store container register 15 information according to system-defined, system-generated, or user determined rules as containers exit and enter one another, governing how containers system processes or system components interact within the domain of that container, or after exiting and entering that container, and governing how containers, system components and system processes interact 20 with that unique gateway, including how data collection and reporting is managed at that gateway. The gateways record the register information of internally nested sub and superset containers, transient containers and search templates, including the grade of access requested, and, acting as an agent of an analysis engine and execution engine, govern the traffic and interaction of those containers and searches with the information resource of which they are the 25 gateway and other gateways. The gateways' record of internally nested and transient container registers, and its own interaction with those containers, is made available, according to a rulesbased determination, to the process of the analysis engine by the data collection and/or data

reporting means.

The present invention also includes a means of data storage at any given gateway.

The present invention also includes a data collection means, residing anywhere on the network, or located at one or more hierarchical levels of nestable container gateways for

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gathering information from other gateways and analysis engines according to system, systemgenerated or user determined rules. The data collection means manages the gathering of data regarding network-wide user choices, usage and information about information, by collecting it from container and gateway registers as those containers and gateways pass through one another. Such statistics as frequency, pattern, and range of time, space and logical class is collected as directed by the analysis engine, and made that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally, to the next greater hierarchically inclusive collection level. The rules of data collection may be manually set or altered by the system manager, or set by the system and altered by the system in its evolutionary capacity.

The present invention also includes a data reporting means, located at one or more hierarchical levels of nestable container gateways for submitting information to other gateways and analysis engines according to system, system-generated or user determined rules. The data reporting means manages the sending of data from the registers, gateways and search templates in a frequency, pattern, and range of time, space and logical class as directed by the analysis engine, and makes that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally to the next greater hierarchically inclusive reporting level. The rules of data collection may be manually set or altered by the system manager, or set by the system and altered by the system in its evolutionary capacity. The data reporting means may be established to work in concert, in redundancy, or in contiguous or interwoven threads of hierarchically nested containers.

The present invention also includes an analysis engine that receives, reports and collects information regarding the interaction of user searches with gateways and container registers, as well as container registers with other container registers, and container registers with gateways. The analysis engine analyzes the information submitted by the gateways and instructs the execution engine to create new information containers, content assemblages, storage schemes, access routes, search templates, and gateway instructions. The analysis engine includes an editor that provides a system manager with a means of editing the operating principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

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The present invention also includes an execution engine, fulfilling the instructions of the analysis engine, to create new information containers, content sun and superset assemblages, storage schemes, access routes, search templates, and gateway instructions. The execution engine includes an editor that provides a system manager with a means of editing the operating principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

The present invention also includes a search interface or browser. The search interface provides a means for a searching user to submit, record and access search streams or phrases generated historically by himself, other users, or the system. Search streams or phrases of other users are those that have been historically determined by the system to have the highest probability of utility to the searching user. Search streams or phrases generated by the system are those that have been constructed by the system through the analysis engine based upon the same criteria.

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BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a first and preferred embodiment of a system constructed according to the present invention.

FIG. 2 A is block diagram of a preferred embodiment of the memory unit.

FIG. 2 B is an exemplary embodiment of a computer network showing computer servers,
personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways.

FIG. 2B1 is an exemplary embodiment of a computer network showing computer servers, personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways and exemplary locations of gateway storage in proximity to one or more of the various sites.

FIGS. 2C through 2H are exemplary embodiments in block diagram form of computer network components showing a possible placement of nested containers, computer servers, gateways, and the software components named in Fig. 2 A on a network.

FIG. **3A** is a graphical representation for one embodiment of a container having a plurality of containers nested within that container.



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FIG. 3C is a drawing showing elements that might be logically encapsulated by a container. FIG. 4 is a drawing of an information container showing a gateway and registers logically

encapsulating containerized elements.

FIG. 5 is a flowchart showing a preferred method for the containerization process and container editor operating on the communication device.

FIG. 6 is a flowchart showing a preferred method for searching for containers within a node.

FIG. 7 is a flowchart further showing a preferred method for searching for containers over one or more gateways.

FIG. 8 is a flowchart showing a method for performing the data collection and reporting on containers.

FIG. 9 is a flowchart showing the operation of the analysis engine.

FIG. 10 is a flowchart showing the operation of the execution engine.

FIG. 11 is a flowchart showing the operation of the gateway editor.

FIG. 12 is a flowchart showing the operation of the gateway process.

FIG. 13A is a drawing showing an example of nested containers, gateways, registers, analysis engines and an execution engine prior to container reconstruction as depicted in 13 B, 13 C and 13 D.

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FIG. 13B is a drawing showing the reconstructed nested containers of Figure 13A.

FIG. 13C is a drawing showing further reconstruction of nested containers, with a container relocated to reside within another container.

FIG. 13D is a drawing showing a flowchart of the reconstruction process

FIG. 14 is a drawing showing the screen interface of the container editor.

FIG. 15 is a drawing showing the screen interface of the gateway editor.

FIG. 16 is a drawing showing the screen interface of the search interface.

FIG. 17 is a drawing of a generic application program showing a drop-down menu link, and a button link to the containerization process or container editor.

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DESCRIPTION OF THE PREFERRED EMBODIMENT

THE SYSTEM

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Referring now to FIG. 1, a preferred embodiment of a system 10 for creating and manipulating information containers with dynamic interactive registers in a computer, media, or publishing network 201 in order to manufacture information on, upgrade the utility of, and develop intelligence in that network 201, is shown. The system 10 preferably comprises an input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 20, and a communication device 26 operating on a network 201. The input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 20, are preferably coupled together by a bus 12 in a von Neumann architecture. Those skilled in the art will realize that these components 24, 16, 18, 22, 20, and 26 may be coupled together according to various other computer architectures including any physical distribution of components linked together by the communication device 26 without departing from the spirit or scope of the present invention, and may be infinitely nested or chained, both as computer systems within a network 202, and as networks within networks 201.

The output device 16 preferably comprises a computer monitor for displaying highresolution graphics and speakers for outputting high fidelity audio signals. The output device 16 is used to display various user interfaces 110, 125, 210, 300, 510, 610, 710, as will be described below, for searching for and containerizing information, and editing the container gateways, containers, container registers, the data reporting means and the data collection means, and the search, analysis and execution engines. The author uses the input device 24 to manipulate icons, text, charts or graphs, or to select objects or text, in the process of packaging, searching or editing in a conventional manner such as in the Macintosh of Windows operating systems.

The processor 18 preferably executes programmed instruction steps, generates commands, stores data and analyzes data configurations according to programmed instruction 25 steps that are stored in the memory unit 22 and in the data storage device 20. The processor 22 is preferably a microprocessor such as the Motorola 680(x)0, the Intel 80(x)86 or Pentium, Pentium II, and successors, or processors made by AMD, or Cyrix CPU of the any class.

The memory unit 22 is preferably a predetermined amount of dynamic random access memory, a read-only memory, or both. The memory unit 22 stores data, operating systems, and programmed instructions steps, and manages the operations of all hardware and software components in the system 10 and on the network 201, utilizing the communication device 26

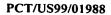
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whenever necessary or expeditious to link multiple computer systems 202 within the network 201.

The data storage device 20 is preferably a disk storage device for storing data and programmed instruction steps. In the exemplary embodiment, the data storage device 20 is a hard disk drive. Historical recordings of network usage are stored on distributed and centralized data storage devices 20.

The preferred embodiment of the input device 24 comprises a keyboard, microphone, and mouse type controller. Data and commands to the system 10 are input through the input device 24.

The present invention also includes a communication device 26. The communication 10 device 26 underlies and sustains the operations of, referring now also to Fig 2 the analysis 400 and execution 500 engines, the data reporting 600 and collection 700 means, the container editor 110, the search interface 300, and the search engine 320, providing the means to search, access, move, copy, utilize or otherwise perform operations with and on data. The communication device 26 utilizes one or more of the following technologies: modem, infrared, microwave, 15 laser, photons, electrons, wave phenomena, cellular carrier, satellite, laser, router hub, direct cabling, physical transport, radio, broadcast or cable TV or other to communicate with other computers, digital-supported television, computer networks, or digital-based or supported public or published media, or physical media forms, on any a local, wide area, public, or any 20 computer-based computer supported, or computer interfaced network, including but not limited to the Internet. It also allows for the functioning and distribution of any container 100 or container component herein described to reside anywhere on any computer system in any configuration on that local, wide area, public, or corporate computer-based or computer related network, or digital-based or supported media form.

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Referring now to Figure 2 A, a preferred embodiment of the memory unit 22 is shown. The memory unit includes: an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting module, 600, a data reporting editor 610, a data collection module 700, a data collection editor 710, screen interfaces (GUI's) 936, menu or access buttons from generic computer programs 937,





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and databases 900, all residing in memory optimized between a data storage means 20 such as magnetic, optical, laser, or other fixed storage, and a memory means 22 such as RAM. The memory unit 22 functions by operating on communications network 12 with a communication device 26 on multiple computer systems 202 within the network 201. These components will be described first briefly in the following paragraphs, then in more detail with reference to Figures 3 A through 17.

Those skilled in the art will realize that these components might also be stored in contiguous blocks of memory, and that software components or portions thereof may reside in the memory unit 22 or the data storage means 20.

The present invention includes information containers 100 as noted above. The information container 100 is a logically defined data enclosure which encapsulates any element or digital segment (text, graphic, photograph, audio, video, or other), or set of digital segments, or referring now to FIG. 3 C, any system component or process, or other containers or sets of containers. A container 100 at minimum includes in its construction a logically encapsulated portion of cyberspace, a register and a gateway. A container 100 at minimum encapsulates a single digital bit, a single natural number or the logical description of another container, and at maximum all defined cyberspace, existing, growing and to be discovered, including but not limited to all containers, defined and to be defined in cyberspace. A container 100 contains the code to enable it to interact with the components enumerated in 2 A, and to reconstruct itself internally and manage itself on the network 201.

The container 100 also includes container registers 120. Container registers 120 are interactive dynamic values appended to the logical enclosure of an information container 100, and serve to govern the interaction of that container 100 with other containers 100, container gateways 200 and the system 10, and to record the historical interaction of that container 100 on the system 10. Container registers 120 may be values alone or contain code to establish certain parameters in interaction with other containers 100 or gateways 200.

The present invention also includes container gateways 200. Container gateways 200 are logically defined gateways residing both on containers 100 and independently in the system 10. Gateways 200 govern the interactions of containers 100 within their domain, and alter the registers 120 of transiting containers 100 upon ingress and egress.

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The present invention also includes container gateway storage 205 to hold the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and to store the rules governing the operations of its particular gateway 200, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers 100 within the container 100 to which that gateway 200 is attached. Gateway storage 205 may be located on gateways 200 themselves, containers 100 or anywhere on the network 202, 201, including but not limited to Internet, Intranet, LAN, WAN, according to best analysis and use.

The memory unit 22 also includes an execution engine 500 to perform the functions on the system 10 as directed by the analysis engine after its analysis of data from the data reporting means 600, the data collection means 700, and the search interface 300.

The memory unit 22 also includes a search interface 300, by which the user enters, selects or edits search phrases or digital strings to be used by the search engine 320 to locate containers 100.

The memory unit 22 also includes an analysis engine 400 which performs rules based or other analysis upon the data collected from the search interface 300 and the data collection 700 and data reporting 600 means.

The memory unit 22 also includes a data reporting means 600, by which means the information collected by gateways 200 from transient containers 100 is sent to the analysis engine 400.

The memory unit 22 also includes a data collection means 700, by which means the analysis engine 400 gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 also includes a container editor 110 for creating, selecting, 25 acquiring, modifying and appending registers 120 and gateways 200 to containers 100, for creating, selecting, acquiring, and modifying containers, and for selecting content 01 to encapsulate.

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The memory unit 22 also includes a register editor 125, for creating, selecting, acquiring and modifying container registers 120 and establishing and adjusting the values therein.

The memory unit 22 also includes a gateway editor 210, by which means the user determines the rules governing the interaction of a given gateway 210 with the registers 120 of

transient containers 100, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers within the container to which that gateway is attached.

The memory unit 22 also includes databases 900, by which means the analysis engine 400, the execution engine 500, the gateways 100, the editors 110, 125, 210, 510, 610, 710, and the search interface 300, store information for later use.

The memory unit 22 present invention also includes a search engine 320 by which means the user is able to locate containers 100 and, referring now to Fig. 4, containerized elements 01.

The memory unit 22 present invention also includes an engine editor 510, by which means the user establishes the rules and operating procedures for the analysis engine 400 and the execution engine 500.

The memory unit 22 present invention also includes a reporting means editor 610, by which means the user establishes the rules and schedule under which the information collected by gateways 200 from transient containers 100 will be sent to the analysis engine 400.

The memory unit 22 present invention also includes a collection means editor 710, by which means the user establishes the rules and schedule under which the analysis engine 400 will gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 present invention also includes screen interfaces (GUI's) 936, specifically designed to simplify and enhance the operations of the container editor 110, the gateway editor 210, and the search interface 300.

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The present invention also includes a menu or button access 937, by which a user utilizing any generic computer program may access the system 10 or the container editor 110 from a menu selection(s) or button(s) within that program.

The present invention also includes a computer, media or publishing network 201, comprising computers, digital devices and digital media 202 and a communication device 26, within which the components enumerated in Fig. 2 A interact, compiling, analyzing, and altering containers 100 and the network 201 according to information gathered from container registers 120.

The memory unit 22 also includes one or more computers 202, by which means the components of Fig 1 sustain the operations described in Fig. 2 A.

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The memory unit 22 also includes flat or relational databases 900, used where, and as required. Databases are used to store search phrases, search templates, system history for the

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analysis engine and execution engine, container levels and container, sites and digital elements, or any and all storage required to operate the system.

Referring now to FIG. 2 B, a drawing of a computer network 201 as a system 10, showing a possible placement of nested containers 100, computer servers, gateways 200, on the sites described below. (Note: Fig. 2 B utilizes in parts the same numbering scheme as Fig. 13 A, 13 B, 13 C, 13 D and as Fig. 2 A.) In FIG. 2 B various exemplary sites are shown, any or all of which might interact dynamically within the system. Site 1 shows a single workstation with a container and gateway connected to an Intranet. .(Individual containers may be a floppy or CD-Rom to be downloaded or inserted.) Site 2 shows a server with a gateway in relationship to various containers.. Site 3 shows an Internet web page with a container residing on it. Site 4 shows a personal computer with containers and a gateway connected to the Internet. Site 5 shows a configuration of multiple servers and containers on a Wide Area Network.. Site 6 shows a workstations with a gateway and containers within a container connected to a Wide Area Network. Site 7 shows an independent gateway, capable of acting as a data collection and data reporting site as it gathers data from the registers of transiting containers, and as an agent of the execution engine as it alters the registers of transient containers. A container 100 contains the code to enable it to interact with the components enumerated in 2A, and to reconstruct itself internally and manage itself on the network 201. The code resides in and with the container in its registers and gateway definitions and controls. Additional system code resides in all sites to manage the individual and collective operation and oversight of the components enumerated in 2A, with the specific components distributed amongst the sites according to the requirements of optimization.

Referring now to Fig. 2 B 1 various exemplary sites are shown as described above in Fig. 2 B, with the addition of possible location of one or more gateway storage 205 locations.

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Referring now to Figures 2 C through 2 H, various exemplary sites with one or more of the logical components of the system 10 in relationship are shown. Site 1 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all

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residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 2 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 3 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 4 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, a search interface 300, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 5 comprises an interactive information container 100, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, and databases 900, all residing on data storage means 20, accessed and utilized by non-resident memory unit 22, operating on communications network 12 with a communication device 26.

Site 6 includes an independent analysis engine 400, execution engine 500, data collection means 700, and data reporting means 600 gateway editors 210, engine editors 510, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Referring now to FIG. 3 A and FIG. 3 B, a block diagram of several nested information 30 containers is shown, including examples of elements, e.g., code 1100, text 1200, audio 1300, video 1400, photograph 1500, graphic images 1600, and examples of possible container level



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classifications in increasing size, e.g., element 10900000, document 10800000, database 10700000, warehouse 10600000, domain 10500000, and continuing increasingly larger on Fig 3 (B), subject 10400000, field 10300000, master field 10200000, species 10100000. Containers may be infinitely nested and assigned any class, super class or sub class scheme and description by the creator of the container to govern nesting within that container. In addition to digital elements, containers may also include system process and components, including containerization itself.

Referring now to FIG. 3 C, a block diagram of an information container system is shown, listing, without any relationship indicated, some of the possible system components and processes, or sets thereof, that may be encapsulated as elements 01 in an information container 100. An information container 100 may include one or more of the following: any unique, container 100, gateway 200, output device 16, input device 24, output device process 160, input device process 240, data storage device 20, data storage device process 2000, processor 18, bus 12, content 01, search process 02, interface 04, memory unit 22, communication device 26, search interface 300, search process 98, network 201, class of device, process or content 999, class of process at any unique class of device 990, process at any unique device 99, editor 110, 125, 210, 510, 610, 710, engine 320, 400, 500, containerization process 1098, or process 08.

Any container may include (n) other containers, to infinity. The use of value evolving container registers 120 in conjunction with gateways 200, data reporting modules 600, data 20 collection modules 700, the analysis engine 400, and the execution engine 500 provides the information container 100 with extensive knowledge of the use, operation of its internal contents, prior to, during and after those contents' residence within that container 100, and extensive knowledge of the use, operation and contents of the system 10 external to itself, and allows the container 100 to establish and evolve its own identity and course of interaction on the system 10. Further, containers 100, as logical enclosures, can exist and operate independent of their digital contents, whether encapsulating audio, video, text, graphic, or other.

Referring now to FIG. 4, a block diagram of an information container 100 is shown. The information container 100 is a logically defined data enclosure which encapsulates any element, digital segment (text, graphic, photograph, audio, video, or other), set of digital segments as described above with reference to FIG. 3 (C), any system component or process, or other

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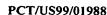
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containers or sets of containers. The container 100 comprises the containerized elements 01, registers 120 and a gateway 200.

Registers 120 appended to an information container 110 are unique in that they operate independently of the encapsulated contents, providing rules of interaction, history of interaction, identity and interactive life to that container 100 through the duration of its existence on a 5 network 201, without requiring reference to, or interaction with, its specific contents. They enable a container 100 to establish an identity independent of its contents. Additionally, registers 120 are unique in that their internal values evolve through interaction with other containers 100, gateways 200, the analysis engine 400, the execution engine 500, and the 10 choices made by the users in the search interface 300, the container editor 110, the register editor 125, the gateway editor 210, the engine editor 510. Registers 120 are also unique in that they can interact with any register of a similar definition on any container 100 residing on the network 201, independent of that container's contents. Registers 120, once constructed, may be copied and appended to other containers 100 with their internal values reset, to form new containers. Register values, when collected at gateways 200 and made available to the analysis engine 400 through the data collection means 700 and the data reporting means 600, provide an entirely new layer of network observation and analysis and operational control through the execution engine 500. Registers 120 accomplish not only a real time information about information system, but also a real time information about information usage on a network. Further, because the user base of a network determines usage, the system 10, in gathering information about information usage, is observing the choices of the human mind. When these choices are submitted to the analysis of a rules-based or other analysis engine 400, the system 10 becomes capable of becoming progressively more responsive to the need of the user base, in effect, learning to become more useful by utilizing the execution engine 500 to create systemwide changes by altering the rules of gateway 200 interaction and thereby altering the registers 120 of transient containers 100 and establishing a complete evolutionary cycle of enhanced utility.

paragraphs, the following unique aspects of information about information are utilized for the 30 first time: 1) the dynamic governance of information according to its utility through time, in active, passive and neutral aspects, as explained below; 2) the dynamic governance of

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Further, in establishing the pre-defined registers as described in the following four

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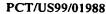
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information according to its utility through space in active, passive and neutral aspects, as explained below; 3) the dynamic governance of information according to its ownership, as explained below; 4) the dynamic governance of information according to its unique history of interaction as an identity on a network, as explained below; 5) the dynamic governance of information according to the history of the system on which it exists, as explained below; 6) the dynamic governance of information according to established rules of interaction, in active, passive and neutral aspects, as explained below; 7) the dynamic governance of information according to the profile of its creator, as explained below; 8) the dynamic governance of information according to the value established by its ongoing usage, as explained below; 9) the dynamic governance of information according to it distributed ownership, as explained below; 10) the dynamic governance of information according to what class of information it might be incorporated into, and according to what class of information container it might incorporate, as explained below; 11) the dynamic governance of information according to self-reporting, as explained below.

15 Referring now to Fig 4, registers 120 may be (1) pre-defined, (2) created by the user or acquired by the user, or (3) system-defined or system-created. Pre-defined registers 120 are those immediately available for selection by the user within a given container editor as part of that container editor, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 created by the user are those conceived and created by a specific user or user group and made immediately available for selection by the user or user group in conjunction with any of a wide number of container editors, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 acquired by the user are those registers existing network-wide 201, created by the user base, that might be located and acquired by the user in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. System-defined registers are those registers whose values are set and/or controlled by the system 10. System-created registers are those registers created by the system 10.

Registers 120 are user or user-base created or system-created values or ranges made 30 available by the system 10 to attach to a unique container, and hold system-set, user-set, or system-evolved values. Values may be numeric, may describe domains of time or space, or may



provide information about the container 100, the user, or the system 10. Registers 120 may be active, passive or interactive and may evolve with system use. Pre-defined registers include, but are not limited to, system history 110000, container history 101000, active time 102000, passive time 103000, neutral time 104000, active space 111000, passive space 112000, neutral space 113000, containment 105000, inclusion 106000, identity 114000, value 115000, ownership 107000, ownership addresses 116000, proportionate ownership 117000, creator profile 108000, receptivity 118000, influence 119000, points 109000, others 120000, reporting 121000, neutrality 122000, acquire 123000, create 124000, content title 125000, content key phrase(s) 126000, and content description 127000, security 12800, and parent rules 129000.

Pre-defined registers comprise an historical container register 101000, logging the history of the interaction of that container 100 with other containers, events and processes on the network 201, an historical system register 110000, logging the history of pertinent critical and processes on the network, a point register 109000 accumulating points based upon a hierarchically rated history of usage, an identity register 114000 maintaining a unique network wide identification and access location for a given container specifying a unique time and place of origin and original residence, a proportionate ownership register 117000 maintaining a record of ownership percentage and economic values, and others 120000.

User-defined registers include a report register 121000 setting trigger levels for report sequences, content determination and delivery target, three time registers, consisting of a range, 20 map, graph, list, curve or other designating time relevance, 102000 assigning the time characteristics by which that container will act upon another container or process, 103000 assigning the time characteristics by which that container be acted upon by another container or process, and 104000 assigning the time characteristics by which that container will interact with another container or process, three space registers, consisting of a range, map, graph, list, curve or other designating the domain and determinants of space relevance, 111000 assigning the space characteristics by which that content will act upon another container or process, 112000 assigning the space, characteristics by which that content will be acted upon by another container or process, and 113000 assigning the space characteristics by which that container will interact with another container or process, a domain of influence register 119000, determining the set, class and range of containers upon which that container will act, a domain of receptivity register 118000, determining the set, class and range of containers allowed to act upon that

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container, a domain of neutrality register 122000, determining the set, class and range of containers with which that container will interact, a domain of containment register 105000, determining the set, class and range of containers which that container may logically encompass, a domain of inclusion 106000 register, determining the set, class and range of containers by which that container might be encapsulated, an ownership register 107000, recording the original ownership of that containers, a creator profile register 108000, describing the creator or creators of that container, an ownership address register 116000, maintaining the address of the creator or creators of that container, a value register 115000, assigning a monetary or credit value to that container, other registers 120000 created by users or the system, a reporting register 121000, determining the content, scheduling and recipients of information about that container, a neutrality register 122000, an acquire register 123000, enabling the user to search and utilize other registers residing on the network, a create register 124000, enabling the user to construct a new register, a content title register 125000, naming the contents of the container, a content key register, 126000, identifying the container contents with a key phrase generated by the user and/or the system based upon successful usage of that phrase in conjunction with the utilization of the information within that container 100, a content description register 127000, identifying the container contents with additional description, a security register 128000, controlling container security, and a parent container register 129000, storing the rules governing container interaction as dictated by the parent (encapsulating) container.

The container also includes a gateway 200 and gateway storage 205.

Gateways 200 are logically defined passageways residing both on containers 100 and independently in the system 10. Gateways 200 govern the interactions of containers 100 encapsulated within their domain by reading and storing register 120 information of containers entering and exiting that container 100.

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The present invention also includes container gateway storage 205. Gateway storage 205 stores information regarding the residence, absence, transience, and alteration of encapsulated and encapsulating containers 100, and their attached registers 120, holding the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and storing the rules governing the operations of its particular gateway 200.

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Referring now to FIG. 5, a flow chart of the preferred method for creating a container 100.is shown.

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Input is received from the user selecting a container level through use of a drop-down menu 10100. A menu of all possible container classes within the subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, domain, and more, is displayed on the output device 10200. Input is received from the user selecting a class 10300.

A graphic representation of a container in that class, with registers common to all containers as well as registers unique to its class is displayed 10301.

Input is received from the user choosing to "create" 10400, "edit" 10500, or "locate" 10600.

When the input of "create" 10400 is received from the user, a container template in that class appears 10410. Input from the user is then received adding or selecting a register 10540 to append to that container template. When input is received from the user adding a register, a list of registers that might be added to that class of container is made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540.

If the input of "locate" 10600 is received from the user, the system prompts the user to enter the identity of the container or class of containers 10605. The system locates the 20 container(s) 10610. Input is received from the user selecting a container 10620. The system prompts the user for a security code for permission to access the container for template use, or to alter its registers, or to alter its content 10630. . Input is received from the user entering a name and password providing access to one of the security levels 10640. Input is received from the user editing the container accordingly by transition to step 10500 and performing the steps for editing.

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If the input of "edit" 10500 is received, a list of containers available to edit at that level is shown 10510. Input is received from the user selecting a container 10520. That container appears, available to edit 10530. Input is received from the user selecting "add" or "select" registers 10540 by the user clicking on the graphically depicted register, or from a drop down menu. Input is received from the user selecting the register to edit 10560. Input is received from the user selecting "modify" or "delete" for that register 10565. If input is received from the user

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to "delete," that register is severed from the container. If input is received from the user to "modify", the register editor 10570 screen appropriate to that register appears, i.e., an x-y type graph to define a curve of relevant active time, in which the user manipulates the x-y termini, scale and curve, or a global map in which Input is received from the user selecting the locale of active space, whether zip code, city, county, state, country, continent, plant or other. When input is received from the user saving the definition, the screen returns to the main container screen to make another selection available. Input is received from the user defining as many registers as he chooses. One of the registers may be named "new register." Input is received from the user selecting the new register, and if chosen by the user, defining a wholly unique and new kind of register by the user entering input into the register editor 125.

When the input is received from the user choosing to add a register, a list of registers that might be added to that class of container are made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540, and in turn to Input – Select Container.

15 Input may then be received from the user choosing to add, modify, or delete the container contents 10700. Once the registers are defined, input is received from the user indicating completion and the interface reverts to the container editor. When input is received from the user choosing "select component" (to select the component to containerize) from the main menu bar 10700, a window appears allowing the user to select any file, component, or other 20 container. If for example, the user were creating a warehouse container, and wishes to incorporate several databases into that container, input would then be received from the user selecting "database." The program would prompt the user for the location (directory) of that database or container. If the requested selection is not containerized, input may then be received from the user choosing to containerize the element at that time, after which the program returns 25 to "select component." Once input is received from the user defining the database location, the program logically encases the directory or directories in the defined container. The above procedure may be repeated as many times as desired to include multiple databases within a single container. While logical simplicity would dictate that all containers within a container be of the same subset, it would be possible for input to be received from the user choosing 30 containers of any subset to include in the container. When input is received from the user choosing "finished," the container is created with a unique network identity, preferably through



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some combination of exact time and digital device serial number, or centralized numbering system, or other means. The container 100 contains all digital code, including data and program software from the selected items or containers.

Input may then be received from the user to publish the container 11100 at a useridentified or system suggested location 11200 to be selected 11400.

Input is received from the user to "publish", from the main menu bar 11100. Input is received from the user choosing to leave the container where it was created, move or copy it to another drive, directory, computer, or network the user designates, or select the location from location options offered by the system 11200, or submit, or duplicate and submit, the container to the analysis engine 400 for intelligent inclusion in other containers, thus allowing the system to publish the container as instructed or choose the residence of the container 11400.

If input is received from the user to choosing to "move," or "copy" a browse function allows the user to name the new location or browse a list of possible locations. If input is received from the user choosing to "submit," a browser function allows the user to name the analysis search engine 310 or browse a list of possible analyses engines. When input is received from the user choosing the residence of the container 11300, the program restores the search interface screen.

Referring now to FIG. 6, a flow chart of the method for searching for containers 100.

When input is received from the user selecting "search interface" from the main title bar, the search interface screen appears. The user is given the choice of containerizing selected content or requesting that container levels be displayed **30100**. From a drop down menu another menu appears allowing input to be received from the user selecting the container level **30200**. Input is received from the user selecting the container level **30200**.

Input is received 30310 from the user selecting the phrases, containers or components, which then are re-submitted to the same process, until the input is received from the user selecting a specific site or container.

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The screen then reports in a selection menu, the number of applicable sites found by the search engine 30410, the number of historically proven applicable sites found by the analysis

The search phrase, whether containerized or not, is submitted simultaneously to the

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search engine 30400 and the analysis engine 30500.

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engine 30410, the number of historically proven applicable containers at the selected container level or any container level found by the analysis engine 30410, and the number of historically proven new search phrases or digital segments found by the analysis engine 30320. Input is received from the user selecting one of the named sets above 30330. If input is received from the user choosing the search engine, the search interface lists the applicable site titles with a brief description 30410. If input is received from the user choosing the site list of the analysis, the search interface lists the applicable site titles with a brief description 30410. If input is received from the user choosing the container list of the analysis engine, the search interface lists the applicable container titles with a brief description 30410. If input is received from the user choosing the container list of the analysis engine, the search interface lists the applicable container titles with a brief description 30410. If input is received from the user choosing the system offers the means to view titles and descriptions of sub-containers at any chosen class level. If input is received from the user choosing the phrase list of the analysis engine, the search interface lists the applicable phrases or digital segments with a brief description 30320. The search and search result cycle repeats until input is received from the user choosing to go to an individual container or site.

Input is received from the user entering text or any digital string describing his search objectives into a text or search box. When input is received from the user submitting the search string, the system provides the option of containerizing the search through the container editor 110. Once the search container 101 is created, the system restores the search interface 300 screen the user.

Input is received from the user selecting "search", "supported search" or "both" from another drop-down menu and from submitting the search. When input is received from the user selecting "search" 30310, the search phrase is submitted to the search engine 30400, which searches both content and the appropriate container registers, as pre-indexed in the search engine, and returns a list of appropriate locations, components or containers. When input is received from the selecting "supported search", the search phrase is submitted to the analysis engine search support, which returns a list, in a drop-down menu, of search phrases or individual containers, for any and all container levels, used by other users or created by the system and known to be historically successful for the described effort and the described searching user, as per the results of the analysis search engine. Input is received from the user selecting a new search phrase or specific container from the drop down menu 30330. When input is received from the user choosing a new search phrase, that phrase is also submitted to the

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analysis engine 30500 which returns a list of pre-compiled historically proven sites, components or containers associated with that search phrase 30320. Input is received from the user choosing a selection 30420 and the system calls up that specific site, container or component. If input is received from the user selecting a specific site, container or component at any time during the search process, that element is called up by the system 30440.

Input is received from the user choosing to containerize a search or select a container level in which to search 30100. When input is received from the user choosing to containerize the search, the software moves to the container editor as described in Fig. 5, and then returns the user to the search interface screen. Input is received from the user selecting to search a specific container level or the whole network. The system shows the available levels 30200. Input is received from the user selecting a container level 30300, and entering the text or digital component comprising the search string 30310. The system searches the containers 30400 while simultaneously submitting the search string to the analysis engine 30500. While the system is accessing containers, sites or templates 30700, the analysis engine 30500 inquires of the appropriate database 30600 to access historically successful containers, sites or search templates corresponding to the search request 30700, which is then shown on another portion or option of the search interface, either as available containers or sites 30410 or as search template options 30320. On one portion or option of the search interface screen the corresponding containers or sites are listed and/or previewed for selection 30410. Input is received from the user selecting the container to access 30420. The system accesses that container 30430 and shows it on the screen 30440 for user review. Input is received from the user selecting an operation, i.e., preview, read, purchase, move, copy, lease, in any composed schedule with operations assigned specific values 30460, and the system obtains the specified result 30470. The selection of the operation including any interaction with any uniquely defined container 100 is recorded 30800 by the container gateway (Fig. 2 A, 200), stored in the gateway storage 205 and made available

- to the analysis engine (Fig. 9) by the data collection and reporting means (Fig. 8). Reporting and collection occurs on a regular basis according to user determined times or rules. The analysis engine compiles and analyzes selections according to various rules-based systems applicable to the particular container area of residence in cyberspace.
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. Input is received from the user selecting the container or site 30410, proceeding as described above, or selecting a search template 30330, and editing it to re-enter the search

30310. All operations on Fig. 6 utilize the communication device 26 whenever necessary or expeditious.

Referring now to FIG. 7, a flow chart of the search process is shown. Steps in FIG. 7 repeated from FIG. 6 are given the same reference number as in FIG. 6 for convenience and ease of understanding. Fig. 7 commences with "SEARCH TRANSITS GATEWAY 32100", continuing from Fig. 6, "SYSTEM SEARCHES CONTAINERS 30400". The submitted search 32100 transits the gateway 200. The gateway 200 interacts with the container registers 32200. The gateways 200 store the information downloaded from the registers 32300, and the container registers are altered 32500. The container registers 120 then interact with the registers 120 of the encapsulated search, which registers, and the values set within, have been constructed and appended to the search through the search interface 32600. Values are exchanged and compared and operations performed under the rules governing both interacting containers 100, and the rules governing the search container 100 and any gateway 200. The search engine 320, operating under the principles and means of search engines presently existing as described elsewhere, then provides to the search interface 32600 a list of containers 100 meeting the requirements of the search and its appended registers, as well as additional search options 32900. The gateway 200 reports and makes available for collection to the analysis engine 400 the information obtained from the interaction 32400. On a periodic basis defined by the user or a rules-based system, the analysis engine 400 (Fig. 9) stores in databases 900, analyzes and instructs the execution engine 500, and the execution engine 500 executes changes in the system components as defined below. (Fig. 10). All operations on Fig. 7 utilize the communication device 26 whenever necessary or expeditious.

On the remaining figures, shapes referring to other figures, to operations external to the scope of the present figures, or to the subject of the present drawing, are indicated with dashed lines, and are shown only to place the described operations in the context of continuous and continual operations external to the drawing.

Referring now to FIG. 8, a flow chart of the preferred process for collecting and reporting information on containers is shown. The data reporting 600 and data collection 700 means utilizes subroutines within the analysis engines 400 and gateways 200 to submit and collect register information and sub level analysis to other analysis engines 400 or other gateways 200 of a higher (larger) logical set in a set pattern and frequency defined by the administrator.

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Input is received from the user selecting "data reporting" 70100 from the "edit gateway" drop-down menu. Container levels are displayed 70200. Input is received from the user selecting container level 70300. A menu of all possible gateways 70320 and analysis engines 70330 residing on gateways on the above defined container class appears, depicted graphically as a tree of analysis engines and gateways at that container level. Input is received from the user selecting "source" from "source or destination." Input is received from the user 70400 selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level on a display device. Input is received from the user 70410 selecting "destination" from "source or destination" Input is received from the user 70500 selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine level on a display device. A time scheduler is displayed. Input is received from the user 70510 selecting the reporting frequency for the selected gateways to report data to the selected engines. The data from the gateways is thenceforth continuously moved or copied to the analysis engines by the system 10 utilizing the execution engine 500 according to the defined schedule, rules and pattern 70420, 70520.

Input is received from the user selecting "choose container level" 70300 from the gateway editor drop-down menu. A menu 70320 appears listing the classes of containers on the system within the defined subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, domain, appears. Input is received from the user selecting the class of containers. A graphic representation of that container level throughout the system appears. Input 70300 is received from the user selecting individual containers or all the containers in that class.

From the gateway editor drop-down menu input 70100 is received from the user selecting "data collecting" A menu of all possible gateways and analysis engines residing on gateways on the above defined container class appears, depicted graphically as a tree of analysis engines, and gateways at that container level. Input 70510 is received from the user selecting "source" from "source or destination." Input is received from the user selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level. Input 70510 is received from the user selecting." Input 30 70510 is received from the user selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine



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level. A time scheduler appears. Input 70510 is received from the user selecting the collecting frequency for the selected engines to collect data from the selected gateways. The data from the gateways is thenceforth continuously moved or copied to the analysis engines by the system 10 utilizing the execution engine 500 according to the defined schedule, rules and pattern.

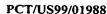
The data collection 700 means, utilizing the communication device 26 and an execution engine 500, comprises one or more subroutines or agents programmed to travel through the network collecting the accumulated data and analyses from selected analysis engines, gateways or selected subset level of analysis engines or gateways (as above) in a pattern and frequency defined by the gateway administrator at a given container level. Input 70510 is received from the user or administrator, defining the collection and reporting of data, thus controlling permission within his gateway, and being subject to permission levels defined by others beyond his gateway.

Input is received from the user or gateway administrator selecting collection or reporting 70100 and the system shows the container levels available 70200. Input is received from the 15 user selecting a container level 70300. Input is received from the user selecting "gateway" 70400 or "engine" 70500. The system shows gateways 70320 or engines 70330 associated with that level. Input is received from the user editing the reporting parameters associated with a gateway or a class of gateways 70410 or an engine or class of engines 70510. Input is received from the user selecting the collecting frequency for the chosen engines. When input is received 20 from the user choosing to user save the definition, the screen returns to the main container screen, step 70100 to make another selection available. Input is received from the user choosing to repeat the cycle, choosing "destination" to describe the destination analysis engines and the data collecting frequency from those destination analysis engines. The data collection means 700 collects the accumulated gateway information in a pattern and frequency defined by the 25 gateway administrator or user at a given container level.

The system utilizing the execution engine (see Fig. 10) distributes the new parameters to the gateways 70420 or engines 70520 by the communication device 26. Using the new parameters the gateways report to the analysis engines 70430 after, in some cases, conducting sub-analysis 70440, or using sub-analysis 70440 to submit directly to specified gateways under certain conditions and parameters, and the analysis engines collect from the gateways 70530.

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The analysis engine uploads, downloads and utilizes information to databases 900 to conducts its analysis.

The invention includes an analysis engine 400. Through the data reporting 600 means and data collection 700 the analysis engine 400 receives data and sub-analysis from the search interface and the gateways. Data includes, for each gateway 200, the frequency and grade of access, the description of the user accessing, the identity of the container 100 accessing, the register parameters, and the historically accumulated register data.

Referring now to FIG. 9, a flow chart of the operation of the analysis engine 400 is shown. Analysis engines 400 may reside at any gateway or anywhere in the system 10. The analysis engine 400, operating under its own programmed sequence, utilizing the communication device 26, works, by means of programmed rules of logical, mathematical, statistical or other analysis upon gateway and register information, in continuous interaction with the search process 410 and the data collection and reporting process 420 to analyze, determine and compile instructions 40100 on container construction 40110 to containerize in an automated process 40115, on container contents 40120 to move, copy or delete containers 40125, on storage schemes 40130 to move or copy containers to new storage 40135, on access routes 40140 to alter gateway pointers to sought information 40145, on search templates 40150 to add, delete or change search phrases and the referenced objects indicated by those search phrases 40155 and on gateway instructions 40160 to alter gateway registers and pointers 40165.

Thus, analyses might include, but are not limited to, the physical locus of the users accessing, the demographic classification of the users accessing, the access frequency for a given container, the range or curve of time relevance affecting a container, the range or region of space relevance affecting a container 100, the number or number of a specific type of container 100 transiting a gateway 200, the hierarchically graded usage of containers 100 or container contents 01 compared with the demographic of those users accessing the container, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300 compared with the demographic of the users accessing, the number of pertinent containers 30 nested within a given container 100. Once an analysis is accomplished, the result is compared to

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 pre-programmed rules triggering instruction sets (such as moving a container to nest within another container).

Instructions are then sent to the execution engine 40200, which utilizes the communication device 26 to execute the instructions derived from the analyses. These containerized instructions transit the gateways 40300 and are utilized in the gateway process (Fig. 12)

Referring now to FIG. 10, a flow chart of the operation of the execution engine is shown. The execution engine 400, operating under its own programmed sequence in response to the instructions from the analysis engine 50100, utilizing the communication device 26, works in continuous process as its containerized execution instructions transit the gateways 50200 to create containers 50210 in an automated containerization process 50215, alter container contents 50230 by moving or copying containers to new containers 50235, to alter storage 50240 by moving or copying containers to new storage 50245, to alter access routes 50250 by altering gateway pointers 50255, to alter search templates 50260 by adding, changing and deleting search phrases and the referenced objects indicated by those search phrases 50265, to alter gateway instructions 50270 by altering gateway registers and pointers 50275. The execution works in a continuous loop with the gateway process 50300, the data collection and reporting process 50400 and the analysis engine process 50300.

The invention includes gateways 200. Gateways may be placed and reside anywhere on the network where containers transit. Gateways also reside on any or all containers. The gateway reads and stores the chosen register information from transient containers entering or exiting its logical boundaries. The resident analysis search engine, if any, performs the specified level of analysis. Data and analysis is both held for the collection means according to the pattern and timing specified in the data reporting 600 editor and submitted according to the pattern and timing specified in the data collection means editor 700.

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The gateways are network-wide, hierarchical, and nestable, and reside with a container encompassing any component, digital code, file, search string, set, database, network, event or process and maintaining a unique lifelong network wide identity and unique in all the universe historical identity, or may be strategically placed at such container transit points to gather and store register information attached to any such container, according to system-defined, systemgenerated, or user determined rules residing in its registers defining the behavior of those



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containers and components as they exit and enter one another, or interact with one another or any system process or system component within the logical domain of that container, or after exiting and entering that container, or defining how they interact with that unique gateway.

Gateway's registers comprise both system-defined and user-defined registers, alterable by author, duration, location, network-wide history, individual container history and/or interaction with other containers, gateways, networks or media, and evolve according to that gateway's history on a computer network, or according to the network history of events and processes, or according to that information component's interaction with other information containers, components, system components, network events or processes.

10 Referring now to FIG. 11, a flow chart of the gateway editor is shown. From the main title bar input is received from the user selecting "containerize" or "gateway level" 20100. When input is received from the user selecting "containerize" the system enters the container editor process 110. When input is received from the user selecting "gateway," the system shows the gateway levels available 20200. A menu of all possible gateways within the subset Lil 15 and superset scheme of defined multiple hierarchically nested gateways appears. Input is received from the user selecting the gateway level 20300. The system searches the gateways 20500 to locate the available gateway templates 20700 and the available gateways 20600. Input is received from the user selecting the gateway 20610 or gateway level template 20720. The system goes to the gateway 20620 or to the template 20720. A graphic representation of the 20 chosen gateway 20630 or template 20730 appears. Input is received from the user to edit 20640 or create a gateway 20740. Once completed, input may be received from the user selecting "analysis level" from the gateway 200 drop-down menu, to select the level of analysis in a multi-level analysis sequence to be accomplished at the local level by a gateway-resident analysis engine. The user accesses the container editor to containerize (Fig. 5). Input is received 25 from the user selecting the registers by clicking on the graphically depicted register, or from a drop down menu.). Input is received from the user setting the registers as described elsewhere in ("container registers"). Input is received from the user selecting or defining the rules governing the interaction of that gateway with transient containers. Input is received from the user selecting or defining the rules governing the interaction of containers existing within the 30 logical domain of the container 100 to which that gateway is attached. The user publishes the gateway (Fig. 5). Input is received from the user selecting "residence" from the main menu bar.

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). Input is received from the user choosing to leave the gateway where it was created, move it to container on another drive, directory, computer, or network. If the user chooses "move," a browse function allows the user to name the new location or browse a list of possible locations. Once input is received from the user choosing the residence of the gateway, the program restores the search interface screen.

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The invention includes a data reporting means editor 610, and a data collection means editor 710, Fig. 2 A, as a menu option under the gateway editor 210.

The present invention also includes a gateway process.

Referring now to FIG. 12, a flow chart of the gateway process is shown. A system 10 operation, search process or element container or process container is shown in transit 21100 passing through a gateway 21200. The container, operation or process interacts with the gateway 21300, uploading, downloading and exchanging information with the container, operation or process. The gateway stores container information 21400 and the container registers are altered 21500. The container registers also interact with the search interface 21600. LI 15 The gateways report the register information or make it available for collection by the data reporting and collection means (Fig. 8) operating on the communication device 26 to provide the information to the analysis engine 21800, which stores 90100, analyzes and instructs the execution engine 21900, which processes and instructions are also stored 90100 by the execution engine upon receipt.

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All operations in Fig. 12 utilize the communication device 26 whenever necessary or expeditious.

Referring now to FIG. 13 A, a drawing of nested containers 100 prior to the container modification process on a network 201 is shown. (Note: The same container numbering scheme is used in Fig. 13 A, 13 B, 13 C, 13 D and in 2 B.) Information containers 25 505 and 909, residing within container 908, operating under the rules governing container interaction within that container 908 downloaded to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded from execution engine 500 acting under the direction of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare the specified (by those rules) set of registers 404120, 30 909120, i.e., time and space, and determine a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

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Referring now to FIG. 13 B a drawing of nested containers during a container modification process on a network 201 is shown. Container 404 is moved to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 5 upon egress or egress as programmed in the gateway editor 210 and modified by the execution engine 500 executing the instructions of the analysis engine 400, or any greater logical analysis engine 408 providing execution instructions to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records the register 10 information of container 404. The gateway 5051 reports the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds in gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or more data reporting processes 600, serving one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The 15 analysis engine 400, comparing reports of user hierarchically graded usage under the operations of the search engine 320 and the search interface 300, on information container 808 after receiving reports from the data reporting means of container 404 being moved to container 909 determines, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs the execution engine 500 to copy container .20 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the gateway of container 908, the gateway 9081 records the instruction. The copy instruction interacts with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved by the governing rules of registers 909120 appended to container 909, container 909 is duplicated. As the duplicate 25 container 909 exits the container 908, the gateway records the register information 909120 of container 909, and the registers 909120 of container 909 are altered by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. Passing through independent gateway 707, the register information 909120 30 is recorded, and awaits data collection or reporting 700, 600. As container 909 enters container 808, the gateway records the register information 909120 of container 909, the registers 909120

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of 909 are altered by special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence within container 808.

Referring now to FIG. 13 C, a drawing of nested containers after the container modification process on a network 201 process is shown. Container 909, now also logically residing within container 808, commences to interact with other containers 606 in 808 under the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised of container's 909 new duplicate residence. I.e., operating under the registers of space relevance, a body of law pertaining to Boston Municipal tax law may be housed in a container holding Massachusetts tax law, but it would be more appropriately located in a container holding Boston tax law, with only a pointer to that location residing in the Massachusetts tax law container. In this example, such an analysis could be accomplished by comparison of zip code information in the space registers, or logical rules-based analysis, with "state" being a larger set than "city". Or, i.e., operating under the registers of time relevance, the curve of time relevance for a concert might follow an ascending curve for the months prior, hit a brief plateau, and then reach a precipitous decline, at which time certain pertinent information only might be moved to an archival container of city events or rock concerts of that year. In this example, once the curve is mapped into a register, that map would cause an increasing frequency of pointers to that container in other containers or gateways, or inclusion of that container in other containers, as the analysis engine compares that curve with increasing user inquiry.

Referring now to Fig. 13 D, a flowchart of the reconstruction process is shown.

Information containers 505 and 909, residing within container 908, operating under the rules governing container interaction within that container 908 downloaded 888103 to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded 888102 from execution engine 500 acting under the direction 888101 of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare 888104 the specified (by those rules) set of registers 404120, 909120, i.e., time and space, and determine 888105 a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

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Container 404 is moved 888106 to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 upon egress or egress as programmed in the gateway editor 210 and modified 888108 by the execution engine 500 executing the 5 instructions of the analysis engine 400, or any greater logical analysis engine 408 providing execution instructions 888107 to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records 888109 the register information of container 404, and alters the register information of container 404. The gateway 5051 reports 10 888110 the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds 888111 in gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or more data reporting processes 600, serving 888112 one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The analysis engine 400, 15 comparing 888114 reports of user hierarchically graded usage on information container 808 under the operations of the search engine 320 and the search interface 300, after receiving 888113 reports from the data reporting means of container 404 being moved to container 909, determines 888115, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs 888115 the execution engine 500 to 20 copy container 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the gateway of container 908, the gateway 9081 records 888116 the instruction. The copy instruction interacts 888117 with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved 888118 by the governing rules of registers 909120 appended to container 909, 25 container 909 is duplicated 888118. As the duplicate container 909 exits the container 908, the gateway records 888119 the register information 909120 of container 909, and the registers 909120 of container 909 are altered 888120 by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. 30 Passing through independent gateway 707, the register information 909120 is recorded 888121, and awaits 888122 data collection or reporting 700, 600. As container 909 enters container 808,

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the gateway records 888123 the register information 909120 of container 909, the registers 909120 of 909 are altered 888124 by special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence 888125 within container 808.

Container 909, now also logically residing (in addition to its original container residence) within container 808, commences to interact 888126 with other containers 606 in 808 under the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised 888127 of container's 909 new duplicate residence.

Referring now to Fig. 14, the screen interface of the container editor is shown. This interface is a process wherein input is received by the user using the main menu 78 or drop down menu 1419, or using an input device to "drag and drop" or click, causing the system 10 to acquire 1409, edit 1410 or create 1411 a file 1407, container 1408 or digital content 01, to search for 1412, acquire 1413, edit 1414 or create 1415, print 1416, or containerize 1417 a container 100, to select 1402, (or by clicking on register), search 1403, acquire 1404, edit 1405, or create a register 1406 to append or detach registers 120 to those containers, to set register values in those registers 120, to utilize the register editor 125 through 1405 to create new registers, or to 1418 add, detach, acquire a gateway 200 to append or detach to those containers, and utilize the gateway editor 210 through 1418. (See detailed description referring to Fig. 5)

Referring now to Fig. 15, the screen interface of the gateway editor is shown. This interface is a process wherein input is received by the user using the main menu 1501 or drop down menu 1513, or using an input device to "drag and drop" or click, causing the system 10 to search for 1507, acquire 1508, edit 1509 create 1510, print 1511 or containerize 1512 gateways, and causing the system 10 to establish rules by which an individual gateway governs the transiting 1502, entering 1503, exiting 1504 of containers and the interaction of containers within its domain 1505, and external of its domain.1506. (See detailed description referring to Fig. 11).

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Referring now to Fig. 16, the screen interface of the search interface. This interface is a process wherein input is received by the user using the main menu 1625 or drop down menu

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1624, or using an input device to "drag and drop" or click, or by entering text, causing the system 10 to select 1615, search for 1616, acquire 1617, edit 1618 create 1619, print 1620, containerize 1621 (by accessing the container editor 110) or insert 1622 digital search strings into the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to select 1602, search for 1603, acquire 1604, edit 1605, create 1612, containerize 1613 (by accessing the container editor 110), or insert 1614 search keys (templates that comprise search scope in geographic range, container level, and specific key words or digital strings), or containerized searches (containers 110), into the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to set a search range by geographic range 1607, container level 1608, or acquire 1609, edit 1610 or create 1611 a scope template. (templates that comprise search scope in geographic search scope in geographic range and, container level.) (See detailed description referring to Fig. 6).

Referring now to Fig. 17, a drawing showing, on an input device or computer screen 24, in any generic (dashed lines) software application program, a drop-down menu link 1403 on a drop down menu 1402 dropping down from a main menu 1401, and a free-floating button link 1404, is shown. When input is received at 1402 or 1403, the system 10 makes available to the user the containerization process or container editor 110. When input is received at drop-down menu link 1405 or a button link 1406, the system 10 makes available to the user the means to enter and interact with this system 10 or this network 201 in any of their aspects. The interfaces

1403, 1404 show a process wherein input is received causing the system 10 to encapsulate content or access the container editor 110. The link also allows the user to encapsulate the page or file on which he is currently working, without selecting content, and if so desired, without accessing the container editor. The interfaces 1405, 1406 show a process wherein input is received causing the system 10 to access or interact with the system 10 or the network 201.

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The present invention also includes a search engine 320. Once the key word(s), phrase or digital segment is entered into the search interface 300, or an offered selection chosen on the menu, it is utilized by the search engine 320 to locate the desired site or data.

The search engine employed may be any industry standard search engine such as Verity "Topic", or Personal Library Software, as used in Dow Jones News Retrieval, or Internet search engines such as Webcrawler, Yahoo, Excite, Infoseek, Alexa or any Internet search engine, or

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any new engines to be developed capable of searching for and locating digital segments, whether text, audio, video or graphic.

The present invention also includes an analysis engine 400. Utilizing rules-based analysis, the analysis engine determines the class of storage medium upon which containers reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the containers, and the grade of access chosen by the user in accessing that container 100.

Utilizing a pre-programmed sequence of compilation, and inductive, deductive and derivative analysis, the analysis engine manufactures instructions based upon the analysis of the information submitted by the gateways and the search interface, and submits those instructions to the appropriate execution engine 500 in order to create new information containers, content assemblages, storage schemes, access routes, search templates, and gateway instructions, and others, and to provide informed search options through the search interface to the inquiring user.

15 The present invention also includes an engine editor 510, that provides a system administrator with a means of editing the operating principles of that search engine, and search template loading in the search interface 300, a reporting and collection means editor 610, 710, governing data reporting 600 and data collection 700 at the gateways 200 as defined by the gateway editor 210 and the register editor 125, a container editor 110 for creating and modifying 20 containers and appending registers to containers, a register editor 125 for creating and modifying container registers and establishing and adjusting the values therein, container gateways 200 with their own storage 205, information containers 100 for holding information and container registers for holding information about specific containers and their history on the network.

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The present invention also includes an execution engine 300. Based upon instructions received from the analysis engine 400 utilizing the communication device 26, the execution engine 500 provides search phrases to the search interface 300 based upon initially received inquiries, relocates containers including their programs, data and registers to other directories, drives, computers, networks on other classes of storage mediums, i.e., tape drive, optical drive, CD-ROM, deletes, copies, moves containers to nest within or encompass other containers on other directories, networks to nest within other containers, alters the class of



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storage medium upon which containers reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, and the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the container and the grade of access chosen by the user in accessing that container.

The execution engine 400 fulfills the instructions of the analysis search engine 500, to create new information containers, content sub and superset assemblages, storage schemes, access routes, search templates, gateway 200 instructions and other system functions. The execution engine includes an editor 510 that provides a system manager with a means of editing the operating principles of that search engine, governing data reporting, data collection 700, search template loading, gateway instructions, and other functions.

The present invention also includes flat or relational databases 900, used where, and as required.

The present invention also includes a communication device 26 supporting all operations on a network wide basis.

The present invention also includes a search engine 300 to locate the desired site or data. The present invention also includes databases 900, flat or relational, to serve the other components of the system as needed and where needed.

The present invention also includes editors, by which the user may alter the governing aspects of the system. Editors include, but are not limited to, a container editor 110, a register editor 125, a gateway editor 210, an engine editor 510, a reporting means editor 610, a search interface 300, and a collection means editor 710.

The present invention also includes specific screen interfaces for the editors, as described in Fig. 14, Fig. 15. and Fig. 16.

The present invention also includes a means for this system 10 and network 201 or container editor 110 to be accessed from a menu or button selection within any program, as described in Fig. 17.

While the present invention has been described with reference to certain preferred embodiments, those skilled in the art will recognize that various modifications may be provided.

30 For example, both analysis engine and execution engine may be duplicated or modified for distribution at various locations and hierarchical positions in the gateway and container system

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throughout the network and designed to work in concert. Also, the physical computing infrastructure may be mainframe, mini, client server or other with various network and distributed computing designs, including digitally supported or based physical or public media, and the components of the system 10, as described in Fig. 1 may be physically distributed 5 through space. Even the contents of a single container may be logically referenced but be physically distributed through the network and reside at multiple storage locations. The whole system may be hierarchically nested within other systems to the nth degree. Whole systems may also be encapsulated within containers. A single container may also encompass a single physical media, such as a CD-ROM disk, programmed with the container, gateway and register design. 10 Gateways may be strategically placed on containers at ingress and/or egress points or may be placed strategically throughout the system for optimal collection and reporting output and gateway system control. Also, the loop of gateway data collection and reporting, analysis engine analysis, instruction, and gateway modification, and execution engine operations may be infinitely nested, from the smallest container of two sub-containers to whole networks holding 15 millions of containers and thousands of levels, with analysis itself nested within the multiple levels. Gateways may be established at both logical and physical junctures such as a satellite uplink point. Also, the provision to establish a unique network identity might be designed to include as of yet unknown computer networks as they arise. The analysis and execution engines may operate on a rules-based, fuzzy logic, artificial intelligence, neural net, or other system not 20 yet devised. Other variations upon and modifications to the preferred embodiments are provided for by the present invention, which is limited only by the following claims. Also, the classification scheme of nested containers, while designated by the container creators, may transform, be utilized otherwise, or be wholly discarded according to usage. Also, hardware configurations, such as the use of RAM or hard drives for storage or lasers for communication may assume myriad forms without altering the essential operation of this invention.

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WHAT IS CLAIMED IS:

An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element;

a plurality of registers, the plurality of register forming part of the container, a first register of the plurality of registers for storing a unique container identification value, a second register of the plurality of registers that stores information and evolves according to the relationship, use and interaction of the container with other containers, processes and systems; and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems and process.

2. The apparatus of claim 1, wherein the information element is one from the group of text, graphic images, video, audio, a digital pattern, a process, a nested container, bit, natural number and a system.

3. The system of claim 1, wherein the plurality of registers include at least one container history register for storing information regarding past interaction of the container with other container, system or processes, the container history register being modified.

The system of claim 1, wherein the plurality of registers include at least one
 system history register for storing information regarding past interaction of the container with different operating system and network processes.

5. The system of claim 1, wherein the plurality of registers include at least one predefined register, the predefined register being a register associated with an editor for user selection, the predefined register appendable to any container.

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6. The system of claim 1, wherein the plurality of registers include a user-created register, the user-created register generated by the user, one or more of which is appendable to any container.

7. The system of claim 1, wherein the plurality of registers include a systemdefined register, the system-defined register set, controlled and used by the system, one or more of which is appendable to any container.

8. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers, systems and processes using time as a parameter.

9. The system of claim 8, wherein the plurality of registers include: an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways;

an passive time register for identifying times at which the container can be acted upon other containers, processes systems, or gateways; and

a neutral time register for identifying times at which the container may interact with other containers.

10. The system of claim 1, wherein the plurality of registers include at least one acquire register for controlling whether the container adds a register or a container from other containers when interacting with them.

11. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers using space as a parameter.

12. The system of claim 11, wherein space refers to the geographic location of a the container.

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13. The system of claim 11, wherein space refers to the logical address space of a network in which a container resides.

14. The system of claim 11, wherein the plurality of registers include:
 an active space register for identifying space in which the container will act upon other
 containers, processes, systems or gateways;

an passive space register for identifying from which the container can be acted upon other containers, processes systems, or gateways; and

a neutral time register for identifying space in which the container may interact with other containers.

15. The system of claim 1, wherein the gateway includes means for acting upon another container, the means for acting upon another container using the plurality of register to determine whether and how the container acts upon other containers.

16. The system of claim 1, wherein the gateway includes means for allowing interaction, the means for allowing interaction using the plurality of registers to determine whether and how another container can act upon the container.

17. The system of claim 1, wherein the gateway includes means for gathering information, the means for gathering information recording register information from other containers, systems and processes that interact with the container.

18. The system of claim 1, wherein the gateway includes means for reporting
20 information, the means for reporting information providing register information to other containers, systems and processes that interact with the container.

19. The system of claim 1, wherein the gateway includes an expert system including rules defining the interaction of the container with other containers, systems and processes.

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A method for creating an interactive information container, the method including the steps of:

forming a container;

selecting an interactive register for the container; identifying an item for inclusion in a container; and creating a container element that includes the identified item.

21. The method of claim 20, wherein the step of forming a container further comprising the steps of:

displaying a plurality of container levels;

receiving input/from a user selecting one of the displayed container level; and displaying a container template corresponding to the container level input.

22. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

displaying a list of available registers;

receiving input selecting an available register from the list of available registers; receiving input values for the selected available register; and appending the register to the container.

23. The method of claim 20, wherein the step of creating a container element that includes the identified item further comprising the steps of:

providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

24. The method of claim 20, wherein the step of forming a container includes the step of providing for the container a gateway that uses the interactive register to control the
25 interaction of the container with other containers, processes, and systems.

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The method of claim 24, wherein the step of providing a gateway further 25. comprising the steps of:

determining a current gateway for a system upon which the container is being created; replicating the current gateway to create a new gateway; and associating the new gateway with the container.

26. The\method of claim 24, wherein the step of providing a gateway further comprising the steps of:

determining a tegister for a system upon which the container is being created; replicating the determined register to create a new register; and associating the new register with the container.

27. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

retrieving a list of available registers;

selecting an available register from the list of available registers by the system; receiving input values for the selected available register from the system; and appending the register to the container.

28. The method of claim 20, wherein the step of creating a container element that includes the identified item is performed by a system interacting with the container, and further comprising the steps of:

providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

29. A method for interacting between a first interactive information container and a second interactive information container, the method including the steps of:

determining identification information for the first container using a first gateway; determining identification information for the second container using a second gateway;

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determining whether the first container can act upon the second container using the first gateway and a register of the first container;

determining whether the second container can be acted upon by the first container using the second gateway and a register of the second container; and

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performing the interaction between the first and second containers prescribed by the first gateway and the register of the first container if both the first container can act upon the second container and the second container can be acted upon by the first container.

30. The method for interacting of claim 29, wherein the steps of determining identification information are performed by reading respective identification registers of the first and second containers

The method for interacting of claim 29, further comprising the step of altering a 31. register of the first container and a register of the second container to reflect the interaction between the first container and the second container.

32. The method for interacting of claim 29, further comprising the step of adding **(15** registers to the first container/based on the registers in the second container and the second gateway.

33. The method for interacting of claim 29, wherein the step of performing also uses the second gateway and the register of the second container to determine the prescribe action to be taken.

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The method for interacting of claim 29, further comprising the steps of: 34. determining whether the first container should add an identified register of the second container as a new register of the first container using an acquire register and the first gateway of the first container; and

adding the new register to the first container if it is determined that the new register should be added to the first container.

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35. The method for interacting of claim 29, further comprising the step of modifying the first gate way of the first container based on the interaction between the first container and the second container.

36. The method for interacting of claim 35, wherein the step of modifying includes modifying rules of an expert system that forms the first gateway of the first container.



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I believe I am the original, f plural names are listed below	As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS								
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	[] Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attack									
	As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to trabusiness in the Patent and Trademark Office connected therewith:									
	Name		Registratio	on		Name			Registration	
	Greg T. Su	eoka	Number 33,800				<u> </u>		Number	
	James K. Ok	amoto	_40,110	-						
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	[] Additional attorney(Please direct all corresponden		med on a supp	lemental	sheet attac	ched hereto.				
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	Telephone (650) 858-	7194			Fax	(650) 494	-1417	······································		
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LOD	Name Michael		Initial	Nar		Angelo			.g. Jr.	
r 0	Inventor's Signature	îhae/Di	if youle			Dat	° Ma	vil s	, 1999 , 1999	
	Residence: City Santa	Barbara	State	CACAO	Country	USA	Cit	izenship		
	Mailing Address 132	4 J State Street, Su	ite 290		•					
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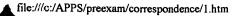
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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS	Docket Number (Optional):
(37 CFR 1.9(f) & 1.27(c))–SMALL BUSINESS CONCERN	· 3726
Applicant or Patentee: Michael De Angelo Application or Patent No.:	
Filing Date or Issue Date:	
Title: System And Method For Creating And Manipulating Information Containers W	
I hereby declare that I am	III D Hanne Archigers
[] the owner of the small business concern identified below:	
[X] an official of the small business concern empowered to act on behalf of the concern	identified below:
NAME OF SMALL BUSINESS CONCERN Ematrix Corporation	
ADDRESS OF SMALL BUSINESS CONCERN 104 West Anapamu, Suite C	
<u>Santa Barbara, California 93101</u> I hereby declare that the above identified small business concern qualifies as a smal	1 huginess concern as defined in
13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the Trademark Office, in that the number of employees of the concern, including those of its affil For purposes of this statement, (1) the number of employees of the business concern is the ave of the concern of the persons employed on a full-time, part-time or temporary basis during easy year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern control the other, or a third party or parties controls or has the power to control both.	United States Patent and iates, does not exceed 500 persons. erage over the previous fiscal year ch of the pay periods of the fiscal
I hereby declare that rights under contract or law have been conveyed to and remain dentified above with regard to the invention described in:	with the small business concern
[X] the specification filed herewith with title as listed above.	
 [] the application identified above. [] the patent identified above. 	
If the rights held by the above identified small business concern are not exclusive, e organization having rights in the invention must file separate verified statements averring to the ights to the invention are held by any person, other than the inventor, who would not qualify 17CFR 1.9(c) if that person made the invention, or by any concern which would not qualify a 17 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).	heir status as small entities, and no as an independent inventor under
Each such person, concern or organization having any rights in the invention is liste	d below:
 [X] No such person, concern, or organization exists. [] Each such person, concern or organization is listed below: 	
Separate verified statements are required from each named person, concern or organ invention averring to their status as small entities. (37 CFR 1.27)	lization having rights to the
I acknowledge the duty to file, in this application or patent, notification of any chan entitlement to small entity status prior to paying, or at the time of paying, the earliest of the iss after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))	
I hereby declare that all statements made herein of my own knowledge are true and information and belief are believed to be true; and further that these statements were made with statements and the like so made are punishable by fine or imprisonment, or both, under section States Code, and that such willful false statements may jeopardize the validity of the application any patent to which this verified statement is directed.	th the knowledge that willful false n 1001 of Title 18 of the United
NAME OF PERSON SIGNING <u>Michael De Angelo</u>	
TITLE OF PERSON IF OTHER THAN OWNEROfficer	Ma =
ADDRESS OF PERSON SIGNING 104 West Anapamu, Suite C. Santa Barbara, California	a 93101
SIGNATURE DATE DATE	April 5, (999

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Bib Data Sheet



Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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SERIAL NUMBER: 09 / 284113 RECEIPT DATE: 04 /07 / 99 IA NUMBER: PCT/ US99 / 01988 IA FILING DATE: 01 7 28 7 99 FAMILY NAME: DE ANGELO DELAY WAIVED (Y/N): Y GIVEN NAME: MICHAEL DEMAND RECEIVED (Y/N): ÷Ν PRIORITY CLAIMED (Y/N): Y PRIORITY DATE: 01 / 30 7 98 NO BASIC FEE (Y/N): N US DESIGNATED ONLY (Y/N): N ATTORNEY DOCKET NUMBER: 3726 US COUNTRY: USX CORRESPONDENCE NAME/ADDRESS: CUSTOMER NUMBER: 0000000 TELEPHONE 00000000000 FAX 00000000000 NAME: GREG T SUEOKA FENWICK & WEST STREET: TWO PALO ALTO SQUARE CITYS PALO ALTO STATE/COUNTRY: CA ZIP: 94306 EMAIL: APPLICATION TITLES: SYSTEM AND METHOD FOR CREATING AND MANIPULATING

INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

TAB TO LAST POSITION, PUSH SEND

Petitioner Apple Inc. - Exhibit 1002, p. 172



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE *U.S. GP0:1998-454-473/90301 Petitioner Apple Inc. - Exhibit 1002, p. 174

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Petitioner Apple Inc. - Exhibit 1002, p. 175

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U.S. Appl. No. 09/284113	International Appl. No. <u>US99/01988</u>
Application filed by : 20 m	ouths 🖸 30 months
Publication No.: WO99/39285 Publicatio Publication Date: 8)599 · Not Public INTERNATIONAL APPLICATION	
 International Application (RECORD COPY) Article 19 Amendments PCT/IB/331 PCT/IPEA/409 IPER (PCT/IPEA/416 on front) Annexes to 409 Priority Document (s) No 	 International Appl. on Double Sided Paper (COPIES MADE) Request form PCT/RO/101 PCT/ISA/210 - Search Report Search Report References Other :
RECEIPTS FROM THE A	PLICANT (other than checked above) :
Baisc National Fee (paid or authorized to charge)	Preliminary Amendment(s) Filed on :
Description	Information Disclosure Statement(s) Filed on :
Words in the Drawing Figure(s)	Assignment Document Power of Attorney/ Change of Address
Article 19 Amendments Annexes to 409 C entered not entered	Substitute Specification Filed on :
Oath/Declaration (executed) DNA Diskette	Verified Small Status Claim (If submitted after Receipt Date - Is it timely YY) (V) Other :
NOTES: Uper TA	
NOIES: UDICIA	
U.S.C. 371 - Receipt of Request (PTO-1390) April 7, te Acceptable Oath/ Declaration Received	1999
2 Complete 35 U.S.C. 371	
(e) Date	
e of Completion of DO/EO 906 - Notification of Missing 102(e) Require	cments
e of Completion of DO/EO 907 - Notification of Acceptance for 102(c)	
e of Completion of DO/EO 911 - Application Accepted Under 35 U.S.C	
e of Completion of DO/ EO 905 - Notification of Missing Requirements	
e of Completion of DO/ EO 916 - Notification of Defective Response	
e of Completion of DO/ EO 903 - Notification of Acceptance	
ef Completion of DO/ EO 909 - Notification of Abandonment	N. 12, 2000

PATENT COOPERATION TREAT

¥ 1	PCT/USS
• PATENT COOP	PERATION TREATY
J J	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF ELECTION	Assistant Commissioner for Patents United States Patent and Trademark
(PCT Rule 61.2)	Office
	Box PCT Washington, D.C.20231
Date of mailing (day/month/year)	ÉTATS-UNIS D'AMÉRIQUE
13 December 1999 (13.12.99)	in its capacity as elected Office
International application No.	Applicant's or agent's file reference
PCT/US99/01988	3726PCT
International filing date (day/month/year) 28 January 1999 (28.01.99)	Priority date (day/month/year) 30 January 1998 (30.01.98)
Applicant	
DE ANGELO, Michael	
2. The election X was	
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	ority date or, where Rule 32 applies, within the time limit under
made before the expiration of 19 months from the price	ority date or, where Rule 32 applies, within the time limit under
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made before the expiration of 19 months from the price	ority date or, where Rule 32 applies, within the time limit under
made before the expiration of 19 months from the pric Rule 32.2(b).	

Form PCT/IB/331 (July 1992)

Petitioner Apple Inc. - Exhibit 1002, p. 177

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PATE 5610 PCT INFORMATION CONCERNIN OFFICES NOTIFIED OF THEIF (PCT Rule 61.3)	2700 g elected	ATION TREA From the INTERN To: SUEOKA, Greg Fenwick & We Two Palo Alto Palo Alto, CA ÉTATS-UNIS D	ATIONAL BUREA	D,C
13 December 1999 (13.12.99)		L		
Applicant's or agent's file reference 3726PCT		IMPOI	RTANT INFORMAT	1014
International application No. PCT/US99/01988	-	late (day/month/year) 1999 (28.01.99)	Priority date (day/mon 30 January 19	
Applicant EMATRIX CORPORATIO	N et al			
 EP :AT,BE,CH,CY,DE,DK,ES,F National :AU,BG,BR,CA,CN,C 2. The following Offices have waived the by the International Bureau only upon t EA :AM,AZ,BY,KG,KZ,MD,RL OA :BF,BJ,CF,CG,CI,CM,GA, National :AL,AM,AT,AZ,BA,E IN,IS,KE,KG,KZ,LC,LK,LR,LS, TR,TT,UA,UG,UZ,VN,YU,ZW 3. The applicant is reminded that he must before each of the Offices listed above. translation of the international applicat annexes of the international preliminar 	CZ,DE,IL,JP,KP,KI requirement for the m heir request: J,TJ,TM GN,GW,ML,MR,M B,BY,CH,CU,DK, LT,LU,LV,MD,MC enter the "national p This must be done b ion (Article 39(1)(a)), y examination report	R,MN,NO,NZ,PL,RC otification of their elect E,SN,TD,TG EE,ES,FI,GB,GD,GE G,MK,MW,MX,PT,S ohase" before the expir base" before the expir of the national fe as well as, where applic (Article 36(3)(b) and Ru	E,GH,GM,HR,HU,ID E,GH,GM,HR,HU,ID D,SG,SI,SL,TJ,TM, ation of 30 months from re(s) and furnishing , if p vable, by furnishing a tra- le 74.1).	, the priority date prescribed, a anslation of any
Some offices have fixed time limits exp applicable time limits and the acts to b	piring later than the a performed upon en	bove-mentioned time li try into the national pha	mit. For detailed inform ise before a particular C	ation about the
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The entry into the European regional p	hase is postponed un batent. WIPO Ittes	til 31 months from the		mice, see volume i

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Form PCT/IB/332 (September 1997)

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		(PCT Article 36 and R		AIL ROO
Applicant's or agent's 3726 PCT	s file reference			cation of Transmittal of Internat Examination Report (Form PCT/IPEA/
International applicati	on No.	International filing date (day/month/y	ar)	Priority date (day/month/year)
PCT/US99/01988		28 JANUARY 1999		30 JANUARY 1998
Please See Suppleme Applicant EMATRIX CORPO				
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23 JULY 1999	26 NOVEMBER 1999
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer RUAY LIAN HO
Facsimile No. (703) 305-3230	Telephone No. (703) 305-3834

Form PCT/IPEA/409 (cover sheet) (January 1994) *

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I	NTERN	NATIONAL PRE	LIMINARY EXAMINA	TION REPORT	International application No. PCT/US99/01988
I. B	asis of	the report	ð		<u> </u>
			e basis of (Substitute sheets which report as "originally filed" and an		ing Office in response to an invitation they do not contain amendments):
	x		al application as origina		-
		the description	, pages (See Attached)	, as originally filed.	
		•		, filed with the deman	nd.
			pages	, filed with the letter	of
			pages	, filed with the letter	of
	x	the claims,	Nos. (See Attached)	, as originally filed.	
				, as amended under Ar	rticle 19.
			Nos	, filed with the deman	d.
			Nos	, filed with the letter c	of
			Nos	, filed with the letter of	of
	x	the drawings,	sheets/fig (See Attached), as originally file	ed.
	<u> </u>	-		, filed with the do	
			sheets /fig	, filed with the le	tter of
			sheets /fig	, filed with the le	tter of
2. The	e ameno	iments have result	ted in the cancellation of:		
	x	the description,	, pages <u>NONE</u>	·	
		the claims,	Nos. NONE	<u></u> .	
		the drawings,	sheets/fig NONE		
		-			een made, since they have been conside
	tog	to beyond the discl	osure as filed, as indicated i	in the Supplemental-Box A	Additional observations below (Rule 70.2
	dition	al observations, i	f necessary:		
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		A/409 (Box I) (Jan			

Petitioner Apple Inc. - Exhibit 1002, p. 180

INTERNATIONAL	. PRELIMINARY	EXAMINATION	REPORT
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International application No. PCT/US99/01988

STATEMENT			
Novelty (N)	Claims	1-36	_ YE
	Claims	NONE	_ NC
Inventive Step (IS)	Claims	1-36	YE
	Claims	NONE	_ NC
Industrial Applicability (IA)	Claims	1-36	_ YE
	Claims	NONE	_ NC
NEW CITATIONS			

Form PCT/IPEA/409 (Box V) (January 1994) *

Petitioner Apple Inc. - Exhibit 1002, p. 181

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT	International application No. PCT/US99/01988
Supplemental Box To be used when the space in any of the preceding boxes is not sufficier	nt)
Continuation of: Boxes I - VIII	Sheet 10
CLASSIFICATION: The International Patent Classification (IPC) and/or the National classif IPC(6): G06F 17/30, 3/14 and US Cl.: 707/1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 100, 205, 206; 709/202, 203, 218, 228; 713/200, 201	fication are as listed below: , 101, 102, 103, 104, 200, 201, 202, 203, 204
I. BASIS OF REPORT:	
This report has been drawn on the basis of the description, pages, 1-42, as originally filed. pages, NONE, filed with the demand. and additional amendments: NONE	
This report has been drawn on the basis of the claims, numbers, 1-36, as originally filed. numbers, NONE, as amended under Article 19. numbers, NONE, filed with the demand. and additional amendments: NONE	
This report has been drawn on the basis of the drawings, sheets, 1-30, as originally filed. sheets, NONE, filed with the demand. and additional amendments: NONE	

Ċ	_ 101	ECTUA Interna	I PROPERTY ORGANIZATION Ational Bureau JNDER THE PATENT COOPERATION TREATY (PCT)
	(51) International Patent Classification ⁶ :		(11) International Publication Number: WO 99/39285
	G06F 17/30, 3/14	A1	(43) International Publication Date: 5 August 1999 (05.08.99)
1	 (21) International Application Number: PCT/US99/019 (22) International Filing Date: 28 January 1999 (28.01.9 (30) Priority Data: 60/073,209 30 January 1998 (30.01.98) (71) Applicant (for all designated States except US): EMATR CORPORATION [US/US]; 104 West Anapamu, Santa B bara, CA 93101 (US). 		 BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, X
	 (72) Inventor; and (75) Inventor/Applicant (for US only): DE ANGELO, [US/US]; Suite 290, 1324 J State Street, Santa Bar 93101 (US). (74) Agents: SUEOKA, Greg, T. et al.; Fenwick & West L Palo Alto Square, Palo Alto, CA 94306 (US). 	bara, C	A With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of

(54) Title: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

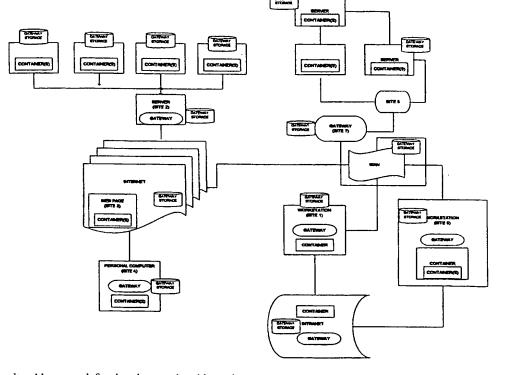
(57) Abstract

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A system for creating and manipulating information containers with dynamic registers on a multi-user computer system, or computer network comprises an interactive information container, a container editor, a search interface, a user profile, system-wide hierarchical container gateways (site 7), interactive and evolving container registers, a data collection means, a data reporting means, an analysis engine with editor, an executing engine with editor, and a means of communicating with other computers, computer networks, or digital-based public or published media. The container editor provides an authoring user with the capacity to encapsulate any information component such as a file, set, database, network, event or process, and a set of parameters of multiple container registers to govern the interaction of that container with other containers or processes. The container regis-



ters include system-defined, system-alterable, user-defined and user-alterable registers.



INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/01988

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G06F 17/30, 3/14

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

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Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched MICROSOFT COMPUTER DICTIONARY

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS, PRO-QUEST

C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
A	US 5,768,510 A (GISH et al) 16 June	1998, column 5.	1-36	
A	US 5,848,246 A (GISH et al) 08 Dece	ember 1998, column 5.	1-36	
- Furt	her documents are listed in the continuation of Box C	See patent family annex.		
	ecial categories of cited documents: cument defining the general state of the art which is not considered	"T" later document published after the int date and not in conflict with the app the principle or theory underlying the	lication but cited to understand	
to	be of particular relevance rlier document published on or after the international filing date	 *X* document of particular relevance; th considered novel or cannot be consider 	e claimed invention cannot be	
cit	current which may throw doubts on priority claim(s) or which is ad to establish the publication date of another citation or other	"Y" document of particular relevance; th	-	
•0• do	ectal reason (as specified) cument referring to an oral disclosure, use, exhibition or other cans	considered to involve an inventive combined with one or more other suc being obvious to a person skilled in (step when the document is h documents, such combination	
	cument published prior to the international filing date but later than e priority date claimed	*& document member of the same paten	t family	
Date of the	actual completion of the international search	Date of mailing of the international sea	arch report	
03 JUNE	1999	15 JUN 1999		
Commissio	nailing address of the ISA/US oner of Patents and Trademarks	Authorized officer For Plan	ic Dec	
Box PCT Washington	n, D.C. 20231	RUAY LIAN HO AUGON	va Zogan	
Facsimile N	lo. (703) 305-3230	Telephone No. (703) 305-3834	- /	

Form PCT/ISA/210 (second sheet)(July 1992) *

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International application No. PCT/US99/01988

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A. CLASSIFICATION OF SUBJECT MATTER: US CL :

707/1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 100, 101, 102, 103, 104, 200, 201, 202, 203, 204, 205, 206; 709/202, 203, 218, 228; 713/200, 201

B. PIELDS SEARCHED Minimum documentation searched Classification System: U.S.

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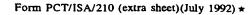
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707/1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 100, 101, 102, 103, 104, 200, 201, 202, 203, 204, 205, 206; 709/202, 203, 218, 228; 713/200, 201



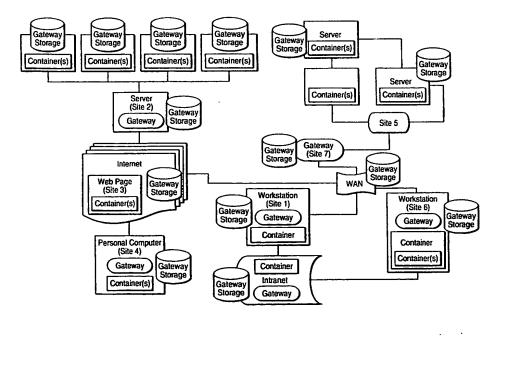
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CORRECTED VERSION*	09/	277,
	Interna	DER THE PATENT COOPERATION TREATY (PCT)
(51) International Patent Classification ⁶ : G06F 17/30, 3/14	A1	NDER THE PATENT COOPERATION TREATS (TCT)(11) International Publication Number:WO 99/39285(43) International Publication Date:5 August 1999 (05.08.99)
(21) International Application Number: PCT/US (22) International Filing Date: 28 January 1999 (BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,
(30) Priority Data: 60/073,209 30 January 1998 (30.01.98)	τ	MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU,
(71) Applicant (for all designated States except US): E CORPORATION [US/US]; 104 West Anapamu, S bara, CA 93101 (US).	MATR Santa Ba	FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent
 (72) Inventor; and (75) Inventor/Applicant (for US only): DE ANGELO [US/US]; Suite 290, 1324 J State Street, Santa Ba 93101 (US). 	, Micha rbara, C	Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of
(74) Agents: SUEOKA, Greg, T. et al.; Fenwick & West Palo Alto Square, Palo Alto, CA 94306 (US).	LLP, TY	^o amendments. RECEIVED
		JUL 1 9 2000
		Group 2700

(54) Title: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

(57) Abstract

A system for creating and manipulating information containers with dynamic registers on a multi-user computer system, or computer network comprises an interactive information container, a container editor, a search interface, a user profile, system-wide hierarchical container gateways (site 7), interactive and evolving container registers, a data collection means, a data reporting means, an analysis engine with editor, an executing engine with editor, and a means of communicating with other computers, computer networks, or digital-based public or published media. The container editor provides an authoring user with the capacity to encapsulate any information component such as a file, set, database, network, event or process, and a set of parameters of multiple container registers to govern the interaction of that container with other containers or processes. The container regis-



ters include system-defined, system-alterable, user-defined and user-alterable registers.

FOR THE PURPOSES OF INFORMATION ONLY

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AL.	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Агтеліа	FI	Finland	LT	Lithuania	SK	Slovakia
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ΔŽ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
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н	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
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СМ	Cameroon		Republic of Korea	PL	Poland		
IN	China	KR	Republic of Korea	РТ	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
Z	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
ж	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

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SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to computer systems in a multi-user mainframe or mini computer system, a client server network, or in local, wide area or public networks, and in particular, to computer networks for creating and manipulating information containers with dynamic interactive registers in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by offering the means to create and manipulate information containers with dynamic registers.

2. Description of the Related Art

In the present day, querying and usage of information resources on a computer network 15 is accomplished by individuals directing a search effort by submitting key words or phrases to be compared to those key words or phrases contained in the content or description of that information resource, with indices and contents residing in a fixed location unchanging except by human input. Similarly, the class of storage medium upon which information resides, it class and subclass organizational structures, and its routes of access all remain fundamentally 20 unaltered by ongoing user queries and usage. Only the direct and intended intervention of the owner of the information content or computer hosting site changes these parameters, normally accomplished manually by programmers or systems operators at their own discretion or the discretion of the site owner.

There exists currently in the art a limited means of interfacing a computer user with the information available on computer networks such as the world wide web. Primarily, these means are search engines. Search engines query thousands or tens of thousands of index pages per second to suggest the location of information while the user waits. While factual information can be accessed, the more complex, particular or subtle the inquiry, the more branches and sub-branches need to be explored in a time consuming fashion in order to have any chance of success. Further, there are no such automatic devices that reconstruct the information into more useful groupings or makes it more accessible according to factors attached to the content by the content creator such as the space or time relevancy of its content,

SUBSTITUTE SHEET (RULE 26) Petitioner Apple Inc. - Exhibit 1002, p. 188

or factors attached to the content by the system's compilation and analysis of the accumulated biography of that specific content's readership.

The utility of wide area and public computer networks is thus greatly limited by the static information model and infrastructure upon which those networks operate.

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One problem is that on a wide area or public network, specific content such as a document remains inert, except by the direct intervention of users, and is modified neither by patterns or history of usage on the network, or the existence of other content on the network.

Another problem is that content does not reside in an information infrastructure conducive to reconstruction by expert rule-based, fuzzy logic, or artificial intelligence based
systems. Neither the intelligence of other information users nor the expert intelligence of an observant network computer system can be utilized in constructing, or re-constructing information resources. Where content resides in a fixed location and structure, "information" becomes something defined by the mind of the information provider rather than the mind of the information user, where the actual construction and utility of information exists.
Information remains, like raw ore, in an unrefined state.

Another problem is that the class of storage medium upon which data resides cannot be system or user managed and altered according to the actual recorded and analyzed hierarchically graded usage of any given information resource residing on that storage medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

Another problem is that information resource groupings remain fixed on the given storage medium location according to the original installation by the resource author, not altered according to the actual recorded and analyzed hierarchically graded usage of that given information resource. Content itself remains inert, with no possibility of evolution.

A further problem with the prior art is that neither the search templates generated by those more knowledgeable in a given field of inquiry, nor the search strategies historically determined to be successful, or system-constructed according to analyses of search strategies historically determined to be successful, are available to inquiring users. A search template is here defined as one or more text phrases, graphics, video or audio bits, alone or in any defined outline or relational format designed to accomplish an inquiry. Internet or wide area network search may return dozens of briefs to a keyword or key phrase inquiry sometimes requiring the

time-consuming examination of multiple information resources or locations, with no historical relation to the success of any given search strategy.

A further problem is that there is limited means to add to, subtract from, or alter the information content of documents, databases, or sites without communicating with the owners or operators of those information resources, e.g., contacting, obtaining permission, negotiating and manually altering, adding or subtracting content. Additionally, once so altered, there is not a means to derive a proportionate value, and thereby a proportionate royalty as the information is used.

A final problem is that the physical residence of a body of data or its cyberspace location may not serve its largest body of users in the most expedient manner of access. Neither the expert intelligence of other information users nor the expert intelligence of an observant computer system is presently utilized by inherent network intelligence to analyze, re-design and construct access routes to information medium except by statistical analysis of universal, undefined "hits" or visits to that page or site.

15 Therefore, there is a need for a system and methods for creating and manipulating information containers with dynamic interactive registers defining more comprehensive information about contained content in a computer, media or publishing network, in order to manufacture information on, upgrade the utility of, and develop intelligence in, a computer network by providing a searching user the means to utilize the searches of other users or the historically determined and compiled searches of the system, a means to containerize information with multiple registers governing the interaction of that container, a means to reclassify the storage medium and location of information resources resident on the network, a means to allow the reconstruction of content into more useful formations, and a means to reconstruct the access routes to that information.

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SUMMARY OF THE INVENTION

The present invention is a system and methods for manufacturing information on, upgrading the utility of, and developing intelligence in, a computer or digital network, local, wide area, public, corporate, or digital-based, supported, or enhanced physical media form or public or published media, or other by offering the means to create and manipulate information containers with dynamic registers.

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The system of the present invention comprises an input device, an output device, a processor, a memory unit, a data storage device, and a means of communicating with other computers, network of computers, or digital-based, supported or enhanced physical media forms or public or published media. These components are preferably coupled by a bus and configured for multi-media presentation, but may also be distributed throughout a network according to the requirements of highest and best use.

The memory unit advantageously includes an information container made interactive with dynamic registers, a container editor, a search interface, a search engine, a search engine editor, system-wide hierarchical container gateways interacting with dynamic container registers, a gateway editor, a register editor, a data collection means with editor, a data reporting means with editor, an analysis engine with editor, an executing engine with editor, databases, and a means of communicating with other computers as above. These components may reside in a distributed fashion in any configuration on multiple computer systems or networks.

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The present invention advantageously provides a container editor for creating containers, containerizing storing information in containers and defining and altering container registers. A container is an interactive nestable logical domain configurable as both subset and superset, including a minimum set of attributes coded into dynamic interactive evolving registers, containing any information component, digital code, file, search string, set, database, network, event or process, and maintaining a unique network-wide lifelong identity.

The container editor allows the authoring user to create containers and encapsulate any information component in a container with registers, establishing a unique network lifelong identity, characteristics, and parameters and rules of interaction. The authoring user defines and sets the register with a starting counter and/or mathematical description by utilizing menus and simple graphing tools or other tools appropriate to that particular register. The registers determine the interaction of that container with other containers, system components, system gateways, events and processes on the computer network.

Containers and registers, upon creation, may be universal or class-specific. The editor provides the means to create system-defined registers as well as the means to create other registers. The editor enables the register values to be set by the user or by the system, in which case the register value may be fixed or alterable by the user upon creation. Register values are

evolving or non-evolving for the duration of the life of the container on the system. Evolving registers may change through time, space, interaction, system history and other means.

System-defined registers comprise: (1) an historical container register, logging the history of the interaction of that container with other containers, events and processes on the network, (2) an historical system register, logging the history of pertinent critical and processes on the network, (3) a point register accumulating points based upon a hierarchically rated history of usage, (4) an identity register maintaining a unique network wide identification and access location for a given container, (5) a brokerage register maintaining a record of ownership percentage and economic values, and others.

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The present invention also includes user-defined registers. User defined registers may be created wholly by the user and assigned a starting value, or simply assigned value by the user when that register is pre-existent in the system or acquired from another user, and then appended to any information container, or detached from any container.

Exemplary user-defined registers comprise (1) a report register, setting trigger levels for 15 report sequences, content determination and delivery target, (2) a triple time register, consisting of a range, map, graph, list, curve or other representation designating time relevance, actively, assigning the time characteristics by which that container will act upon another container or process, passively, assigning the time characteristics by which that container be acted upon by another container or process, and neutrally, assigning the time

- 20 characteristics by which that container will interact with another container or process, (3) a triple space register, consisting of a range, map, graph, list, curve or other representation designating the domain and determinants of space relevance, actively, assigning the space characteristics by which that content will act upon another container or process, passively, assigning the space, characteristics by which that content will be acted upon by another
- 25 container or process, and neutrally, assigning the space characteristics by which that container will interact with another container or process, (4) a domain of influence register, determining the set, class and range of containers upon which that container will act, (5) a domain of receptivity register, determining the set, class and range of containers allowed to act upon that container, (6) a domain of neutrality register, determining the set, class and range of containers with which that container will interact, (7) a domain of containment register, determining the
- set, class and range of containers which that container may logically encompass, (8) a domain

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of inclusion register, determining the set, class and range of containers by which that container might be encompassed, (9) an ownership register, recording the original ownership of that containers, (10) a proportionate ownership register, determining the proportionate ownership of that containers, (11) a creator profile register, describing the creator or creators of that container, (12) an ownership address register, maintaining the address of the creator or creators of that container, (13) a value register, assigning a monetary or credit value to that container, and (14) other registers created by users or the system.

Containers are nestable and configurable as both subset and superset and may be designated hierarchically according to inclusive range, such as image component, image, image file, image collection, image database, or if text, text fragment, sentence, paragraph, page, document, document collection, document, database, document library, or any arrangement wherein containers are defined as increasingly inclusive sets of sets of digital components.

- The present invention also includes, structurally integrated into each container, or 15 strategically placed within a network at container transit points, unique gateways, nestable in a hierarchical or set and class network scheme. Gateways gather and store container register information according to system-defined, system-generated, or user determined rules as containers exit and enter one another, governing how containers system processes or system components interact within the domain of that container, or after exiting and entering that 20 container, and governing how containers, system components and system processes interact
- with that unique gateway, including how data collection and reporting is managed at that gateway. The gateways record the register information of internally nested sub and superset containers, transient containers and search templates, including the grade of access requested, and, acting as an agent of an analysis engine and execution engine, govern the traffic and
- 25 interaction of those containers and searches with the information resource of which they are the gateway and other gateways. The gateways' record of internally nested and transient container registers, and its own interaction with those containers, is made available, according to a rules-based determination, to the process of the analysis engine by the data collection and/or data reporting means.

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The present invention also includes a means of data storage at any given gateway.

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The present invention also includes a data collection means, residing anywhere on the network, or located at one or more hierarchical levels of nestable container gateways for gathering information from other gateways and analysis engines according to system, system-generated or user determined rules. The data collection means manages the gathering of data regarding network-wide user choices, usage and information about information, by collecting it from container and gateway registers as those containers and gateways pass through one another. Such statistics as frequency, pattern, and range of time, space and logical class is collected as directed by the analysis engine, and made that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally, to the next greater hierarchically inclusive collection level. The rules of data collection may be manually set or altered by the system manager, or set by the system and altered by the system in its evolutionary capacity.

The present invention also includes a data reporting means, located at one or more hierarchical levels of nestable container gateways for submitting information to other gateways and analysis engines according to system, system-generated or user determined rules. The data reporting means manages the sending of data from the registers, gateways and search templates in a frequency, pattern, and range of time, space and logical class as directed by the analysis engine, and makes that data available to the analysis engine by advancing it directly to the analysis engine, or incrementally to the next greater hierarchically inclusive reporting level. The rules of data collection may be manually set or altered by the system manager, or set

- by the system and altered by the system in its evolutionary capacity. The data reporting means may be established to work in concert, in redundancy, or in contiguous or interwoven threads of hierarchically nested containers.
- The present invention also includes an analysis engine that receives, reports and collects information regarding the interaction of user searches with gateways and container registers, as well as container registers with other container registers, and container registers with gateways. The analysis engine analyzes the information submitted by the gateways and instructs the execution engine to create new information containers, content assemblages, storage schemes, access routes, search templates, and gateway instructions. The analysis engine includes an editor that provides a system manager with a means of editing the operating

principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

The present invention also includes an execution engine, fulfilling the instructions of the analysis engine, to create new information containers, content sun and superset assemblages, storage schemes, access routes, search templates, and gateway instructions. The execution engine includes an editor that provides a system manager with a means of editing the operating principles of that engine, governing data reporting, data collection, search template loading, gateway instructions, and other.

The present invention also includes a search interface or browser. The search interface 10 provides a means for a searching user to submit, record and access search streams or phrases generated historically by himself, other users, or the system. Search streams or phrases of other users are those that have been historically determined by the system to have the highest probability of utility to the searching user. Search streams or phrases generated by the system are those that have been constructed by the system through the analysis engine based upon the 15 same criteria.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a first and preferred embodiment of a system constructed according to the present invention.

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FIG. 2 A is block diagram of a preferred embodiment of the memory unit.

FIG. 2 B is an exemplary embodiment of a computer network showing computer servers, personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways.

FIG. 2B1 is an exemplary embodiment of a computer network showing computer 25 servers, personal computers, workstations, Internet, Wide Area Networks, Intranets in relationship with containers and gateways and exemplary locations of gateway storage in proximity to one or more of the various sites.

FIGS. 2C through 2H are exemplary embodiments in block diagram form of computer network components showing a possible placement of nested containers, computer servers, gateways, and the software components named in Fig. 2 A on a network.

FIG. 3A is a graphical representation for one embodiment of a container having a plurality of containers nested within that container.

FIG. 3C is a drawing showing elements that might be logically encapsulated by a container. FIG. 4 is a drawing of an information container showing a gateway and registers

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encapsulating containerized elements.

FIG. 5 is a flowchart showing a preferred method for the containerization process and container editor operating on the communication device.

FIG. 6 is a flowchart showing a preferred method for searching for containers within a node.

FIG. 7 is a flowchart further showing a preferred method for searching for containers over one or more gateways.

FIG. 8 is a flowchart showing a method for performing the data collection and reporting on containers.

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FIG. 9 is a flowchart showing the operation of the analysis engine.

FIG. 10 is a flowchart showing the operation of the execution engine.

FIG. 11 is a flowchart showing the operation of the gateway editor.

FIG. 12 is a flowchart showing the operation of the gateway process.

FIG. 13A is a drawing showing an example of nested containers, gateways, registers,

analysis engines and an execution engine prior to container reconstruction as depicted in 13 B,
 13 C and 13 D.

FIG. 13B is a drawing showing the reconstructed nested containers of Figure 13A.

FIG. 13C is a drawing showing further reconstruction of nested containers, with a container relocated to reside within another container.

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FIG. 13D is a drawing showing a flowchart of the reconstruction process

FIG. 14 is a drawing showing the screen interface of the container editor.

FIG. 15 is a drawing showing the screen interface of the gateway editor.

FIG. 16 is a drawing showing the screen interface of the search interface.

FIG. 17 is a drawing of a generic application program showing a drop-down menu link,

30 and a button link to the containerization process or container editor.

DESCRIPTION OF THE PREFERRED EMBODIMENT

THE SYSTEM

Referring now to FIG. 1, a preferred embodiment of a system 10 for creating and manipulating information containers with dynamic interactive registers in a computer, media, or publishing network 201 in order to manufacture information on, upgrade the utility of, and develop intelligence in that network 201, is shown. The system 10 preferably comprises an input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 20, and a communication device 26 operating on a network 201. The input device 24, an output device 16, a processor 18, a memory unit 22, a data storage device 24, an

10 coupled together by a bus 12 in a von Neumann architecture. Those skilled in the art will realize that these components 24, 16, 18, 22, 20, and 26 may be coupled together according to various other computer architectures including any physical distribution of components linked together by the communication device 26 without departing from the spirit or scope of the present invention, and may be infinitely nested or chained, both as computer systems within a network 202, and as networks within networks 201.

The output device 16 preferably comprises a computer monitor for displaying highresolution graphics and speakers for outputting high fidelity audio signals. The output device 16 is used to display various user interfaces 110, 125, 210, 300, 510, 610, 710, as will be described below, for searching for and containerizing information, and editing the container gateways, containers, container registers, the data reporting means and the data collection means, and the search, analysis and execution engines. The author uses the input device 24 to manipulate icons, text, charts or graphs, or to select objects or text, in the process of packaging, searching or editing in a conventional manner such as in the Macintosh of Windows operating systems.

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The processor 18 preferably executes programmed instruction steps, generates commands, stores data and analyzes data configurations according to programmed instruction steps that are stored in the memory unit 22 and in the data storage device 20. The processor 22 is preferably a microprocessor such as the Motorola 680(x)0, the Intel 80(x)86 or Pentium, Pentium II, and successors, or processors made by AMD, or Cyrix CPU of the any class.

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The memory unit 22 is preferably a predetermined amount of dynamic random access memory, a read-only memory, or both. The memory unit 22 stores data, operating systems,

and programmed instructions steps, and manages the operations of all hardware and software components in the system 10 and on the network 201, utilizing the communication device 26 whenever necessary or expeditious to link multiple computer systems 202 within the network 201.

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The data storage device 20 is preferably a disk storage device for storing data and programmed instruction steps. In the exemplary embodiment, the data storage device 20 is a hard disk drive. Historical recordings of network usage are stored on distributed and centralized data storage devices 20.

The preferred embodiment of the input device 24 comprises a keyboard, microphone, and mouse type controller. Data and commands to the system 10 are input through the input device 24.

The present invention also includes a communication device 26. The communication device 26 underlies and sustains the operations of, referring now also to Fig 2 the analysis 400 and execution 500 engines, the data reporting 600 and collection 700 means, the container editor 110, the search interface 300, and the search engine 320, providing the means to search,

- 15 editor 110, the search interface 300, and the search engine 320, providing the means to search, access, move, copy, utilize or otherwise perform operations with and on data. The communication device 26 utilizes one or more of the following technologies: modem, infrared, microwave, laser, photons, electrons, wave phenomena, cellular carrier, satellite, laser, router hub, direct cabling, physical transport, radio, broadcast or cable TV or other to communicate
- 20 with other computers, digital-supported television, computer networks, or digital-based or supported public or published media, or physical media forms, on any a local, wide area, public, or any computer-based computer supported, or computer interfaced network, including but not limited to the Internet. It also allows for the functioning and distribution of any container 100 or container component herein described to reside anywhere on any computer system in any configuration on that local, wide area, public, or corporate computer-based or computer related network, or digital-based or supported media form.

Referring now to Figure 2 A, a preferred embodiment of the memory unit 22 is shown. The memory unit includes: an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting

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module, 600, a data reporting editor 610, a data collection module 700, a data collection editor 710, screen interfaces (GUI's) 936, menu or access buttons from generic computer programs 937, and databases 900, all residing in memory optimized between a data storage means 20 such as magnetic, optical, laser, or other fixed storage, and a memory means 22

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such as RAM. The memory unit 22 functions by operating on communications network 12 with a communication device 26 on multiple computer systems 202 within the network 201. These components will be described first briefly in the following paragraphs, then in more detail with reference to Figures 3 A through 17.

Those skilled in the art will realize that these components might also be stored in contiguous blocks of memory, and that software components or portions thereof may reside in the memory unit 22 or the data storage means 20.

The present invention includes information containers 100 as noted above. The information container 100 is a logically defined data enclosure which encapsulates any element or digital segment (text, graphic, photograph, audio, video, or other), or set of digital segments, or referring now to FIG. 3 C, any system component or process, or other containers or sets of containers. A container 100 at minimum includes in its construction a logically encapsulated portion of cyberspace, a register and a gateway. A container 100 at minimum encapsulates a single digital bit, a single natural number or the logical description of another container, and at maximum all defined cyberspace, existing, growing and to be discovered, including but not limited to all containers, defined and to be defined in cyberspace. A container 100 contains the code to enable it to interact with the components enumerated in 2 A, and to reconstruct itself internally and manage itself on the network 201.

The container 100 also includes container registers 120. Container registers 120 are interactive dynamic values appended to the logical enclosure of an information container 100, and serve to govern the interaction of that container 100 with other containers 100, container gateways 200 and the system 10, and to record the historical interaction of that container 100 on the system 10. Container registers 120 may be values alone or contain code to establish certain parameters in interaction with other containers 100 or gateways 200.

The present invention also includes container gateways 200. Container gateways 200 30 are logically defined gateways residing both on containers 100 and independently in the

system 10. Gateways 200 govern the interactions of containers 100 within their domain, and alter the registers 120 of transiting containers 100 upon ingress and egress.

The present invention also includes container gateway storage 205 to hold the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and to store the rules governing the operations of its particular gateway 200, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers 100 within the container 100 to which that gateway 200 is attached. Gateway storage 205 may be located on gateways 200 themselves, containers 100 or anywhere on the network 202, 201, including but not limited to Internet, Intranet, LAN, WAN, according to best analysis and use.

The memory unit 22 also includes an execution engine 500 to perform the functions on the system 10 as directed by the analysis engine after its analysis of data from the data reporting means 600, the data collection means 700, and the search interface 300.

The memory unit 22 also includes a search interface 300, by which the user enters, selects or edits search phrases or digital strings to be used by the search engine 320 to locate containers 100.

The memory unit 22 also includes an analysis engine 400 which performs rules based or other analysis upon the data collected from the search interface 300 and the data collection 700 and data reporting 600 means.

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The memory unit 22 also includes a data reporting means 600, by which means the information collected by gateways 200 from transient containers 100 is sent to the analysis engine 400.

The memory unit 22 also includes a data collection means 700, by which means the analysis engine 400 gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 also includes a container editor 110 for creating, selecting, acquiring, modifying and appending registers 120 and gateways 200 to containers 100, for creating, selecting, acquiring, and modifying containers, and for selecting content 01 to encapsulate.

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The memory unit 22 also includes a register editor 125, for creating, selecting, acquiring and modifying container registers 120 and establishing and adjusting the values therein.

The memory unit 22 also includes a gateway editor 210, by which means the user determines the rules governing the interaction of a given gateway 210 with the registers 120 of transient containers 100, governing transiting containers upon ingress and egress, and governing the interactive behavior of containers within the container to which that gateway is attached.

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The memory unit 22 also includes databases 900, by which means the analysis engine 400, the execution engine 500, the gateways 100, the editors 110, 125, 210, 510, 610, 710, and the search interface 300, store information for later use.

The memory unit 22 present invention also includes a search engine 320 by which means the user is able to locate containers 100 and, referring now to Fig. 4, containerized elements 01.

The memory unit 22 present invention also includes an engine editor 510, by which means the user establishes the rules and operating procedures for the analysis engine 400 and the execution engine 500.

The memory unit 22 present invention also includes a reporting means editor 610, by which means the user establishes the rules and schedule under which the information collected by gateways 200 from transient containers 100 will be sent to the analysis engine 400.

The memory unit 22 present invention also includes a collection means editor 710, by which means the user establishes the rules and schedule under which the analysis engine 400 will gathers the information collected by gateways 200 from transient containers 100.

The memory unit 22 present invention also includes screen interfaces (GUI's) 936, specifically designed to simplify and enhance the operations of the container editor 110, the gateway editor 210, and the search interface 300.

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The present invention also includes a menu or button access 937, by which a user utilizing any generic computer program may access the system 10 or the container editor 110 from a menu selection(s) or button(s) within that program.

The present invention also includes a computer, media or publishing network 201, comprising computers, digital devices and digital media 202 and a communication device 26, within which the components enumerated in Fig. 2 A interact, compiling, analyzing, and altering containers 100 and the network 201according to information gathered from container registers 120.

The memory unit 22 also includes one or more computers 202, by which means the components of Fig 1 sustain the operations described in Fig. 2 A.

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The memory unit 22 also includes flat or relational databases 900, used where, and as required. Databases are used to store search phrases, search templates, system history for the analysis engine and execution engine, container levels and container, sites and digital elements, or any and all storage required to operate the system.

Referring now to FIG. 2 B, a drawing of a computer network 201 as a system 10, showing a possible placement of nested containers 100, computer servers, gateways 200, on the sites described below. (Note: Fig. 2 B utilizes in parts the same numbering scheme as Fig. 13 A, 13 B, 13 C, 13 D and as Fig. 2 A.) In FIG. 2 B various exemplary sites are shown, any 10 or all of which might interact dynamically within the system. Site 1 shows a single workstation with a container and gateway connected to an Intranet. .(Individual containers may be a floppy or CD-Rom to be downloaded or inserted.) Site 2 shows a server with a gateway in relationship to various containers.. Site 3 shows an Internet web page with a container residing on it. Site 4 shows a personal computer with containers and a gateway 15 connected to the Internet. Site 5 shows a configuration of multiple servers and containers on a Wide Area Network.. Site 6 shows a workstations with a gateway and containers within a container connected to a Wide Area Network. Site 7 shows an independent gateway, capable of acting as a data collection and data reporting site as it gathers data from the registers of transiting containers, and as an agent of the execution engine as it alters the registers of 20 transient containers. A container 100 contains the code to enable it to interact with the

transient containers. A container 100 contains the code to enable it to interact with the components enumerated in 2A, and to reconstruct itself internally and manage itself on the network 201. The code resides in and with the container in its registers and gateway definitions and controls. Additional system code resides in all sites to manage the individual and collective operation and oversight of the components enumerated in 2A, with the specific components distributed amongst the sites according to the requirements of optimization.

Referring now to Fig. 2 B 1 various exemplary sites are shown as described above in Fig. 2 B, with the addition of possible location of one or more gateway storage 205 locations.

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interactive information container 100, a container editor 110, container registers 120, a

the logical components of the system 10 in relationship are shown. Site 1 comprises an

Referring now to Figures 2 C through 2 H, various exemplary sites with one or more of

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container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, a search interface 300, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 2 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, system-wide hierarchical container gateways 200, gateway storage 205, gateway editors 210, engine editors 510, search engine 320, analysis engine 400, execution engine 500, a data reporting means 600, a data reporting means editor 610, a data collection means 700, a data collection means editor 710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 3 comprises an interactive information container 100, a container editor 110, 15 container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 4 comprises an interactive information container 100, a container editor 110, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, gateway editors 210, a search interface 300, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Site 5 comprises an interactive information container 100, container registers 120, a container register editor 125, hierarchical container gateways 200, gateway storage 205, and databases 900, all residing on data storage means 20, accessed and utilized by non-resident memory unit 22, operating on communications network 12 with a communication device 26.

Site 6 includes an independent analysis engine 400, execution engine 500, data collection means 700, and data reporting means 600 gateway editors 210, engine editors 510, a data reporting means editor 610, a data collection means 700, a data collection means editor

710, and databases 900, all residing on data storage means 20, utilizing the memory unit to function 22, operating on communications network 12 with a communication device 26.

Referring now to FIG. 3 A and FIG. 3 B, a block diagram of several nested information containers is shown, including examples of elements, e.g., code 1100, text 1200, audio 1300,
video 1400, photograph 1500, graphic images 1600, and examples of possible container level classifications in increasing size, e.g., element 10900000, document 10800000, database 10700000, warehouse 10600000, domain 10500000, and continuing increasingly larger on Fig 3 (B), subject 10400000, field 10300000, master field 10200000, species 10100000. Containers may be infinitely nested and assigned any class, super class or sub class scheme and description by the creator of the container to govern nesting within that container. In addition to digital elements, containers may also include system process and components, including containerization itself.

Referring now to FIG. 3 C, a block diagram of an information container system is shown, listing, without any relationship indicated, some of the possible system components
and processes, or sets thereof, that may be encapsulated as elements 01 in an information container 100. An information container 100 may include one or more of the following: any unique, container 100, gateway 200, output device 16, input device 24, output device process 160, input device process 240, data storage device 20, data storage device process 2000, processor 18, bus 12, content 01, search process 02, interface 04, memory unit 22, communication device 26, search interface 300, search process 98, network 201, class of device, process or content 999, class of process at any unique class of device 990, process at any unique device 99, editor 110, 125, 210, 510, 610, 710, engine 320, 400, 500, containerization process 1098, or process 08.

Any container may include (n) other containers, to infinity. The use of value evolving container registers 120 in conjunction with gateways 200, data reporting modules 600, data collection modules 700, the analysis engine 400, and the execution engine 500 provides the information container 100 with extensive knowledge of the use, operation of its internal contents, prior to, during and after those contents' residence within that container 100, and extensive knowledge of the use, operation and contents of the system 10 external to itself, and allows the container 100 to establish and evolve its own identity and course of interaction on the system 10. Further, containers 100, as logical enclosures, can exist and operate

independent of their digital contents, whether encapsulating audio, video, text, graphic, or other.

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Referring now to FIG. 4, a block diagram of an information container 100 is shown. The information container 100 is a logically defined data enclosure which encapsulates any element, digital segment (text, graphic, photograph, audio, video, or other), set of digital segments as described above with reference to FIG. 3 (C), any system component or process, or other containers or sets of containers. The container 100 comprises the containerized elements 01, registers 120 and a gateway 200.

Registers 120 appended to an information container 110 are unique in that they operate independently of the encapsulated contents, providing rules of interaction, history of 10 interaction, identity and interactive life to that container 100 through the duration of its existence on a network 201, without requiring reference to, or interaction with, its specific contents. They enable a container 100 to establish an identity independent of its contents. Additionally, registers 120 are unique in that their internal values evolve through interaction with other containers 100, gateways 200, the analysis engine 400, the execution engine 500, 15 and the choices made by the users in the search interface 300, the container editor 110, the register editor 125, the gateway editor 210, the engine editor 510. Registers 120 are also unique in that they can interact with any register of a similar definition on any container 100 residing on the network 201, independent of that container's contents. Registers 120, once constructed, may be copied and appended to other containers 100 with their internal values 20 reset, to form new containers. Register values, when collected at gateways 200 and made available to the analysis engine 400 through the data collection means 700 and the data reporting means 600, provide an entirely new layer of network observation and analysis and operational control through the execution engine 500. Registers 120 accomplish not only a real time information about information system, but also a real time information about 25 information usage on a network. Further, because the user base of a network determines

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usage, the system 10, in gathering information about information usage, is observing the

choices of the human mind. When these choices are submitted to the analysis of a rules-based

or other analysis engine 400, the system 10 becomes capable of becoming progressively more

responsive to the need of the user base, in effect, learning to become more useful by utilizing

the execution engine 500 to create system-wide changes by altering the rules of gateway 200

interaction and thereby altering the registers 120 of transient containers 100 and establishing a complete evolutionary cycle of enhanced utility.

Further, in establishing the pre-defined registers as described in the following four paragraphs, the following unique aspects of information about information are utilized for the first time: 1) the dynamic governance of information according to its utility through time, in 5 active, passive and neutral aspects, as explained below; 2) the dynamic governance of information according to its utility through space in active, passive and neutral aspects, as explained below; 3) the dynamic governance of information according to its ownership, as explained below; 4) the dynamic governance of information according to its unique history of interaction as an identity on a network, as explained below; 5) the dynamic governance of 10 information according to the history of the system on which it exists, as explained below; 6) the dynamic governance of information according to established rules of interaction, in active, passive and neutral aspects, as explained below; 7) the dynamic governance of information according to the profile of its creator, as explained below; 8) the dynamic governance of information according to the value established by its ongoing usage, as explained below; 9) the 15 dynamic governance of information according to it distributed ownership, as explained below; 10) the dynamic governance of information according to what class of information it might be incorporated into, and according to what class of information container it might incorporate, as explained below; 11) the dynamic governance of information according to self-reporting,

20 as explained below.

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Referring now to Fig 4, registers 120 may be (1) pre-defined, (2) created by the user or acquired by the user, or (3) system-defined or system-created. Pre-defined registers 120 are those immediately available for selection by the user within a given container editor as part of that container editor, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 created by the user are those conceived and created by a specific user or user group and made immediately available for selection by the user or user group in conjunction with any of a wide number of container editors, in order that the user may append any of those registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 to a container 100 and define values for those registers 120 where required. Registers 120 acquired by the user are those registers existing network-wide 201, created by the user base, that might be located and acquired by the user in order that the user may append any of those registers

120 to a container 100 and define values for those registers 120 where required. Systemdefined registers are those registers whose values are set and/or controlled by the system 10. System-created registers are those registers created by the system 10.

Registers 120 are user or user-base created or system-created values or ranges made available by the system 10 to attach to a unique container, and hold system-set, user-set, or 5 system-evolved values. Values may be numeric, may describe domains of time or space, or may provide information about the container 100, the user, or the system 10. Registers 120 may be active, passive or interactive and may evolve with system use. Pre-defined registers include, but are not limited to, system history 110000, container history 101000, active time 102000, passive time 103000, neutral time 104000, active space 111000, passive space 10 112000, neutral space 113000, containment 105000, inclusion 106000, identity 114000, value 115000, ownership 107000, ownership addresses 116000, proportionate ownership 117000, creator profile 108000, receptivity 118000, influence 119000, points 109000, others 120000, reporting 121000, neutrality 122000, acquire 123000, create 124000, content title 125000, content key phrase(s) 126000, and content description 127000, security 12800, and parent 15 rules 129000.

Pre-defined registers comprise an historical container register 101000, logging the history of the interaction of that container 100 with other containers, events and processes on the network 201, an historical system register 110000, logging the history of pertinent critical and processes on the network, a point register 109000 accumulating points based upon a hierarchically rated history of usage, an identity register 114000 maintaining a unique network wide identification and access location for a given container specifying a unique time

network wide identification and access location for a given container specifying a unique time and place of origin and original residence, a proportionate ownership register 117000 maintaining a record of ownership percentage and economic values, and others 120000.

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User-defined registers include a report register 121000 setting trigger levels for report sequences, content determination and delivery target, three time registers, consisting of a range, map, graph, list, curve or other designating time relevance, 102000 assigning the time characteristics by which that container will act upon another container or process, 103000 assigning the time characteristics by which that container be acted upon by another container or process, and 104000 assigning the time characteristics by which that container be acted upon by another container will interact with another container or process, three space registers, consisting of a range, map, graph, list,

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curve or other designating the domain and determinants of space relevance, 111000 assigning the space characteristics by which that content will act upon another container or process, 112000 assigning the space, characteristics by which that content will be acted upon by another container or process, and 113000 assigning the space characteristics by which that container will interact with another container or process, a domain of influence register 119000, determining the set, class and range of containers upon which that container will act, a domain of receptivity register 118000, determining the set, class and range of containers allowed to act upon that container, a domain of neutrality register 122000, determining the set, class and range of containers with which that container will interact, a domain of containment register 105000, determining the set, class and range of containers which that container may logically encompass, a domain of inclusion 106000 register, determining the set, class and range of containers by which that container might be encapsulated, an ownership register 107000, recording the original ownership of that containers, a creator profile register 108000, describing the creator or creators of that container, an ownership address register 116000, maintaining the address of the creator or creators of that container, a value register 115000, assigning a monetary or credit value to that container, other registers 120000 created by users or the system, a reporting register 121000, determining the content, scheduling and recipients of information about that container, a neutrality register 122000, an acquire register 123000,

124000, enabling the user to construct a new register, a content title register 125000, naming the contents of the container, a content key register, 126000, identifying the container contents with a key phrase generated by the user and/or the system based upon successful usage of that phrase in conjunction with the utilization of the information within that container 100, a content description register 127000, identifying the container contents with additional description, a security register 128000, controlling container security, and a parent container

enabling the user to search and utilize other registers residing on the network, a create register

register 129000, storing the rules governing container interaction as dictated by the parent (encapsulating) container.

The container also includes a gateway 200 and gateway storage 205.

Gateways 200 are logically defined passageways residing both on containers 100 and 30 independently in the system 10. Gateways 200 govern the interactions of containers 100

encapsulated within their domain by reading and storing register 120 information of containers entering and exiting that container 100.

The present invention also includes container gateway storage 205. Gateway storage 205 stores information regarding the residence, absence, transience, and alteration of encapsulated and encapsulating containers 100, and their attached registers 120, holding the data collected from registers 120 of transient containers 100 in order to make it available to the data collection means 700 and the data reporting means 600, and storing the rules governing the operations of its particular gateway 200.

Referring now to FIG. 5, a flow chart of the preferred method for creating a container 100 is shown. 10

Input is received from the user selecting a container level through use of a drop-down menu 10100. A menu of all possible container classes within the subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, domain, and more, is displayed on the output device 10200. Input is received from the user

selecting a class 10300. 15

> A graphic representation of a container in that class, with registers common to all containers as well as registers unique to its class is displayed 10301.

> Input is received from the user choosing to "create" 10400, "edit" 10500, or "locate" 10600.

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When the input of "create" 10400 is received from the user, a container template in that class appears 10410. Input from the user is then received adding or selecting a register 10540 to append to that container template. When input is received from the user adding a register, a list of registers that might be added to that class of container is made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540.

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If the input of "locate" 10600 is received from the user, the system prompts the user to enter the identity of the container or class of containers 10605. The system locates the container(s) 10610. Input is received from the user selecting a container 10620. The system prompts the user for a security code for permission to access the container for template use, or to alter its registers, or to alter its content 10630. . Input is received from the user entering a name and password providing access to one of the security levels 10640. Input is received

from the user editing the container accordingly by transition to step 10500 and performing the steps for editing.

If the input of "edit" 10500 is received, a list of containers available to edit at that level is shown 10510. Input is received from the user selecting a container 10520. That container appears, available to edit 10530. Input is received from the user selecting "add" or "select" 5 registers 10540 by the user clicking on the graphically depicted register, or from a drop down menu. Input is received from the user selecting the register to edit 10560. Input is received from the user selecting "modify" or "delete" for that register 10565. If input is received from the user to "delete," that register is severed from the container. If input is received from the user to "modify", the register editor 10570 screen appropriate to that register appears, i.e., an 10 x-y type graph to define a curve of relevant active time, in which the user manipulates the x-y termini, scale and curve, or a global map in which Input is received from the user selecting the locale of active space, whether zip code, city, county, state, country, continent, plant or other. When input is received from the user saving the definition, the screen returns to the main container screen to make another selection available. . Input is received from the user defining 15 as many registers as he chooses. One of the registers may be named "new register." Input is received from the user selecting the new register, and if chosen by the user, defining a wholly

When the input is received from the user choosing to add a register, a list of registers that might be added to that class of container are made available to select 10550. Input is received from the user selecting a register 10560 and editing it 10570. The menu returns to "add or select" 10540, and in turn to Input – Select Container.

unique and new kind of register by the user entering input into the register editor 125.

Input may then be received from the user choosing to add, modify, or delete the container contents 10700. Once the registers are defined, input is received from the user indicating completion and the interface reverts to the container editor. When input is received from the user choosing "select component" (to select the component to containerize) from the main menu bar 10700, a window appears allowing the user to select any file, component, or other container. If for example, the user were creating a warehouse container, and wishes to incorporate several databases into that container, input would then be received from the user 30 selecting "database." The program would prompt the user for the location (directory) of that database or container. If the requested selection is not containerized, input may then be

received from the user choosing to containerize the element at that time, after which the program returns to "select component." Once input is received from the user defining the database location, the program logically encases the directory or directories in the defined container. The above procedure may be repeated as many times as desired to include multiple

5 databases within a single container. While logical simplicity would dictate that all containers within a container be of the same subset, it would be possible for input to be received from the user choosing containers of any subset to include in the container. When input is received from the user choosing "finished," the container is created with a unique network identity, preferably through some combination of exact time and digital device serial number, or centralized numbering system, or other means. The container 100 contains all digital code, including data and program software from the selected items or containers.

Input may then be received from the user to publish the container 11100 at a useridentified or system suggested location 11200 to be selected 11400.

Input is received from the user to "publish", from the main menu bar 11100. Input is received from the user choosing to leave the container where it was created, move or copy it to another drive, directory, computer, or network the user designates, or select the location from location options offered by the system 11200, or submit, or duplicate and submit, the container to the analysis engine 400 for intelligent inclusion in other containers, thus allowing the system to publish the container as instructed or choose the residence of the container 11400.

If input is received from the user to choosing to "move," or "copy" a browse function allows the user to name the new location or browse a list of possible locations. If input is received from the user choosing to "submit," a browser function allows the user to name the analysis search engine 310 or browse a list of possible analyses engines. When input is received from the user choosing the residence of the container 11300, the program restores the

25 search interface screen.

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Referring now to FIG. 6, a flow chart of the method for searching for containers 100.

When input is received from the user selecting "search interface" from the main title bar, the search interface screen appears. The user is given the choice of containerizing selected content or requesting that container levels be displayed **30100**. From a drop down menu another menu appears allowing input to be received from the user selecting the container level

30200. Input is received from the user selecting the container level (from the smallest component to the whole system) **30300.**

Input is received **30310** from the user selecting the phrases, containers or components, which then are re-submitted to the same process, until the input is received from the user selecting a specific site or container.

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The search phrase, whether containerized or not, is submitted simultaneously to the search engine 30400 and the analysis engine 30500.

The screen then reports in a selection menu, the number of applicable sites found by the search engine **30410**, the number of historically proven applicable sites found by the analysis engine **30410**, the number of historically proven applicable containers at the selected container level or any container level found by the analysis engine **30410**, and the number of historically proven new search phrases or digital segments found by the analysis engine **30320**. Input is received from the user selecting one of the named sets above **30330**. If input is received from the user choosing the search engine, the search interface lists the applicable site titles with a

brief description 30410. If input is received from the user choosing the site list of the analysis, the search interface lists the applicable site titles with a brief description 30410. If input is received from the user choosing the container list of the analysis engine, the search interface lists the applicable container titles with a brief description 30410. If input is received from the user selecting a container 30420, the system offers the means to view titles and descriptions of sub-containers at any chosen class level. If input is received from the user

choosing the phrase list of the analysis engine, the search interface lists the applicable phrases or digital segments with a brief description **30320**. The search and search result cycle repeats until input is received from the user choosing to go to an individual container or site.

Input is received from the user entering text or any digital string describing his search objectives into a text or search box. When input is received from the user submitting the search string, the system provides the option of containerizing the search through the container editor 110. Once the search container 101 is created, the system restores the search interface 300 screen the user.

Input is received from the user selecting "search", "supported search" or "both" from 30 another drop-down menu and from submitting the search. When input is received from the user selecting "search" 30310, the search phrase is submitted to the search engine **30400**,

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which searches both content and the appropriate container registers, as pre-indexed in the search engine, and returns a list of appropriate locations, components or containers. When input is received from the selecting "supported search", the search phrase is submitted to the analysis engine search support, which returns a list, in a drop-down menu, of search phrases or

5 individual containers, for any and all container levels, used by other users or created by the system and known to be historically successful for the described effort and the described searching user, as per the results of the analysis search engine. Input is received from the user selecting a new search phrase or specific container from the drop down menu 30330. When input is received from the user choosing a new search phrase, that phrase is also submitted to the analysis engine 30500 which returns a list of pre-compiled historically proven sites, components or containers associated with that search phrase 30320. Input is received from the

user choosing a selection 30420 and the system calls up that specific site, container or component. If input is received from the user selecting a specific site, container or component at any time during the search process, that element is called up by the system 30440.

15 Input is received from the user choosing to containerize a search or select a container level in which to search **30100**. When input is received from the user choosing to containerize the search, the software moves to the container editor as described in Fig. 5, and then returns the user to the search interface screen. Input is received from the user selecting to search a specific container level or the whole network. The system shows the available levels **30200**.

- 20 Input is received from the user selecting a container level 30300, and entering the text or digital component comprising the search string 30310. The system searches the containers 30400 while simultaneously submitting the search string to the analysis engine 30500. While the system is accessing containers, sites or templates 30700, the analysis engine 30500 inquires of the appropriate database 30600 to access historically successful containers, sites or
- 25 search templates corresponding to the search request 30700, which is then shown on another portion or option of the search interface, either as available containers or sites 30410 or as search template options 30320. On one portion or option of the search interface screen the corresponding containers or sites are listed and/or previewed for selection 30410. Input is received from the user selecting the container to access 30420. The system accesses that container 30430 and shows it on the screen 30440 for user review. Input is received from the user selecting an operation, i.e., preview, read, purchase, move, copy, lease, in any composed

schedule with operations assigned specific values 30460, and the system obtains the specified result 30470. The selection of the operation including any interaction with any uniquely defined container 100 is recorded 30800 by the container gateway (Fig. 2 A, 200), stored in the gateway storage 205 and made available to the analysis engine (Fig. 9) by the data collection

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and reporting means (Fig. 8). Reporting and collection occurs on a regular basis according to user determined times or rules. The analysis engine compiles and analyzes selections according to various rules-based systems applicable to the particular container area of residence in cyberspace.

. Input is received from the user selecting the container or site 30410, proceeding as described above, or selecting a search template 30330, and editing it to re-enter the search 10 30310. All operations on Fig. 6 utilize the communication device 26 whenever necessary or expeditious.

Referring now to FIG. 7, a flow chart of the search process is shown. Steps in FIG. 7 repeated from FIG. 6 are given the same reference number as in FIG. 6 for convenience and ease of understanding. Fig. 7 commences with "SEARCH TRANSITS GATEWAY 32100", 15 continuing from Fig. 6, "SYSTEM SEARCHES CONTAINERS 30400". The submitted search 32100 transits the gateway 200. The gateway 200 interacts with the container registers 32200. The gateways 200 store the information downloaded from the registers 32300, and the container registers are altered 32500. The container registers 120 then interact with the registers 120 of the encapsulated search, which registers, and the values set within, have been

- 20 constructed and appended to the search through the search interface 32600. Values are exchanged and compared and operations performed under the rules governing both interacting containers 100, and the rules governing the search container 100 and any gateway 200. The search engine 320, operating under the principles and means of search engines presently
- existing as described elsewhere, then provides to the search interface 32600 a list of containers 25 100 meeting the requirements of the search and its appended registers, as well as additional search options 32900. The gateway 200 reports and makes available for collection to the analysis engine 400 the information obtained from the interaction 32400. On a periodic basis defined by the user or a rules-based system, the analysis engine 400 (Fig. 9) stores in databases
- 900, analyzes and instructs the execution engine 500, and the execution engine 500 executes 30

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changes in the system components as defined below. (Fig. 10). All operations on Fig. 7 utilize the communication device 26 whenever necessary or expeditious.

On the remaining figures, shapes referring to other figures, to operations external to the scope of the present figures, or to the subject of the present drawing, are indicated with dashed lines, and are shown only to place the described operations in the context of continuous and continual operations external to the drawing.

Referring now to FIG. 8, a flow chart of the preferred process for collecting and reporting information on containers is shown. The data reporting 600 and data collection 700 means utilizes subroutines within the analysis engines 400 and gateways 200 to submit and collect register information and sub level analysis to other analysis engines 400 or other gateways 200 of a higher (larger) logical set in a set pattern and frequency defined by the administrator.

Input is received from the user selecting "data reporting" 70100 from the "edit gateway" drop-down menu. Container levels are displayed 70200. Input is received from the user selecting container level 70300. A menu of all possible gateways 70320 and analysis engines 70330 residing on gateways on the above defined container class appears, depicted graphically as a tree of analysis engines and gateways at that container level. Input is received from the user selecting "source" from "source or destination." Input is received from the user 70400 selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level on a display device. Input is received from the user 70410

selecting "destination" from "source or destination" Input is received from the user 70500 selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine level on a display device. A time scheduler is displayed. Input is received from the user 70510 selecting the reporting frequency
for the selected gateways to report data to the selected engines. The data from the gateways is thenceforth continuously moved or copied to the analysis engines by the system 10 utilizing

Input is received from the user selecting "choose container level" 70300 from the gateway editor drop-down menu. A menu 70320 appears listing the classes of containers on the system within the defined subset and superset scheme of multiple hierarchically nested containers, i.e.; element, document, file, database, warehouse, domain, appears. Input is

the execution engine 500 according to the defined schedule, rules and pattern 70420, 70520.

received from the user selecting the class of containers. A graphic representation of that container level throughout the system appears. Input 70300 is received from the user selecting individual containers or all the containers in that class.

From the gateway editor drop-down menu input 70100 is received from the user selecting "data collecting" A menu of all possible gateways and analysis engines residing on 5 gateways on the above defined container class appears, depicted graphically as a tree of analysis engines, and gateways at that container level. Input 70510 is received from the user selecting "source" from "source or destination." Input is received from the user selecting a container, containers, or class of container by clicking on the graphically depicted container(s) or container level. Input 70510 is received from the user selecting "destination" from "source 10 or destination." Input 70510 is received from the user selecting an analysis engine, analysis engines, or class of analysis engine by clicking on the graphically depicted analysis engine(s) or analysis engine level. A time scheduler appears. Input 70510 is received from the user selecting the collecting frequency for the selected engines to collect data from the selected gateways. The data from the gateways is thenceforth continuously moved or copied to the 15 analysis engines by the system 10 utilizing the execution engine 500 according to the defined schedule, rules and pattern.

The data collection 700 means, utilizing the communication device 26 and an execution engine 500, comprises one or more subroutines or agents programmed to travel through the network collecting the accumulated data and analyses from selected analysis engines, gateways or selected subset level of analysis engines or gateways (as above) in a pattern and frequency defined by the gateway administrator at a given container level. Input 70510 is received from the user or administrator, defining the collection and reporting of data, thus controlling permission within his gateway, and being subject to permission levels defined by

25 others beyond his gateway.

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Input is received from the user or gateway administrator selecting collection or reporting 70100 and the system shows the container levels available 70200. Input is received from the user selecting a container level 70300. Input is received from the user selecting "gateway" 70400 or "engine" 70500. The system shows gateways 70320 or engines 70330 associated with that level. Input is received from the user editing the reporting parameters associated with a gateway or a class of gateways 70410 or an engine or class of engines 70510.

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Input is received from the user selecting the collecting frequency for the chosen engines. When input is received from the user choosing to user save the definition, the screen returns to the main container screen, step 70100 to make another selection available. Input is received from the user choosing to repeat the cycle, choosing "destination" to describe the destination analysis engines and the data collecting frequency from those destination analysis engines.

5 analysis engines and the data collecting frequency from those destination analysis engines. The data collection means **700** collects the accumulated gateway information in a pattern and frequency defined by the gateway administrator or user at a given container level.

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The system utilizing the execution engine (see Fig. 10) distributes the new parameters to the gateways 70420 or engines 70520 by the communication device 26. Using the new parameters the gateways report to the analysis engines 70430 after, in some cases, conducting sub-analysis 70440, or using sub-analysis 70440 to submit directly to specified gateways under certain conditions and parameters, and the analysis engines collect from the gateways 70530. The analysis engine uploads, downloads and utilizes information to databases 900 to conducts its analysis.

The invention includes an analysis engine 400. Through the data reporting 600 means and data collection 700 the analysis engine 400 receives data and sub-analysis from the search interface and the gateways. Data includes, for each gateway 200, the frequency and grade of access, the description of the user accessing, the identity of the container 100 accessing, the register parameters, and the historically accumulated register data.

Referring now to FIG. 9, a flow chart of the operation of the analysis engine 400 is

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shown. Analysis engines 400 may reside at any gateway or anywhere in the system 10. The analysis engine 400, operating under its own programmed sequence, utilizing the communication device 26, works, by means of programmed rules of logical, mathematical, statistical or other analysis upon gateway and register information, in continuous interaction with the search process 410 and the data collection and reporting process 420 to analyze, determine and compile instructions 40100 on container construction 40110 to containerize in an automated process 40115, on container contents 40120 to move, copy or delete containers 40125, on storage schemes 40130 to move or copy containers to new storage 40135, on access routes 40140 to alter gateway pointers to sought information 40145, on search templates

30 40150 to add, delete or change search phrases and the referenced objects indicated by those

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search phrases 40155 and on gateway instructions 40160 to alter gateway registers and pointers 40165.

Thus, analyses might include, but are not limited to, the physical locus of the users accessing, the demographic classification of the users accessing, the access frequency for a given container, the range or curve of time relevance affecting a container, the range or region of space relevance affecting a container 100, the number or number of a specific type of container 100 transiting a gateway 200, the hierarchically graded usage of containers 100 or container contents 01 compared with the demographic of those users accessing the container, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300, the hierarchically graded usage of containers 100 or container contents 01 compared with search phrases entered into the search interface 300 compared with the demographic of the users accessing, the number of pertinent containers nested within a given container 100. Once an analysis is accomplished, the result is compared to pre-programmed rules triggering instruction sets (such as moving a container to

15 nest within another container).

Instructions are then sent to the execution engine **40200**, which utilizes the communication device **26** to execute the instructions derived from the analyses. These containerized instructions transit the gateways **40300** and are utilized in the gateway process (Fig. 12)

Referring now to FIG. 10, a flow chart of the operation of the execution engine is shown. The execution engine 400, operating under its own programmed sequence in response to the instructions from the analysis engine 50100, utilizing the communication device 26, works in continuous process as its containerized execution instructions transit the gateways 50200 to create containers 50210 in an automated containerization process 50215, alter container contents 50230 by moving or copying containers to new containers 50235, to alter storage 50240 by moving or copying containers to new storage 50245, to alter access routes 50250 by altering gateway pointers 50255, to alter search templates 50260 by adding, changing and deleting search phrases and the referenced objects indicated by those search phrases 50265, to alter gateway instructions 50270 by altering gateway registers and pointers 30 50275. The execution works in a continuous loop with the gateway process 50300, the data collection and reporting process 50400 and the analysis engine process 50300.

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The invention includes gateways 200. Gateways may be placed and reside anywhere on the network where containers transit. Gateways also reside on any or all containers. The gateway reads and stores the chosen register information from transient containers entering or exiting its logical boundaries. The resident analysis search engine, if any, performs the specified level of analysis. Data and analysis is both held for the collection means according to the pattern and timing specified in the data reporting 600 editor and submitted according to the pattern and timing specified in the data collection means editor 700.

The gateways are network-wide, hierarchical, and nestable, and reside with a container encompassing any component, digital code, file, search string, set, database, network, event or process and maintaining a unique lifelong network wide identity and unique in all the universe historical identity, or may be strategically placed at such container transit points to gather and store register information attached to any such container, according to system-defined, systemgenerated, or user determined rules residing in its registers defining the behavior of those containers and components as they exit and enter one another, or interact with one another or any system process or system component within the logical domain of that container, or after exiting and entering that container, or defining how they interact with that unique gateway.

Gateway's registers comprise both system-defined and user-defined registers, alterable by author, duration, location, network-wide history, individual container history and/or interaction with other containers, gateways, networks or media, and evolve according to that gateway's history on a computer network, or according to the network history of events and processes, or according to that information component's interaction with other information containers, system components, network events or processes.

Referring now to FIG. 11, a flow chart of the gateway editor is shown. From the main title bar input is received from the user selecting "containerize" or "gateway level" 20100.
25 When input is received from the user selecting "containerize" the system enters the container editor process 110. When input is received from the user selecting "gateway," the system shows the gateway levels available 20200. A menu of all possible gateways within the subset and superset scheme of defined multiple hierarchically nested gateways appears. Input is received from the user selecting the gateway level 20300. The system searches the gateways 20600. Input is received from the user selecting the gateway 20610 or gateway level template 20720.

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The system goes to the gateway 20620 or to the template 20720. A graphic representation of the chosen gateway 20630 or template 20730 appears. Input is received from the user to edit 20640 or create a gateway 20740. Once completed, input may be received from the user selecting "analysis level" from the gateway 200 drop-down menu, to select the level of analysis in a multi-level analysis sequence to be accomplished at the local level by a gatewayresident analysis engine. The user accesses the container editor to containerize (Fig. 5). Input is received from the user selecting the registers by clicking on the graphically depicted register, or from a drop down menu.). Input is received from the user setting the registers as described elsewhere in ("container registers"). Input is received from the user selecting or defining the rules governing the interaction of that gateway with transient containers. Input is received from the user selecting or defining the rules governing the interaction of containers existing within the logical domain of the container 100 to which that gateway is attached. The user publishes the gateway (Fig. 5). Input is received from the user selecting "residence" from the main menu bar.). Input is received from the user choosing to leave the gateway where it was created, move it to container on another drive, directory, computer, or network. If the user chooses "move," a browse function allows the user to name the new location or browse a list of possible locations. Once input is received from the user choosing the residence of the

gateway, the program restores the search interface screen.

The invention includes a data reporting means editor 610, and a data collection means editor 710, Fig. 2 A, as a menu option under the gateway editor 210. 20

The present invention also includes a gateway process.

Referring now to FIG. 12, a flow chart of the gateway process is shown. A system operation, search process or element container or process container is shown in transit 21100 passing through a gateway 21200. The container, operation or process interacts with the gateway 21300, uploading, downloading and exchanging information with the container, operation or process. The gateway stores container information 21400 and the container registers are altered 21500. The container registers also interact with the search interface 21600. The gateways report the register information or make it available for collection by the data reporting and collection means (Fig. 8) operating on the communication device 26 to provide the information to the analysis engine 21800, which stores 90100, analyzes and 30

instructs the execution engine 21900, which processes and instructions are also stored 90100 by the execution engine upon receipt.

All operations in Fig. 12 utilize the communication device 26 whenever necessary or expeditious.

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Referring now to FIG. 13 A, a drawing of nested containers 100 prior to the container modification process on a network 201 is shown. (Note: The same container numbering scheme is used in Fig. 13 A, 13 B, 13 C, 13 D and in 2 B.) Information containers 505 and 909, residing within container 908, operating under the rules governing container interaction within that container 908 downloaded to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded from execution engine 500 acting under the direction of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare the specified (by those rules) set of registers 404120, 909120, i.e., time and space, and determine a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

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Referring now to FIG. 13 B a drawing of nested containers during a container modification process on a network 201 is shown. Container 404 is moved to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 upon egress or egress as programmed in the gateway editor 210 and modified by the execution engine 500 executing the instructions of the analysis engine 400, or any greater logical 20 analysis engine 408 providing execution instructions to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records the register information of container 404. The gateway 5051 reports the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds in 25 gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or more data reporting processes 600, serving one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The analysis engine 400, comparing reports of user hierarchically graded usage under the operations of the search engine 320 and the search interface 300, on information 30 container 808 after receiving reports from the data reporting means of container 404 being

moved to container 909 determines, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs the execution engine 500 to copy container 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the gateway of

- 5 container 908, the gateway 9081 records the instruction. The copy instruction interacts with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved by the governing rules of registers 909120 appended to container 909, container 909 is duplicated. As the duplicate container 909 exits the container 908, the gateway records the register information 909120 of container 909, and the registers 909120 of
- 10 container 909 are altered by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. Passing through independent gateway 707, the register information 909120 is recorded, and awaits data collection or reporting 700, 600. As container 909 enters container 808, the gateway records the register information 909120 of container 909 are altered by
- special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence within container 808.

Referring now to FIG. 13 C, a drawing of nested containers after the container modification process on a network 201 process is shown. Container 909, now also logically residing within container 808, commences to interact with other containers 606 in 808 under 20 the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised of container's 909 new duplicate residence. I.e., operating under the registers of space relevance, a body of law 25 pertaining to Boston Municipal tax law may be housed in a container holding Massachusetts tax law, but it would be more appropriately located in a container holding Boston tax law, with only a pointer to that location residing in the Massachusetts tax law container. In this example, such an analysis could be accomplished by comparison of zip code information in the space registers, or logical rules-based analysis, with "state" being a larger set than "city". 30 Or, i.e., operating under the registers of time relevance, the curve of time relevance for a

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concert might follow an ascending curve for the months prior, hit a brief plateau, and then reach a precipitous decline, at which time certain pertinent information only might be moved to an archival container of city events or rock concerts of that year. In this example, once the curve is mapped into a register, that map would cause an increasing frequency of pointers to that container in other containers or gateways, or inclusion of that container in other containers, as the analysis engine compares that curve with increasing user inquiry.

Referring now to Fig. 13 D, a flowchart of the reconstruction process is shown.

Information containers 505 and 909, residing within container 908, operating under the rules governing container interaction within that container 908 downloaded 888103 to container 505 and 909 from gateway 9081 upon their entrance to container 908, which rules had been downloaded 888102 from execution engine 500 acting under the direction 888101 of analysis engine 400, and under the rules programmed into their own registers 404120, 909120, compare 888104 the specified (by those rules) set of registers 404120, 909120, i.e., time and space, and determine 888105 a container 404 encapsulated within 505 would be more appropriately encapsulated within container 909.

Container 404 is moved 888106 to reside with container 909. As the container 404 exits container 505, the gateway of container 505, being gateway 5051, operating under the rules governing container interaction with a gateway 5051 upon egress or egress as programmed in the gateway editor 210 and modified 888108 by the execution engine 500 executing the instructions of the analysis engine 400, or any greater logical analysis engine 408 providing execution instructions 888107 to an execution engine 508 operating in a larger encompassing container 108 entering through that container's gateway 208 or an independent gateway 707, or sub-analysis engine operating at any gateway level, records 888109 the register information of container 404, and alters the register information of container 404. The gateway 5051 reports 888110 the transaction to the gateway 9081 of container 908, being the next higher logical container. Gateway 9081 holds 888111 in gateway storage 205 the information until collected by one or more data collection processes 700, or reported to one or

more data reporting processes 600, serving 888112 one or more analysis engines 400 residing independently on the system 10 or an analysis engine at higher logical container 303. The analysis engine 400, comparing 888114 reports of user hierarchically graded usage on information container 808 under the operations of the search engine 320 and the search

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interface 300, after receiving 888113 reports from the data reporting means of container 404 being moved to container 909, determines 888115, i.e., that the number of time and space relevant containers residing within container 909 is sufficient to warrant an action, and directs 888115 the execution engine 500 to copy container 909, nested within container 908, to a third information container 808. As the copy instruction from execution engine 500 transits the 5 gateway of container 908, the gateway 9081 records 888116 the instruction. The copy instruction interacts 888117 with the registers 909120 of container 909 regarding the rules governing its copying to another location. Once approved 888118 by the governing rules of registers 909120 appended to container 909, container 909 is duplicated 888118. As the duplicate container 909 exits the container 908, the gateway records 888119 the register 10 information 909120 of container 909, and the registers 909120 of container 909 are altered 888120 by special instructions from gateway 9081 under the rules residing in gateway 9081 regarding ingress and egress and the rules residing in the registers 909120 of container 909 regarding alteration by gateways upon ingress and egress. Passing through independent gateway 707, the register information 909120 is recorded 888121, and awaits 888122 data 15 collection or reporting 700, 600. As container 909 enters container 808, the gateway records 888123 the register information 909120 of container 909, the registers 909120 of 909 are altered 888124 by special instructions from gateway 8081, operating under the rules as described in the paragraph above, and container 909 takes up residence 888125 within

20 container 808.

container's 909 new duplicate residence.

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Container 909, now also logically residing (in addition to its original container residence) within container 808, commences to interact 888126 with other containers 606 in 808 under the rules governing container interaction within container 808 as received from gateway 8081 upon transiting that gateway, and under the rules of registers 606120, 909120 of the interacting containers 606, 909, operating under the rules as described in the paragraph above. Through data collection and reporting 700, 600, analysis engine is appraised 888127 of

Referring now to Fig. 14, the screen interface of the container editor is shown. This interface is a process wherein input is received by the user using the main menu 78 or drop down menu 1419, or using an input device to "drag and drop" or click, causing the system 10 to acquire 1409, edit 1410 or create 1411 a file 1407, container 1408 or digital content 01, to

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search for 1412, acquire 1413, edit 1414 or create 1415, print 1416, or containerize 1417 a container 100, to select 1402, (or by clicking on register), search 1403, acquire 1404, edit 1405, or create a register 1406 to append or detach registers 120 to those containers, to set register values in those registers 120, to utilize the register editor 125 through 1405 to create new registers, or to 1418 add, detach, acquire a gateway 200 to append or detach to those containers, and utilize the gateway editor 210 through 1418. (See detailed description referring to Fig. 5)

Referring now to Fig. 15, the screen interface of the gateway editor is shown. This interface is a process wherein input is received by the user using the main menu 1501 or drop down menu 1513, or using an input device to "drag and drop" or click, causing the system 10 to search for 1507, acquire 1508, edit 1509 create 1510, print 1511 or containerize 1512 gateways, and causing the system 10 to establish rules by which an individual gateway governs the transiting 1502, entering 1503, exiting 1504 of containers and the interaction of containers within its domain 1505, and external of its domain.1506. (See detailed description

15 referring to Fig. 11).

Referring now to Fig. 16, the screen interface of the search interface. This interface is a process wherein input is received by the user using the main menu 1625 or drop down menu 1624, or using an input device to "drag and drop" or click, or by entering text, causing the system 10 to select 1615, search for 1616, acquire 1617, edit 1618 create 1619, print 1620, containerize 1621 (by accessing the container editor 110) or insert 1622 digital search strings into the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to select 1602, search for 1603, acquire 1604, edit 1605, create 1612, containerize 1613 (by accessing the container editor 110), or insert 1614 search keys (templates that comprise search scope in geographic range, container level, and specific key words or digital strings), or containerized searches (containers 110), into the search box 1623 in order to submit that string to the search box 1623 in order to submit that string level, and specific key words or digital strings), or containerized searches (containers 110), into the search box 1623 in order to submit that string to the search box 1623 in order to submit that string to the search engine 320, or causing the system 10 to set a search range by geographic range 1607, container level 1608, or acquire 1609, edit 1610 or create 1611 a scope template. (templates that comprise search scope in geographic range and, container level.) (See detailed description referring to Fig. 6).

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Referring now to Fig. 17, a drawing showing, on an input device or computer screen 24, in any generic (dashed lines) software application program, a drop-down menu link 1403

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on a drop down menu 1402 dropping down from a main menu 1401, and a free-floating button link 1404, is shown. When input is received at 1402 or 1403, the system 10 makes available to the user the containerization process or container editor 110. When input is received at dropdown menu link 1405 or a button link 1406, the system 10 makes available to the user the means to enter and interact with this system 10 or this network 201 in any of their aspects. The interfaces 1403, 1404 show a process wherein input is received causing the system 10 to encapsulate content or access the container editor 110. The link also allows the user to encapsulate the page or file on which he is currently working, without selecting content, and if so desired, without accessing the container editor. The interfaces 1405, 1406 show a process wherein input is received causing the system 10 to access or interact with the system 10 or the network 201.

The present invention also includes a search engine 320. Once the key word(s), phrase or digital segment is entered into the search interface 300, or an offered selection chosen on the menu, it is utilized by the search engine 320 to locate the desired site or data.

The search engine employed may be any industry standard search engine such as Verity "Topic", or Personal Library Software, as used in Dow Jones News Retrieval, or Internet search engines such as Webcrawler, Yahoo, Excite, Infoseek, Alexa or any Internet search engine, or any new engines to be developed capable of searching for and locating digital segments, whether text, audio, video or graphic.

The present invention also includes an analysis engine 400. Utilizing rules-based analysis, the analysis engine determines the class of storage medium upon which containers 25

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100.

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reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the containers, and the grade of access chosen by the user in accessing that container Utilizing a pre-programmed sequence of compilation, and inductive, deductive and derivative analysis, the analysis engine manufactures instructions based upon the analysis of

the information submitted by the gateways and the search interface, and submits those

instructions to the appropriate execution engine 500 in order to create new information

containers, content assemblages, storage schemes, access routes, search templates, and

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gateway instructions, and others, and to provide informed search options through the search interface to the inquiring user.

The present invention also includes an engine editor **510**, that provides a system administrator with a means of editing the operating principles of that search engine, and search template loading in the search interface **300**, a reporting and collection means editor **610**, **710**, governing data reporting **600** and data collection **700** at the gateways **200** as defined by the gateway editor **210** and the register editor **125**, a container editor **110** for creating and modifying containers and appending registers to containers, a register editor **125** for creating and modifying container registers and establishing and adjusting the values therein, container **10** gateways **200** with their own storage **205**, information containers **100** for holding information and container registers for holding information about specific containers and their history on the network.

The present invention also includes an execution engine 300. Based upon instructions received from the analysis engine 400 utilizing the communication device 26, the execution engine 500 provides search phrases to the search interface 300 based upon initially received inquiries, relocates containers including their programs, data and registers to other directories, drives, computers, networks on other classes of storage mediums, i.e., tape drive, optical drive, CD-ROM, deletes, copies, moves containers to nest within or encompass other containers on other directories, drives, computers, networks to nest within other containers, alters the class

20 of storage medium upon which containers reside, the subsets and supersets by which and in which containers encompass and reside within one another, the routes of access to those containers, and the historically successful search parameters by which those containers are accessed based upon the identity of the user accessing the container and the grade of access chosen by the user in accessing that container.

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The execution engine 400 fulfills the instructions of the analysis search engine 500, to create new information containers, content sub and superset assemblages, storage schemes, access routes, search templates, gateway 200 instructions and other system functions. The execution engine includes an editor 510 that provides a system manager with a means of editing the operating principles of that search engine, governing data reporting, data collection 700, search template loading, gateway instructions, and other functions.

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The present invention also includes flat or relational databases 900, used where, and as required.

The present invention also includes a communication device 26 supporting all operations on a network wide basis.

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The present invention also includes a search engine 300 to locate the desired site or data. The present invention also includes databases 900, flat or relational, to serve the other components of the system as needed and where needed.

The present invention also includes editors, by which the user may alter the governing aspects of the system. Editors include, but are not limited to, a container editor 110, a register editor 125, a gateway editor 210, an engine editor 510, a reporting means editor 610, a search interface 300, and a collection means editor 710.

The present invention also includes specific screen interfaces for the editors, as described in Fig. 14, Fig. 15. and Fig. 16.

The present invention also includes a means for this system 10 and network 201 or 15 container editor 110 to be accessed from a menu or button selection within any program, as described in Fig. 17.

While the present invention has been described with reference to certain preferred embodiments, those skilled in the art will recognize that various modifications may be provided. For example, both analysis engine and execution engine may be duplicated or 20 modified for distribution at various locations and hierarchical positions in the gateway and container system throughout the network and designed to work in concert. Also, the physical computing infrastructure may be mainframe, mini, client server or other with various network and distributed computing designs, including digitally supported or based physical or public media, and the components of the system 10, as described in Fig. 1 may be physically 25 distributed through space. Even the contents of a single container may be logically referenced but be physically distributed through the network and reside at multiple storage locations. The whole system may be hierarchically nested within other systems to the nth degree. Whole

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points or may be placed strategically throughout the system for optimal collection and

systems may also be encapsulated within containers. A single container may also encompass a single physical media, such as a CD-ROM disk, programmed with the container, gateway and register design. Gateways may be strategically placed on containers at ingress and/or egress

reporting output and gateway system control. Also, the loop of gateway data collection and reporting, analysis engine analysis, instruction, and gateway modification, and execution engine operations may be infinitely nested, from the smallest container of two sub-containers to whole networks holding millions of containers and thousands of levels, with analysis itself

- 5 nested within the multiple levels. Gateways may be established at both logical and physical junctures such as a satellite uplink point. Also, the provision to establish a unique network identity might be designed to include as of yet unknown computer networks as they arise. The analysis and execution engines may operate on a rules-based, fuzzy logic, artificial intelligence, neural net, or other system not yet devised. Other variations upon and
- 10 modifications to the preferred embodiments are provided for by the present invention, which is limited only by the following claims. Also, the classification scheme of nested containers, while designated by the container creators, may transform, be utilized otherwise, or be wholly discarded according to usage. Also, hardware configurations, such as the use of RAM or hard drives for storage or lasers for communication may assume myriad forms without altering the
- 15 essential operation of this invention.

WHAT IS CLAIMED IS:

1. An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

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an information element;

a plurality of registers, the plurality of register forming part of the container, a first register of the plurality of registers for storing a unique container identification value, a second register of the plurality of registers that stores information and evolves according to the relationship, use and interaction of the container with other containers, processes and systems;

10 and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems and process.

The apparatus of claim 1, wherein the information element is one from the group of text, graphic images, video, audio, a digital pattern, a process, a nested container, bit,
 natural number and a system.

3. The system of claim 1, wherein the plurality of registers include at least one container history register for storing information regarding past interaction of the container with other container, system or processes, the container history register being modified.

The system of claim 1, wherein the plurality of registers include at least one
 system history register for storing information regarding past interaction of the container with different operating system and network processes.

5. The system of claim 1, wherein the plurality of registers include at least one predefined register, the predefined register being a register associated with an editor for user selection, the predefined register appendable to any container.

6. The system of claim 1, wherein the plurality of registers include a user-created register, the user-created register generated by the user, one or more of which is appendable to any container.

The system of claim 1, wherein the plurality of registers include a system defined register, the system-defined register set, controlled and used by the system, one or
 more of which is appendable to any container.

8. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers, systems and processes using time as a parameter.

 The system of claim 8, wherein the plurality of registers include: an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways;

an passive time register for identifying times at which the container can be acted upon other containers, processes systems, or gateways; and

15 a neutral time register for identifying times at which the container may interact with other containers.

10. The system of claim 1, wherein the plurality of registers include at least one acquire register for controlling whether the container adds a register or a container from other containers when interacting with them.

20 11. The system of claim 1, wherein the plurality of registers include at least one register for controlling the relationship of the container with other containers using space as a parameter.

12. The system of claim 11, wherein space refers to the geographic location of a the container.

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13. The system of claim 11, wherein space refers to the logical address space of a network in which a container resides.

14. The system of claim 11, wherein the plurality of registers include:
 an active space register for identifying space in which the container will act upon other
 containers, processes, systems or gateways;

an passive space register for identifying from which the container can be acted upon other containers, processes systems, or gateways; and

a neutral time register for identifying space in which the container may interact with other containers.

10 15. The system of claim 1, wherein the gateway includes means for acting upon another container, the means for acting upon another container using the plurality of register to determine whether and how the container acts upon other containers.

16. The system of claim 1, wherein the gateway includes means for allowing interaction, the means for allowing interaction using the plurality of registers to determine
15 whether and how another container can act upon the container.

17. The system of claim 1, wherein the gateway includes means for gathering information, the means for gathering information recording register information from other containers, systems and processes that interact with the container.

18. The system of claim 1, wherein the gateway includes means for reporting
20 information, the means for reporting information providing register information to other containers, systems and processes that interact with the container.

19. The system of claim 1, wherein the gateway includes an expert system including rules defining the interaction of the container with other containers, systems and processes.

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20. A method for creating an interactive information container, the method including the steps of:

forming a container;

selecting an interactive register for the container;

identifying an item for inclusion in a container; and

creating a container element that includes the identified item.

21. The method of claim 20, wherein the step of forming a container further comprising the steps of:

displaying a plurality of container levels;

receiving input from a user selecting one of the displayed container level; and displaying a container template corresponding to the container level input.

22. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

displaying a list of available registers;

15 receiving input selecting an available register from the list of available registers; receiving input values for the selected available register; and appending the register to the container.

23. The method of claim 20, wherein the step of creating a container element that includes the identified item further comprising the steps of:

providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

24. The method of claim 20, wherein the step of forming a container includes the step of providing for the container a gateway that uses the interactive register to control the
25 interaction of the container with other containers, processes, and systems.

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25. The method of claim 24, wherein the step of providing a gateway further comprising the steps of:

determining a current gateway for a system upon which the container is being created; replicating the current gateway to create a new gateway; and

associating the new gateway with the container.

26. The method of claim 24, wherein the step of providing a gateway further comprising the steps of:

determining a register for a system upon which the container is being created; replicating the determined register to create a new register; and

10 associating the new register with the container.

27. The method of claim 20, wherein the step of selecting an interactive register further comprising the steps of:

retrieving a list of available registers;

selecting an available register from the list of available registers by the system; receiving input values for the selected available register from the system; and appending the register to the container.

28. The method of claim 20, wherein the step of creating a container element that includes the identified item is performed by a system interacting with the container, and further comprising the steps of:

providing a data structure as part of the container element; storing the identified element in the data structure; and associating the container element with the container.

29. A method for interacting between a first interactive information container and a second interactive information container, the method including the steps of:

determining identification information for the first container using a first gateway; determining identification information for the second container using a second gateway;

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determining whether the first container can act upon the second container using the first gateway and a register of the first container;

determining whether the second container can be acted upon by the first container using the second gateway and a register of the second container; and

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performing the interaction between the first and second containers prescribed by the first gateway and the register of the first container if both the first container can act upon the second container and the second container can be acted upon by the first container.

30. The method for interacting of claim 29, wherein the steps of determining identification information are performed by reading respective identification registers of the
 10 first and second containers.

31. The method for interacting of claim 29, further comprising the step of altering a register of the first container and a register of the second container to reflect the interaction between the first container and the second container.

32. The method for interacting of claim 29, further comprising the step of adding
registers to the first container based on the registers in the second container and the second gateway.

33. The method for interacting of claim 29, wherein the step of performing also uses the second gateway and the register of the second container to determine the prescribe action to be taken.

20 34. The method for interacting of claim 29, further comprising the steps of: determining whether the first container should add an identified register of the second container as a new register of the first container using an acquire register and the first gateway of the first container; and

adding the new register to the first container if it is determined that the new register should be added to the first container.

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35. The method for interacting of claim 29, further comprising the step of modifying the first gateway of the first container based on the interaction between the first container and the second container.

36. The method for interacting of claim 35, wherein the step of modifying includes
5 modifying rules of an expert system that forms the first gateway of the first container.

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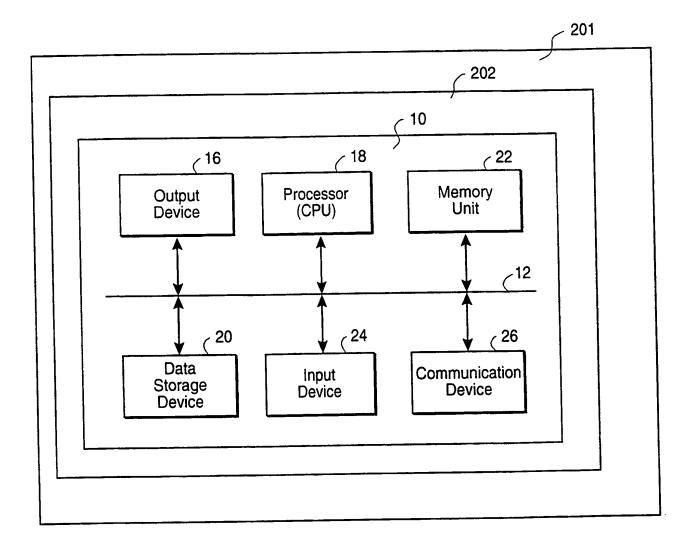


FIG. 1

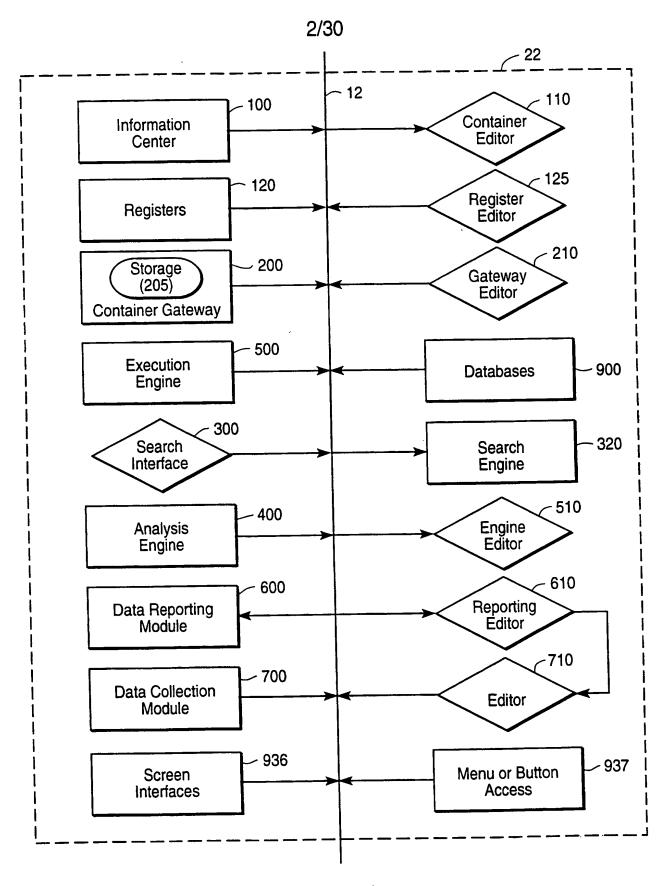
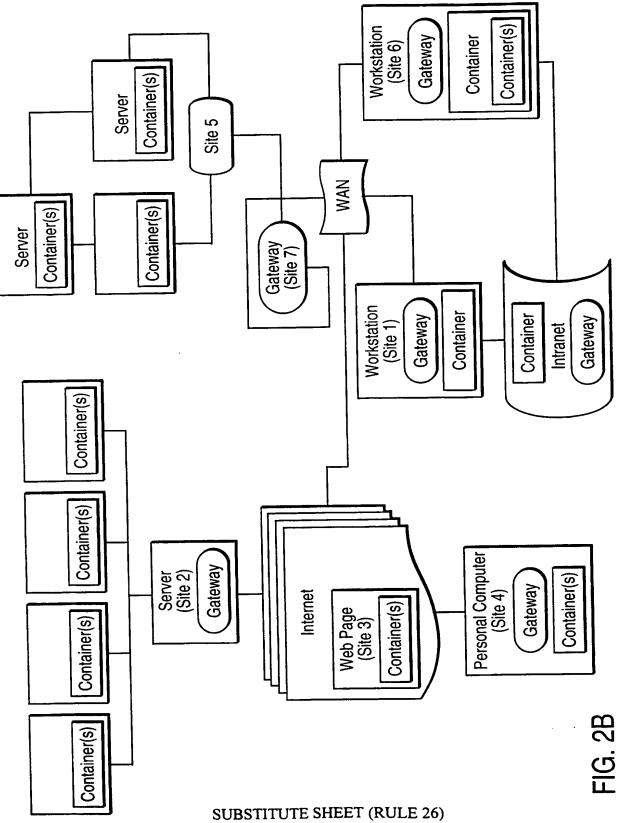
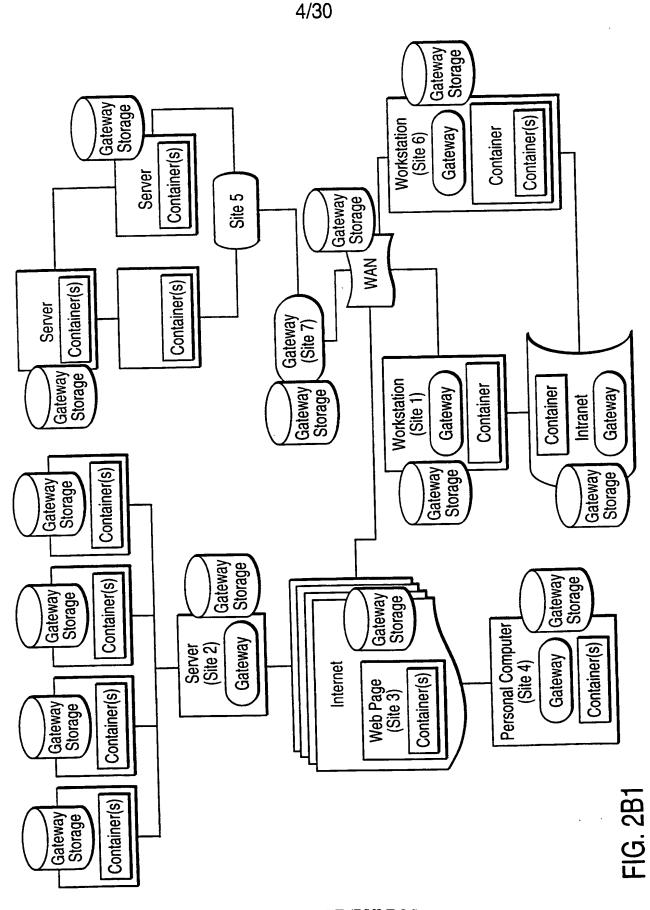


FIG. 2A



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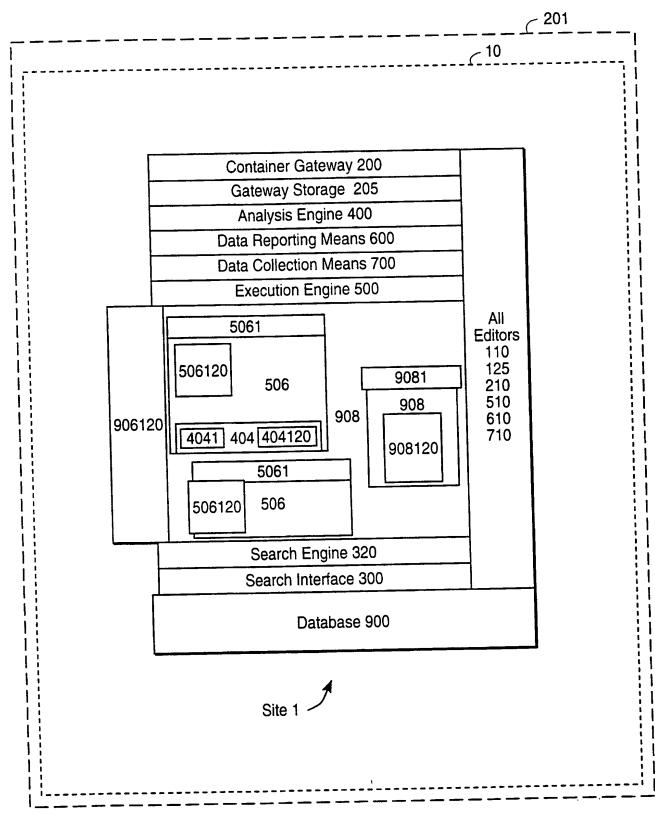


FIG. 2C

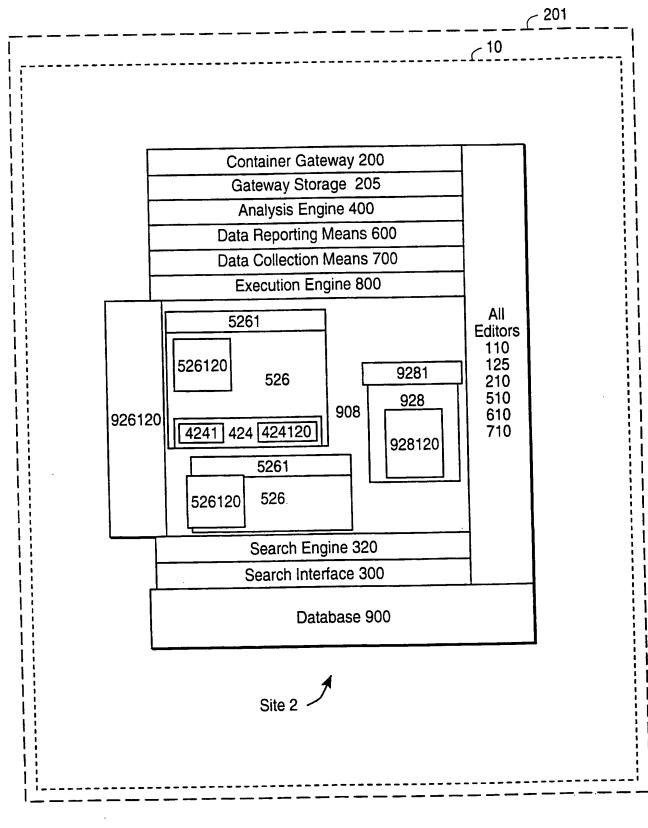
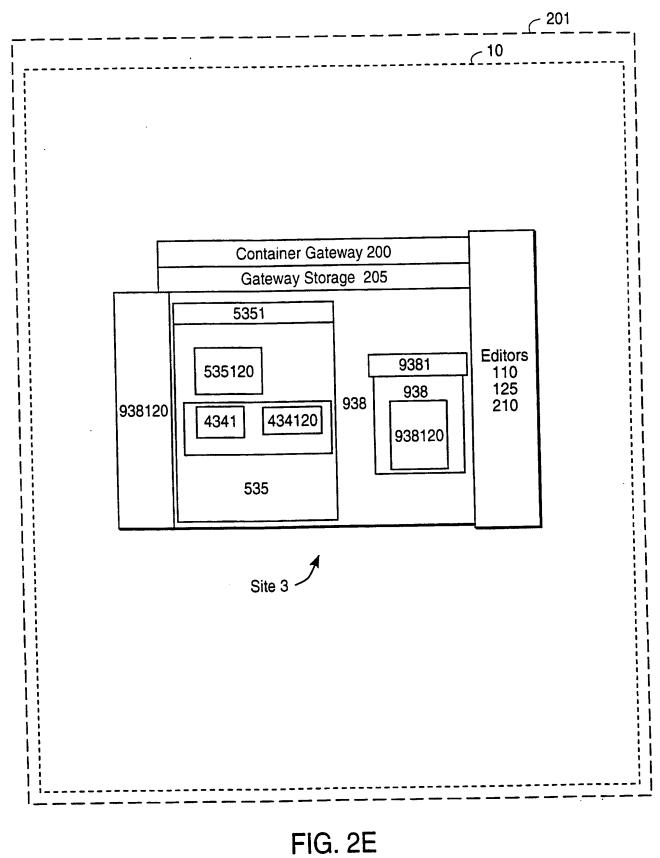


FIG. 2D



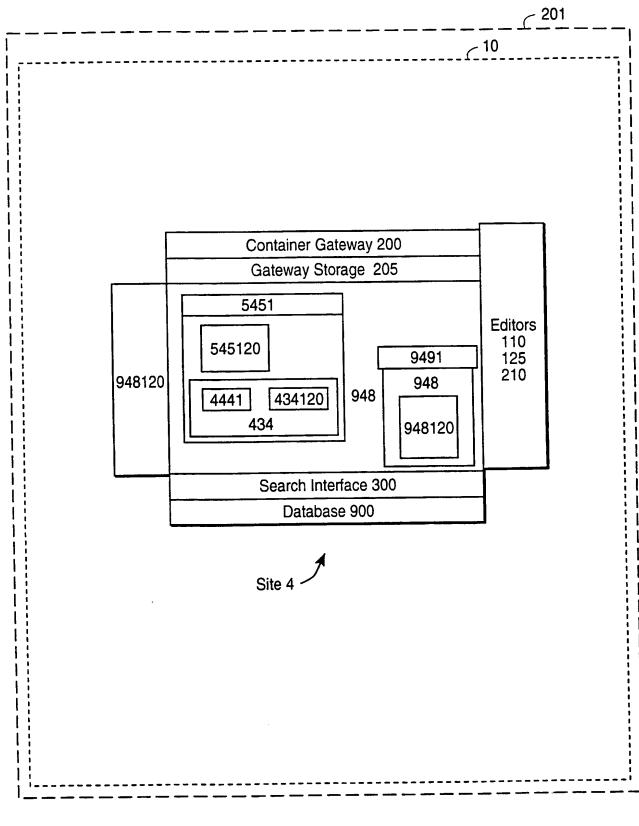


FIG. 2F

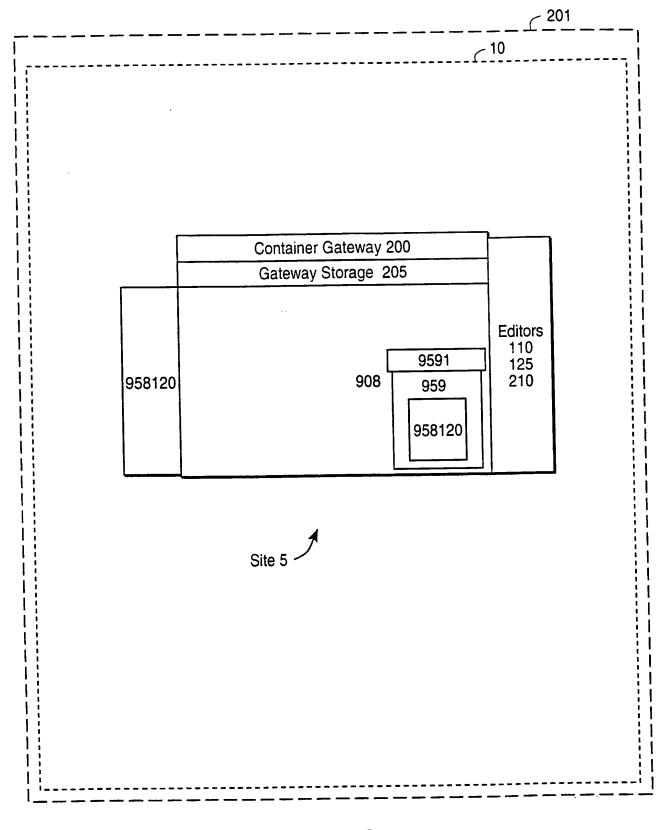


FIG. 2G

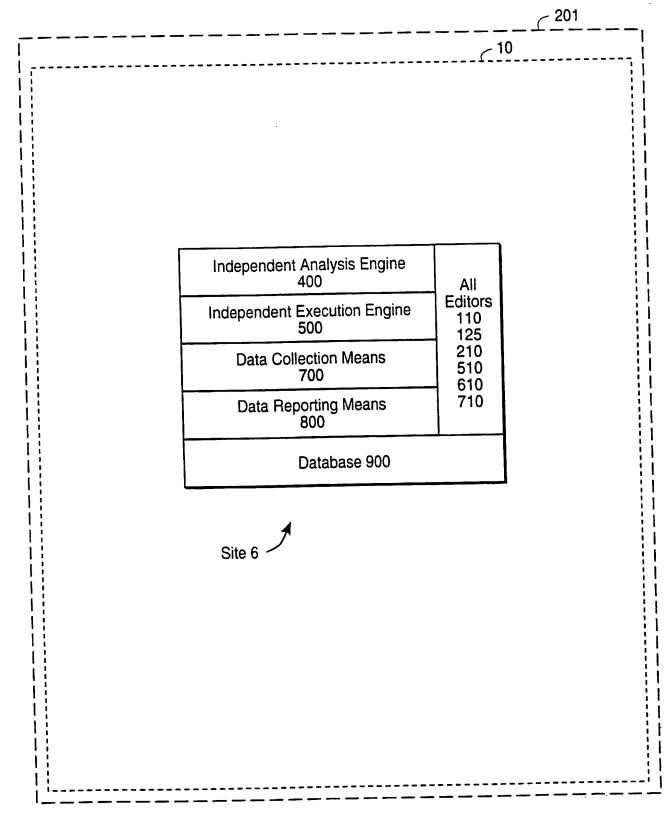


FIG. 2H

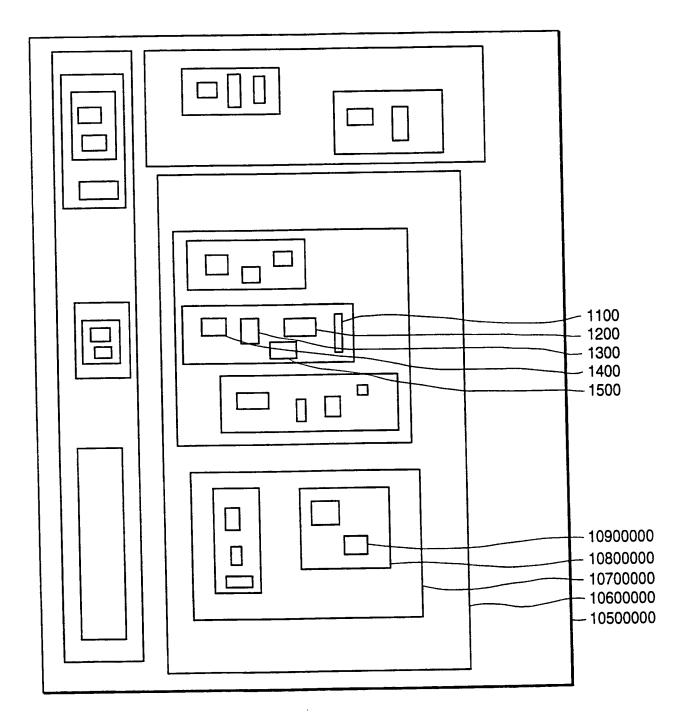


FIG. 3A

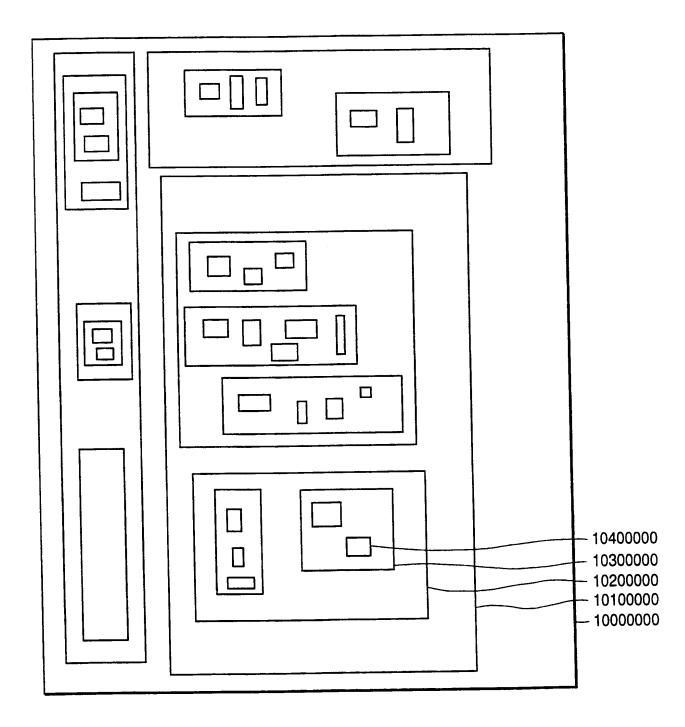


FIG. 3B

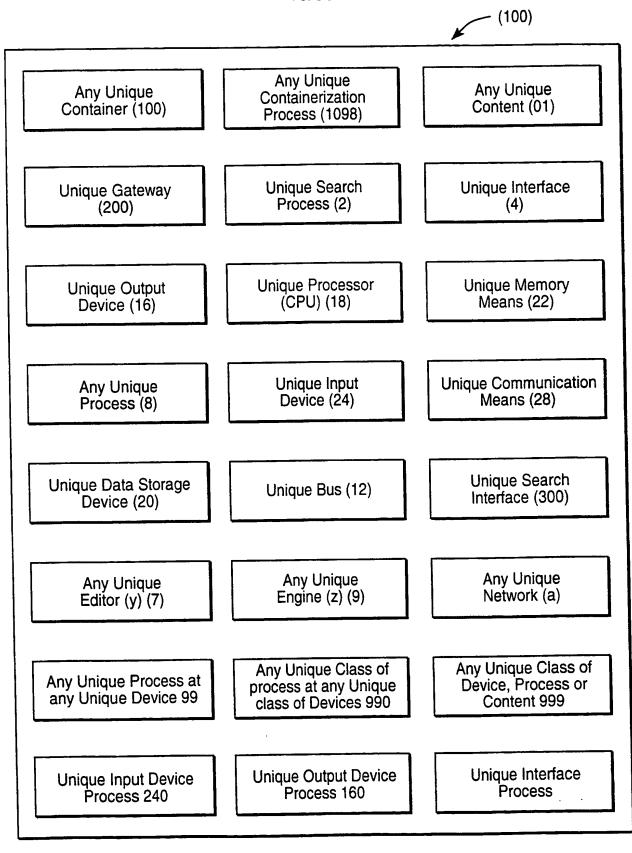
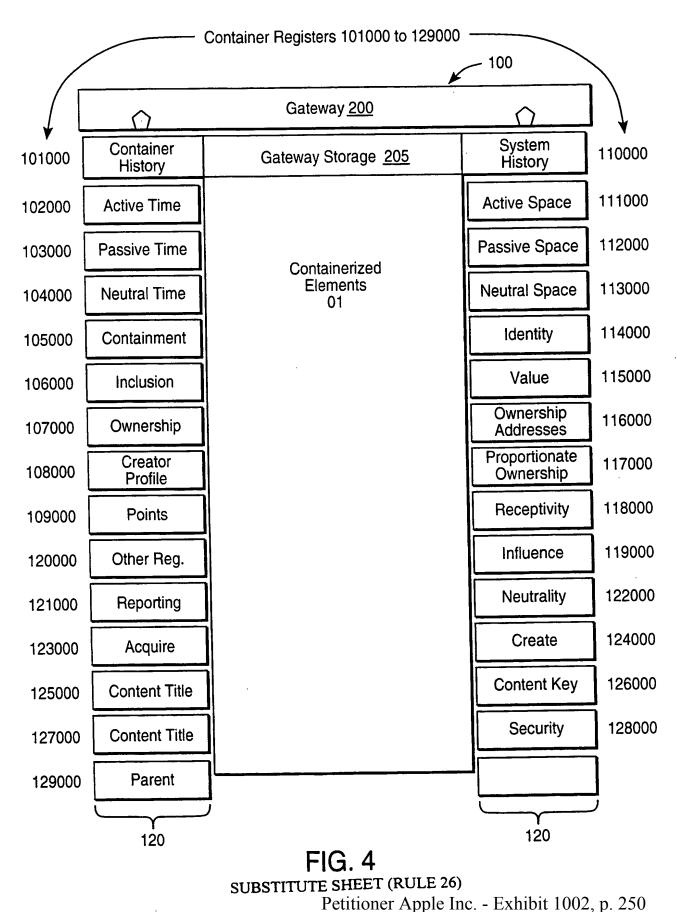
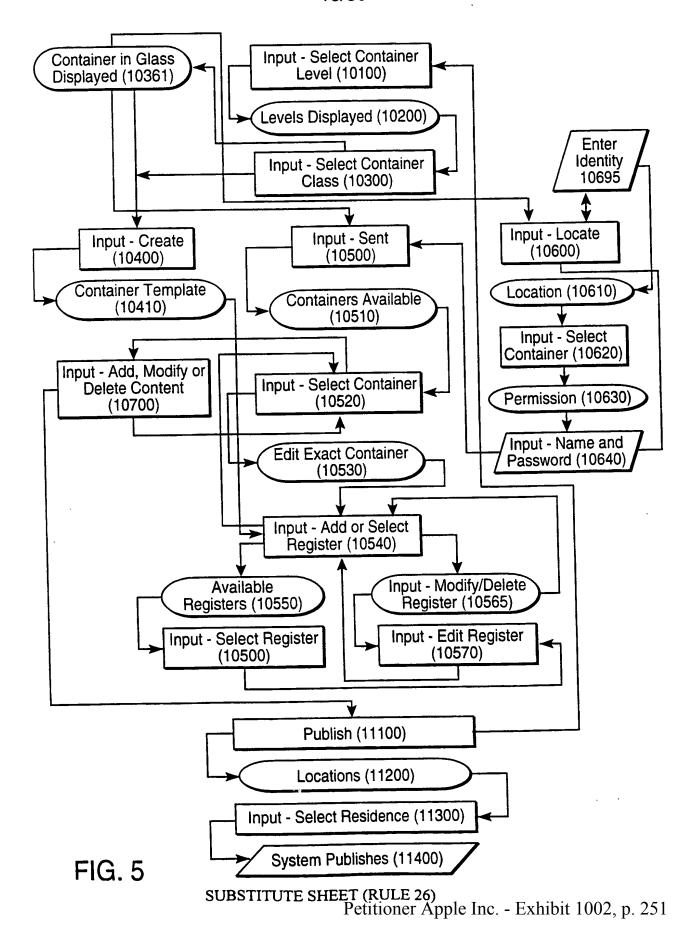
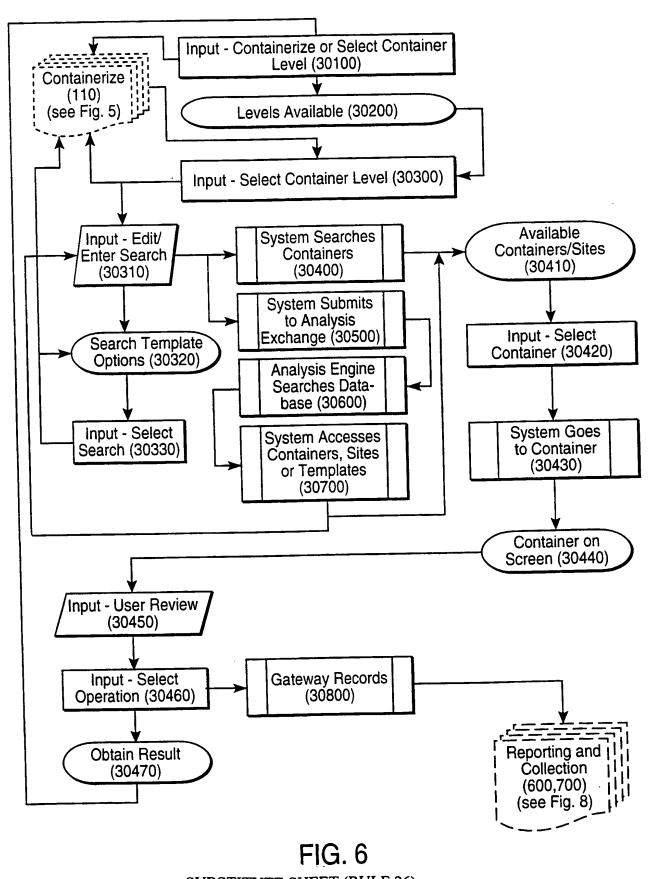


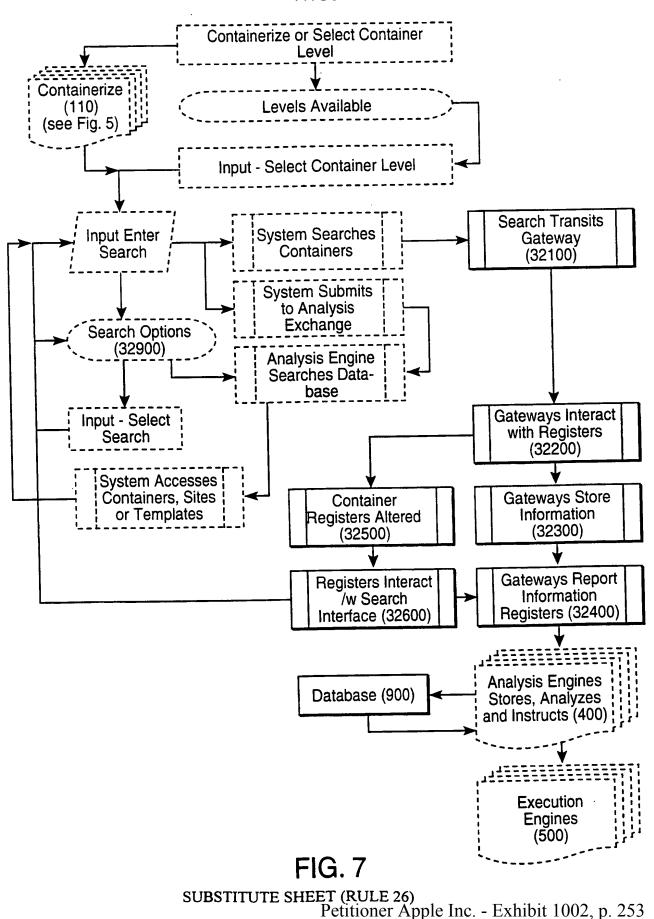
FIG. 3C







SUBSTITUTE SHEET (RULE 26) Petitioner Apple Inc. - Exhibit 1002, p. 252



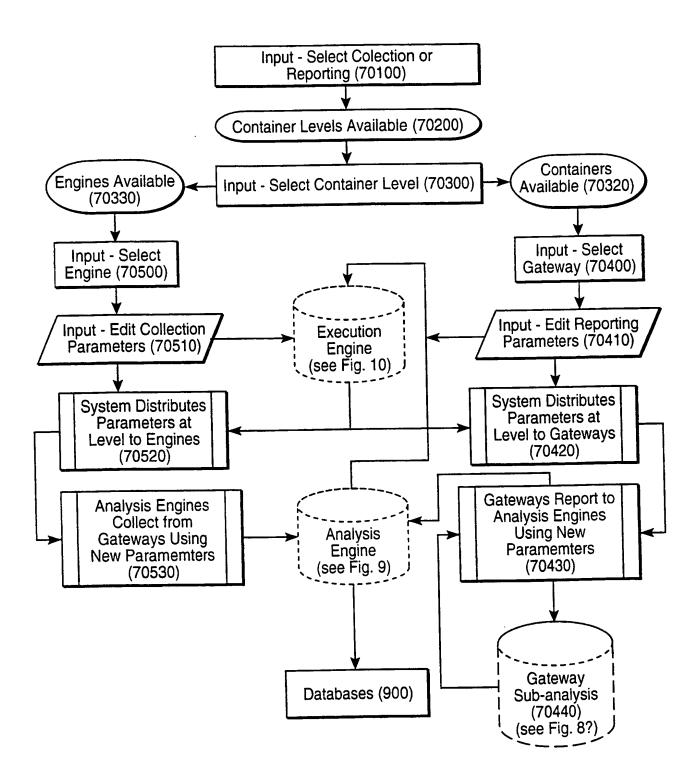
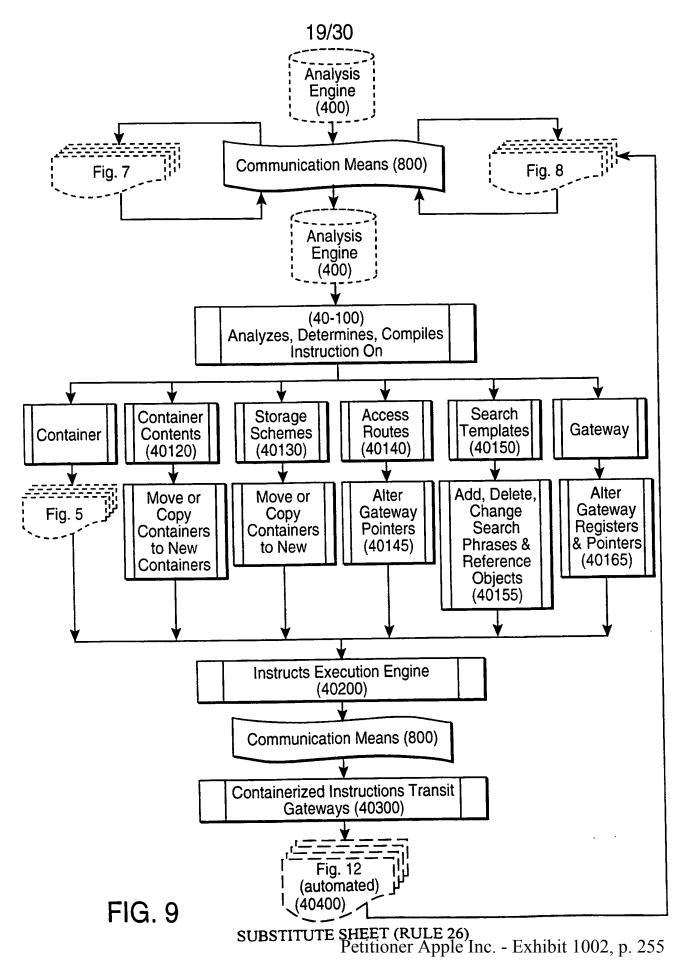


FIG. 8



EXECUTION ENGINE

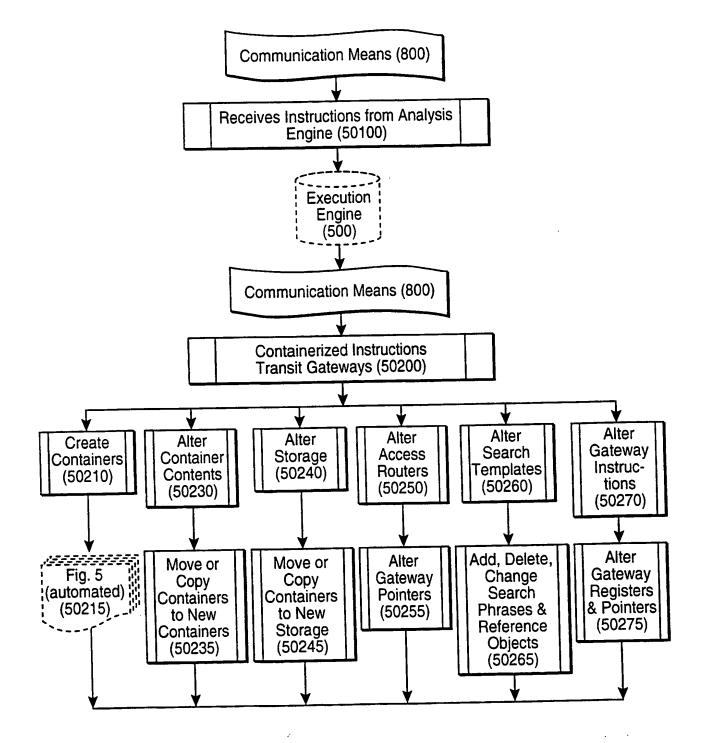


FIG. 10

GATEWAY EDITOR

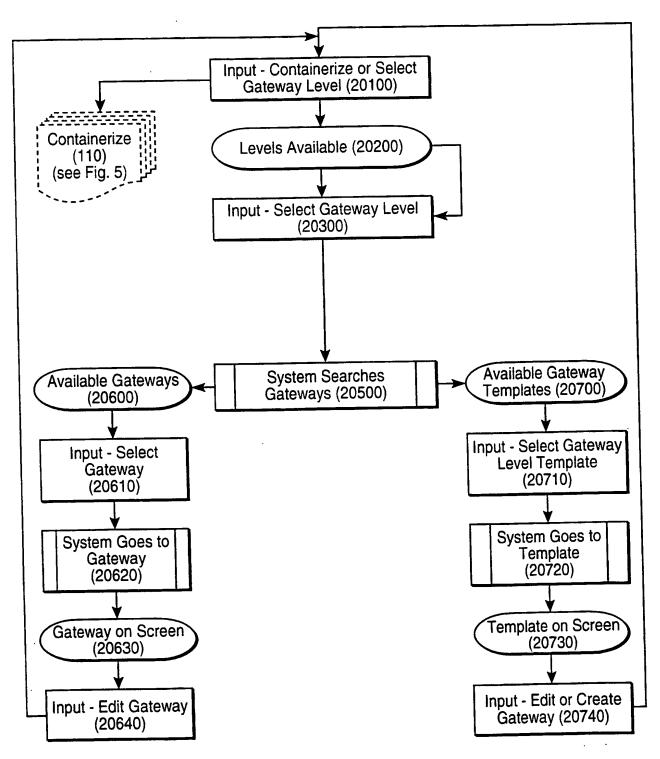


FIG. 11

GATEWAY PROCESS

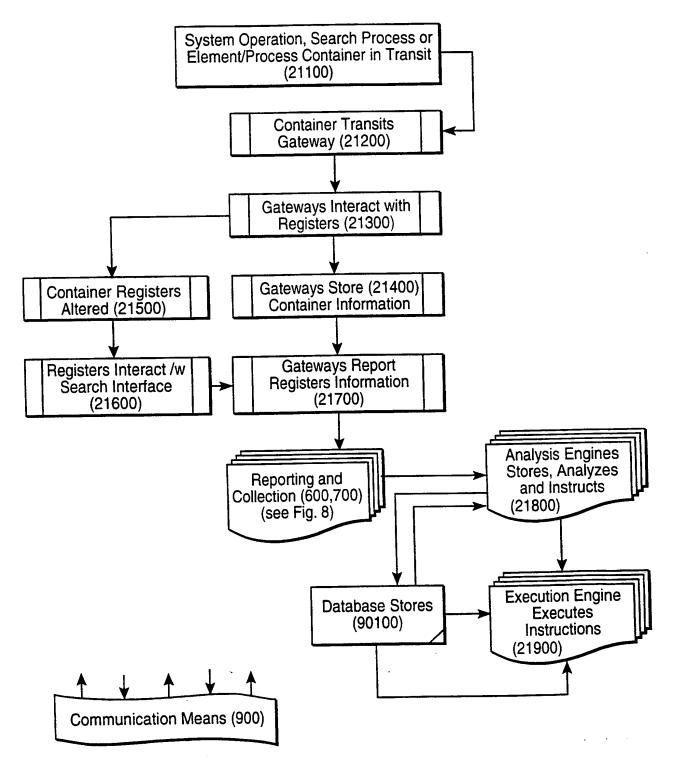


FIG. 12

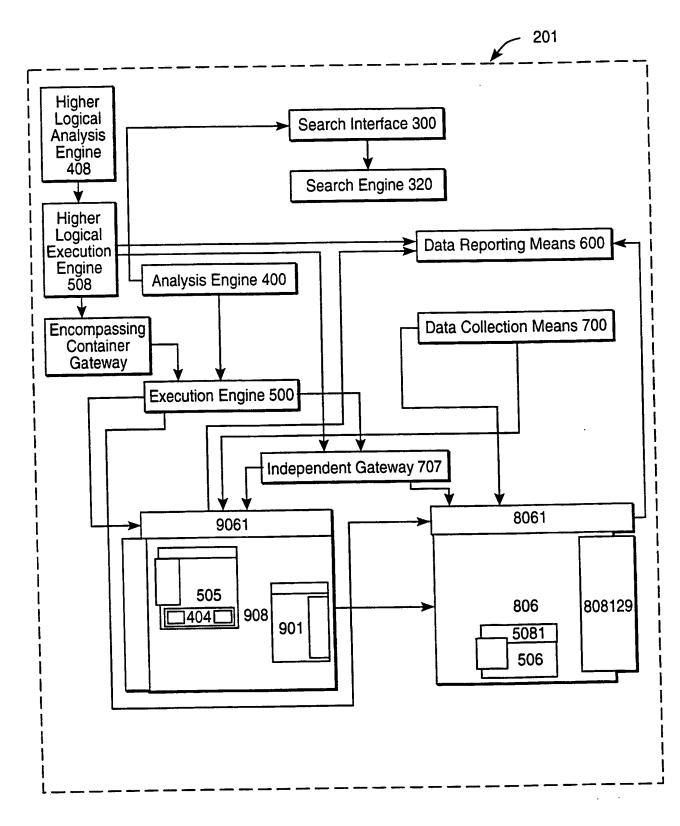


FIG. 13A

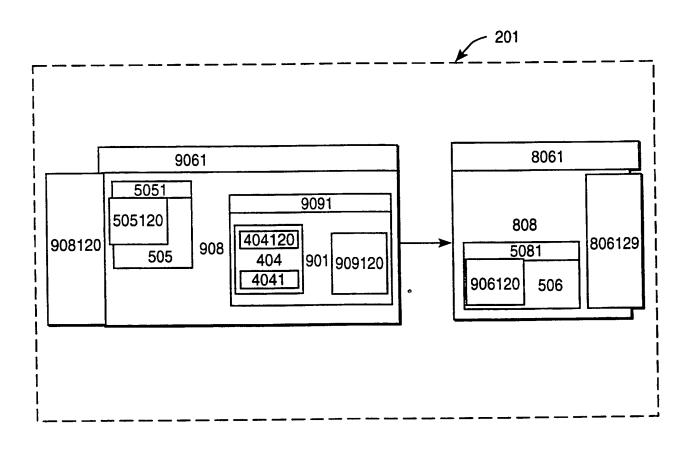


FIG. 13B

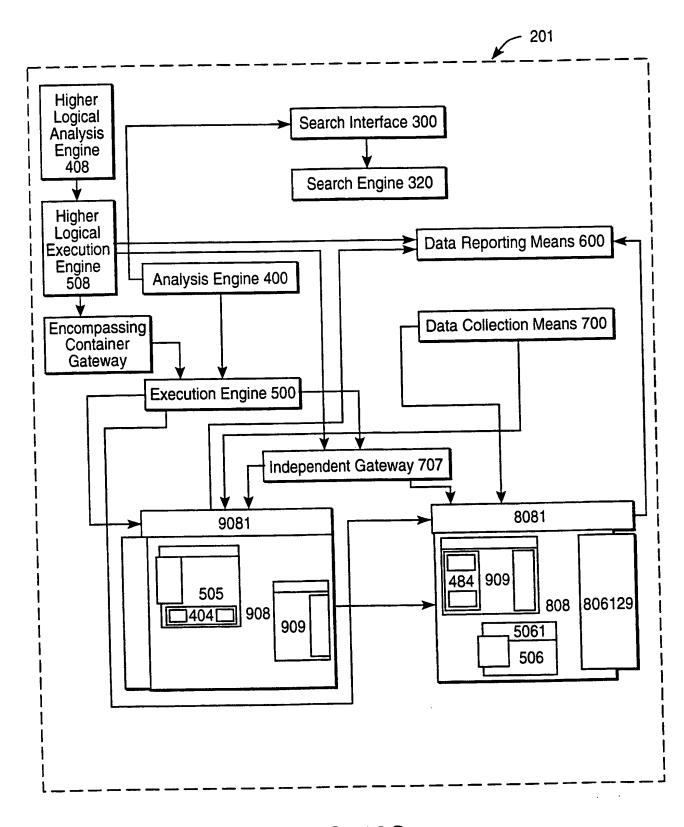
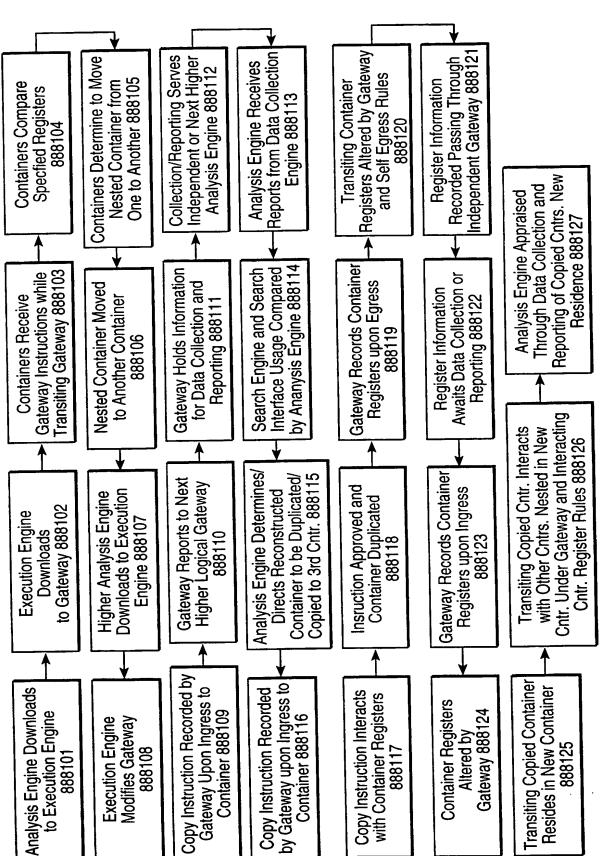


FIG. 13C



SUBSTITUTE SHEET (RULE 26) Petitioner Apple Inc. - Exhibit 1002, p. 262

FIG. 13D

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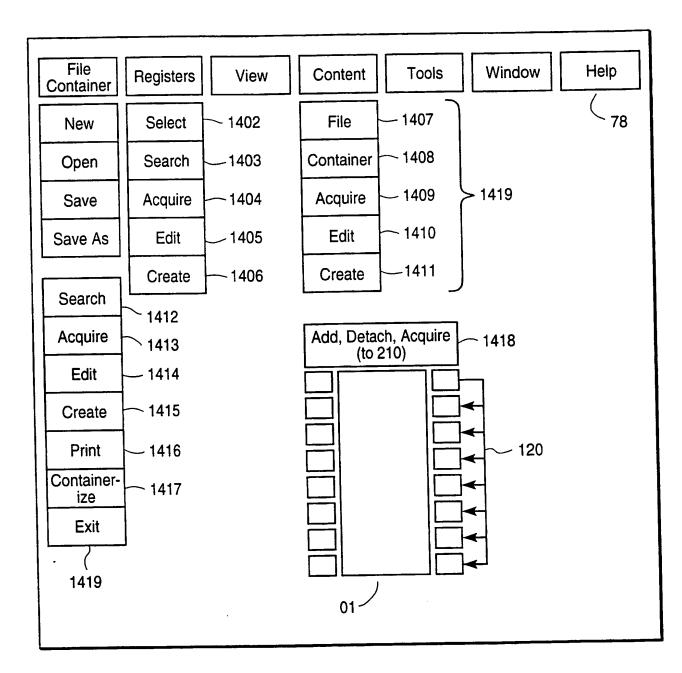


FIG. 14

PCT/US99/01988



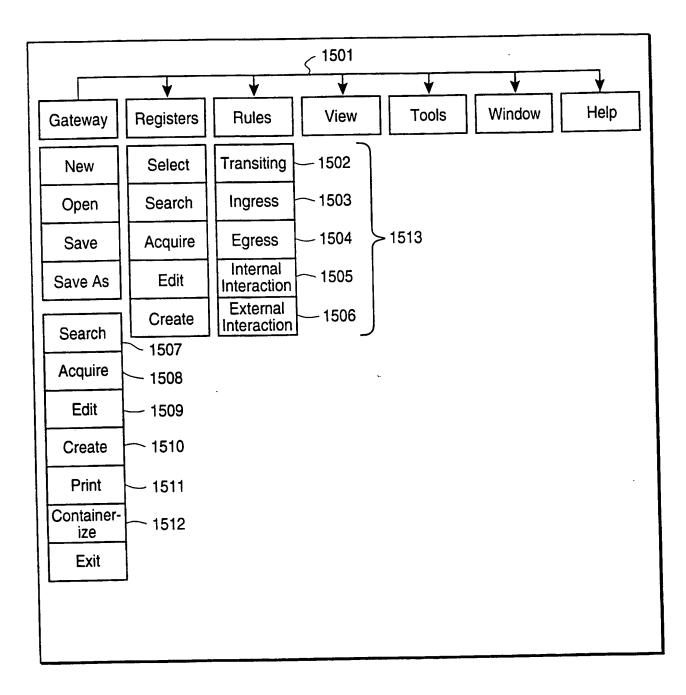


FIG. 15

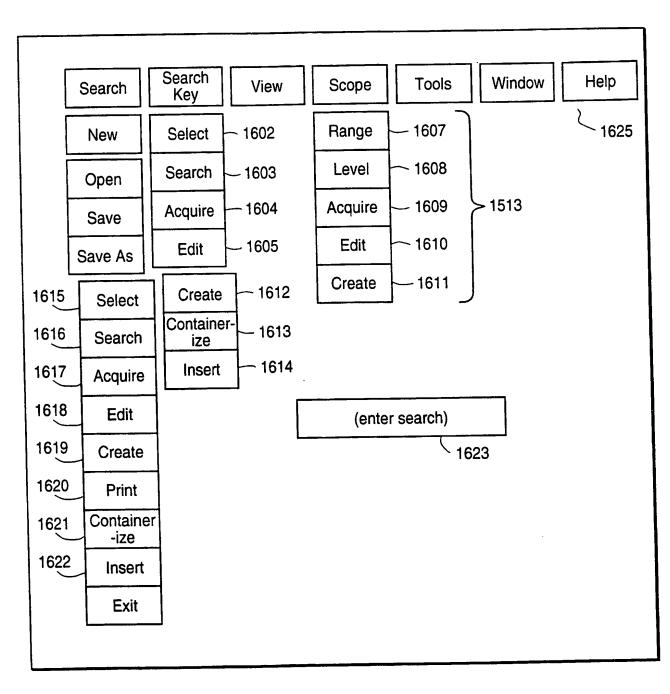


FIG. 16

<u>***</u>---



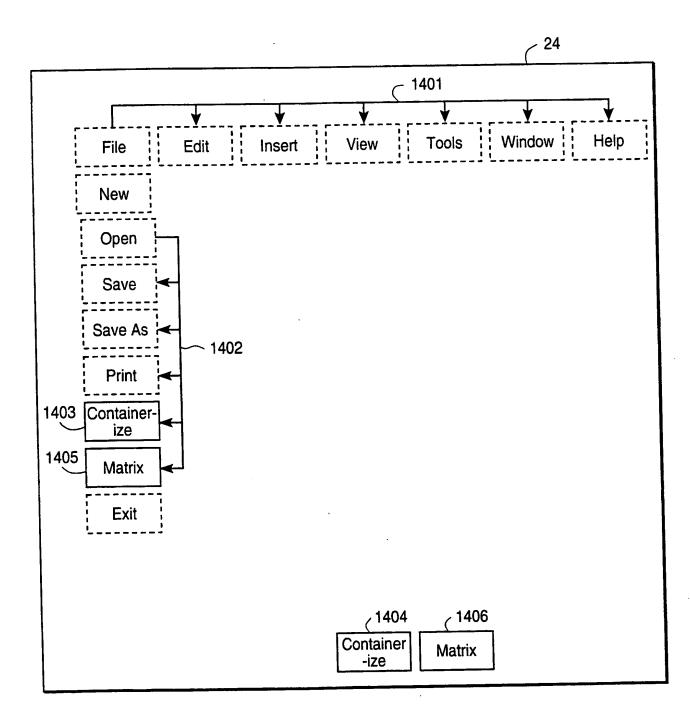


FIG. 17

INTERNATIONAL SEARCH REPORT

CLASSIFICATION OF SUBJECT MATTER А.

IPC(6) :G06F 17/30, 3/14 US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

В. FIELDS SEARCHED

I.

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched MICROSOFT COMPUTER DICTIONARY

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS, PRO-QUEST

C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.		
Α	US 5,768,510 A (GISH et al) 16 June	1-36			
A	US 5,848,246 A (GISH et al) 08 Dece	mber 1998, column 5.	1-36		
Purt	her documents are listed in the continuation of Box C	. See patent family annex.			
1 .	necial categories of cited documents: necument defining the general state of the art which is not considered	"T" later document published after the inter date and not in conflict with the appli- the principle or theory underlying the	cation but cited to understand		
to	be of particular relevance	"X" document of particular relevance; the			
	rlier document published on or after the international filing date ocument which may throw doubts on priority claim(s) or which is	considered novel or cannot be consider when the document is taken alons	ed to involve an inventive step		
cı cı	ted to establish the publication date of another citation or other estal reason (as specified)	"Y" document of particular relevance; the	claimed invention cannot be		
•0• do	becument referring to an oral disclosure, use, exhibition or other eans	considered to involve an inventive combined with one or more other such being obvious to a person skilled in th	documents, such combination		
	cument published prior to the international filing date but later than e priority date claimed	*&* document member of the same patent	family		
Date of the	actual completion of the international search	Date of mailing of the international sear	rch report		
03 JUNE	1999	15 JUN 1999			
Name and	mailing address of the ISA/US oner of Patents and Trademarks	Authorized officer For	·)		
Box PCT	on, D.C. 20231	RUAY LIAN HO Allenia Zolyan			
Facsimile 1		Telephone No. (703) 305-3834	Upr		

Form PCT/ISA/210 (second sheet)(July 1992) *

Petitioner Apple Inc. - Exhibit 1002, p. 267

A. CLASSIFICATION OF SUBJECT MATTER: US CL :

707/1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 100, 101, 102, 103, 104, 200, 201, 202, 203, 204, 205, 206; 709/202, 203, 218, 228; 713/200, 201

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B. PIELDS SEARCHED Minimum documentation searched Classification System: U.S.

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707/1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 100, 101, 102, 103, 104, 200, 201, 202, 203, 204, 205, 206; 709/202, 203, 218, 228; 713/200, 201



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Adexandria, Virginia 22313-1450 www.uspto.gov

BIBDATASHEET

Bib Data Sheet

CONFIRMATION NO. 1910

SERIAL NUMBE 09/284,113				GROUP ART UNIT 2161		ATTORNEY DOCKET NO. 3726-US	
APPLICANTS MICHAEL DI	E ANGELO, SANTA BARE	BARA, CA;					
This applicat	** CONTINUING DATA **********************************						
	REIGN FILING LICENSE						
Foreign Priority claimed yes no 35 USC 119 (a-d) conditions yes no Met after met Allowance Allowance Initials STATE OR COUNTRY CA SHEETS DRAWING CA 30 TOTAL DRAWING 30 36 10 CLAIMS 30 36 36							
ADDRESS Fish & Richardson 500 Arguello Street Sute 500 Redwood City ,CA							
TITLE SYSTEM AND ME ⁻ DYNAMIC REGIST	THOD FOR CREATING A	ND MANIPULATING	NFORMA		CONTAI	INER	S WITH
FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUN 669 No for following:			JNT [All Fe 1.16 1.17 ime) 1.18 Othe Cred	Fees (Fees (Fees (Fees (Proce	essing Ext. of

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UL 1 2 1999	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	PATENT RECEIVED JUL 19 1999 Group 3700
APPLICANT:	Michael De Angelo	Group 3700 RECEIVED MAY - 5 2000 Group 2700
SERIAL NO.:	09/284,113	MAY - 5 2000
FILING DATE:	April 7, 1999	Group 2700
TITLE:	System And Method For Creating And Man Containers With Dynamic Registers	F I. IAAd
EXAMINER:	Unknown	#2 0.'01
GROUP ART UNIT:	Unknown	I S MIMM
ATTY. DKT. NO.:	3726	'Manta
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envelope addressed to: Assistant Commissioner For P	atents, Washington, D.C. 20231, on the date shown below:
Dated: Juny 7, 1999	By: Kny Ku
	Greg T. Sueoka, Reg. No.: 33,800

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

And the second second

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

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- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred; OR
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
 - (1) a final action under 37 CFR §1.113; OR
 - (2) a notice of allowance under 37 CFR §1.311; AND
 - attached hereto is the fee of \$240, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR

 \blacksquare Applicant certifies pursuant to 37 CFR § 1.97(e) that:

- each item of information contained in this Information
 Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 OR
- no item of information contained in this Information
 Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.

OR

before the payment of the issue fee but after the mailing date of the first to occur of either:



a final action under 37 CFR § 1.113; OR

[1]

[2]

a notice of allowance under 37 CFR § 1.311; AND

in accordance with the requirements of 37 CFR § 1.97(d):

- Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - П each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- Applicant submits the petition fee of \$130 as set forth in 37 CFR § 1.17(i).

 $\mathbf{\nabla}$ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Dated: Juch 7, 1999

Respectfully submitted, MICHAEL DE ANGELO 4m

Greg T. Sueoka, Reg. No.: 33,800 Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7194 Fax.: (650) 494-1417

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By:

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0001/PTO Rev. 10/95	Apploved for use through XXXXXX, CMB 0651-0031 ent and Trademark Office: U.S. DEPARTMENT OF FOMMERCE Application Number 09/284,113	0500
. بالمحمدينية.	Filing Date April 7, 1999 Group 270	
TRANSMITTAL FORM	First Named Inventor Michael De Angelo RECE	VED
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number Unknown JUL 1	9 1999
	Examiner Name Unknown Group	3700
Total Number of Pages in This Submission *5	Attorney Docket Number 3726	
ENCLOSURES	(check all that apply)]
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Return Receipt Postcard	Formal Drawing(s):	VAY - 5 2000
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Assignment & Recordation Cover Sheet	Appeal Communication to Board of Appeals and Interferences	oup 2700
Small Entity Statement	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
 ✓ Information Disclosure Statement & PTO-1449 ✓ Copies of IDS Cited References 	Certified Copy of Priority Document(s)	
Request for Corrected Filing Receipt	After Allowance Communication to Group	
Request for Correction of Recorded Assignment		
Amendment/Response: [] Page(s)		
After Final		
Status Request		
Revocation and Power of Attorney		
REMARKS: *Does not include total pages of cited ref	ferences	
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Signature:	Here CRAGENT	1
Attomey/Reg. No.: Greg T. Sueoka / Reg. No.: 33,80		
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first class mail in an envelope addressed to. The Assistant Commi	identified above, is being deposited with the United States Postal Service as ssioner for Patents, Washington, D.C. 20231 on the date shown below. If spontence is being deposited with the United States Postal Service "Express]
Signature: leag &	her	
Typed or Printed Name: Greg T. Sueoka	Dated: July 7, 1999]
Express Mail Mailing Number (optional):		J

Rev. 07/06/99

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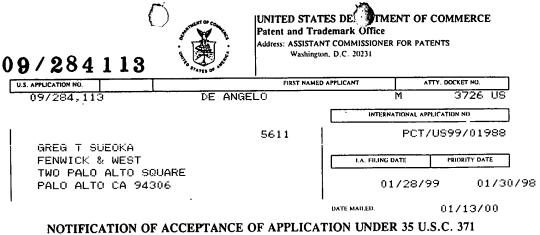
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AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as 1/2 a Designated Office (37 CFR 1.494), an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>ADTIL 7, 1999</u> 35 U.S.C. 102(e) DATE	April 7, 1999 DATE OF RECEIPT OF
35 U.S.C. 102(e) DATE	DATE OF RECEIPT OF
	35 U.S.C. 371 REOUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. A request for immediate examination under 35 U.S.C. 371(f) was received on April 7, 1999 and the application will be examined in turn.

4.	The following items have been received:
	U.S. Basic National Fee.
	Copy of the international application in:
	a non-English language.
	English.
	Translation of the international application into English.
	Oath or Declaration of inventors(s) for DO/EO/US.
	Copy of Article 19 amendments. Translation of Article 19 amendments into English.
	The Article 19 amendments have have not been entered.
	The International Preliminary Examination Report in English and its Annexes, if any.
	Copy of the Annexes to the International Preliminary Examination Report (IPER).
	Translation of Annexes to the IPER into English.
	The Annexes have have not been entered.
	Preliminary amendment(s) filed and
	Information Disclosure Statement(s) filed and
	Assignment document.
	Power of Attorney and/or Change of Address.
	Substitute specification filed
	Statement Claiming Small Entity Status.
	Priority Document.
	Copy of the International Search Report and copies of the references cited therein.
	Other:
A	oplicant is reminded that any communication to the United States Patent and Trademark Office must be
m	ailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Barbara Campbell National Stage Processing

Telephone: (703) (703) 305-3631

FORM PCT/DO/EO/903 (December 1997)

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		Filir	Filing Date		April 7, 1999			
TRANS	Smittal For	RW	Firs	t Nan	ned Inventor	Michael De A	Angelo	
	orrespondence during po îled application)	endency of	Gro	Group Art Unit Number		2771	TEC	
			Exa	mine	r Name	not yet know	©H CE	NUL
Total Number of Pag	ges in This Submission	5	Atto	orney	Docket Number	3726		26
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Signature:	Jun		4	u				
Attorney/Reg. No.: Greg T. Sueoka / Keg. No.: 33,800			00			Dated:	May 8, 2000]
		CERTIFIC						
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service persuant to 37 CFR 1.19/								
Signature:	May	A	L					
Typed or Printed Name: Greg T. Sueoka				Dated:	May 8, 2000			
Express Mail Mailing	Express Mail Mailing Number (optional):							

APPLICANT: SERIAL NO.: FILING DATE:	IN THE UNITED PATENT AND TRADE Michael De Angelo 09/284,113 April 7, 1999	· ·	RECEIVED JUN 26 2000 TECH CENTER 2700
TITLE:	System And Method For Cre Containers With Dynamic Re	• • •	formation
EXAMINER:	not yet known		
GROUP ART UNIT:	2771		
ATTY. DKT. NO.:	3726		

CERTIFICATE OF MAILING)				
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, Def 20231, on the date shown below:					
	wn below:				
Dated: May 8 2000 By: May An	1				
Greg T. Sueoka, Reg. No.: 33,800					

ASSISTANT COMMISSIONER FOR PATENTS APPLICATION PROCESSING DIVISION CUSTOMER CORRECTION BRANCH WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The filing receipt does not indicate small entity status, as evidenced by the executed Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))—Small Business Concern, a copy of which is enclosed.

Please issue a corrected Filing Receipt rectifying this error.

 \square The correction is not due to any error by the Applicant and therefore no

fee is due.

PATENT

RFI

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Since at least one of the correction is due to Applicant's error, payment in the Π amount of \$25, pursuant to 37 CFR § 1.19(h), is enclosed.

> Respectfully submitted, MICHAEL DE ANGELO

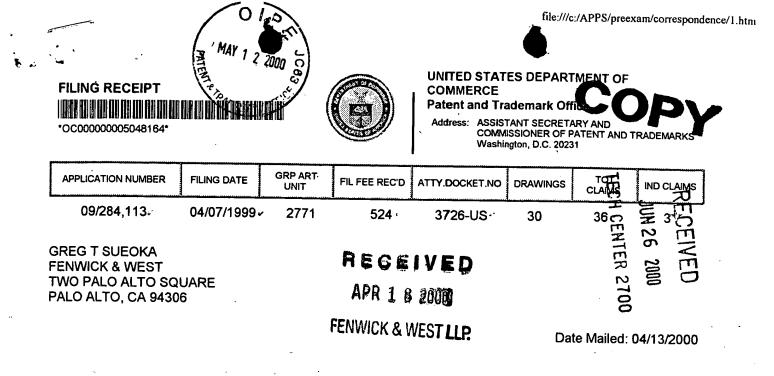
1 2 2000

Dated: Man 8 2000

TECH CENTER 2700 JUN 26 2000 By: Greg T. Sueoka, Reg. No.: 33,800

Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7194 Fax.: (650) 494-1417

21114/03726/DOCS/1042815.1



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate):

Applicant(s)

MICHAEL DE ANGELO, SANTA BARBARA, CA UNITED STATES;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US99/01988 01/28/1999 WHICH CLAIMS BENEFIT OF 60/073,209 01/30/1998

Foreign Applications

If Required, Foreign Filing License Granted 04/12/2000

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Title

SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS /

Preliminary Class

707

Data entry by : BARRETO, NGA

Team : OIPE

Date: 04/13/2000

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VERIFIED STATEMENT CLAIMING SMAL		US Docket N	Number (Optional
(37 CFR 1.9(f) & 1.27(t))-SMALL BUSINI	ESS CONCERN		3726
Applicant or Patentee: Michael De Angelo			EC
Application or Patent No.:			H L
Filing Date or Issue Date:	· · · · · · · · · · · · · · · · · · ·		EF EF
Title: System And Method For Creating And Manipulating	P Information Contain	ers With Dynamic	
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I hereby declare that I am [] the owner of the small business concern identified be	low		2700
[X] an official of the small business concern empowered		oncern identified be	
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ADDRESS OF SMALL BUSINESS CONCERN 104 West	•	······································	·····
	bara, California 93101		······································
I hereby declare that the above identified small busine			ncern as defined i
Trademark Office, in that the number of employees of the conc For purposes of this statement, (1) the number of employees of of the concern of the persons employed on a full-time, part-time year, and (2) concerns are affiliates of each other when either, of control the other, or a third party or parties controls or has the p	the business concern is e or temporary basis dur directly or indirectly, on	the average over the ing each of the pay	e previous fiscal g periods of the fis
I hereby declare that rights under contract or law have identified above with regard to the invention described in:	e been conveyed to and	remain with the sm	all business conce
 [X] the specification filed herewith with title as listed about [] the application identified above. [] the patent identified above. 	ove.		
If the rights held by the above identified small busine organization having rights in the invention must file separate verights to the invention are held by any person, other than the in 37CFR 1.9(c) if that person made the invention, or by any cont 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e)	erified statements averri wentor, who would not a cern which would not qu	ng to th <mark>eir</mark> status as qualify as an indepe	small entities, an indent inventor u
Each such person, concern or organization having an	y rights in the invention	is listed below:	
[X] No such person, concern, or organization exists.			
[] Each such person, concern or organization is listed b	elow:		
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference, which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

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- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred; OR
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Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

3/29/01 Dated:

Respectfully submitted, MICHAEL DE ANGELO By:

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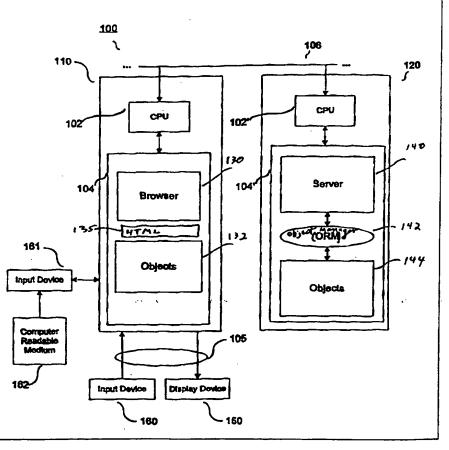
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(54) Title: HYPERMEDIA OBJECT MANAGEMENT

(57) Abstract

A method and apparatus that uses a hypermedia approach to managing distributed objects. A first embodiment of the present invention uses the World Wide Web hypermedia system. A user initializes browser software that allows the user to browse and change various attributes of objects in the system. The browser communicates with a server that includes an http adapter and a gateway. The gateway can access objects in the system and generate HTML code in accordance with the objects. One embodiment of the present invention uses hierarchical tree-oriented objects. These objects are "selfdescribing" (also called "introspective"). The server queries the objects in response to the queries from the browser and each queried object responds with information about itself. In another preferred embodiment, the server initiates queries of the objects and retains this information for use in responding to later queries from the browser.



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HYPERMEDIA OBJECT MANAGEMENT

FIELD OF THE INVENTION

This application relates to object oriented programming and, in particular, to management of distributed objects via the World Wide Web.

BACKGROUND OF THE INVENTION

The past several years have seen an explosive growth of the use of distributed objects. Now, a single system may be composed of objects obtained from different vendors and having different interfaces. Such objects are called "heterogeneous objects." Thus, a system can be formed of a large and rapidly changing number of heterogeneous objects. Such a system requires a flexible and adaptive approach for system and application management. Conventionally, a heterogeneous system is managed by way of object-specific presentation facilities, i.e., by way of a user front-end that was written for each type of heterogeneous object. Such an approach is, however, too expensive in both development time and maintenance and administrative costs. In addition, conventional object management is often achieved through a single management center. Use of a single center is not efficient when a large number of objects need to be managed.

SUMMARY OF THE INVENTION

The present invention overcomes the problems and disadvantages of the prior art by using a hypermedia approach to object management. In this approach, each object is akin to a hypermedia document. The described embodiment of the present invention uses the World Wide Web hypermedia system. In a preferred embodiment of the present invention, a user initializes browser software that allows the user to browse and change various attributes of objects in the system. The browser communicates with a server that includes an http adapter and a gateway. The gateway can access objects in the system and generate HTML code in accordance with the objects.

A described embodiment of the present invention uses hierarchical treeoriented objects. In a first embodiment, these objects are "self-describing"

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(also called "introspective"). The server queries the objects in response to the queries from the browser and each queried object responds with information about itself. In another preferred embodiment, the server initiates queries of the objects and retains this information for use in responding to later queries from the browser.

In accordance with the purpose of the invention, as embodied and broadly described herein the invention is a system for managing objects, including a first server, comprising: a first receiver portion configured to receive a request in a hypermedia format; a first translator portion configured to convert the hypermedia request to an object request; a sender portion configured to send the object request to an object manager; a second receiver portion configured to receive a response from the object manager; and a second translator portion configured to convert the object manager response to the hypermedia format.

In further accordance with the purpose of this invention, as embodied and broadly described herein the invention is a method for browsing objects, where a browser communicates with a server, comprising the steps, performed by the browser, of: sending an initial URL to the server; receiving first data from the server, where the first data specifies an object corresponding to the URL; sending user-entered data associated with the object to the server; and receiving second data from the server, where the second data specifies a second object corresponding to the user-entered data.

Advantages of the invention will be set forth in part in the description which follows and in part will be obvious from the description or may be learned by practice of the invention. The advantages of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims and equivalents.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate several embodiments of the invention and, together with the description, serve to explain the principles of the invention.

Fig. 1 is a block diagram of a computer system in accordance with a preferred embodiment of the present invention.

Fig. 2 is another block diagram of a computer system in accordance with a preferred embodiment of the present invention.

Fig. 3 is a diagram of data sent between a browser, server, and object manager in accordance with the embodiment of Fig. 1.

Fig. 4 is a diagram of a format in which objects are organized.

Fig. 5 shows another example of a page displayed by the browser.

Figs. 6(a) and 6(b) show an example of HTML that causes the browser to display a portion of the page of Fig. 5.

Figs. 7(a) through 7(c) show further examples of HTML that result in the portions of page of Fig. 5.

Figs. 8(a) and 8(b) show several examples of ORM (Object Resource Management) requests made by the server to the object manager and the resulting responses from the object manager.

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Fig. 9 shows another page displayed by the browser.

Fig. 10 shows layers of functions available to the object manager.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Reference will now be made in detail to a preferred embodiment of the invention, an example of which is illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.

I. System Overview

Fig. 1 is a block diagram of a computer system 100 in accordance with a preferred embodiment of the present invention. Computer system 100 includes a first computer 110 and a second computer 120. First computer 110 and second computer 120 are connected together via line 106, which can be, for example, a LAN, a WAN, or an internet connection. Line 106 can also represent a wireless connection, such as a cellular network connection.

First computer 110 includes a CPU 102; a memory 104; input/output lines 105; an input device 160, such as a keyboard or mouse; and a display

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device 150, such as a display terminal. First computer 110 also includes an input device 161 that reads computer instructions stored on computer readable medium 162. These instructions are the instructions of e.g., browser software 130. Memory 104 of first computer 110 includes browser software 130, Hypertext Markup Language (HTML) 135, and objects 132. A person of ordinary skill in the art will understand that memory 104 also contains additional information, such as application programs, operating systems, data, etc., which are not shown in the figure for the sake of clarity.

Second computer 120 includes a CPU 102' and a memory 104'. Memory 104' of second computer 120 includes server software 140, an object manager (ORM) 142, and objects 144. HTML 135 in the memory of first computer 110 was downloaded over line 106 from server 140 of second computer 120. A person of ordinary skill in the art will understand that memory 104' also contains additional information, such as application programs, operating systems, data, etc., which are not shown in the figure for the sake of clarity. Server 140, object manager 142, and objects 144 can also be located in memory 104 of first computer 110.

It will be understood by a person of ordinary skill in the art that computer system 100 can also include numerous elements not shown in the Figure for the sake of clarity, such as disk drives, keyboards, display devices, network connections, additional memory, additional CPUs, LANs, input/output lines, etc.

The following paragraphs provide a general discussion of the World Wide Web ("the Web"). The Web is built around a network of "server" computers, such as second computer 120, which exchange requests and data with each other using the hypertext transfer protocol ("http"). A human designer designs the layout of a Web page, which is then specified using HTML ("Hypertext Markup Language"). Several versions of HTML are currently in existence. Examples include HTML versions 2.0 and 3.0, as specified by the WWW Consortium of MIT. The HTML used in the described embodiment of the invention includes frames, forms, and tables, as are known to persons of

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ordinary skill in the art.

A user views a Web page using one of a number of commercially available "browser" programs. The browser submits an appropriate http request to establish a communications link with a Web server of the network. A typical http request references a Web page by its unique Uniform Resource Locator ("URL"). A URL identifies the Web server hosting that Web page, so that an http request for access to the Web page can be routed to the appropriate Web server for handling. Web pages can also be linked graphically to each other.

Fig. 2 is an additional block diagram of a computer system in accordance with a preferred embodiment of the present invention. Browser 130 communicates with server 140. Server 140 includes an http adapter 202 and a management gateway 204. Http Adapter 202 handles communication via the known http protocol. Management gateway 204 communicates with object manager 142. Server 140 communicates with one or more objects 132, 144 using a request/response (RR) protocol, such as the ORM (Object Resource Management) protocol, which is discussed below. Note that objects 132 and 144 can be located on the same or different physical computers or machines. Server 140 also communicates with external interface 206, which communicates with external gateway 208, which communicates using the known SNMP and CMIP protocols. Server 140 also communicates that objects 140 also communicates with external interface using the known SNMP and CMIP protocols. The system can contain more than one servers 140 and more objects than are shown in Fig. 4.

Fig. 3 is a diagram of data sent between a browser, server, and object manager in accordance with the embodiment of Fig. 1. In the example of Fig. 3, the user has already begun execution of browser software 130. In step 302, the user enters the URL of server 140 by way of browser 130. The browser sends a request to the server and, in step 304, the server responds with the HTML to generate a home page. The home page allows the user to enter a URL (or to chose a URL from those known provided within the HTML of the home page). The user can then chose to set/browse objects in the system,

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as described below. The user can also request information and statistics about once or more objects in the system.

In step 306, the user enters a URL of an object by way of browser 130. Server 140 converts the URL to a request to an object manager. For example, in the described embodiment, server 140 converts the URL to an ORM request, as described below. The ORM request is sent to the object manager, which returns object data in steps 308 and 310. Server 140 converts the object data into HTML, which is sent to browser 130 in step 312. The HTML may be based on a predetermined page template known to the server. Alternately, the format of a page may be determined "on the fly" based on the information obtained from the object manager. Server 140 converts all pathnames, such as object-links in the object data (see Fig. 4) to URLs in HTML and vice versa. Thus, if a user clicks on an area in a page displayed by the browser that corresponds to an object-link, browser 130 has the URL corresponding to that object-link. This new URL is sent to the server, which obtains the page information and sends HTML to display information for the object connected to the object-link.

Steps 314 through 320 represent a "set" mode, in which the user enters new values for an object by way of browser 130. In step 314, the user indicates that he wishes to enter "set" mode. This indication is usually accomplished by clicking on a button in the current page (thus, the HTML generated by server 140 should include HTML for this button). In step 316, server 140 sends a "form" for set mode. In step 318, the user enters new values into the form and clicks on "submit" (or "apply", (see Fig. 5), as is known to persons of ordinary skill in the art. Server 140 converts the submitted form to, for example, an ORM request, as described below. The ORM request is sent to the object manager, which returns object data in steps 317 and 319. Server 140 converts the object data of step 319 into HTML, which is sent to browser 130 in step 320.

Steps 322 through 332 represent a "browse" mode, in which the user views values associated with an object by way of browser 130. In step 322,

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the user indicates that he wishes to enter "browse" mode. This indication is usually accomplished by clicking on a button in the current page (thus, the HTML generated by server 140 should include HTML for this button). In step 324, server 140 sends a "form" for browse mode. In step 326, the user enters new values into the form and clicks on "submit" (or "apply", see Fig. 5), as is known to persons of ordinary skill in the art. Server 140 converts the submitted form to, for example, an ORM request, as described below. The ORM request is sent to the object manager, which returns data corresponding to the object in steps 328 and 330. Server 140 converts the response of step 330 into HTML, which is sent to browser 130 in step 332.

II. Hypermedia Object Management

A. Object Organization

Fig. 4 is a diagram of a format in which objects are organized in a preferred embodiment. This organization is transparent to server 140 and browser 130. It will be understood that the present invention can be used with a number of object organizations and with a number of object management protocols. The embodiment described herein uses the ORM protocol, as described below.

The model of Fig. 4 assumes the following:

Management operations can be mapped to two basic operations:
 a) Get an attribute (or a set of attributes) of an object and b) set an attribute (or set of attributes) of an object.

2) All entities to be managed can be organized as a directed tree with nodes and leaves where the nodes are either (callable) objects or components (sub-parts of objects) with attributes as the leaves (with combined name/pair values), and

3) All knowledge about management operations and attributes is built into and controlled by the managed object.

Fig. 4 shows the following types of entities:

1) Objects

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Objects encapsulate and control management aspects and respective

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management operations. In the described embodiment, an object is identified by a "pathname," which is the destination for object calls. Each manageable object has its own virtual tree of components, attributes, and object-links.

2) Components

Components are the primary structuring mechanism within an object. Component sub-trees may be of arbitrary depth and component nodes may contain any number of object-links, other sub-components, or attributes.

3) Attributes

Attributes describe specific aspects of a component within an object (for example, "status=running" describes the state of a resource). Attribute nodes have additional properties beyond name and value, such s access mode and data type. Attribute nodes are leaves and do not have children.

4) Object-links

Object-links contain an object reference to a related object. As every object is responsible for its own virtual tree of resources, one object can provide a reference (hyperlink) to another object. Thus, in the described embodiment, a first object can have links to a second object, so that objects can be "walked" by way of browser 130.

5) Relations

Objects and components are the primary means for structuring and navigation in the described embodiment. Attributes have values that characterize the state of the resource. All operations (browsing and attribute retrieval/setting) are performed with respect to a single level of the tree (e.g., relative to a specific parent).

Server 140 preferably issues the following requests to object manager 30 142:

1) Get a list of linked objects,

2) Get a list of components and/or sub-components,

3) Get a list of attributes,

 Set a list of attributes (Along with name/value pairs for each attribute), and

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5) Get an extended list of attributes, which returns meta-information about the attribute, such as data type, allowed access mode (ro, rw) or valid ranges of new attribute values. Within the ORM model, all management operations are mapped to these five operations. Thus, every managed object preferably supports these five operations.

It should be understood that the attributes and object types shown in the examples herein are included only for the purposes of example. The present invention can be practiced using any appropriate object organization and type.

B. Server Interface

In the described embodiment, all messages passing in and out of server 140 are ASCII messages.

A example URL for object 402 of Fig. 4 would look like:

Http://ham/get/objectRoot/Component1/Component2/

A example URL for attribute 404 of Fig. 4 would look like: Http://ham/get/objectRoot/Component1/Component2/Attr1/

In both of these URLs, "ham" stands for "HyperMedia Adapter to Management" and represents the address of server 140; "get" (this could also be "set") represents an operation to be performed on an object or attribute; and the remainder of the URL represents the tree of the object or attribute known to the object manager. Other URLs may also include additional information use, for example, by the object manager.

Fig. 5 shows a page displayed by browser 130 in "set" mode. Fig. 5 shows the values of attributes for a "Configuration" object component. These attributes include:

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1) Status 520,

2) Maximum Concurrency 523,

3) Trace Level 524,

4) OSL Traces Enabled 526,

5) Script directory/Vol. 528,

35 6) Script File 530,

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7) Cache Tcl Scripts 532,

8) Tcl Trace Enabled 534, and

9) Maximum Size of Synthesized Page 536.

Fig. 5 also shows an entry 522 for changing the status attribute. It should be understood that the attributes of Fig. 5 are presented for the sake of example only and are not to be taken in a limiting sense. Fig. 5 also shows a reset button 540 and an apply button 550. When the user clicks reset button, original attribute values are returned. When apply button 550 is clicked, browser 130 posts a form, as is known to persons of ordinary skill in the art.

Figs. 6(a) and 6(b) show an example of HTML generated by server 140. When browser 130 interprets the HTML of Fig. 6, it generates the portion containing attribute values 520-536 and buttons 540, 550 of Fig. 5. Figs. 7(a) through 7(c) show an example of HTML generated by server 140. When browser 130 interprets the HTML 702, 704, and 706 of Figs. 7(a) through 7(c), it generates portions 502, 506, and 504, respectively, of Fig. 5.

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Fig. 9 shows another page displayed by browser 130 in accordance with HTML generated by server 140. The page of Fig. 9 is used to browse objects, but cannot change the attributes of objects.

The previous paragraphs discuss the browser GUI presented to the user and how server 140 translates between HTML and a protocol understood by the object manager. The following paragraphs describe the protocol used to communicate with object manager 142 about objects and to change objects in ~ accordance with the HTML received by the server.

Figs. 8(a) and 8(b) show several examples of ORM requests made by the server 140 to object manager 142 and the resulting responses from object manager 142. Pages of the description shows formats of such requests and responses. Request 802 is an example of an OrmGet request sent from server 140 to object manager 142. The format of an OrmGet request is:

OrmGet: pathname

entity types

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where pathname is a name of an object or an attribute. Possible entity types

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are: "Object" (all known objects at this level), "Component" (a list of all components below the level of the path specified in the OrmGet), "Attribute" (a list of attributes for the current node; for every attribute, its name and "stringified value is returned; if the pathname already navigates to an attribute, the object manager returns the empty string), "Info" (returns "meta-attributes" such as mode, range and unit), and <none> (i.e., an empty string).

In request 802 of Fig. 8, the server "knows" about an object "HyperMedia Adapter NSK", possibly from receiving a URL from browser 130. Line 820 represents a version of the server (e.g., version 1.0). Line 822 is an "OrmGet" request for object "HyperMedia Adapter NSK". Server 140 requests information from object manager 142 about entity types (Info), Component, and Object (lines 824).

Response 804 is generated by object manager 142 and sent to server 140. The object has four components, no info, and no objects at the same level. As seen in step 312 of Fig. 3, server 140 generates HTML 604 of Fig. 7(c) in accordance with response 804 and sends the generated HTML to browser 130.

Assuming that the user wants to browse information about the Configuration component of object "HyperMedia Adapter NSK", browser 130 sends a request to server 140 to this effect. Server 140 then sends request 806 to the object manager, which responds with response 808. Request 806 is similar to request 802, but the pathname in line 830 is "HyperMedia Adapter NSK/Configuration".

Response 808 includes attributes for the "Info" entity. Thus, the response includes an attribute value, mode, field, and range for each of ten attributes of the component "Configuration". As seen in step 332 of Fig. 3, server 140 generates the HTML of Figs. 6(a) and 6(b) in accordance with response 808 and sends the generated HTML to browser 130 (see Fig. 5).

Assuming that the user wants to change one or more attributes of the Configuration component of object "HyperMedia Adapter NSK", browser 130 sends a request to server 140 to this effect (assuming that the browser is in

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"set" mode). Server 140 then sends request 810 to the object manager, which responds by sending a status value (not shown).

A format of the OrmSet request is:

OrmSet: pathname

Attribute: name

Value: val

where "name" and "val" respectively, represent an attribute name and an attribute value. This command is shown in line 840. The command can include more than one Attribute/Value pairs.

In the example, request 810 specifies new values for eight attributes of component "Configuration." Assuming that no error occurs when the object manager changes the attribute values, server 140 generates HTML reflecting the new attribute values in accordance with the response and sends the generated HTML to browser 130 (not shown).

A preferred embodiment of the present invention has a server that interfaces with "self describing" (or "introspective") objects. The server sends requests to and receives responses from an ORM (Object Resource Manager). The system may include more than one ORM and more than one server. Each server may "know" about zero or more ORMs. Thus, the system is not centralized and does not necessarily depend on a central point to interface with the objects.

C. The Object Manager

1. Self Describing Objects

Fig. 4 shows an example of object organization in a preferred embodiment of the present invention. Pages of the description, shows examples of an ORM Server Support Library API (Application Program Interface) supported by the object manager to access objects in a preferred embodiment of the present invention. The routines in the API of pages are used by object manager (e.g., ORM 142 of Fig. 1) to receive requests from server 140 and to prepare responses to the requests. It will be understood be persons of ordinary skill in the art that any object manager can be used in

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conjunction with the present invention, as long as the object manager is capable of communicating with server 140 and of fulfilling GetOrm and SetOrm requests from server 140.

Fig. 10 shows layers of functions available to the object manager. A Protocol layer 1002 handles the ORM protocol, e.g., decodes the request from server 140, initiates the corresponding functions, and assembles an ORM response. Protocol layer 1002 is the lowest layer and drives all calls to the upper layers by calling "registered" functions. A Node layer 1004 handles navigation between nodes, ie.e, parsing the pathname to locate the virtual node, which represents some management entity.

A Handle layer 1006 maps "virtual nodes" to real objects/data. Such a mapping results in a "handle." Handles are explicitly requested and released. An Aspects layer 1008 handles instances that are made up from more than one ORM tree. For example, the "statistics" Component is not a single Component n the tree, but is generated by the object manager. As another example, some attributes depend on others and cannot be modified independently, but have to be treated as a single, atomic operation. These groups of attributes within an instance are called "aspects" and the corresponding Aspect layer is provided to extract and modify groups of attributes within an instance.

An Attribute layer 1010 retrieves or updates a single attribute (of an aspect) and provides the meta information corresponding to this attribute. A Conversion layer handles the actual conversion of attributes between the external (ORM) and the internal (native) presentation. This layer also converts states and bitmaps to "friendly strings."

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2. Web Agents

In another preferred embodiment of the present invention, the objects are not self-describing. In such an embodiment, one or more servers 140 in the system performs a "worm" function, i.e., one or more servers 140 follow object-links between objects and save all the information available concerning those objects. When a request is received from browser 130, server 140

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sends its collected data to browser 130 (assuming that the collected data is not older than a threshold age value).

In summary, the present invention allows a user to manage objects by way of hypermedia, such as the world wide web. In a preferred embodiment, the objects are self-describing and respond to questions about themselves from one or more object managers. A server communicates with the object manager(s) and generates HTML from responses received from the object manager. Conventional browser software allows a user to indicate which objects he wishes to browse or change. Using a conventional hypermedia request/response protocol, the browser and server communicate to obtain information about objects and their attributes. The server also translates HTML/URLs received from the browser to requests to the object manager. Such a system allows a non-centralized object management system.

Other embodiments will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope of the invention being indicated by the following claims and equivalents.

- 5. Browse (readonly) and Change (read write) modes are differentiated by different URL's. For the Change mode an HTML input form is created with user interface elements dependant on the meta information provided with attributes. Dependant from this meta information, simple text input or numeric input fields, popup boxes or radio buttons are generated.
- 6. A submit of this HTML form results in an HTTP POST request, which appears at the managements adapter with a special URL and the list of name/value pairs from the input form. These values can be checked against the information now retrieved from the object (see above) and a ORM SetAttribute request is send to the respective object. This object initiates the intended state changes or returns an error to the adapter, which then creates a new page, which reflects the outcome of the operation.
- Access control can be either applied by the generic HTTP adapter, filtering POST methods for example or by the called object itself using principal identifiers in the ORM request.

5.3 Events and Alerts

Compared to SNMP or CMIP ORM has no event or trap mechanism. With this respect it is much closer to the NSK management protocol called SPI. Instead event and alert support is provided by another mechanism (and object) within MSF, called *Alert Facility*, which is built on top of the *Common Execution Environment* (CEE).

6.0 ORM Protocol

6.1 General Characteristics

The ORM protocol is a simple request response protocol constructed out of lines of ASCII text and terminated by newline, to browse through the managed object and change its state. In this way, it is directly comparable to the HTTP protocol.

It is bytestream oriented, as it contains no length fields or has any fixed structure, but the individual items are separated by special characters, i.e. colons and newlines.

The logical end of a protocol unit is determined by an empty line i.e. a line with a newline character as the first character.

Remark: The decision for a pure ASCII protocol may be surprising, as MSF has a well defined presentation layer/protocol by IDL/PCU/GLU, but ORM is designed to support self contained object management, e.g. all manageable aspects of an object should be described by the managed object (or its manager) itself and just known by it. This implies, that a lot of human readable information has to be shipped with this protocol and using a pure ASCII protocol seemed quite natural. In addition the browsing nature of the protocol would have resulted in a quite unhandy IDL structure of unbounded sequences of any's or unbounded strings, probably with another layer of unbounded types above. Using ASCII strings simplifies the creation of protocol units. Last not least, ORM must also support the entities provided to build the MSF infrastructure itself, e.g. must also be available with and without these execution environments.

Internally the ORM protocol (ORM-P) is a tagged line protocol, as every line starts with a tag word followed by the tag value separated by a colon and terminated by an end of line character (newline). The protocol itself supports boxcarring (e.g. consolidated requests). Errors are reported inline with the data i.e., where they occur, by special error tags.

Request and response units are constructed the same way and the response is merely a "filled out" or "completed" request and as such, the information in it is self describing (i.e. need not necessarily correlated with the request). This is not true for authentication information, which is not mirrored in the response.

The ORM-P basically supports two kinds of operations:

- 1. get entities (OrmGet)
- 2. set attributes (OrmSet).

The two keywords OrmGet and OrmSet at the beginning of every sub-request describe the intended operation (i.e. are the operation tags).

In addition to these two basic operations there is a preamble required for every (consolidated) ORM packet, identifying the originators protocol version (tag OrmVersion) and in the case of a request, there is an optional tag, to pass authentication information from the client to the server (OrmWho).

6.2 Pathnames:

Pathnames provide the necessary navigation and identification information to locate a specific aspect of an object (or its internal entities). The different parts of the pathname usually are also the names of the entities in the generated user interface and as such, should be "friendly", descriptive names.

A pathname consists of a "/" separated list of components, which in turn may contain any printable character including whitespace.

For the current version, characters in the pathname components are restricted to the printable set of ASCII characters but may be extended to cover all printable ISO-8859-1 characters in the future (ORM-P is "8-bit clean", but this restriction is just to be more flexible in the choice of user interface construction).

There are two special component names, which follow the POSIX convention for filesystem tree navigation: "." and "..". These are only allowed in conjunction with an OrmPath tag and refer to the current node and to the parent of the current node respectively, where the "current node" must have been defined by a previous ORM sub-request in the same protocol unit (i.e. OrmGet or OrmSet). An attempt to traverse the parent of the root of the tree is treated as an erroneous pathname (compare with "cd" in a POSIX system).

6.3 Error Reporting (OrmError):

Errors are reported, where they are detected, i.e. the error tag (OrmError) usually appears directly behind the error causing tag in the response. This allows some kind of identification of the failed subrequest or protocol item even for large consolidated requests.

An error tag in the response also indicates, where the request was aborted. Previous subrequests in the same ORM packet may have been processed¹. An error tag (OrmError) has a constant and a variable part, where the constant part identifies the kind of error, the variable part is used for additional hints, what caused the error. As the error is reported with a context, this context provides valuable information for error explanations.

The Format of an error protocol item:

OrmError:<decimal errorcode> <stringcode>:<variable part*\n*

6.4 Version Support: OrmVersion:

The first line in every protocol unit must identify the highest protocol version number, this protocol unit complies to. The actual version is 1.0!

When the ORM requests are issued via the IDL interface, the error also appears as an exception with the exception detail showing the actual ORM error code.

e.g.

OrmVersion: <major>. <minor>

A server/application may respond to this request with an error VersionMissmatch followed by its desired version id.

6.4.1 Protocol Conformance & Protocol Errors:

Unknown tags should be ignored unless they appear at a position, where another tag is required (an attribute tag must be followed by a value tag for example). If the latter occurs, the unidentified or unexpected tag is placed in the response message followed by an error tag with "ProtocolFailure"

6.5 Principal Identification Information: OrmWho

For the purpose of propagating the identification of a principal causing the request or to be used with the request, ORM-P supports a protocol item to ship any kind of (encoded) principal identifier with a request in the following way:

OrmWho:<principal identifier>

Up to now, neither the <id-scheme> nor the encoding or interpretation of the "encodedprincipal-identifier" are specified in any detail by ORM-P but are up to the application and require an agreement between the ORM-P client and server. This may be subject of change!

6.6 Browse Operation: OrmGet

The operation tag "OrmGet" has to be followed by a colon (":" - the tag separator) and usually is followed by a "pathname". As every ORM protocol item, the operation tag followed by the optional pathname has to be terminated by a newline character. This first line is followed by a list of entity type specifyers requested.

6.6.1 Entity Types

The OrmGet request is followed by a list of type specifyers to describe the kinds of entities requested for browsing. Allowed types (and entity specifyers) are:

Object.

requests a list of all known object links at this level. A friendly name and the linkaddress (NOR) is returned per object.

Component

A list of all components below the level of the path specified in the GET line is requested. A list of names is returned.

Attribute

A list of attributes for the current node is requested. For every attribute its name (*Name:<name>*) and its stringified value (*Value:<value*) is returned. If the path in the OrmGet line already navigates to a single attribute, the returned name is the empty string.

Info (implies Attributes)

This type addresses the same type of entities as "Attributes", but here meta information in addition to the name/value pair is requested. as there is Field for identifying the type of input expected, Mode to describe the access mode (read, read-write or write) and the so called hints (Range and Unit), which can be used by the user-interface to generate a more sophisticated presentation of the attribute. These info fields are described below in detail in the response section.

<Empty>

An empty type specifyer indicates that the validity of the pathname should be checked, but no information is requested.

As all ORM protocol items, every single type specifyer is terminated by a newline.

Examples:

```
1)OrmGet:/telnet/windows\n
Component\n
\n
2)OrmGet:/telnet/windows/@ptyl/status\n
Attribute\n
OrmPath:./../pty2/status\n
Attribute\n
\n
3)OrmGet:/telnet\n
Object\n
Component\n
Info\n
\n
```

Note: Leading blanks in front of the tag or the tag value are ignored as well as lines, whose first character is a "#".

The OrmGet request may be called without a pathname specification (e.g. "OrmGet\n") in which case the root object itself is referenced and the only valid type specifyer is "Object", which will return the "friendly" name of this object manger and its link address (Note: this link address may be another one, as the request was sent to, i.e. this allows redirecting the management requests to another object reference).

6.6.2 OrmGet Response

The response to a OrmGet request merely mirrors the request, but here the type specifyers are used as tags (to type the following entity) followed by the name of the entity (separated by ":") followed by a newline character. The name item is followed by a type dependent list of additional tagged items, describing further properties of this entity.

Although the partial responses below are listed per entity type, they appear in the same response unit in the same sequence as in the corresponding request unit, i.e. if the latter

requested entity types by the sequence "Object\nComponent\Attribute\n", then the response will first list all available objects at this level followed by all available components followed by all available attribute/value pairs. If a requested type is not available at the specified level, an empty tag of that type (with a colon) is returned.

The response unit for an OrmGet request starts with the common response header (i.e. OrmVersion:<version>) followed by the "OrmGet: pathname>" line followed by any number of the following constructs.

6.6.3 Object Entities:

```
Syntax:
<object-item> ::= "Object" [":" <string> "\n"
                   <object-link>] \n*
<object-link> ::= "Link" ":" <string>
Example:
```

Object:Network Service Layer\n Link:<obj-reference>\n Object:Media Access Layer\n Link:<obj-reference>\n \n (if this is the end of the response!)

6.6.4 Component Entities: (have no additional properties)

```
Syntax:
<component-item> ::= "Component" [":" <string>] "\n"
```

Example:

```
Component:Configuration\n
Component:Statistics\n
\n (if this is the end of the response!)
```

6.6.5 Attribute Entities (simple request)

Syntax:

```
<attribute-item> ::= "Attribute" [":" <string> "\n"
                        <attribute-value>} *\n*
<attribute-value> ::= "Value" ":" <string>
```

Example:

Attribute: Packets sent\n Value:1234\n Attribute: Packets received \n Value:4321\n

6.6.6 Attribute Entities (Info request):

```
Syntax:
```

```
<attribute-item> ::= "Attribute" [":" <string> "\n"
<attribute-mode>"\n" (cnt)
                       <attribute-field> `\n' (cnt)
                      {<attribute-range> `\n']
                      [<attribute-unit> *\n*]
<attribute-mode> ::= "Mode:" ["RO"|"WO"|"RW"]["P"]
<attribute-field>::= "Field:" <ORM-fieldtype>
<attribute-range>::= `Range:' <ORM-range-definition>
<attribute-unit> ::= 'Unit:' <string>
Example:
Attribute:Status\n
Value:Running\n
Mode:RO\n
Field:String\n
Attribute:New Status\n
Value:Running\n
Mode:WO\n
Type:Enum\n
Range:Stopped,Aborted\n
```

Here the sequence of the different *info* tags is not relevant, but "Attribute" always starts a new property set for the next attribute. The "Range" and "Unit" tags are optional.

For "ORM-field type" and "ORM-range-definition" see below.

Errors & Exceptions specific to this request

NoSuchNode:

The path specified does not point to a legal virtual node. This error is only reported immediately after a "Orm[Get/Set/Path]:<pathname>" command.

InvalidOperation:

The path specifies a node, which can not support the requested entity type, an "Attribute" request for an "Object" node or vice versa for example. This error is reported after a type specifyer, listing the type specifyer (without a colon) followed by a newline followed by the error tag.

6.7 Modification Requests: OrmSet

The set request starts with the set-tag "OrmSet." followed by a pathname followed by a newline. The pathname at minimum must contain the name of the root e.g. "/<root-name>" (i.e. an empty pathname is not allowed!).

This line is followed by a sequence of line pairs containing the name of the attribute and its value, e.g.

```
Syntax:
```

```
<attribute-item> ::= "Attribute" {":" <string> "\n"
<attribute-value> | "\n"
<attribute-value> ::= "Value:" <string>
Example:
Attribute:New Status\n
Value:Suspended\n
```

```
Value:Suspended.n
Attribute:Reset Statistics\n
Value:Yes\n
\n (if this is the end of the protocol unit)
```

6.7.1 OrmSet Responses:

If no error occurred, the response to the OrmSet request is a copy of the request itself. Otherwise an error-tag may appear somewhere in the response, and if the underlying request/response protocol permits, the response is flagged with an error indicator.

The effect of an erroneous OrmSet sub-request is application dependant, but it is recommended, that a OrmSet sub-request either succeeds completely or has no effects at all (atomicity).

Any OrmSet requests preceding a failed one are not affected, any subsequent requests are ignored.

6.7.2 Error-Returns:

NoSuchNode:

The path specified does not point to a legal virtual node. This error is only reported immediately after a "SET:command.

NoSuchAttribute:

The string following an "Attribute:" tag does not identify a legal attribute. The errortag follows the "Attribute:" tag (including the string).

ValueOutOfRange

The value specified is not within the range of legal new values for this attribute. The error tag follows the value-tag line.

ValueInconsistent

The set of attribute values in the request were no consistent or contradictory

• InvalidOperation:

The designated Attribute is not writeable.

NoPermission:

The access rights of the requester do not allow to set the designated attribute.(This error and the previous one may have some overlap)

6.8 Request Type Independent Errors:

ProtocolError:

If pairs of tagged lines are expected and the sequence of pairs is not completed or an unknown or context illegal tag is detected, this error is generated, following the erroneous tagged line.

InternalError:

An internal error in the application/server was the cause, that this request could not be completed. (allocation failure, mangled structures). This indicates a severe error at the server side.

• BufferTooSmall:

The response buffer specified is too small to return the full response.

NoSpace:

Some internal buffer could not be allocated or was to small for the requested operation.

6.9 ORM Attribute Info Descriptions:

Within the ORM protocol there are two ways to retrieve an attribute: the short form returns just the name of the attribute and its value and the long form, returning additional meta information for every attribute, which can be used to create reasonable user interface elements by the ORM client.

The following fields appear n the extended description:

- Field: identifies the kind of field, this attribute should be presented in
- Mode: identifies applicable operations (readonly, read/write, writeonly)
- Range: Provides hints for input checking and for user interface generation
- Unit: a free form field often describing the metric of the value or scale.

6.9.1 ORM Field Types:

Although in principal, the ORM field type item (*Field*) allows any principal character string, the ORM support library and the user interface generator (HTML synthesizer) will only support a limited set of predefined field types, to ease the presentation of attributes. If a field type is not recognized, the default "String" is assumed.

6.9.1.1 Field: Integer

The ORM protocol does not distinguish between unsigned and signed integers, e.g. every ascii string representing an integer may be prefixed by a "-" or a "+". There is also no size information in the field type. Any range restrictions have to be specified in the *Range* section.

Syntax:

Field:Integer

6.9.1.2 Field: Real

The field type *Real* identifies decimal floating point values. The allowed input formats are those of the POSIX 1003.2 scanf function for float and double values.

Syntax:

Field:Real

6.9.1.3 Field:HexOctet

This field type is used to display and enter binary data as pairs of hexadecimal character

Syntax:

Field:HexOctet (a sequence of hexadecimal digits)

6.9.1.4 Compound-Field Types:

The last set of field types allow much finer control of the input, an end user may provide to the ORM-P client side (or the client of the client...). These types are named *Enum* and *Set*, where *Enum* specifies a "one out of m" field and *Set* specifies a "n out of m" field.

Both types are only valid with an appropriate *Range* field in the hints section, where the possible alternatives must appear in a comma separated list.

These two types often transformed into "Pop-Up" menus (Enum) or option lists (Set) or similar by the user interface generator.

Syntax:

Field:Enum (single selection from "Range:") Field:Set (multiple choices from "Range:")

6.9.2 ORM Attribute Modes:

To generate reasonable user interfaces (as far as possible without object/component specific knowledge), the generator must know, whether an attribute is "read-only", "readwrite" or "write-only". The latter is used to signal to the user interface, that this attribute should be only shown in "change-attribute" frames, if those are distinguished from pure browsing frames. An extension to these basic modes is provided for writable attributes to indicate, that an attribute value is mandatory, by appending the letter "M"

The different modes are simply encoded as two-letter strings followed by an optional "P", e.g.

Syntax

-	RO	Read-Only		
-	WO (M)	Write-Only(non-null	value	mandatory]
-	RW (M)	Read-Write(non-null	value	mandatory)

6.9.3 Range Identifiers:

The range identifier, tagged with "Range:" is used as a kind of hint (and therefore it is optional except for the compound fields) to the user interface generator, what kind of input/output field it should generate. In addition the information can be used to check any optional input and give the end-user appropriate responses or hints, if these input checks fail.

The range hints are type specific and as such different conventions are defined to specify valid ranges for an input field. The type independent convention is to separate alternatives by a comma "," and sequences by three subsequent dots "...".:

6.9.3.1 Range Specifications for Integer Fields:

Valid range specifications for the integer types are:

Range:2060 valid: Range:0 valid:	1 of the values listed all numbers between 20 and 60 including every integer including 0 (up to typemax) every integer between -20 and +20 incl. every pos/neg integer within type
-------------------------------------	--

6.9.3.2 Range Specifications fro Floating Point Fields:

Valid range specifications for the real types are:

		one of the values listed
Range:0.1e-30.1	valid:	reals between 0.0001 and 0.1

6.9.3.3 Range Specifications for String Fields

If the first range value starts with a digit, the range indicates either the maximum or the range of valid string lengths. If the first character is non numeric, the range is interpreted similar to the compound *Enum* field below, i.e. one of these strings may be selected, but a different user interface element may be used (a list box). If the first character of the range string is a comma ",", this provided strings in the comma separated list are treated as examples, where the possible input is not restricted to the given alternatives. A major use of this kind of string selection is in file selection boxes.

Syntax:

	valid: strings with minimum length of 1 and max length of 20 characters		
Range:10	valid: a string with at max 20 characters.		
Range:,file1.c,file2.c	file1.c or file2.c are valid options, but other input is also valid		

6.9.3.4 Range Specification for Compound Fields:

For the Enum and the Set type fields lists of alternatives are required in the range section. The comma separated list identifies the different options a user is allowed to select.

```
Syntax:
Range:<comma separated list of alternatives>
Example:
For Enum (choose one of)
Range:STOP,SUSPEND,ABORT
For field type Set (choose n or none of)
Exange:Trace IP,Trace UDP,Trace TCP
```

6.9.4 The Unit Specification:

The "Unit" specification is a free form string and currently not interpreted by the user interface generator. If present, it will append this string behind the value field as one would do with a unit description like "1.4 inches". Another important purpose of this field is for the use with customized object specific management pages (if used within an HTML environment). Here the unit could be used to identify an application specific type for example.

6.10 Navigation Request: Path

This request extends the previous operation (OrmGet or OrmSet) to a new subtree and follows these tags in its syntax. It may appear everywhere, where a OrmGet or OrmSet tag may appear, except that it must be preceded by one of these items in the same protocol unit. It usually is only found in sequences of ORM statements resulting from a "Dump" request!

Syntax:

OrmPath:<pathname>

Semantics: Extends the previous OrmGet or a OrmSet request into another subtree within the same object.

6.11 Summary of ORM Error Codes:

NoPermission: 1

The current authentication can not be used to perform the requested operation

NoSuchNode: 2

The pathname specified in a Orm[Get/Set/Path] request does not point to a known

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. Testi node.

NoSuchAttribute: 3

The attribute specified in a OrmSet request could not be found

NoSuchObject: 4

The Object specified could not be found.

InvalidOperation: 5

The operation requested is not valid for this type of entity. (Example: attribute is not writable, request components of an attribute)

ProtocolFailure: 6

A sequence of tags was encountered, which could not be parsed and decoded.

VersionMissmatch: 7

The object could not deal with the version of the request packet.

CommunicationError: 8

This is a client side error to map lower level communication errors too, if necessary.

ValueOutOfRange: 9

The value passed in with a set request for an attribute is not within the allowed range and could not be accepted.

ValueInconsistent: 10

The combination of values passed with a set request is not acceptable.

NoSpace: 12

The request could not be completed because of internal space restrictions in the object.

BufferTooSmall: 13

The response to the request exceeds the size of the response buffer provided by the underlying protocol.

InternalError: 14

ApplicationError: 15

These two errors are used to report back implementation problems like corrupt data structures, where the InternalError usually is generated by the ORM support library, the ApplicationError instead is issued by the higher "application" layers.

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3.1 ORM Protocol Layer And Upcall Interfaces

This section was generated from <stdin> by CDOC on Sun Jan 29 17:00:50 1995.

ORM Application Context

Application Server Capsules may serve different kind of requests and therefor may have multiple domains of objects to be managed listening on multiple ports. Following the ORM model, this may result in multiple parallel independant trees.

The ORM parser supports this by maintaining an application context, which has to be passed to the protocol layer to handle a request (there is also an opaque *call-context*, which may be passed to the protocol layer, but this isn't interpreted by the ssl).

The application context contains beside (an opaque pointer) to the (virtual) root of the virtual tree, mainly a list of tree/application specific function pointers. Before the first request can be passed on to the ORM protocol layer, this context has to be established with the ORM SSL via a call to ORM_ContextInitialize.

Accordingly there exists a function to inform ORM that this application context is not needed anymore (release).

The following lists the function prototype definitions for actual functions to be provided, when establishing a context.

Note: Some functions are defined to return pointers to character strings (ORM_String). If the ORM protocol handler is used it is guaranteed, that the same function will not be called, before the string is copied or otherwise not needed anymore. This allows the use of a single private string buffers per function, if necessary.

3.1.1 Authentication

The following list of functions are included to enable an application to maintain its own authenticated context. The ORM protocol just allows to forward some authentication related information from the client to the server (WHO...). This is passed on to the application layer as is, if encountered by the parser. The actual meaning of this data is application and user interface dependant.

3.1.2 Function Type ORM_AuthenticateFunc

Performs any necessary authentication or preparation of authentication structures. Usually, the authentication information is used to setup some context in the call-context, which is passed to the node/handle layer upcalls. It is up to the application layer to free/clear such context after return from the protocol layer.

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Declaration:

Fields

callcontext	An opaque pointer to any kind of context, the caller has estab- lished.This passed to the node and handle layer.
authstring	The string, the client passed in his request, if any. Usually uid:passwd
status	ORM_ENGError: if successfull, ORM_EPermissionDenied, if authentication unknown.

3.1.3 ORM_AuthFuncDef

This structure is used to pass the Authentication function to ORM_ContextInitialize

Declaration:

```
typedef struct ORM_AuthFuncTag [

DPM_AuchenticaceFunc auth;

1 DFM_AuthFunctef;
```

3.1.4 Virtual Node & Tree Function Types

The following list of functions (function types) are used to access the virtual tree of components, attributes and linked objects. They usually don't deal with application specific data.

3.1.5 Function Type ORM_NodeLookUpFunc

This is the central function for the traversal of the tree .

Returns an opaque pointer to a virtual node, which may subsequently be called to retrieve properties or children of specific types.

Declaration:

typeder	CFM_Status ("ORM_NodeLookUpFunc) (
	OPM_AppCallContextDef	callcontext,	/•	in '	• /
	CRM_AppNodeDef			in '	
	CRM_String	pathname,	/ •	in	• /
	JPM AppNodeDef	'node,	1.	out	• /
):	DPM_NodeTypeDef	°n.i_type	/•	out	•/

Fields:

calicontext	is an opaque pointer to the application specific call context pro- vided with the Do_Request function.
rout	Opaque Pointer to root of virtual tree. This may be NULL, and is taken from the application context.
pathname	is a / separated list of component names optionally preceded by the name of the object (e.g. if the first component matches the roots object name, strip it, else take the first component to be a child under the applications root). Support for un*x style directory navi- gation . and is highly recommended/required. A pathname of applied to the root with request type Object should return the root name and the actual servers link address (NOR)
node	The opaque node pointer, if found
nd_type	The ORM_NodeType of the node found
return	ORM_ENGError in case of success, or any other ORM error in case of failure.

3.1.6 Function Type ORM_NodeChildNextFunc

Used to subsequently scan the children of a single parent. Returns the next child of type type of parent parent, which logically follows the child returned by the previous call to NodeChildNext(), now passed in as lastchild. E.g. If lastchild is set to NULL the logically first child of this parent is requested. If there are no children (of the requested type), then NULL must be returned with ORM_Status set to ORM_NoError.

Declaration:

```
typedef CRM_Status (*CRM_NtdeChildNextFunc ) (
   OPM_AppCallContextDef _____allcontext, /* in */
                            parent,
                                        /* in */*
    ORM AppNodeDef
                            lastchild,
                                        /* in */
    CRM_AppNodeDei
                                         /* in */
                            type,
    CRM_NodeTypeDef
                                         /* out */
                             • child,
    CPM_AppNoieEet
                            •name
                                         it out to
    ายคฏจะระกล
    1:
```

```
Fields:
```

callcontext	is an opaque pointer to the application specific call context pro- vided with the Do_Request function.
parent	Opaque pointer to the virtual parent node.
lastchild	Opaque pointer to the last child returned by a call to this function (in this request), or NULL to request the first child.
tyj k	The type of entity, which is requested (ORM_ObjectType, ORM_ComponentType, ORM_AttributeType or ORM_AnyType).

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node	Pointer where to store the reference to the node found
name	Pointer to name of node found.
returns	status value. Possible status values, see below!

3.1.7 Function Type ORM_NodeChildByNameFunc

The little sister of ORM_NodeLookUp. Looks for a child with name childname directly under the given parent parent. This function is primarily used within the processing of Set-Attribute requests. If there is no child with this name, return NULL and an error status (see below)

Declaration:

t y pede f	CRM_Status (*ORM_NedeChildByNameFune) (
	CRM_AppCallOuntextDef	callcontext, / •	in •/	
	CFM_AconoceDef	parent, /*		
	JPM_String	childname, /*	i5 •/	
	TRM_AppN_sapDef		01t T/	
	LTM_NILeTypeDef	"child_type /*	out +/	

Fields.

parent	Opaque pointer to the virtual parent node.
childname	Name of the child (attribute), i.e. every printable char except '/'
child	Pointer where to store the reference to the node found
child_type	Pointer to type of node found.
rclurns	ORM_ENGError if child was found, else ORM_ENoSuchNode.

3.1.8 Function Type ORM_NodeTypeGetFunc

returns the type (enum ORM_NodeTypeDef) of the given node.

Declaration:

```
typedef CRM_NodeTypeDef ("CRM_NodeTypeGetFunc") (
CRM_AppNoneDet node /"in "/
```

Fields

node	a pointer to a virtual node
returns	a valid type or ORM_NodeTypeUnknown.

3.1.9 Function Type ORM_NodeNameGetFunc

returns the name (ORM_String) of the given node.

```
Declaration:
```

Fields:

node a pointer to a virtual node

returns a valid null terminated string of characters or NULL

3.1.10 Function Type ORM_NodeNotFoundTrapFunc

This function is kind of special by providing the application layer a chance, if the lookup of a node failed, to create that node.

Normally, referencing a non-existent node in the pathname of an ORM request is treated as an error, except this is an internal ORM restore request. Reloading an ORM tree into an application may encounter subtrees, which where dynamically created by the application during a previous run (usually via a *New* subtree).

This function is totally application dependant and is not covered by the ORM-SSL other than via this hook.

Declaration:

Fields:

parent	Reference to parent node
name	Name of node not found under this parent.
request	Kind of ORM request (get/set/dump/restore) causing this lookup failure.
newnote	Where to store the reference to the new node, if one was created.
returns	ORM_ENoError, if a node with the given name was created else ORM_ENoSuchNode.

3.1.11 Structure ORM_NodeFuncDef

This structure bundles the virtual tree related functions for passing to ContextInitialize

Note: The ORM_NodeNotFoundTrapFunc is not included in this function array, because it is application special anyway and must be passed explicitly, see ContextInitialise()

Declaration:

3.1.12 Application Handles

The following two function types are used to link the virtual nodes in the tree to (parts of) actual application data instances, visible to the ORM support layer as opaque handles. When an application handle is requested from the application layer, *real things* happen to start and it is assumed, that the instances are valid and available, until explicitly released by the ORM layer. The handles together with the aspect (identifying the type of handle to the application) will be passed to the application specific functions, when actual values have to be accessed (either for get or set). If these functions are not set in the ORM context, NULL will be passed into those calls for both, the handle and the handleclass.

3.1.13 Function Type ORM_HandleGetFunc

Request (and lock) an actual handle (pointer to an application level instance) and a handleclass based on the current virtual node and the current principal.

Declaration:

туреает инд акатир стоны н	andleJetřunc	; ;	
SBC_Apple Contertiet	callcontext		in 🦦
GRM Appairaelet	noce,		in •/
DPM_RequestTypeDe1	op,	/*	in •/
CRM_AppHangleDef	handle,	10	out */
CRM_AppAspectDef	*aspect		OUT P/
);	•	•	

Fields:

callcontext	is an opaque pointer to the application specific call context pro- vided with the Do_Request function.
node	Pointer to current Node.
op	Operation Code, e.g. ORM_Request
handle	Pointer, where to store the handle reference

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aspect Pointer, where to store the aspect reference

returns ORM_ENoError if no error occured or any of the ORM error codes.

3.1.14 Function Type ORM_HandleReleaseFunc

Returns a given handle back to the application layer. This should be more understood as an *unlock* operation than a free!

Declaration:

t vpede f	Void (*OPM_HandleRe	eleasefunc) ((
	AppCallContextDef	callcontext,	/•	in	• /
	AppHarileSef	handle,	/*	in	•/
	AppAspectDef	aspect,	? *	in	• /
	Regies TypeDer	ap	7.*	15	• :
· · · ·	-				

Fields.

callcontext	is an opaque pointer to the application specific call context pro- vided with the Do_Request function.
handle	a handle obtained via a call to HandleGet
aspect	Aspect as returned from HandleGet
ор	Operation Code, e.g. ORM_Request

3.1.15 Function Type ORM_ObjectLinkGetFunc

Retrieve the Object Link from a node of type Object given the node, the handle and the aspect. The standard Handle Layer functions just return the link stored in the corresponding field in the node struct.

Declaration:

typedef	CRM_Status (*OFM	LHandleCbjeg	:[]:	inkGetFunc)	(
		node,		in -/		
		candle,	7.	15: 1/		
	ArtAnda - Tar	arpest.	1.	in */		
	21.1.1	• 1K	! •	SUE */		
• •						

Fields:

node	Reference to node of Object Type
handle	Reference to application defined handle as returned from Han- dleGet
nspect.	Reference to application defined aspect as returned from Han-

 link
 Location where to store the reference to the stringified link information

 returns
 ORM_ENoError if successfull, else ORM_InvalidOperation, if the node is not of type Object

3.1.16 Function Type ORM_AttributeDescrGetFunc

Retrieve the opaque reference unique to a node of type Attribute (usually the attribute descriptor), given the node, the handle and the aspect. The standard Handle Layer functions just return the pointer stored in the corresponding field in the node struct.

Declaration:

Fields:

node	Reference to node of Object Type
handle	Reference to application defined handle as returned from Han- clieGet
aspect	Reference to application defined aspect as returned from Han- dleGet
attribdesc	Location where to store the reference to the attribute information
returns	ORM_ENoError if successfull, else ORM_InvalidOperation, if the node is not of type Object

3.1.17 Structure ORM_HandleFuncDef

This structure bundles the handle related functions for passing to ContextInitialize

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Declaration:

```
typedef struct GRM_HandleFuncTag (

ORM_HandleGetFunc get;

ORM_HandlePeleaseFunc release;

ORM_HandleObjectLinkGetFunc link;

ORM_HandleAttributeDercrGetFunc attrib;

) ORM_HandleFuncDef;
```

3.1.18 Accessing Application Data: Aspects

the following group of functions (function types) has to be provided to access actual values of the application either for retrieval or for updating. All functions in this group are mandatory, if the ORM protocol layer is used.

3.1.19 Function Type ORM_AspectCallGetFunc

This function retrieves an *aspect* from the application layer, e.g. a reference to a blob of native application data (a pointer to a (part of) an application data structure, or a response buffer). The ORM protocol layer calls this function once for every unique handle/aspect combination (and not per Attribute) within a single AttributeGet Request._ If the HandleGet Function returns a different pair or there are no more attribute nodes to process, the current aspect is released!

Declaration:

```
typedef DRM_Status (* OFM_ArpertCallGetFunc) (
        OPM_AppHanuleDef handle, /* in */
        ORM_AppAspectDef sspect, /* in */
        CRM_AppAspectDef surrent /* out */
        );
```

Fields:

handle	Handle as retrieved from HandleGet
aspect	Aspect Reference, as retrieved from HandleGet
current	Where to store the reference to the current value (opaque)

3.1.20 Function Type ORM_AspectCallInitFunc

This function requests an *aspect* container from the application layer, e.g. a reference to a blob, where new attribute values can be selectively written to to perform AttributeSet requests. In addition he application layer may return a reference to the current aspects values (cmp CallGet), which is passed unchanged to the CallSet routine. The ORM protocol layer calls this function once for every unique handle/aspect combination (and not per Attribute) within a single AttributeSet Request. If the HandleGet Function returns a different pair for a node or there are no more attribute nodes to process, the CallSet function is called (Note: AspectRelease is only called for aspects retrieved via CallGet!) The

ORM SSL Implementation of these functions copies the current values and returns a reference to this copy in *new* and a reference to the current values in *current*.

Declaration:

```
type tof URM_Status of TRM_AspectCallInitFunc) (
    IRM_ApproximateDef toxidle, /* in */
    LaP_lipAspectDef aspect, /* in */
    ORM_AppDataFtrDef *new, /* out */
    DRM_AppDataFtrDef *ourrent /* out */
    );
```

Fields:

handle	Handle as retrieved from HandleGet
aspect	Aspect Reference, as retrieved from HandleGet
new	Where to store the reference to the native blob to update with new attribute values (opaque)
current	Where to store the reference to the current aspect (opaque)

3.1.21 Function Type ORM_AspectCallSetFunc

This function is called to actually apply the new attribute values for the current aspect by the application layer. It is up to the aspect/application layer, to check the values in the request structure for validity and consistency and to determine which attributes got new values (by comparison with the *current* values). In addition it is the responsibility of the aspect/application layer to deallocate any structures allocated by AspectCallInit. Only if the Set-Function is not called, the call to AspectRelease is performed.

The ORM protocol layer calls Set-function once for every unique handle/aspect combination (and not per Attribute) within a single AttributeSet Request. If the HandleGet Function returns a different pair for a node or there are no more attribute nodes to process, the CallSet function is called (Note: AspectRelease is only called for aspects retrieved via CallGet!) The ORM SSL Implementation of these functions copies the current values and returns a reference to this copy in *new* and a reference to the current values in *current*.

Declaration:

typedef CRM_Status (r.	OFM_AspectCal	llSe	ເຂັນກະ	=) (
CRM_AppHandleDet	handle,	/*	in '	• /
CFM_AppAspectDef	aspect,	/*	in '	• /
OPM_AppDataFtrDef	new,	/ •	in '	• /
TRM_AppDataForDef	current,	/•	1 กับ	• /
CRM_String	•rsdetail	<i>i</i> •	out	•/
):	•			

aspect	Aspect Reference, as retrieved from HandleGet
request	Where to store the reference to the native blob to update with new attribute values (opaque)
current	Where to store the reference to the current aspect (opaque)
rsdetail	Where to store a textual hint, why the call failed, if any.
retu r ns	ORM_ENGError if new values could be applied successfully, else ORM_ERange

3.1.22 Function Type ORM_AspectReleaseFunc

Used to tell the application layer, that the reference retrieved via an AspectGet or AspectInit call is no longer needed anymore by the ORM layer. This function is called, when GetHandle returns a new handle aspect call within a AttributeGet processing or a conversion in an AttributeSet processing failed.

Declaration:

evcedet.	್ಲುತ್ರಿ ಆಗ್ಲಾಗಿಸ್ಥಾನಿತ್ರ	eltPeleaser	unci	(
	Appendix and	ninfla,		in	• i	
1.424	AppAtueutlei	13593C.	• •	in	• /	
	AppEar Natified	istrent,	:•	in	•7	
	_RequestTypeDet	reatype	- / *	in	• /	
):	-					

Fields:

handle	Handle as retrieved from HandleGet		
aspect	Aspect Reference, as retrieved from HandleGet		
current	Reference to data as returned from AspectCallInit or Aspect- CallGet.		
reqtype	ORM_RequestGet or ORM_RequestSet depending whether this dataptr resulted from an AspectGet or AspectInit call.		

3.1.23 ORM_AspectFuncDef

This function groups the function pointers of the aspect layer

Declaration:

```
typedef struct DRM_AspectFuncTag {
    OPM_AspectCallGetFunc callget;
    ORM_AspectCallSetFunc callsit;
    ORM_AspectCallSetFunc callset;
    ORM_AspectReleaseFunc celease;
    F DBM_AspectFuncDef;
}
```

3.1.24 Attribute Functions

The following group of functions is called to actually perform the the single attribute Get/Set and the corresponding conversions between the applications native and the ORM (ascii) presentation.

3.1.25 Data Structure: ORM_AttributeInfoDef

This structure is used to return the all the meta information and the actual value of an attribute. It is passed by reference to the application/attribute layer to be filled. Note: The string pointers do not point to valid buffers, when passed to the attribute layer!

Declaration:

3.1.26 Function Type ORM_AttributeNativeToStringFunc

This function converts the applications native value of an attribute, specified by handle, aspect and the attribute descriptor to a C-string (ORM_String).

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Declaration:

```
typedef CRM_Status (*CRM_AttributeNativeTcStringFunc)(

IRM_AppRandleDef handle, /* in */

IPM_AppAspectDef aspect, /* in */

IRM_AppAttribDescrDef attribdescr, /* in */

IRM_AppDataPtrDef dataptr, /* in */

URM_String *strvalue /* out */

);
```

Fields:

handle	Handle as obtained from the last call to HandleGet or NULL.
aspect	Aspect as returned from the last call to HandleGet or NULL
attribulescr	Attribute Descriptor as returned form AttribDescrGet call.
Jataptr	Opaque Pointer as returned from AspectGetCall.
strvalue	Where to store the reference to the converted value.
returns	ORM_ENGError (Null) if conversion was successfull, else a valid ORM Error return code.

3.1.27 Function Type ORM_AttributeNativeToInfo

This function performs the same as the previous function ORM_AttributeNativeToString, except that it also provides the additional meta information to this attribute, as far as available.

Declaration:

```
typedef OPM_Status (*CRM_AttributeNativeToInfoFunc)(

ORM_AppHandleDef handle, /* in */

TRM_AppAspectDef aspect, /* in */

IBM_AppAttribDescrDef attribdescr,/* in */

TBM_AppleOsTIFTOI dataptr, /* in */

TRM_AttributeInfoDef info /* in, indirect out */

);
```

Fields:

handle	Handle as obtained from the last call to HandleGet or NULL.
aspect	Aspect as returned from the last call to HandleGet or NULL
attribdescr	Attribute Descriptor as returned form AttribDescrGet call.
dataptr	Opaque Pointer as returned from AspectGetCall.
extref	Pointer to structure, where to store the string references.

returns ORM_ENoError (Null) if conversion was successfull, else a valid ORM Error return code.

3.1.28 Function Type ORM_AttributeStringToNativeFunc

This function converts an ORM_String value for an attribute into the applications native presentation. The conversion should be done into the structure (dataptr) obtained by a call to AspectCallInit().

Declaration:

typedef	<pre>DRM_Status (*CRM_AttributeStringToNativeFunc) (</pre>				
	<pre></pre>	handle,			
	lFM_AppAspectDef	aspect,			
	130 AppAtticeletriDef	attribdescr,	1 •	271 4/	
	-M_Applatastrief	Jacaper,	7.0	in, indirect out */	
	13M_String	strvalue	<i>!</i> •	in_*/	

Fields:

handle	Handle as obtained from the last call to HandleGet or NULL.
aspect	Aspect as returned from the last call to HandleGet or NULL
attribilescr	Attribute Descriptor as returned form AttribDescrGet call.
dataptr	Opaque Pointer as returned from AspectGetCall.
strvalue	New value as a C-String (ascii).
returns	ORM_ENGError (Null) if conversion was successfull, else a valid ORM Error return code.

3.1.29 Structure ORM_AttributeFuncDef

This structure bundles the attribute related functions for passing to ContextInitialize

Declaration:

typedef struct OPM_AttricuteFuncTag	
ORM_AttributeStringToNitiveFunc OPM_AttributeNativeToStringFunc	stringtonative;
DEM_AttriputeNativeToInfoFunc	nativetostring; infotostring;
: TRM_AttriciteFuncDef:	

3.1.30 Structure ORM_ContextDef

This is an internal structure to ORM and opaque to the application layer. It stores the function pointers and the information of the root node.

Note: This structure and the related procedure definitions may change

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authjunes Pointer to list of authentication related functions or NULL, if no application specific authentication is needed.

notfound No description

3.1.32 ORM_ContextRelease

Release on Application Context.

Prototype:

```
void
CRM_ContextRelease( CRM_ContextDef contxt);
```

Parameters:

contxt	Pointer to application context as obtained from
	ORM_ContextInitialize

3.1.33 ORM_DoRequest

This function calls the protocol layer to parse an ORM request received and act on it accordingly via upcalls to functions in the application context, i.e. this is the function to be dispatched, when ORM requests are received on a server port.

Prototype:

OPM_Status	
TBM Bode (Jest)	
ifit steatief	≟ppotxt,
-	Trailorxt,
loft requestions:	tequest,
long	regian,
IFM_Respiredet	respinse,
1 orig	<pre>maxresp</pre>

Parameters:

appetxt	The application context reference as returned from ORM_ContextInitialize.
callctxt	An arbitrary call context (reference) maintained by the application layer and passed to the authentication, node and handle upcalls.
request	Pointer to received ORM request
regien	Length of request buffer in bytes
response	Pointer to allocated response buffer

maxresp

Reference to maximum response buffer length in bytes, on return, points to number of bytes used in response buffer

3.2 ORM Node Layer

This section was generated from <stdin> by CDOC on Fri Jan 27 19:59:34 1995.

The ORM Node layer adds another level of ORM application/server support, as it actually maintains a tree structure to access the application level datastructures.

This level is accessed from the application/server level via the ORM_Node... functions to actually build/destroy the tree of objects, components and attributes.

On the other side it is called from the protocol level and frees up the application to provide the appropriate functions for navigation and name space/entity management itself.

3.2.1 Application Handles

The nodes of the node layer provide a tree structured view to application/server level data, but they (usually) do not contain the actual data. A link to the actual instances of application level data is maintained by *handles* and *aspects*. Both are opaque to the ORM-Node level but are interpreted at the layer on top of ORM-Node. Typically the handle is a pointer to some application level instance, and the aspect is a pointer, index or type identifier, which identifies the type of the instance

3.2.2 The ORM_Node Structure

Instances of this structure maintain the tree of virtual components, objects and attributes

Every node has a name and a type, identifying the three different entity types: Object, Component or Attribute. Object and Attribute nodes are leaf nodes, e.g. they can't have children.

In addition, every node has a parent and a next pointer, to link the actual tree structure. Only component nodes have a pointer to the list of children.

Object Nodes have an additional attribute, called the Link (or Link-Info which usually is a stringified NOR).

Attribute Nodes reference a single attribute by, which is characterize by additional information like

- a value type, which describes the kind of value e.g. integer (different sizes), real (sizes!), string, single-selection or multiple choice
- a value mode, specifying this attribute as read-only read-write, write-only or persistant.
- hints section, which contains additional information for use by the user-interface creator, e.g. valid ranges for this value and a unit string. Both values are optional.

The nodes provide a tree structured view to application/ server level data, but they (usually) do not contain the actual data.

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3.2.3 Struct NodeDef

Declaration:

```
typeder struct CRM_NodeTag (
   IFM_NobeTypelet
                     type:
   shert
                        flag;
   char
                       fname;
   struct ORM_NodeTag *parent;
   struct OPM_NodeTag *next;
                       handle;
   ORM_AppHandleDef
   CRM_AppAspertDef
                        aspect;
       31 : . . . .
           Provide CRM_NiceTay *first:
           stillt CRM_HtteTag flasts
           3 2.err2
       311.71
           void faeser/
           F attrib;
       struct (
                  tiirk:
           chai
           i ntrest:
        : 1
   #CRM_NodeLef;
```

Fields.

type -	indentifies the type of entity, this node describes, i.e. ORM_NodeType[Object, Component Attribute, Unknown]
flag	Internal use
name	The name of the node (object, component or attribute name
parent	pointer to the parent in the tree, NUL for the root of the tree.
Hext	pointer to next sibbling in chain. This defines the order in which nodes of a given type appear in the response
handle	an opaque pointer for use by the upper layers
aspect	another opaque identifier for use by the upper layers
и.comp	union variant for component nodes
u.comp.first	pointer to first child of this component node
n comp last	pointer to last child of this component nodes and a second
uattrib	union variant for attribute nodes
u.attrib.descr	opaque pointer for use by upper layers

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Petitioner Apple Inc. - Exhibit 1002, p. 331

a *

u.object.link pointer to stringified link-address of this object (NOR), e.g. the hyperlink

3.2.4 ORM_NodeCreate

Creates a new unlinked node. Usually only used by convenience functions and to create the root node.

Prototype:

```
CRM_NideDef

CRM_NideOreate( DRM_String name, /* in */

DRM_NodeTypeDef type /* in */
```

Parameters:

name	The name of this node (for navigation)
type	The type of this node. This type also determines which functions - can be applied to this node later on.

3.2.5 ORM_NodeDelete

Deletes the given node and all its children e.g. returns the space allocated Note: if the nodes parent pointer is not NULL, the node will not be deleted.

Prototype:

int CRM_NudeBeleie(CRM_NudeBet nude //*.in */)/

Parameters:

node The node (and the subtree) to delete

3.2.6 ORM_NodeAttach

Attaches a node (and its subtree) into an existing tree as a new subtree. Every node (subtree) is in at most 1 tree!

Prototype:

CPM NobeActain(ORM RelationDef	relation,	/* in */
	<u>IPM_NodeDef</u>	relative,	/* in */
	CRM_NodeDef	subtree	/* in */
	j.		

Parameters:

relation	Flag either ORM_NodeSibbling or ORM_NodeChild, specifying the role of the <i>relative</i> node, e.g. its a sibbling or its the parent of the subtree to attach. If its a parent, the new node will be attached at the end of all children, if its a sibbling, it will be placed right before this child.
relative	an existing node, either parent of sibbling
subtree	No description

3.2.7 ORM_NodeDetach

Detaches a subtree from the current root tree. This z ways has to be called, before a subtree is actually deallocated. The subtree may also be reattached in the same tree again after this call

Prototype:

```
utur
Utwan seleneer (ISM_Normaled Samttee (ISM_10, T/))
In
```

No parameter descriptions are available.

3.2.8 ORM_NodeHandleSet

Sets the handle in the given node (see also ORM_Node<convenience functions>)

Prototype:

	7013			
-	CRM NoneHandleSet(ORM NodeDet	ncce,	/* in */
		ORM_AupHandleDef	handle	/" in "/
		• •		

Parameters:

node	Reference to node structure of any type.
handle	Reference to opaque handle.

3.2.9 ORM_NodeHandleGet

Retrieves the handle from a given node

Prototype:

```
int

CPM_NonerHendleBes ( CRM_NodeDef = sode, /* in */

OPM_AppHandleDef = handle /* out */
```

No parameter descriptions are available.

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3.2.10 ORM_NodeAspectSet

Sets the aspect in the given node (see also ORM_Node<convenience functions>)

Prototype:

Parameters:

node	Reference to node structure of any valid node type.
aspect	Reference to opaque aspect description.

3.2.11 ORM_NodeAspectGet

Retrieves the aspect from a given node

Prototype:

int opm_me_ustrown_wrthnew_heddef =node, 	/* in */ /* out */
---	-----------------------

No parameter descriptions are available.

3.2.12 ORM_NodeAttributeDescrSet

Sets the attribute description of an attribute node

Prototype:

```
int
LRM_10 deAthtrublescubet CORM_NodeDef node, /* in */
CRM_AppAttribDescrDef attrib /* in */
);
```

Parameters:

node	Reference to node structure of type Attribute.
attrib	Reference to opaque attribute description

3.2.13 ORM_NodeAttributeDescrGet

Gets the attribute description of an attribute node

Prototype:

```
int
CRM_NodeAttributeDessrGet( ORM_NodeDef node, /* in */
DFM_AppAttribDescrDef *attrib /* out */
```

No parameter descriptions are available.

3.2.14 ORM_NodeObjectLinkSet

Sets the link of an object node

Prototype:

int			
CRM_NodeCbjestLick3et(CRM_NomeDef	ande,	/* in */
	DPM_String	link	/* in */
	± :		

1:

Parameters:

node Reference to node structure of type Object.

link Stringified version of the address/nor to call this object.

3.2.15 ORM_NodeObjectLinkGet

Gets the linkaddress of an object node

Prototype:

151			
_IPM_ND to It _e	 12M_NodeDef	node,	/* in */
	18M_String	*link	/* cut */
	1:		

No parameter descriptions are available.

3.2.16 ORM_NodeObjectAdd

for an explanations of paramters, see above. Return created node if operation succeeded else NULL.

Prototype:

CRM_RelationDef CRM_NodeDef OFM_String ORM_AppKandleDef CRM_AppAspectDef CRM_String	relation, relative, name, handle, aspect, linkaddr	/* in */ /* in */ /* in */ /* in */ /* in */ /* in */
CKM SCETHA		
1	CRM_NodeDef CFM_String CRM_AppHandleDef	CRM_NodeDef relative, DFM_String name, CRM_AppHandleDef handle, CRM_AppAspectDef aspect,

No parameter descriptions are available.

3.2.17 ORM_NodeComponentAdd

Prototype:

CRM_NodeDef CRM_NodeComponentAdd(ORM_PelationDef ORM_NodeDef ORM_String ORM_AppHandleDef ORM_AppAspectDef	relation, relative, name, handle, aspect	/* in */ /* in */ /* in */ /* in */ /* in */
);		

No parameter descriptions are available.

3.2.18 ORM_NodeAttributeAdd

Prototype:

CPM_NodeDef CRM_NodeAttricuteAtt;	CRM_RelationDef	relation,	•	in	
	TEM_NUMEDef	relative,	/•	in	* / ·
	JPM_SERING	name,	/•	12	• /
	PM_AppHanileDef	handle,	/*	in	•/
	ORM_AppAspectDef	aspect,	/ •	in	• i
	CRM_AppAttribDescri	Def attribdescr	/*	in	•/
);				

No parameter descriptions are available.

3.3 ORM Aspect Layer

This section was generated from <stdin> by CDOC on Sun Jan 29 17:00:51 1995.

The ORM aspect layer adds another level of ORM application/server support on top of the ORM Node/Handle layer, and supports the retrieval and modification of aspects, i.e. groups of attributes from or into application data structures, once those have been registered with this layer.

This level has no additional (down-call) functions but defines data structures to be provided by the application layer. These are then accessed/used by the aspect upcall functions, if those have been registered with the ORM protocol layer.

The Aspect layer implementation of the ORM-SSL works as follows:

On AspectCallGet requests, just a pointer is returned which points at offset bytes (as set in the aspect descriptor) from the beginning of the handle. On AspectCallInit calls, a copy of the aspect, e.g. size bytes from the area pointed to by handle, starting from offset, is taken into a private memory area. This copy is then passed to the Attribute conversion routines to write the new values into. On AspectCallSet calls, the application level set function as denoted by the aspect descriptor is called and the private copy (request structure) is released afterward.

3.3.1 Function Type ORM_AspectSetFunc

This function is called from the aspect layer to actually apply the new attribute values to the application layer and/or initiate the requested state changes. This function usually should not block, e.g. should not wait until the initiated state change is completed. Any kind of intermediate state should instead be visible to a client on request (i.e. not STOPPED -> STARTED, but STOPPED -> STARTED, if starting implies a *heavier* operation.

Declaration:

ORM_Status		
typedef (*ORM_AspectSatFund	:) (
CRM AuphannieDef	nandle,	/* in */
Anactics religible	aspect,	/* in */
IPM_AppCatePtrDef	sequest,	/* in */
TPM_AppLatafizief	current,	/* in */
CRM_String	*errortext	/* out */
• •		

Fields:

handle	the handle as returned from HandleGet
aspect	Reference to the aspectdescr.
request	Copy withe aspect as described by the aspectdescrupdated with new values.

current	Reference to aspect within handle
errortext	Where to store a pointer to a short textual description if the requested values could NOT be applied.
returns	ORM_ENOError if all new values could be applied, or ORM_EParameterList if paremter set is inconsistent or ORM_EMissingAttribute if a mandatory attribute is NULL.

3.3.2 The ORM_AspectDescrDef

This descriptor maintains information about the application data structure (usually references by the ORM_AppHandle) or parts of it. It describes the binary size, the offset within the handle, and contains pointers to functions to actually retrieve or modify this aspect of the application instance.

Note: It is currently open, whether there should be a procedural interface to set up the aspect descriptor instead of providing a structure type definition to be passed initialized by the application code.

Declaration:

type et	Strip ISM_Assectle	es:∟Tag 🗄 👘
•••	20041	'name;
	5. 2e_1	cifset;
	21 Z = 1	size;
	Long '	fiag;
	OFM_AspectSetFunc	setí;
	ling	sppid;
	void	<pre>sappext;</pre>
	ORM_AspectDescrDe	ef;

Fields:

name	Pointer to name string, for identification mainly.
offset	The offset in bytes within the instance, where this aspect starts. This usually is the offset of a sub structure in the instance.
size	The size in bytes of the instance, the application handle pointer points to. For set-requests, the container for the new value is created by copying the handle, and inserting the new values in it.
flag	If set to ORM_AspectGetIndirect, the offset indicates the offset to a pointer, pointing to another structure of the above size.
setj	Pointer to function, which is called to apply (a set of) new values to an application instance.
appext	any value of pointer size the application wants to store with the aspect. This may be used to store a create_aspect function pointer.
appıd	Opaque identifier, which may be used by the applications layer

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3.4 ORM Attribute Layer

This section was generated from <stdin> by CDOC on Fri Jan 27 19:59:34 1995.

The ORM Attribute layer adds another level of ORM application/server support on top of the ORM node layer, by providing (list of) attribute descriptors, which simply initialized by the application code, allowe automatic conversion and generation of the attribute meta information, requested by the ORM protocol layer.

The implementation of the attribute layer in the ORM SSL assumes, that it is converting to and from a binary blob of data, identified by the (lower level) aspect descriptor. The goal of this layer is to reduce the coding effort needed by the application writer at this layer, just to provide some initialized descriptors and pass them to the ORM SSL via single calls per every instance created.

3.4.1 The ORM_AttributeDescriptorDef

This data structure describes a single attribute, e.g. its native type and mode, its size, pointers to conversion functions. In addition it maintains hooks for preset meta-info like – *Unit* and *Range*.

Declaration:

```
typedef struct DPM_AttrucuteDescrTag (
    CRM_String
                                      name:
    ORM_AttriblypeDef
                                     datatype;
    DPM_AugurceMedeLet
                                     accessmode;
    TRM Strint
                                      :ange;
    IFM (Structure)
                                      unit:
    2:20 -
                                     offset:
    . . . .
                                     size:
    .304_linesterNative15StringFunc_mativetostring;
   CRM_DinverserStringToNativeFunc stringtonative;
   CRM_AppConverterArgDef
                                     convarg;
   | OPM_AttributeDescrDef:
```

Fields:

nanic	The name of the attribute.
datatype –	The type of data of this attribute (ORM_AttributeTypeDef). This is a superset of the data types, the ORM protocol defines and used to determine implicit conversion routines.
niode	The allowed access modes of this attribute out of ORM_AttribMode values, e.g. read-only, write- only, read-write.
range	A string describing the allowed ranges for new values for read- write or write-only attributes only. This is a ORM hint, and as such optional
unit	A unit string (usually ms, Mb, etc.) which may be used by object specific user interface generators in any way, and by default if

present is placed behind the attribute value. This is also an ORM hint and as such optional.

conversion function A function pointer to an application specific conversion function, to convert between native and ORM presentations. Note: This is not to be confused with the similar functions of the ORM_Context structure. For the <conversion-function> to be called, the ORM_Node conversions functions have to be setup in the ORM_Context.

conversion-arg An opaque pointer to any argument, the conversion function may need to convert this attribute.

3.4.2 ORM_AttributeCreate

This function combines several actions required to register an attribute of a (new) instance with the ORM SSL, i.e. it creates an attribute node under the given parent (which must be of ORM_NodeTypeComponent) and attaches the attribute description and the handle information to it.

Prototype:

int				
28M Attri	buteCreate(
••	JRM_N: JeBer	relative,	1•	in =/
	JAN RelationDef	relation,	1.	in */
	1PM_AccricutelescrListDef	attriciescr,	/*	in •/
	WW AspestlesstlistDef	aspectdescr,	/•	in •/
	CRM_AppliandleDet	handle,	/*	in •/
	JFM NodeDef	*new	! *	out •/
	N 2			

Parameters:

relatizw	pointer to relative node. If <i>relation</i> is set ORM_NodelsParent, then this has to be a node of ORM_NodeTypeComponent. If <i>relation</i> is set to ORM_NodelsSibbling, then this node can be of any valid node type.
relation	Either ORM_NodelsParent, if the node <i>relative</i> should be the par- ent of the new attribute node, or ORM_NodelsSibbling, if the new attribute node should be inserted after the <i>relative</i> node as a sib- bling.
attribdescr	No description
aspectdescr	No description
handle	Pointer to the application instance this attribute belongs to or ORM_HandleInherit (-1), if the handle should be taken from the parent (or its parent and so on).
ncu	Pointer to new attribute node or NULL on failure.

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3.4.3 ORM_AttributeDestroy

This function detaches the attribute node from the tree of nodes if any, deletes the node structure and deletes any depending structures, i.e. the attribute descriptor.

In the current implementation this function maps directly to ORM_NodeDestroy, but nevertheless this function should be called for attribute nodes created with functions of this layer to be able to deallocate any dynamic memory.

Prototype:

```
CRM_AttributeDestroy( OPM_NodeDef attracde);
```

Parameters:

attrade Pointer to attrbute node.

3.4.4 ORM_AttributeListCreate

This is another convenience functions to add a list of attributes to a component. The given node must be a of component type and is used as the parent for the new list of attributes (which is appended to the end of the list of child-nodes). The pointer to the attribute descriptor now points to an array of those descriptors, where the end of the array is marked by a descriptor whose name pointer is NULL.

Prototype:

```
int
CRM_AttributelistGreate(
        UFM_NTheDef
                                    parent,
                                                     /* in */
        IPM_AppHanileEef
                                    handle,
                                                     /* in */
        THX_AspertDescribef
                                                    -∀* in */
                                    aspectdescr,
        URM_AttrinuteDescribitDef __attrdescript, /+ in +/
        long
                                    attrecunt
                                                     /* in */
        : :
```

Parameters:

parent	Pointer to an existing component node, who is the parent node of all newly created attribute nodes.
handle	Pointer to the application instance, all attribute belongs to or ORM_HandleInherit (-1), which indicates, that the actual handle is determined by the parent (which again may have its handle set to ORM_HandleInherit!)
aspectdescr	No description
attrdescrist	Pointer to an array of ORM_AttributeDescr, with name=NULL in the last element if attrcount is < 0.

BNSDOCID: <WO___9802831A1_1_>

藤~2

attreound

The number of attribute descriptors in the list or the number of initial attributes from this list to attach to this node or -1, if the end of the list (array) should be determined by a NULL nodeinfo pointer.

3.5 ORM Attribute Conversion Support

This section was generated from <stdin> by CDOC on Sun Jan 29 18:13:38 1995.

This part or the ORM Server Support Layer provides functions for converting generic ORM data types between their native (binary) and the ORM (ASCII) presentation. The interface between the attribute and the conversion layer is defined by to function types, one for converting application native data into an ORM representation, one to convert ORM attribute value strings into the applications native presentation. Beside the conversion functions provided by the ORM-SSL, every application may provide its own special converters as long as their interfaces conform these function types.

3.5.1 Function Type ORM_ConverterNativeToString

This function is called to convert a single native value into its string representation. In addition to the value string it may generate the range and unit strings, if the pointer values passed are non-null. If the converter function returns NULL in these pointers, the lower (attribute) layer may provide default strings if any.

Memory Allocation: The memory to hold the converted string value(s) has to be provided by the converter function. It is reasonable to use static memory for this purpose, because before the converter function is called again, the ORM protocol layer will copy the strings returned.

Declaration:

typedet	CRM_Status (* CRM_Converter	NativeToString	Func)	(
	SPM_AppDataFtrDef	per,	/•	in */
	3124_1	size,	<i>i</i> •	in */
	CRM_AttributeDescrListD	ef datatype,	/*	in •/
	OPM_AppConverterArgDef	convarg,	/•	in •/
	ORM_String	*strvalue,	/•	out */
	ORM_String	*strrange,	/•	out */
	OFM_String	*strunit	/ •	out */
	· · · -			

Fields:

ptr	Address of native data element (e.g. attribute value)
size	Byte-size of data element
datatype	One of the ORM_AttributeTypes identifying the type of the native data element and its mapping to an ORM Protocol data type (??is this overloaded ??)
contarg	Any kind of argument (pointer) for this converter (as provided with the attribute descriptor for ex.)
strvalue	Where to store the pointer to the converted value string.
strrange	Where to store the reference to the optional range string.

Where to store the reference to the optional unit string.

3.5.2 Function Type ORM_ConverterStringToNative

This function is called to convert a single ORM string value into its native presentation. The pointer for the result usually points into a set of different attributes, e.g. an aspect, which usually is a (partial) copy of some application data instance.

Memory Allocation: The destination pointer provided references some valid memory (e.g. an aspect), but for references (the native value is a C-string for ex.), there is usually not enough space for the referenced value. This space must be allocated/provided by the converter itself. It is legal, to reference the original string as passed in to the converter function, but then the AspectCallSet function should make a copy, if the string is needed beyond this call.

Declaration:

strunit

typedef DPM_Status (* DPM_Converter	StringToNativ	eFunc) (
CRM_AppDataPtrDef	dest,	/* in */
312e 1	size,	/* in */
TPM ActricTypeDef	datatype,	/* in */
DRM_AppConverterArgDef	cenvarg,	/* in */
SM_Strung	strvalue	/* in */
1 · ·		

Fields:

dest	Address/destination of native data element (e.g. attribute value)
maxsize	Maximum byte-size of data element
datatype	One of the ORM_AttributeTypes identifying the type of the native data element
contarg	Any kind of data (pointer) for this converter as provided with the attribute descriptor
strvalue	The new attribute value in its ascii presentation.
returns	ORM_ENOError if conversion was successfull and the resulting attribute value is valid or ORM_ERangeError.

3.5.3 ORM Built In Conversion Functions

The following functions are provided to convert generic C datatypes between their ORM and their native presentation. In addition sub functions are provided to support the special ORM SELECT and MCHOICE types, which are called by the generic converters. Along with these sets two new data structure (types) are introduced.

3.5.4 Function ORM_GenericNativeToString

This function converts standard C-data types into their ASCII presentation. It returns only the converted value, but does not support the range and unit parts (e.g. returns NULL for those, if requested). In case of SELECT or MCHOICE functions, this function calls the related ORM_Select.. or ORM_MChoice functions.

Note: It is currently open, whether the conversion argument convarg may be used to specify a format string a la printf. Furthermore it is currently open, whether a NULL conversion function in the attribute descriptor should be directed to this (default) function.

Arguments as for ORM_ConverterNativeToString!

Prototype:

CRM_Status CRM_GenericNativeToS	String(
ORM_AppDataPtrief	ptr,	/* in */
size t	maxsizė,	/* in */
CRM_AttribTypeDef	cype,	/• in •/
CRM_AppConverterArgDef	convarg,	/= in =/
ORM String	<pre>strvalue,</pre>	/* out */
SPM_String	<pre>*rangevalue,</pre>	/* out */
CRW String	*strunit	/* out */

No parameter descriptions are available.

3.5.5 Function ORM_GenericStringToNative

This function converts ASCII C-strings into standard C-datatypes. In case of SELECT or MCHOICE functions, this function calls the related ORM_Select.. or ORM_MChoice functions.

Note: It is currently open, whether the conversion argument *convarg* may be used to specify a format string a la *sscanf*. Furthermore it is currently open, whether a NULL conversion function in the attribute descriptor should be directed to this (default) function.

Arguments as for ORM_ConverterStringToNative!

Prototype:

ORM_Status ORM_GenericStringToN	ative(
CRM_AppOstaPtrDef	ptr,	/* in */
size t	maxsize,	/* in */
CPM AttricTypeDef	type,	/* in */
Dem AppConverterArgDef	convarg,	/* in */
CRM_dtring	strvalue	/* in */
1.		

No parameter descriptions are available.

3.5.6 Structure ORM_StringMapDef

This type of structure is used to map strings to binary values and vice versa. It may be used to convert internal flags and states to *friendly* names. StringMaps must be terminated by an entry with *name* set to NULL.

Declaration:

Fields:

name Friendly name for this key. key The binary native value of the key

3.5.7 ORM_StringMapToString

This function maps a value key to a string using the given StringMap. It returns the string of that map entry, whose key is equal to the given key, else it returns the string passed in *notfound*.

Prototype:

```
CPM_StringMapToString( CRM_StringMapDef map,

CPM_StringMapToString( CRM_StringMapDef map,

CPM_Key key,

19M_String notfound);
```

Parameters.

nutp	Pointer to a sequence of map entries	
key	Binary key value.	
notfound	string to give back, if none of the keys in the map matched.	

3.5.8 ORM_StringMapToKey

This function maps a string value to a binary key using the given StringMap. It returns the key of that map entry, whose string is equal to the given key, else it returns the key passed in *intuilikey*.

Prototype:

CRM_Key CRM_StringMapToSey(CRM_StringMapDef map, CRM_String name, CRM_Key invalidkey);

Parameters:

map Pointer to a sequence of map entries name No description invalidkey No description

3.5.9 Structure ORM_StateMapDef

This structure is used to map states into strings, where a state is assumed to have a distinct set of possible next states, depending on the current value. E.g. this structure can be used to derive the set of possible new values i.e. it can provide the range value for a state attribute.

Otherwise it is used similar to the simpler StringMap structure. StateMaps must be terminated by an entry with *name* set to NULL.

Declaration

Fields:

папи	Friendly name for this key.
state	The binary native value of this state
validnexts	String of comma separated names of next valid states which may follow this state.

3.5.10 ORM_StateMapToString

Convert an encoding of a state into a *friendly* name using the given statemap. If the state could not be found, the string passed in *notfound* is returned.

Prototype:

Parameters:

тар	Pointer to a (name=NULL) terminated state map.
state	the binary state
notfound	string to return, if none of the entries in the map had exactly the given state key.

Эю.

3.5.11 ORM_StateMapToKey

Convert a string representation of a state into a native encoding of a state using the given statemap. If the string could not be found, the state passed in invalidstate is returned.

Prototype:

```
ORM_Key
CRM_StateMapToRey ( CRM_StateMapDef map,
ORM_String name,
ORM_Key invalidstate);
```

Parameters:

map	Pointer to a (name=NULL) terminated state map.
name	No description
invalidstate	No description

3.5.12 ORM_StateMapNextByKey

Return the comma separated list of valid next states given the current state.

Prototype:

```
CRM_String
CRM_StateMapNextByKey( CRM_StateMapDef map,
CRM_String state);
```

Parameters:

тар	Pointer to a (name=NULL) terminated state map.
state	the binary state

3.6 ORM Dump & Restore Support

This section was generated from <stdin> by CDOC on Fri Jan 27 19:59:34 1995.

This module of the ORM Server Support Library supports the dump and restore of complete subtrees, and therefore can be used to save the current configuration to a persistant storage media (i.e. the MSF Warehouse) and reload it from there. The actual IO functions are currently not supported by this layer or the support library at all!

Dump and Restore are functions of the ORM SSL and not of the ORM protocol (i.e. there is no DUMP or RESTORE request defined in the protocol).

This implies, that these functions have to be dispatched out of the application layer explicitly. One (intended) way to dispatch those functions interactively is to provide pseudo components in every subtree, which should be independent storable/reloadable. These contain the required parameters like Warehouse location or version name as attributes. An Attribute-Set request to this subtree then results in the execution of the corresponding function.

Under the layered view of the ORM SSL, these two functions belong to the protocol layer, as they use (nearly) the same functionality of the higher layers via upcalls.

3.6.1 General Model:

Starting from a given node, which is used as the root of the relevant subtree to dump, all components, object links and writable attributes with their meta information are recursively extracted relative to the current subtree root. The extended/meta information on the persistent media can be used to interprete the stored attributes and apply changes to the stored version without the ORM server/application alive but through special clients (by an ORM/Warehouse gateway for example).

The dumped ORM tree can be used to reload the whole subtree at any time, by providing the node and call the *restore* function of the ORM SSL (which is a special kind of Set-Request).

This special kind of SET request creates a new situation, as components (or any new subtree) may have been created dynamically by the ORM server application on request. On the next cold start of the application, these subtrees do not exist.

This results in failed lookup requests by the ORM protocol layer, which usually is treated as an error (remember: ORM-P has no direct support for object/component creation, but this is emulated by sets of writeonly attributes in separate subtrees, i.e. *New..*). To handle this case, the application can provide a special function during application context setup to create new instances including the ORM subtree (ORM_NodeNotFoundTrapFunc()).

A parameter is passed to this creation function, which indicates, whether this situation was caused by a regular ORM protocol request or by an internally generated restore request, so the application code can still decide to refuse the creation.

3.6.2 ORM_Dump

This function extracts the ORM entities in the subtree pointed to by *subtree* into the character buffer, so it can be used by a later ORM_Restore function (or can be used as a subrequest in a regular ORM protocol request).

It is the responsibility of the caller to provide a sufficient buffer, which can hold the subtree information of the given depth!

Prototype:

OPM_Status		
JPM Dump(CSM_AppNodeD+1	subtree,
	long	deptn,
		what,
	CHM String	cuiier,
	1007	*maxlen
):	

Parameters:

subtree	The root of the subtree to dump. All navigation information is saved relative to this node.
depth	The depth, up to which entities in this subtree should be extracted. A depth of 0 means, direct childs of the given sub-root only, i.e. if the subtree points to a component node with an attribute node as one of its direct children, the name of the attribute would be extracted, but not the value or other extended attribute informa- tion, if depth=0. A depth of -1 extracts the whole subtree, inde- pendent of its depth.
what	Is a bitmask, detining what kind of entities should be extracted: ORM_DumpSetObjects ORM_DumpSetComponents ORM_DumpSetAttributes ORM_DumpSetWritable ORM_DumpSetDefault = Objects I Components I Writable ORM_DumpSetEveryThing = Objects I Components I Attributes
buffer	The address of a character buffer, where to store the extracted entity information
maxlen	Pointer to the maximum length of this buffer. On return, maxlen will contain the number of bytes used in this buffer including the C-String '\0' terminator.

3.6.3 ORM_Restore

Function to reload the saved ORM information into an existing subtree, where at least the root of the given subtree has to exist. *Note:* Because the restore request may fail with some attribute modifications already performed, an application may want to call ORM_Dump

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(into a temporary buffer) before actually calling ORM_Restore, to be able to undo the partial operations.

Prototype:

CRM_Status		
12M_Restile(DPM_ApphileDer	subtree,
	CRM_Scring	buffer,
	long	·length
);	

Parameters:

subt ree	Node of the subtree to load the management information into.
	pointer to ORM subrequest sequence.
length	pointer to length of the request. On return, this will contain the number of bytes processed from this request.

```
3.7 ORM SSL Generic Datatypes
#ifndef _ORM_TYPE_H
#define _ORM_TYPE_H
 * Some generic definitions, may become obsolete
 • /
typedef enum -
   False,
    True
    boolean:
#ifndef NULL
#define NULL (void *)0
∉endif
typedef unsigned long size_t:
#define CRM Fir( case, iffset) (void *) ((size_t.) (base) + (size_t) (offset))
                        (viii *)malloc(x)
free(x)
fine IRM_Mallic.x>
fdefine IRM_Free(x)

    more CRM specific stuff

 • /
 * How requests and responses are passed to the ORM protocol layer
                *ORM RequestDef;
typedef char
                CRM_ResponseDef;
typedef inar
* The principal type of every ORM protocol entity, e.g. names and values,
 * but also used most C-strings.
 • /
typedef char
                *ORM_String:
1 .
 * Used for StateMaps and String Maps as the lookup key
 • /
typedef long
                IRM_Key:
1.
* The following are various opaque handles. Opaque mainly to the protocol
 * layer but also for the lower of two stacked layers.
 • /
               *CRM_AppNodeDef;
typedef void
typedef void
                *CRM_AppHandleDef;
                 TRM_AppAscectDef:
typedef void
typezei viik
                 'IRM AppDataFtrDef;
```

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```
typedef vois
                    *CRM_AppAttribDescrDef;
   typedef void
                    *CPM_AppCallContextDef;
   typedef void
                    · OPM_AppConverterArgDef;
   1.

    Valid Access modes for an attribute

  typedef enum
       DRM_AttrizMcdeNone,
      CRM_AttribMcdeRW,
DRM_AttribMcdeRC,
      CRM_ALTEIDMODEWO,
      CRM_AttricModeRWP
      ) ORM_AttribModeDef;
    Known (native) datatypes, which are supported by the Generic converter
 typedef enum :
      CRM_AttricTypeNone,
      CRM_AttricTypeIntl,
     OPM_AttricTypeUintl,
DRM_AttricTypeIntl,
     CRM_AttricTypeUInt2,
     CRM_AttribTypeInt4,
     ORM_AttribTypeUInt4,
     DRM_AttricTypeInt8,
     ORM_AttricTypeUInt8.
     ORM_AttribTypeReal32,
     ORM_AttribTypeReal64,
     ORM_AttricTypeString,
     DRM_AttricTypeHexJot,
     DRM_AttricTypeSelect,
                              /* 1 out of many */
     ORM_AttricTypeState,
                              /• 1 cut of many, but with dynamic range •/
     DRM_AttribTypeOption,
                              / Dinary switch ON/OFF YES/NO •/
     ORM_AttribTypeMChoice,
                              /* n out of many */
    ORM_AttribTypeUnknown
    | ORM_AttribTypeDef;
   ORM Error Codes, used as well by the protocol as by the ORM SSL
typedef enum
   ORM_ENGError,
                             /• Cperation successfull! •/
   ORM_EPermission,
                             /* None or wrong auth.information =/
   ORM_ENOSuchNode,
                             /* some name in pathname could not be found */
  ORM_ENOSUCHAttribute,
ORM_ENCSuchObject,
                              / * Attribute in Set-Request doesn't exist */
                             / • Object/Manager could not be found */
  ORM_EInvalidOperation,
                             /* Operation not applicable to node type */
  ORM_EProtocol,
                             /* ORM protocol violation */
  ORM_ECommunication,
                             / · lower level comm error */
  ORM_ERange,
                             /* new attribute value out of range */
  ORM_EParameterList,
                             /* set of attributes not applicable */
  CRM_EMISSINGALLTIDULE,
                             /* mandatory attrib. missing or NULL */
  ORM_ENCSpace,
CRM_ENCSpace,
                             /* internal allocation */
* response buffer */
```

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```
/* ORM Internal error +> bug */
  ORM_EInternal,
                               /* application level error -> bug */
   CRM_EApplication,
   | CRM_Status:

    Types if nodes in the virtual tree. Note, that only nodes of type
    TRM_NodeTypeDimpinent can have children!

 • /
typedef enum (
    CRM_NodeTypeUnknown,
    CRM_NodeTypeObject,
    CRM_NodeTypeComponent,
    ORM_NodeTypeAttribute,
CRM_NodeTypeAny
     > JRM_NideTypeDef;
/•
 * Types of DRM requests. Note that the Dump and Restore requests are

    not part if the JRM protocol, but only available within the ORM
    server support library

 - /
typedef enum i
    CRM_RequestObjectGet,
    CRM_RequestComponentGet,
     CRM_RequestAttributeGet,
     CPM_RequestAttributeInfoGet,
     persentationseleset.
     IRM_RequestSet,
IRM_RequestSet,
     CFM_RequestDump.
     CRM_RequestRestore
     | ORM_RequestTypeDef;
```

€endif

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WHAT IS CLAIMED IS:

1. A system for managing objects, including a first server, comprising:

a first receiver portion configured to receive a request in a hypermedia format;

a first translator portion configured to convert the hypermedia request to an object request;

a sender portion configured to send the object request to an object manager;

a second receiver portion configured to receive a response from the object manager; and

a second translator portion configured to convert the object manager response to the hypermedia format.

2. The system of claim 1, further comprising a second server, including:

a third receiver portion configured to receive a request in a hypermedia format;

a third translator portion configured to convert the hypermedia request to an object request;

a second sender portion configured to send the object request to an object manager;

a fourth receiver portion configured to receive a response from the object manager; and

a fourth translator portion configured to convert the object ~ manager response to the hypermedia format.

3. The system of claim 1, further comprising:

a second sending portion configured to send the hypermedia format data from the sender portion to a browser to be displayed.

4. The system of claim 1, where the object manager manages a self-describing object.

5. The system of claim 1, where the object manager manages a non-self, , describing object.

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6. The system of claim 5, where the object manager performs a "worm" function.

7. A method for browsing objects, where a browser communicates with a server, comprising the steps, performed by the browser, of:

sending an initial URL to the server;

receiving first data from the server, where the first data specifies an object corresponding to the URL;

sending user-entered data associated with the object to the server; and

receiving second data from the server, where the second data specifies a second object corresponding to the user-entered data.

8. The method of claim 7,

wherein the step of sending an initial URL to the server comprises the step of sending an initial URL known to the browser, where the URL is the URL of the server.

9. The method of claim 7,

wherein the step of sending an initial URL to the server comprises the step of sending an initial URL entered by the user, where the URL is the URL of the server.

10. The method of claim 7,

wherein the step of sending user-entered data associated with the object to the server includes the step of indicating a "set" operation in the userentered data.

11. The method of claim 7,

wherein the step of sending user-entered data associated with the object to the server includes the step of indicating a "get" operation in the user-entered data.

12. The method of claim 7, wherein the step of receiving second data from the server includes the step of receiving data corresponding to an attribute value of the object.

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13. The method of claim 7, wherein the step of receiving second data from the server includes the step of receiving data corresponding to a second object linked to the first object via an object-link.

14. A computer program product comprising:

a computer usable medium having computer readable code embodied therein for managing objects, the computer program product comprising:

computer readable program code devices configured to cause a computer to effect receiving a request in a hypermedia format;

computer readable program code devices configured to cause a computer to effect converting the hypermedia request to an object request;

computer readable program code devices configured to cause a computer to effect sending the object request to an object manager;

computer readable program code devices configured to cause a computer to effect receiving a response from the object manager; and

computer readable program code devices configured to cause a computer to effect converting the object manager response to a second hypermedia format.

15. The computer program product of claim 14, further comprising:

computer readable program code devices configured to cause a computer to effect sending the second hypermedia format data to a browser to be displayed.

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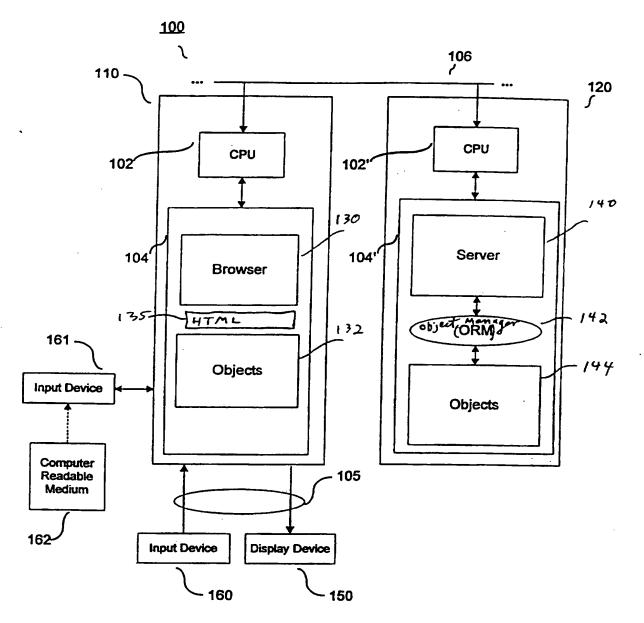


Fig. 1

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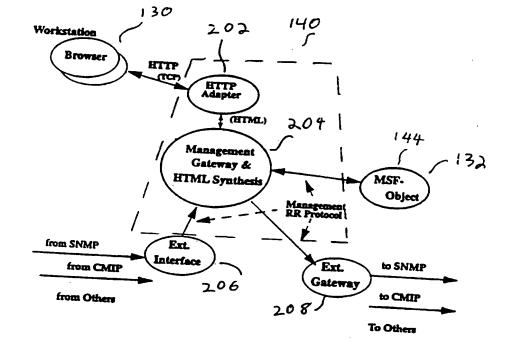
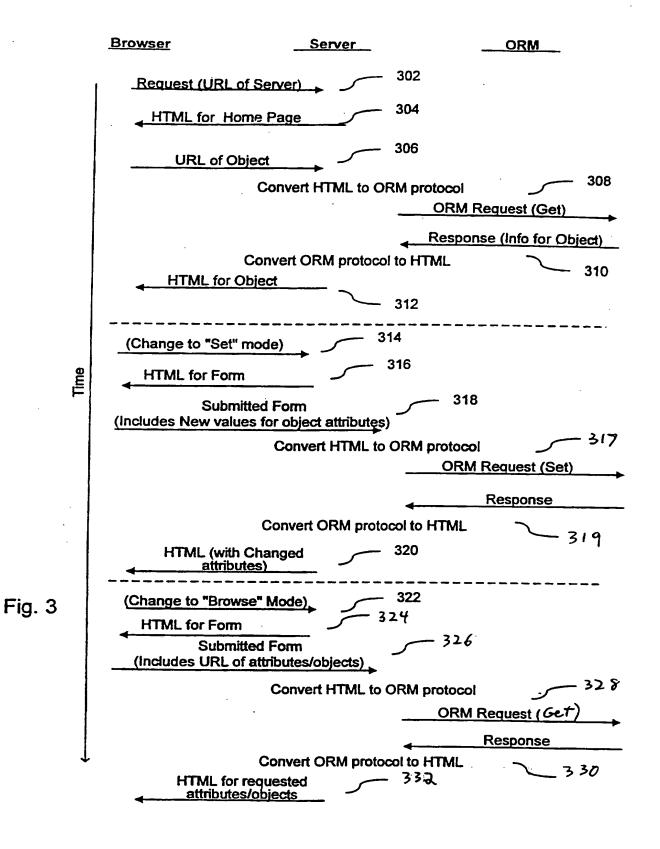


Fig. 2

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k.



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BNSDOCID: <WO___9802831A1_I_>

A System as a Tree of Managed Entities:

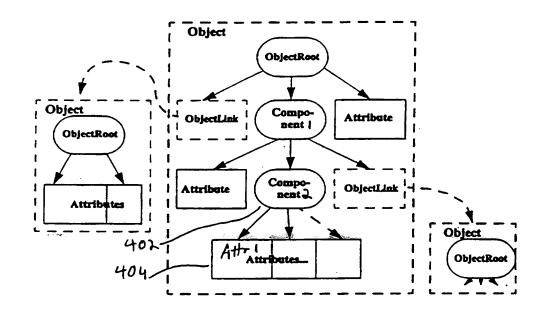
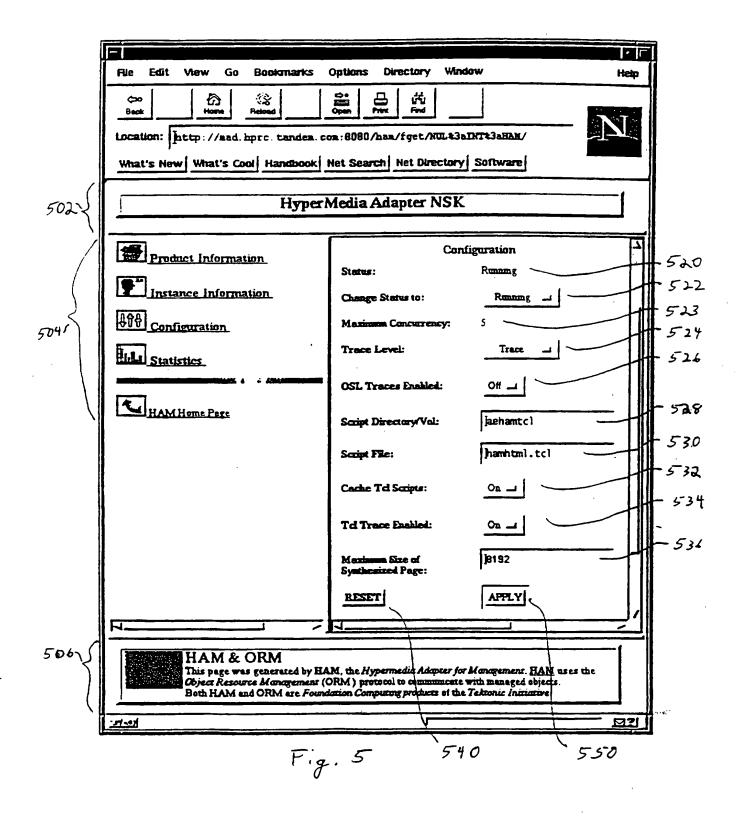


Fig. 4

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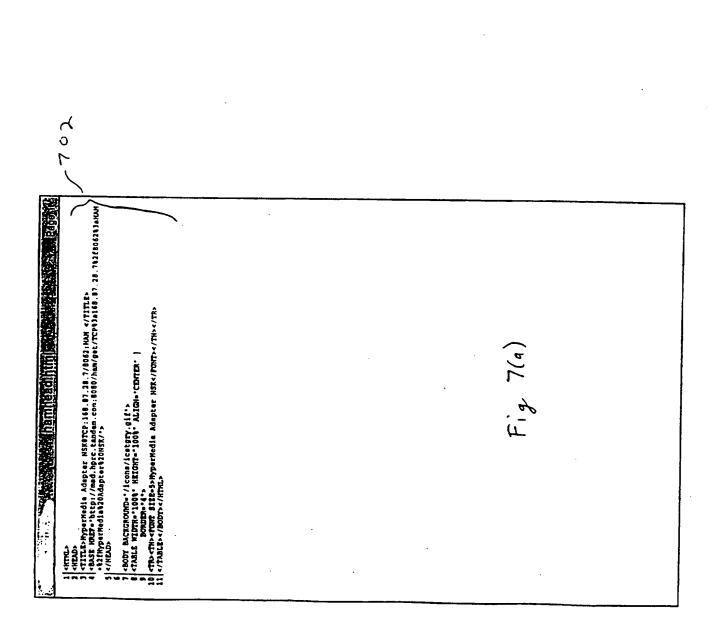


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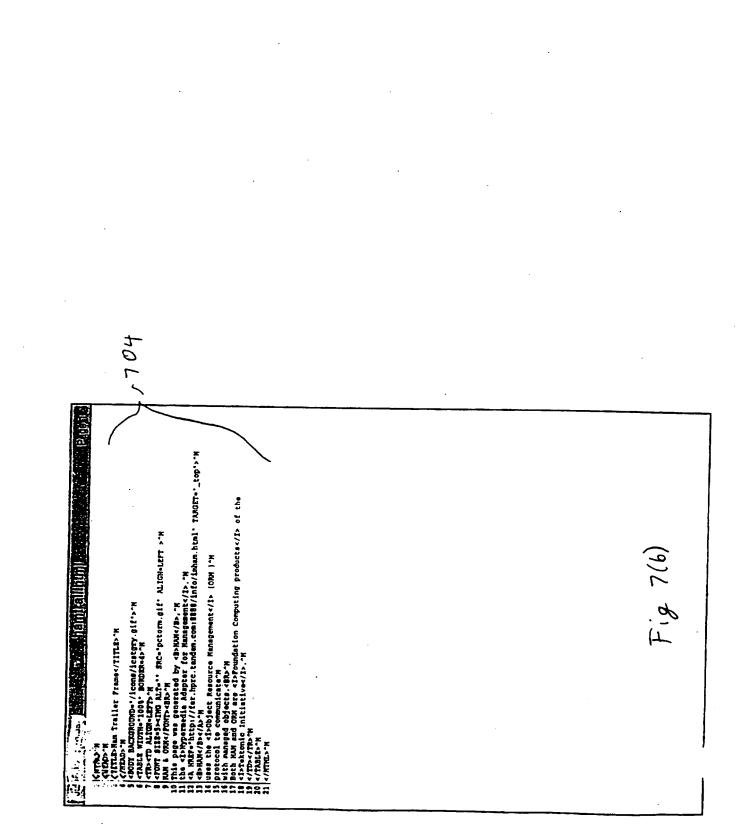
BNSDOCID: <WO___9802831A1_I_>



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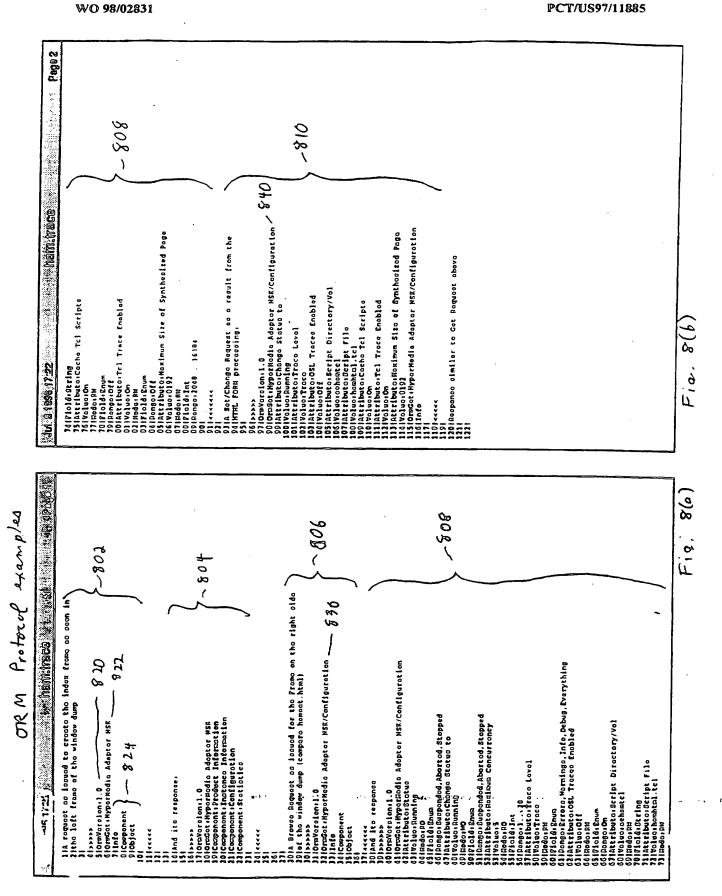


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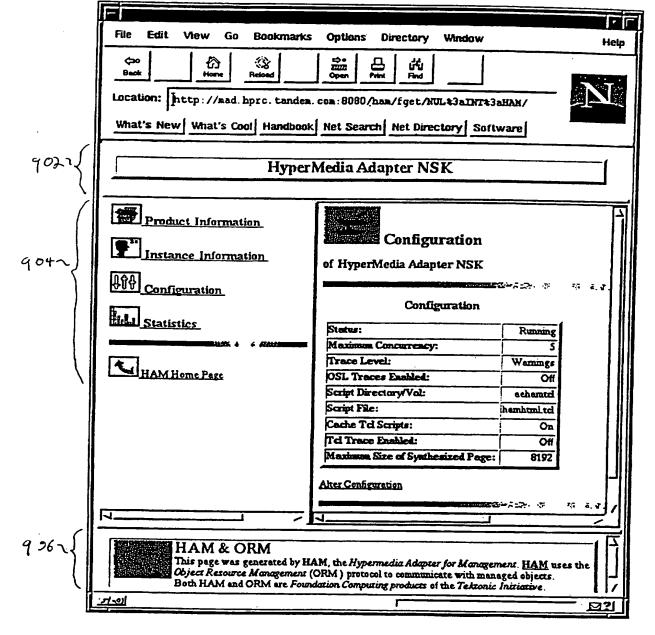
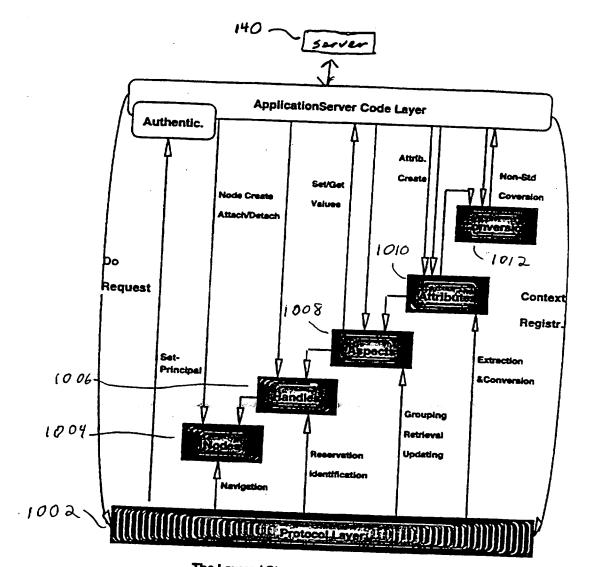


Fig.9

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The Layered Structure of the ORM Support Library

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INTERNATIONAL SEARCH REPORT

Jnai Application No inter PCT/US 97/11885

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 G06F17/30

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) G06F

IPC 6

1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category * 1,14 JAGANNATHAN V ET AL: "COLLABORATIVE Α INFRASTRUCTURES USING THE WWW AND CORBA-BASED ENVIRONMENTS" PROCEEDINGS - THE WORKSHOP ON ENABLING TECHNOLOGIES: INFRASTRUCTURE FOR COLLABORATIVE ENTERPRISES. 19 June 1996, pages 292-297, XP000645510 see page 293, column 1, line 39 - page 294, column 1, line 5 Patent family members are listed in annex. Further documents are listed in the continuation of box C. * Special categories of cited documents : T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the *A* document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of perticular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an onal disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means *P* document published prior to the international filling date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 1 2. 11, 97 6 November 1997 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Katerbau, R Fax: (+31-70) 340-3016

Form PCT/ISA/210 (second sheet) (July 1992)

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WEST Search History

DATE: Friday, January 24, 2003

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$DB = U_{t}$	SPT; PLUR=YES; OP=OR		
L16	L14 not L15	55	L16
L15	L14 and (gateway or gate\$way)	10	L15
L14	L13 not L10	65	L14
L13	L12 and ((707/\$ or 709/\$)!.ccls.)	71	L13
L12	L6 and L11	334	L12
L11	plural\$3 near3 (database\$ or template\$ or container\$ or folder\$3)	28599	L11
L10	L8 and ((707/\$ or 709/\$).ccls.)	6	L10
L9	L8 and gate\$way	4	L9
L8	L6 and L7	78	L8
L7	plural\$3 near3 (container\$ or folder\$3)	22150	L7
L6	plural\$3 near3 (register\$3)	21112	L6
L5	L3 and ((container or folder\$) with history)	7	L5
L4	L3 and gateway	20	L4
L3	L1 and L2	552	L3
L2	plural\$3 with (register\$3)	38977	L2
L1	plural\$3 with (container\$ or folder\$3)	42649	L1

END OF SEARCH HISTORY

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PATENT

RECEIVED

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

	CERTIFICATE OF MAILING	1
ATTY. DKT. NO.:	21114-03726	·
GROUP ART UNIT:	2771	
EXAMINER:	Not yet assigned	
TITLE:	System And Method For Creating And D Containers With Dynamic Registers	Manipulating Information
FILING DATE:	April 7, 1999	
APPLICATION NO.:	09/284,113	Technology Center 2100
APPLICANT:	Michael De Angelo	JAN 2 8 2003

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an
envelope addressed to: Commissioner For Patents, Washington, D.C. 2023 for the date stown below:
Dated: 1/1/6/03 By: Riag ML

Greg T. Sueoka

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

REQUEST TO WITHDRAW AS ATTORNEYS

SIR:

Pursuant to 37 CFR §§ 1.36 and 10.40, the attorneys of record listed below, on whose behalf the undersigned attorney has signed this request and on whose behalf the undersigned attorney is authorized to sign, respectfully request permission to withdraw as attorneys of record in the above-referenced patent application, because the client has failed to pay one or more bills rendered by the undersigned's law firm for an unreasonable period of time.

There is currently no outstanding official action known to the undersigned attorney dated prior to the date of this Request to Withdraw for which a response is now due to be filed.

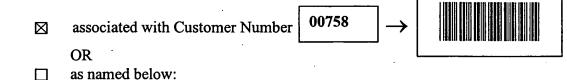
There is an outstanding term for response to an official action that is set to expire
 on ______. The above term is extendible under 37 CFR § 1.136(a), until ______.

21114/03726/DOCS/1322594.11

The client has been notified of the official action and of the deadline to respond to the official action.

- A response to the outstanding official action is attached.
- ☐ A petition for extension of time is requested and the fees for the extension are/are not being submitted.

The withdrawing attorneys of record are:



Name	Registration Number	Name	Registration Number
Greg T. Sueoka	33,800	James Okamoto	40,110

The attorneys of record listed above, on whose behalf the undersigned attorney has signed this request and on whose behalf the undersigned attorney is authorized to sign, respectfully request that all future correspondence regarding this application be addressed to the last know address:

> Michael De Angelo Information Equity Corporation 100 South Sunrise Boulevard, Suite 470 Palm Springs, CA 92262

A copy of this Request is being sent to Michael De Angelo, Information Equity Corporation, 100 South Sunrise Boulevard, Suite 470, Palm Springs, CA 92262 at the last-known address. This Request to Withdraw is being submitted in triplicate.

Respectfully submitted, Michael De Angelo

By:

Greg T. Sueoka, Reg. No.: 33,800 Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7194 Fax.: (650) 494-1417

21114/03726/DOCS/1322594.12

Dated: ______

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WEST Search History

DATE: Tuesday, January 28, 2003

<u>Set Nam</u> side by sid		<u>Hit Count</u>	<u>Set Name</u> result set
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L17	L16 not L13	37	L17
L16	L15 and (register\$3 with (data or file or resource\$ or information) with (container\$ or folder\$3))	39	L16
L15	L10 and L14	555	L15
L14	plural\$ with (container\$ or folder\$3)	42715	L14
L13	L12 not L8	43	L13
L12	L11 and ("OOP" or "object-oriented")	45	L12
L11	L9 and L10	1065	L11
L10	plural\$ with register\$3	39067	L10
L9	plural\$ with (container\$ or folder\$3 or database\$)	50485	L9 ·
L8	L3 and L7	17	L8
L7	L6 not L4	43	L7
L6	L1 and ("OOP" or "object-oriented")	44	L6
L5	L2 and ("OOP" or "object-oriented")	1	L5
L4	L2 and L3	35	L4
L3	(container\$ or folder\$3) with (Id or ids or identif\$5)	6737	L3
L2	plural\$ with (container\$ or folder\$3) with register\$3	292	L2
L1	(container\$ or folder\$3) with register\$3	. 2703	L1

END OF SEARCH HISTORY

:

			UNITED STATES DEPARTM United States Patent and Tr Address: COMMISSIONER OF PA Washington, D.C. 20231 www.uspto.gov	ademark Office
APPLIĆATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
7590 02/11/2003 GREG T SUEOKA			EXAMINER	
FENWICK & V TWO PALO A	LTO SQUARE		NGUYEN, CA	AM LINH T
PALO ALTO, O	CA 94306		ART UNIT	PAPER NUMBER
			2171	

Please find below and/or attached an Office communication concerning this application or proceeding.

١

		Application No.	Applicant(s)
		09/284,113	DE ANGELO, MICHAEL
	Office Action Summary	Examiner	Art Unit
		Cam-Linh T. Nguyen	2171
	The MAILING DATE of this communication app	bears on the cover sheet with th	e correspondence address
THE I - Exter after - If the - If NC - Failu - Any r	ORTENED STATUTORY PERIOD FOR REPL' MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period vere to reply within the set or extended period for reply will, by statute eply received by the Office later than three months after the mailing ad patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply b y within the statutory minimum of thirty (30) vill apply and will expire SIX (6) MONTHS I , cause the application to become ABAND	be timely filed) days will be considered timely. from the mailing date of this communication. ONED (35 U.S.C. § 133).
1)⊠	Responsive to communication(s) filed on 07 A	April 1999 .	
2a)		is action is non-final.	
3)	Since this application is in condition for allowa closed in accordance with the practice under		
Dispositi	on of Claims		
4)🛛	Claim(s) <u>1-36</u> is/are pending in the application	l.	
	4a) Of the above claim(s) is/are withdraw	wn from consideration.	
5)	Claim(s) is/are allowed.		
6)🛛	Claim(s) <u>1-36</u> is/are rejected.		
7)	Claim(s) is/are objected to.		
	Claim(s) are subject to restriction and/o on Papers	r election requirement.	
9)🛛 🤇	The specification is objected to by the Examine	r.	
10)🛛 [·]	The drawing(s) filed on <u>07 April 1999</u> is/are: a)	⊠ accepted or b) ☐ objected to b	by the Examiner.
	Applicant may not request that any objection to the	e drawing(s) be held in abeyance	. See 37 CFR 1.85(a).
11)	The proposed drawing correction filed on	_ is: a)	proved by the Examiner.
	If approved, corrected drawings are required in rep	bly to this Office action.	
12)	The oath or declaration is objected to by the Ex	aminer.	
Priority ι	inder 35 U.S.C. §§ 119 and 120		
13)	Acknowledgment is made of a claim for foreigr	n priority under 35 U.S.C. § 11	9(a)-(d) or (f).
a)[☐ All b)		
	1. Certified copies of the priority document	s have been received.	
	2. Certified copies of the priority document	s have been received in Applic	cation No
* 5	3. Copies of the certified copies of the prior application from the International Bu see the attached detailed Office action for a list	reau (PCT Rule 17.2(a)).	C C
	cknowledgment is made of a claim for domesti	·	
a 15)∏ A) The translation of the foreign language pro Acknowledgment is made of a claim for domest	visional application has been	received.
Attachmen		_	
2) 🔲 Notic 3) 🔀 Inform	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>3</u>	5) 🗌 Notice of Infom	mary (PTO-413) Paper No(s) nal Patent Application (PTO-152)
S. Patent and Ti TO-326 (Re	ademark Office v. 04-01) Office Ad	tion Summary	Part of Paper No. 6

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Petitioner Apple Inc. - Exhibit 1002, p. 379

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DETAILED ACTION

Specification

1. This application does not contain an abstract of the disclosure as required by 37

CFR 1.72(b). An abstract on a separate sheet is required.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1 - 36 are rejected under 35 U.S.C. 102(e) as being anticipated by

McKeehan et al (U.S. 6,016,495).

• As per claim 20,

McKeehan et al. discloses a method for creating an interactive information container

comprising:

- "Forming a container" See Fig. 8, element 870, Fig. 10, col. 18 line 33 47.
- "Selecting an interactive register for the container" See Fig. 2B, col. 11 line 17 -

27, col. 20 line 59 – 67, Fig. 17A col. 24 line 65 – col. 25 line 9.

- "Identifying an item for inclusion in a container" corresponds to a particular

resource or object that need to register and stored in the storage.

Page 2

- "Creating a container element that includes the identified item" See Fig. 2B col.
 11 line 17 27.when an item is registered and stored in the persistent storage, it is considered as an identified item, and the storage that includes the identified item is called "container" as in Fig. 10.
- ♦ As per claim 1,29,

• .

With all limitations as in claim 20 further claim 1 includes:

- "Plurality of containers" See Fig. 1, 2A, 8, col. 15 line 47 col. 16 line 40, col. 17 line 24 37.
- "Plurality of registers" See Fig. 2A.
- "A first register storing a unique container identification value" See fig. 11, col. 19
 line 3 8, 59 61.
- "The second register stores information and evolves according to the relationship, use and interaction of the container with other containers" See Fig. 11; col. 19 line 15 62.
- "A gateway attached to and forming part of the container... controlling the interaction of the container with other containers" corresponds to the Transaction Manager (See col. 20 line 63 67).
- As per claim 2,
 - "The information element is one from the group of text, graphic...a system"
 corresponds to the text of the objects that registered in the container (See Fig. 2A).
- As per claim 3 4,

- "One container history register for storing information regarding past interaction of the container with other container... modified" See col. 20 line 6 13, col. 23 line 21 42.
- ♦ As per claim 5 7,
 - "Plurality of registers include at least one predefined register" corresponds to the methods that are defined by the system (See col. 23 line 21 – 42).
 - "Plurality of registers include a user created register" corresponds to the extensible methods that defined by user (See col. 23 line 21 – 42).
- ♦ As per claim 8 9, 31 32,
 - "Plurality of registers ... controlling the relationship of the container with other containers" corresponds to the Lock register because the lock class controls about the concurrent of objects stored in the container (See col. 19 line 24 39).
 - Because the system support locking manager, it must include an "active time, passive time, neutral time", which shows the interactive of an object with other objects.
- As per claim 10, 33,
 - "Plurality of registers include at least one acquire register" See col. 21 line 17 21, col. 19 line 55 61.
- ♦ As per claim 11 14,
 - Because the system 800 is virtual addressing mechanisms that allow the programs to access to other storage, therefore, the system must have register that referring the space or location of a container.

Page 4

◆ As per claim 15 - 19, 35 - 36,

Because the Transaction Manager carries out the transaction control by interacting with objects of the extensible Resource class that are registered to it (Col. 20 line 67 – col. 21 line 13), therefore, it must including the means of "allowing interaction, gather information, reporting information, and including the rules defining the interaction of the container".

- ♦ As per claim 21 22, 30,
 - "Displaying a plurality of container levels" See Fig. 12, col. 22 line 1 22.
 - "Receiving input from user selecting one of the displayed container level"
 corresponds to the query of user to retrieve a particular object in a container.
 - "Displaying a container template corresponding to the container level input" corresponds to the result of the query.
- ♦ As per claim 23,

Because each container store different data structure, the system must providing a data structure as part of the container element (See col. 1 line 36 – 40, col. 2 line 28 – 38).

- ◆ As per claim 24 26,
 - In order for a container work properly, the container must have a gateway to control the interaction of the container with other containers. In the instance reference, the gateway is corresponding to the Transaction Manager (See col. 20 line 63 67), because the transaction manager comprises a list of transaction ID that controls all transactions between containers (col. 24 line 62 64). In

> addition, the container also has to determine the current gateway or register when a new container is created.

◆ As per claim 27 – 28, 34,

As noted above, the system creates some methods in order to create a new container; it must include the determination of available register to store items into the container (See col. 23 line 5 - 42).

Conclusion

1. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Itakura et al (U.S. 6,351,745) discloses a communication system for distributing such message as advertisement to user of terminal equipment.

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cam-Linh T. Nguyen whose telephone number is 703-305- 1951. The examiner can normally be reached on Monday - Friday from 8:00 am to 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic, can be reached on (703) 308- 1436. The fax phone number for the organization where this application or proceeding is assigned is 703- 746- 7239.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703- 305- 3900.

Page 6

Cam-Linh Nguyen Art Unit 2171

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Page 7

	Application/Control No.	Applicant(s)/Pater	nt Under
Notice of References Cited	09/284,113	Reexamination DE ANGELO, MIC	CHAEL
Notice of References Cited	Examiner	Art Unit	
	Cam-Linh T. Nguyen	2171	Page 1 of 1

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U.S. PATENT DOCUMENTS

*	•	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A .	US-6,016,495	01-2000	McKeehan et al.	707/103R
	E/	US-6,351,745	02-2002	Itakura et al.	707/10
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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and a		ed States Patent A	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	OR PATENTS
Г	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
_	09/284,113	04/07/1999	MICHAEL DE ANGELO	. 3726-US	1910
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	GREG T SUE			NGUYEN, C	AM LINH T
	FENWICK & V TWO PALO AI			ART UNIT	PAPER NUMBER
	PALO ALTO,			2171	
				DATE MAILED: 10/30/2003	3 /

Please find below and/or attached an Office communication concerning this application or proceeding.

2 Petitioner Apple Inc. - Exhibit 1002, p. 389

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	Application No.	Applicant(s)
Notice of Abandonment	09/284,113 Examiner	DE ANGELO, MICHAEL Art Unit
	Cam-Linh T. Nguyen	2171
The MAILING DATE of this communication	on appears on the cover sheet with	the correspondence address
This application is abandoned in view of:		
 Applicant's failure to timely file a proper reply to the (a) A reply was received on (with a Certifica period for reply (including a total extension of ti 	ate of Mailing or Transmission dated _ me of month(s)) which expired), which is after the expiration of the on
(b) A proposed reply was received on, but i		•
(A proper reply under 37 CFR 1.113 to a final r application in condition for allowance; (2) a time Continued Examination (RCE) in compliance w	ely filed Notice of Appeal (with appeal t	
(c) ☐ A reply was received on but it does not final rejection. See 37 CFR 1.85(a) and 1.111.		e attempt at a proper reply, to the non-
(d) 🛛 No reply has been received.		
2. Applicant's failure to timely pay the required issue from the mailing date of the Notice of Allowance (F		vithin the statutory period of three months
(a) ☐ The issue fee and publication fee, if applicab), which is after the expiration of the state Allowance (PTOL-85).		ertificate of Mailing or Transmission dated ee (and publication fee) set in the Notice of
(b) The submitted fee of \$ is insufficient. A	balance of \$ is due.	
The issue fee required by 37 CFR 1.18 is \$_	The publication fee, if required t	y 37 CFR 1.18(d), is \$
(c) 🗌 The issue fee and publication fee, if applicable,	has not been received.	
 Applicant's failure to timely file corrected drawings Allowability (PTO-37). 	as required by, and within the three-mo	onth period set in, the Notice of
(a) Proposed corrected drawings were received or after the expiration of the period for reply.	m (with a Certificate of Mailing or m	Transmission dated), which is
(b) 🔲 No corrected drawings have been received.		
 The letter of express abandonment which is signed the applicants. 	d by the attorney or agent of record, th	e assignee of the entire interest, or all of
 5. The letter of express abandonment which is signed 1.34(a)) upon the filing of a continuing application. 		epresentative capacity under 37 CFR
6. The decision by the Board of Patent Appeals and l of the decision has expired and there are no allow		ecause the period for seeking court review
7. 🛛 The reason(s) below:		
A confirmation for abandoment was made on	10/22/2003 with Michael De Ange	o. Phone number: 760 - 864 - 9500.
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Petitions to revive under 37 CFR 1.137(a) or (b), or requests to minimize any negative effects on patent term.		
U.S. Patent and Trademark Office	Notice of Abandonment	Part of Paper No. 7



Greg T. Sueoka

FENWICK & WEST LLP

Two Palo Alto Square Palo Alto, CA 94306 Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspio.gov

Paper No. 9

MAIL

MAR 2 6 2004

DIRECTOR OFFICE TECHNOLOGY CENTER 2100

In re Application of: Michael De Angelo Application No. 09/284,113 Filed: April 7, 1999 For: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

DECISION ON REQUEST TO WITHDRAW AS ATTORNEY OR AGENT

This is a decision on the Request to Withdraw from Representation filed January 24, 2003.

A grantable request to withdraw as attorney of record should indicate thereon the present mailing addresses of the attorney(s) who is/are withdrawing from the record and of the applicant. The request for withdrawal must be signed by every attorney seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. A request to withdraw will not be approved unless at least 30 (thirty) days would remain between the date of approval and the later of the expiration date of a time to file a response or the expiration date of the maximum time period which can be extended under 37 C.F.R. § 1.136(a). The effective date of withdrawal being the date of decision and not the date of request. See M.P.E.P. § 402.06. 37 C.F.R. § 1.36 further requires that the applicant or patent owner be notified of the withdrawal of the attorney or agent.

The request is **GRANTED**.

All future communications from the Office will be directed to the below-listed address until otherwise notified by applicant. This correspondence address is provided by the withdrawn attorney(s). Applicant is reminded of the obligation to promptly notify the Patent and Trademark Office (Office) of any change in correspondence address to ensure receipt of all communications from the Office. Serial No.: 09/284,113 Decision on Petition

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Vincent N. Trans Special Program Examiner Technology Center 2100 Computer Architecture, Software, and Information Security 703-305-9750

cc: Michael De Angelo Information Equity Corporation 100 South Sunrise Boulevard, Suite 470 Palm Springs, CA 92262

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08-11-04 Attorn Docket No.: 17776-002US1 BORNEFEIIFN TERSTATES PATENT AND TRADEMARK OFFICE pplicant : DeAngelo, Michael Art Unit : 2171 AUG 1 6 2004 Serial No. : 09/284,113 Examiner : Cam N. Nguyen Filed : April 7, 1999 : SYSTEM AND METHOD FOR CREATING AND MANIPULATING FICE OF PETITIONS Title INFORMATION CONTAINERS WITH DYNAMIC REGISTERS MAIL STOP PETITIONS **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 PETITION TO REVIVE APPLICATION UNDER 37 C.F.R. § 1.137(b) AUG 1 U 200 Applicant hereby petitions under 37 C.F.R. § 1.137(b) to revive the above application for failure to respond to the non-final office action mailed February 11, 2003. Enclosed is 1) a declaration of Michael de Angelo in support of this petition and upon which this petition is based, 2) a response to the non-final office action mailed February 11, 2003, to continue prosecution of the application, and 3) a check for \$665 in payment of the

petition fee by a small entity as set forth in 37 C.F.R. § 1.17(m).

Applicant submits that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b) was unintentional.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Date:

Respectfully submitted,

Tamara Fraizer Reg. No. 51,699

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

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08/12/2004 HALI11 00000003 09284113

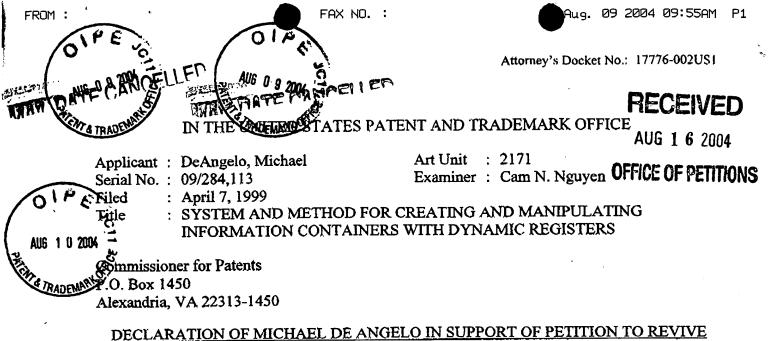
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CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. __EV 321 384 896 US _____

August 9, 2004

Date of Deposit



<u>DECLARATION OF MICHAEL DE ANGELO IN SUPPORT OF PETITION TO REVIV</u> <u>APPLICATION UNDER 37 C.F.R. § 1.137(b)</u>

I, Michael De Angelo, declare and state as follows:

1. My citizenship, residence and address are as stated below by my name and signature.

2. I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: System And Method For Creating And Manipulating Information Containers With Dynamic Registers. My patent application is based upon over twenty-five years of committed work and development.

3. Prior to the Patent Office's issuance on February 11, 2003, of an Office Action pertaining to my application, I was informed by patent counsel that they were no longer representing me because I had not been able to pay their bills.

4. During the entire year of 2003 and until the present time, I have suffered severe hardship due to the criminal destruction of a corporation for which I was the Chairman and CEO. This matter was investigated by the FBI and the Department of Justice, and a Federal subpoena was issued to the perpetrators. The destruction of the corporation and multiple related lawsuits caused me extreme mental anguish and serious financial difficulties.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

Applicant: DcAngelo, MichaelSerial No.: 09/284,113Filed: April 7, 1999Page: 2 of 3

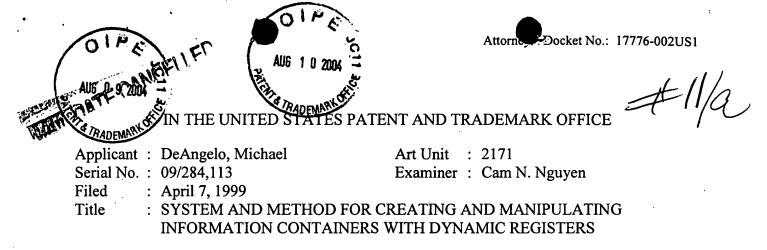
Attorney's Docket No.: 17776-002US1

5. I had no understanding of the timeline for my response to the first office action issued with respect to my application and was unable to pursue the matter during this time.

6. The entire delay in filing of the required reply to the PTO Office Action of February 11, 2003, from the due date for the reply until the filing of the accompanying petition was unintentional.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name: MICHAEL DE ANGELO
Signature:
Date: August 5, 2004
Residence and Address: 3700 Andreas Hills Drive, Palm Springs, CA 92264
Citizen of: United States of America



Mail Stop Amendment

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AUG 1 6 2004

OFFICE OF PETITIONS

AMENDMENT IN REPLY TO ACTION OF FEBRUARY 11, 2003

Please amend the above-identified application as follows:

08/12/2004 HALI11 00000004 09284113

01 FC:2203

145.00 DP

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 321 384 896 US

August 9, 2004
Date of Deposit

Applicant : DeAngelo, Michael¹ Serial No. : 09/284,113 Filed : April 7, 1999 Page : 2 of 9

Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Currently Amended) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including,

a first register of the plurality of registers for storing a unique container identification value and,

a second register <u>having a representation designating time and governing</u> <u>interactions of the container with other containers, systems or processes according to</u> <u>utility of information in the information element relative to an external-to-the-apparatus</u> <u>event timeof the plurality of registers that stores information and evolves according to the</u> <u>relationship, use and interaction of the container with other containers, processes and</u> <u>systems</u>; and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems and or processes.

2. (Currently Amended) The apparatus of claim 1<u>or 37</u>, wherein the information element is one from the group of text, graphic images, video, audio, a digital pattern, a process, a nested container, bit, natural number and a system.

3. (Currently Amended) The <u>system-apparatus of claim 1 or 37</u>, wherein the plurality of registers includes at least one container history register for storing information

Applicant : DeAngelo, Michael¹ Serial No. : 09/284,113 Filed : April 7, 1999 Page : 3 of 9

regarding past interaction of the container with other containers, systems or processes, the container history register being modified modifiable.

4. (Currently Amended) The <u>system apparatus</u> of claim 1 or 37, wherein the plurality of registers includes at least one system history register for storing information regarding past interaction of the container with different operating system and network processes.

5. (Currently Amended) The <u>system apparatus of claim 1 or 37</u>, wherein the plurality of registers includes at least one predetfined register, the predefined register being a register associated with an editor for user selection <u>and</u>. the predefined register <u>being</u> appendable to any container.

6. (Currently Amended) The <u>system apparatus</u> of claim 1 or 37, wherein the plurality of registers includes a user-created register, the user-created register <u>being</u> generated by the user, one or more of which is and being appendable to any container.

7. (Currently Amended) The <u>system apparatus</u> of claim 1 or 37, wherein the plurality of registers includes a system_defined -register, the system-defined register <u>being</u> set, controlled and used by the system, <u>one or more of which is and being</u> appendable to any container.

8. (Cancelled)

9. (Currently Amended) The system apparatus of claim <u>81</u>, wherein the plurality of registers includes:

an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways;

Attorne, Docket No.: 17776-002US1

Applicant : DeAngelo, Michael Serial No. : 09/284,113 Filed : April 7, 1999 Page : 4 of 9

an passive time register for identifying times at which the container can be acted upon by other containers, processes, systems, or gateways; and

a neutral tune time register for identifying times at which the container may interact with other containers, processes, systems or gateways.

10. (Currently Amended) The <u>system apparatus</u> of claim 1 or 37, wherein the plurality of registers includes at least one acquire register for controlling whether the container adds a register or a container from other containers when interacting with them.

11-13. (Cancelled)

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14. (Currently Amended) The system apparatus of claim <u>3744</u>, wherein the plurality of registers includes:

an active space register for identifying space in which the container will act upon other containers, processes, systems or gateways;

an passive space register for identifying from space in which the container can be acted upon by other containers, processes, systems, or gateways; and

a neutral time space register for identifying space in which the container may interact with other containers, processes, systems or gateways.

15. (Currently Amended) The system apparatus of claim 1 or 37, wherein the gateway includes means for acting upon another container, the means for acting upon another container using the plurality of registers to determine whether and how the container acts upon other containers.

16. (Currently Amended) The system apparatus of claim 1 or 37, wherein the gateway includes means for allowing interaction, the means for allowing interaction using the plurality of registers to determine whether and how another container can act upon the container.

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Applicant:DeAngelo, MichaelSerial No.:09/284,113Filed:April 7, 1999Page:5 of 9

17. (Currently Amended) The <u>system-apparatus</u> of claim 1 or 37, wherein the gateway includes means for gathering information, the means for gathering information recording register information from other containers, systems <u>and or processes</u> that interact with the container.

18. (Currently Amended) The <u>system apparatus</u> of claim 1 or 37, wherein the gateway includes means for reporting information, the means for reporting information providing register information to other containers, systems <u>and or processes</u> that interact with the container.

19. (Currently Amended) The system apparatus of claim 1 or 37, wherein the gateway includes an expert system including rules defining the interaction of the container with other containers, systems and or processes.

20-36. (Cancelled)

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37. (New) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including

a first register for storing a unique container identification value and a second register having a representation designating space and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus three-dimensional space; and

Applicant:DeAngelo, MichaelSerial No.:09/284,113Filed:April 7, 1999Page:6 of 9

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Attorney's Docket No.: 17776-002US1

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems or processes.

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Applicant : DeAngelo, Michael Serial No. : 09/284,113 Filed : April 7, 1999 Page : 7 of 9

<u>REMARKS</u>

Claims 1-36 were pending in the present application. Claims 1-7, 9-10, 14-19 have been amended. Claims 8, 11-13, and 20-36 have been cancelled. Claim 37 has been added.

No new matter has been added by way of this amendment. Support for newly added claim 37 can be found in the specification, for example, at page 5 lines 20-26, page 19 lines 6-7, and page 35 lines 25-30. Reconsideration and reexamination are respectfully requested in view of the amendments and following remarks.

Claim Rejections 35 USC § 102(e)

The Examiner rejected claims 1-36 under 35 U.S.C. § 102(e) as being anticipated by U.S. Patent No. 6,016,495 to McKeehan et al. ("McKeehan"). The applicant respectfully disagrees.

The applicant's invention as defined in the amended claims provides an apparatus for transmitting, receiving, and manipulating information on a computer system, and includes an information element, a plurality of registers, and a gateway. Claim 1 requires a gateway that controls the interactions of the container, and a register that has a representation of time that governs the container's interactions according to the utility of the container's information relative to external-to-the-apparatus time.

In contrast, McKeehan describes an object-oriented framework mechanism that provides an infrastructure for persistent storage. McKeehan does not describe or suggest, as required by amended claim 1, "a second register for controlling the relationship of the container with other containers, systems or processes, the second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time".

Rather, McKeehan describes a "LockManager class ... responsible for providing concurrency control of objects stored in persistent containers" (Column 19, lines 25-27). Applicant respectfully submits that McKeehan's LockManager class does not "govern[] interactions ... according to utility of information ... relative to an external-to-the-apparatus

Attorney's Docket No.: 17776-002US1

Applicant: DeAngelo, MichaelSerial No.: 09/284,113Filed: April 7, 1999Page: 8 of 9

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event time", as required by claim 1, because the LockManager class controls simultaneous access to an object by multiple objects without regard to the utility of such access relative to "an external-to-the-apparatus event time."

Applicant's new claim 37 requires a gateway that controls the interactions of the container, and a register that has a representation of space that governs the container's interactions according to the utility of the container's information relative to external-to-the-apparatus space. McKeehan does not describe or suggest, as required by claim 37, "a second register for controlling the relationship of the container with other containers, systems and processes, the second register having a representation designating space and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus three-dimensional space".

As noted by the Examiner with respect to previously asserted claim 11, McKeehan describes a "[c]omputer system 800 [that] utilizes well known virtual addressing mechanisms that allow the programs of computer system 800 to behave as if they only have access to a large, single storage entity (referred to herein as computer system memory) instead of access to multiple, smaller storage entities such as main memory …" (Column 15, line 66 to column 16, line 5). Applicant respectfully submits that McKeehan's virtual addressing mechanism is limited to the memory of the computer system, and as such, does not suggest "governing interactions … according to utility of information … relative to an external-to-the-apparatus three-dimensional space".

Accordingly, the applicant respectfully submits that claim 1, as amended, and new claim 37 are allowable. Claims 2-7, 9-10, and 14-19 depend from claim 1 or 37, and are allowable for at least the reasons discussed for claims 1 or 37. Allowance of claims 1-7, 9-10, 14-19 and 37 is thereby respectfully requested.

Applicant:DeAngelo, MichaelSerial No.:09/284,113Filed:April 7, 1999Page:9 of 9

Attorney's Docket No.: 17776-002US1

Submitted herewith is a petition for revival of the application and associated fees. Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: DD

Tamara Fraizer

Reg. No. 51,699

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

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Petitioner Apple Inc. - Exhibit 1002, p. 404

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AUG 0 9 2004 TICELLED		Attorney Docket No.: 1	7776-002US1
IN THE UNITED	STATES PATENT AND TRA	ADEMARK OFFICE	
Commissioner for Patents P.O. Box 1450 OVF Alexandria, VA 22313-1450	.	RECEIVI AUG 1 6 20	
AUG 1 0 2004	TRANSMITTAL LETTER	OFFICE OF PETIT	
RADENATION Correspondence relating	to this application is enclosed.	-	-
Total Claims28Independent2First Presentation of Multiple De	36 = 3 = 3	0 0	\$0 \$0 \$145
Applicant hereby petitions under extension of time.	37 C.F.R. §1.136 for a 0 mon		\$0
TOTAL FEE DUE			\$145
A check for \$145 is attached.		1	

Respectfully submitted,

Date: 200

Tamará Fraizer Reg. No. 51,699

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

50231087.doc

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March 8, 2004

Date of Deposit

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Technology Center 2100 In re Application of : Michael DeAngelo : Application No. 09/284,113 ON PETITION : Filed: 7 April, 1999 Att'y Docket No. 3726-US

This is a decision on the petition under 1.137(b), ¹ filed on 10 August, 2004, to revive the above-identified application.

The petition is **GRANTED**.

MICHAEL DE ANGELO

PALM SPRINGS CA 92262

This application became abandoned on 12 May, 2003, for failure to timely submit a response to the non-final Office action mailed on 11 February, 2003, which set a three (3) month shortened statutory period for reply. No extensions of the time for reply

¹Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

(1) the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof. In an application abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.

(2) the petition fee as set forth in 37 CFR 1.17(m);

(3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may required additional information where there is a question whether the delay was unintentional; and

(4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c)).

Application No. 09/284,113

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in accordance with 37 CFR 1.136(a) were obtained. Notice of Abandonment was mailed on 30 October, 2003.

There is no indication that petition herein was ever empowered to prosecute the instant application. If petitioner desires to receive future correspondence regarding this application, the appropriate power of attorney documentation must be mailed. A courtesy copy of this decision will be mailed to petitioner. However, all future correspondence will be directed to the address of record until such time as appropriate instructions are received to the contrary.

The application file is being forwarded to Technology Center 2100 for further processing.

Telephone inquiries concerning this matter may be directed to the undersigned at (703)308-6918.

Douglas I. Wood Senior Petitions Attorney Office of Petitions

cc: Fish & Richardson, P.C.
500 Arguello Street, Suite 500
Redwood City, CA 94063

L Number	Hits	Search Text	DB	Time stamp	
	6057		USPAT;	2004/10/26 08	8:4
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ł		<pre>near3 (databases or storages or containers))</pre>	DERWENT; IBM TDB		
9	1399		USPAT;	2004/10/26 08	18-4
	1000	or containers)	US-PGPUB;	2001/10/20 00	0.1
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	00	clock\$3)) and (interaction\$3 with	US-PGPUB;		
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+3	20	clock\$3)) and (interaction\$3 with	US-PGPUB;	2004/10/20 00	0:4
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		register\$3) and (register\$3 near3 (time))) and @AD<19980130) and (707/\$ or	IBM_TDB		

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20	98100	(register\$3 or registration or registrative).ti.	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM TDB	2004/10/26 08:51
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22	12321	((register\$3 or registration or registrative) with time).clm.	USPAT; US-PGPUB; EPO; JPO; DERWENT; JEM TOP	2004/10/26 08:51
23	47	<pre>((((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registration or registrative) near5 time) and @AD<19980130) and ((register\$3 or registration or registrative).ti.)) and (((register\$3 or registration or registrative) with time).clm.)</pre>	IBM_TDB USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 08:52
24	47	<pre>((((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registration or registrative) near5 time) and @AD<19980130) and ((register\$3 or registration or registrative).ti.)) and (((register\$3 or registration or registrative) with time).clm.)) not ((((plural43 or multiple) near2 register\$3) and (register\$3 near3 (time))) and @AD<19980130) and (707/\$ or 709/\$).ccls.)</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 08:52

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25	1- E2026			
25	57076	(register\$3 or registration or registrative) near3 (time or time\$stamp or timestamp)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM TDB	2004/10/26 09:14
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29	0	<pre>((((register\$3 or registration or registrative) with time).clm.) and (((register\$3 or registration or registrative).ti.) and (((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registrative)) and ((register\$3 or registrative)) and ((register\$3 or registrative) and @AD<19980130) and ((register\$3 or registration or registrative) near3 (time or time\$stamp or timestamp))))) not (((((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registrative)) and ((register\$3 or registration or registrative) near5 time) and @AD<19980130) and ((register\$3 or registration or registrative).ti.)) and (((register\$3 or registration or registrative) with time).clm.)) not ((((plural43 or multiple) near2 register\$3) and (register\$3 near3 (time))) and @AD<19980130) and (707/\$ or 709/\$).ccls.))</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 09:12
28	` 43	<pre>(((register\$3 or registration or registrative) with time).clm.) and (((register\$3 or registration or registrative).ti.) and ((((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registration or registrative) near5 time) and @AD<19980130) and ((register\$3 or registration or registrative) near3 (time or time\$stamp or timestamp))))</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 09:11
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32	0	((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registration or registrative) near3 (time\$stamp or	USPAT; US-PGPUB; EPO; JPO; DERWENT;	2004/10/26 09:15
33	68	<pre>timestamp)) and @AD<19980130 ((register\$3 or registration or registrative) near3 (time\$stamp or timestamp)) and @AD<19980130</pre>	IBM_TDB USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM TDB	2004/10/26 09:15
34	68	<pre>(((register\$3 or registration or registrative) near3 (time\$stamp or timestamp)) and @AD<19980130) not (((((plural43 or multiple) near2 (register\$3 or registration or registrative)) and ((register\$3 or registration or registrative) near5 time) and @AD<19980130) and ((register\$3 or registration or registrative).ti.)) and (((register\$3 or registrative).ti.)) and (((register\$3 or registration or registrative) with time).clm.)) not ((((plural43 or multiple) near2 register\$3) and (register\$3 near3 (time))) and @AD<19980130) and (707/\$ or 709/\$).ccls.))</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 09:15
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36	8	<pre>((register\$3 or registration or registrative) near3 (time\$stamp or timestamp)).ab.</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM TDB	2004/10/26 09:18
37	8	((plural43 or multiple) near2 (register\$3 or registration or registrative)) same (timestamp\$ or time\$stamp\$3)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM TDB	2004/10/26 09:19
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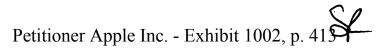
Petitioner Apple Inc. - Exhibit 1002, p. 411

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41 16	<pre>(((((plural43 or multiple) near2 (register\$3 or registration or registrative)) same (time or timestamp\$ or time\$stamp\$3)) and @AD<19980130) and ((control or govern\$3) with (interaction\$3 or operation))) and (707/\$ or 709/\$ or 705/\$).ccls.</pre>	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2004/10/26 09:24
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
. 7590	11/02/2004		EXAM	INER
Michael De Ange Information Equity			NGUYEN, C.	AM LINH T
	Boulevard, Suite 470		ART UNIT	PAPER NUMBER
Palm Springs, CA	. 92262	,	2161	

Please find below and/or attached an Office communication concerning this application or proceeding.



	Ap	plication No.	Applicant(s)
		/284,113	DE ANGELO, MICHAEL
Office Action Summary	Exa	aminer	Art Unit
	Car	mLinh Nguyen	2161
The MAILING DATE of this com Period for Reply	munication appears	on the cover sheet wi	ith the correspondence address
A SHORTENED STATUTORY PERIO THE MAILING DATE OF THIS COMM - Extensions of time may be available under the prov after SIX (6) MONTHS from the mailing date of this - If the period for reply specified above is less than the - If NO period for reply is specified above, the maxim - Failure to reply within the set or extended period for Any reply received by the Office later than three mo earned patent term adjustment. See 37 CFR 1.704	IUNICATION. isions of 37 CFR 1.136(a). communication. irty (30) days, a reply within um statutory period will app reply will, by statute, cause nths after the mailing date c	In no event, however, may a r the statutory minimum of thirt ly and will expire SIX (6) MON the application to become AB	eply be timely filed ty (30) days will be considered timely. ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status	(-).		
1) Responsive to communication (s) filed on <u>10 Augus</u>	<u>t 2004</u> .	
2a) 🛛 This action is FINAL .	2b) This action	on is non-final.	
3) Since this application is in condict closed in accordance with the present of the present			-
Disposition of Claims			
 4) Claim(s) <u>1-7,9,10,14-19 and 37</u> 4a) Of the above claim(s) 5) Claim(s) is/are allowed. 6) Claim(s) <u>1-7,9,10,14-19 and 37</u> 7) Claim(s) is/are objected t 8) Claim(s) are subject to respect to respect to the subject to the s	is/are withdrawn fro is/are rejected. o.	om consideration.	. · · ·
Application Papers	· ·		
9)⊠ The specification is objected to b	v the Examiner		
	•	d or b)⊡ objected to ∣	by the Examiner.
Applicant may not request that any			
	-	••••	(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected	-		
Priority under 35 U.S.C. § 119			
 12) Acknowledgment is made of a classical a) All b) Some * c) None of a classical a) All b) Certified copies of the prior 2. Certified copies of the prior 3. Copies of the certified copies of the prior 	of: prity documents hav prity documents hav pries of the priority do pational Bureau (PC	ve been received. ve been received in A ocuments have been CT Rule 17.2(a)).	pplication No received in this National Stage
 * See the attached detailed Office a Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review 3) Information Disclosure Statement(s) (PTO-144 Paper No(s)/Mail Date 	ew (PTO-948)	4) 🗌 Interview S Paper No(s	Summary (PTO-413) S)/Mail Date Iformal Patent Application (PTO-152)
Patent and Trademark Office OL-326 (Rev. 1-04)	Office Action S	Summary	Part of Paper No./Mail Date 20041026

Office Action Summary

DETAILED ACTION

Response to Amendment

1. Applicant's amendments to claims 1 - 37 are acknowledged. Consequently, claims 8, 11

-13, 20 - 36 are cancelled. Claims 1 - 7, 9 - 10, 14 - 19, and 37 are currently pending.

Specification

1. This application does not contain an abstract of the disclosure as required by 37

CFR 1.72(b). An abstract on a separate sheet is required.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1 - 7, 10, 15 – 19, and 37 are rejected under 35 U.S.C. 102(e) as being anticipated by Chiussi et al (U.S. 6,075,791).

♦ As per claim 1, 37,

Chiussi discloses an apparatus for transmitting, receiving and manipulating information on a

computer system, the apparatus including a plurality of containers, each container being a

logically defined data enclosure and comprising:

- "An information element having information" See Fig. 1, element 2, col.4, lines 10 13.
- "A plurality of registers (Fig. 1 3), the plurality of registers forming part of the

container and including:

- "A first register for storing a unique container identification value" Fig. 3, element 30-1, col. 5, lines 1 – 2.
- "A second register" See Fig. 3, element 50 1, col. 5, lines 4 5.
- "A gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, system or processes" See Fig. 1, element 1, and Fig. 2, col. 4, lines 10 39.
- As per claim 2, Chiussi discloses:
 - "The information element is one from the group of text, graphic...a system" col.4, lines
 10 13 of Chiussi.
- ♦ As per claim 3 4, Chiussi discloses:
 - "One container history register for storing information regarding past interaction of the container with other container... modified" See col. 6, lines 46 63, of Chiussi.
- As per claim 5 7, Chiussi discloses:
 - "Plurality of registers include at least one predefined register" and "Plurality of registers include a user created register" See col. 17, lines 22.
- ♦ As per claims 9, 14,
 - "An active time register for identifying times" See col. 5, lines 20 23 of Chiussi.
- As per claim 10, Chiussi discloses:
 - "Plurality of registers include at least one acquire register" See col. 6, lines 34 41 of
 Chiussi
- As per claim 15 19, Chiussi discloses:

Because the server controls the operation of registers, it must including the means of "allowing interaction, gather information, reporting information, and including the rules defining the interaction of the container".

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this
 Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a).
 Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

2. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Ramkumar et al (U.S. 6,173,280) discloses a method and apparatus for generating weighted association rules.
- Kawaguchi et al (U.S. 6,154,782) discloses a server switching between communication modes for clients coupled to the server.

- Chang et al (U.S. 6,198,738) discloses a communications between the public switched telephone network and packetized data networks.
- Teper et al (U.S. 5,815,665) discloses a system and method for providing trusted brokering services over a distributed network.

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CamLinh Nguyen whose telephone number is (571) 272-4024. The examiner can normally be reached on Monday - Friday from 8:00 am to 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic, can be reached on (571) 272- 4023. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 305-3900.

Cam-Linh Nguyen Art Unit 2161

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AFET METJAHIC

SORY PATENT EXAMINER

Notice of References Cited	Application/Control No. 09/284,113	Reexaminati	Applicant(s)/Patent Under Reexamination DE ANGELO, MICHAEL		
Notice of References Cheu	Examiner	Art Unit			
	CamLinh Nguyen	2161	Page 1 of 1		

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	А	US-6,173,280 B1	01-2001	Ramkumar et al.	707/6
	В	US-6,154,782 A	11-2000	Kawaguchi et al.	709/239
	С	US-6,198,738 B1	03-2001	Chang et al.	370/352
	D	US-5,815,665 A	09-1998	Teper et al.	709/229
	Е	US-6,075,791 A	06-2000	Chiussi et al.	370/412
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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20041026



Bib Data Sheet

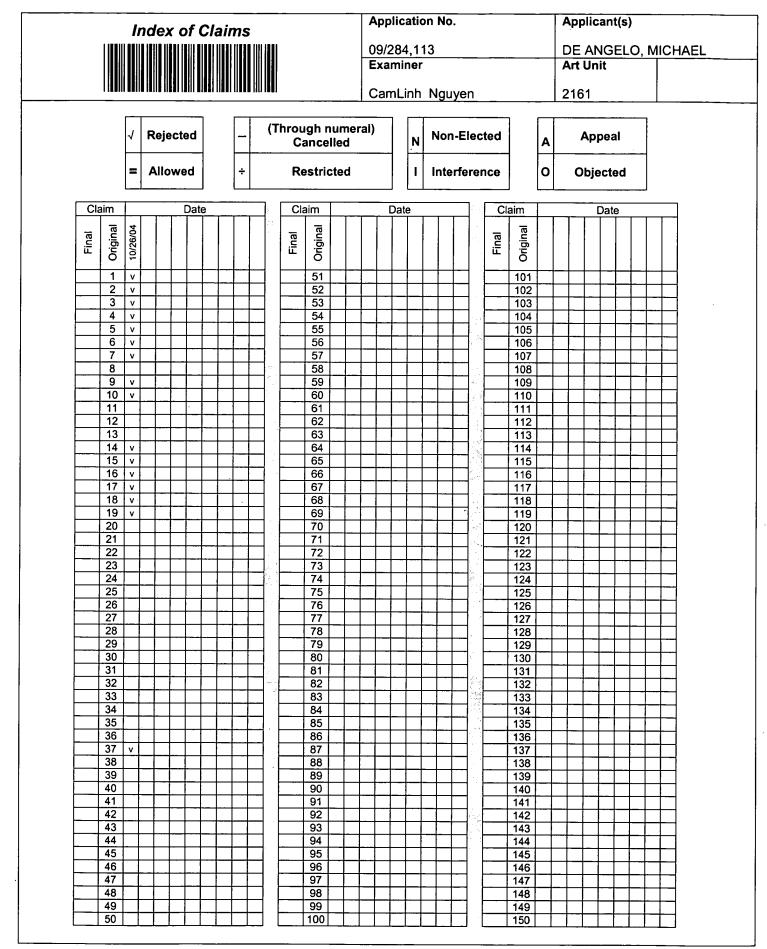
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIBDATASHEET

CONFIRMATION NO. 1910

SERIAL NUMBE 09/284,113	ĒR	FILING DATE 04/07/1999 RULE	C	CLASS 707	GRC	OUP ART (2161	UNIT		RNEY DOCKET NO. 3726-US	
APPLICANTS MICHAEL D	APPLICANTS MICHAEL DE ANGELO, SANTA BARBARA, CA;									
** CONTINUING DATA **********************************										
IF REQUIRED, FOREIGN FILING LICENSE GRANTED										
** 04/12/2000 Foreign Priority claimed 35 USC 119 (a-d) conditi Verified and Acknowledg	ons me			STATE OR COUNTRY CA		IEETS AWING 30	CLA			
ADDRESS Michael De Angelo Information Equity (ADDRESS Michael De Angelo Information Equity Corporation 100 South Sunrise Boulevard, Suite 470 Palm Springs, CA									
TITLE SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS										
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U.S. Patent and Trademark Office

Part of Paper No. 20041026



Application No.	Applicant(s)	
09/284,113	DE ANGELO, MICHAEL	
Examiner	Art Unit	
CamLinh Nguyen	2161	

SEARCHED							
Class	Subclass	Date	Examiner				
707	6	10/25/2004	LINH				

INTERFERENCE SEARCHED								
Class	Subclass	Date	Examiner					

SEARCH NOTES (INCLUDING SEARCH STRATEGY)							
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EAST search	10/25/2004	LINH					
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U.S. Patent and Trademark Office

Part of Paper No. 20041026

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Attorney's Docket No.: 17776-002USI

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (703) 872-9306

Number of pages including this page 3 pages

Applicant : DeAngelo, Michael Serial No. : 09/284,113 Filed : April 7, 1999

Art Unit : 2171 Examiner : Cam N. Nguyen

Title : System and Method for Creating and Manipulating Information Containers with **Dynamic Registers**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is Power of Attorney by Assignce and Election of Assignee to Conduct Prosecution to Exclusion of Inventors, faxed this 3rd day of November, 2004, to the United States Patent and Trademark Office.

Respectfully submitted,

Famara Fraizer Reg. No. 51,699

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Fax: (650) 839-5071

Date: November 3, 2004

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Petitioner Apple Inc. - Exhibit 1002, p. 423

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	Temara Frazer FISH & RICHARDSO N P.C.
	500 Arguello Street, Suite 500
	Redwood City, California 94063
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	Facsimile: (650) 839-5071
	Malan De Annalt
Signature:	Mar Margo
Typed name:	(Michael DeAngelo //
Title:	
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Assignee:	EMATRIX Corporation or Peller Thell (gence-he

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94053 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

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Attorney's Docket No.: 17776-002U\$1

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Applicant : DeAngelo, Michael Art Unit : 2171 Serial No. : 09/284,113 Examiner : Cam N. Nguyen Filed : April 7, 1999 Title : SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

POWER OF ATTORNEY BY ASSIGNEE AND ELECTION OF ASSIGNEE TO CONDUCT PROSECUTION TO EXCLUSION OF INVENTORS

The undersigned, as authorized representative of the assignce of the entire right, title and interest in the above-identified application, hereby appoints

Subroto Bose, Reg. No. 55,014 David J. Goren, Reg. No. 34,609 Brian J. Gustafson, Reg. No. Reg. No. 52,978 Tamara Fraizer, Reg. No. 51,699 Mark D. Kirkland, Reg. No. 40.048

Tim H. Pham, Reg. No. 48,589 Hans R. Troesch, Reg. No. 36,950 Kelvin Vivian, Reg. No. 53,727 Elissa Wang, Reg. No. 48,668 Jennifer Zanocco, Reg. No. 54,563

as its attorneys to prosecute the application and to transact all business in the Patent and Trademark Office connected therewith with full powers of substitution and revocation, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 CFR §3.71 et seq. of the Patent Office Rules of Practice.

Ownership is in the assignee by virtue of the assignment documents filed on April 7, 1999. The documents evidencing ownership have been reviewed and to the best of the assignee's knowledge and belief, title is in the assignce.

Please direct all communications regarding the application to the attorney at the address and telephone numbers indicated below.

> I hereby certify that this correspondence is being transmitted by fecsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission

Signature

PAGE 3/3* RCVD AT 11/3/2004 7:10:39 PM (Eastern Standard Time) * SVR:USPTO-EFXRF-1/0* DNIS:8729306 * CSID:6508395071 * DURATION (mm-ss):01-12

			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.ospto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
75	590 11/02/2004		EXAM	INER
Michael De A			NGUYEN. C	AM LINH T
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Palm Springs,	CA 92262	RECEIVE	2161	

Please find below and/or attached an Office communication concerning this application or proceeding.

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· · · · · · · · · · · · · · · · · · ·	Application No.	Applicant(s)
	09/284,113	DE ANGELO, MICHAEL
Office Action Summary	Examiner	Art Unit
		2161
The MAILING DATE of this communication ap	CamLinh Nguyen	
Period for Reply	pears on all over onest wi	
 A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a replicities of the period for reply specified above, the maximum statutory period. Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). 	136(a). In no event, however, may a point of the statutory minimum of thir will apply and will expire SIX (6) MON te, cause the application to become Al	reply be timely filed ty (30) days will be considered timely. ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>10</u>	August 2004.	
	is action is non-final.	
3) Since this application is in condition for allows		ters, prosecution as to the merits is
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D). 11, 453 O.G. 213.
Disposition of Claims		
4) Claim(s) <u>1-7,9,10,14-19 and 37</u> is/are pending	a in the application	
4a) Of the above claim(s) is/are withdra		
5) Claim(s) is/are allowed.		
6) Claim(s) <u>1-7,9,10,14-19 and 37</u> is/are rejecte	d.	
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/	or election requirement.	
Application Papers		
9) The specification is objected to by the Examin	er.	
10) The drawing(s) filed on is/are: a) ac		by the Examiner.
Applicant may not request that any objection to the		
Replacement drawing sheet(s) including the corre		
11) The oath or declaration is objected to by the E	Examiner. Note the attache	d Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
	n priority under 25 H C O J	(110(a))(d) = (5)
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:		g 113(a)-(u) 01 (1).
1. Certified copies of the priority documer	nts bave been received	
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3. Copies of the certified copies of the prior		··
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* See the attached detailed Office action for a lis		received.
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Attachment(s)		
		Summary (PTO-413)
1) Notice of References Cited (PTO-892)		
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 	Paper No(s)/Mail Date nformal Patent Application (PTO-152)

Office Action Summary

Part of Paper No./Mail Date 20041026

DETAILED ACTION

Response to Amendment

1. Applicant's amendments to claims 1 - 37 are acknowledged. Consequently, claims 8, 11

-13, 20 -36 are cancelled. Claims 1 - 7, 9 - 10, 14 - 19, and 37 are currently pending.

Specification

1. This application does not contain an abstract of the disclosure as required by 37

CFR 1.72(b). An abstract on a separate sheet is required.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1 - 7, 10, 15 – 19, and 37 are rejected under 35 U.S.C. 102(e) as being anticipated by Chiussi et al (U.S. 6,075,791).

 \diamond As per claim 1, 37,

Chiussi discloses an apparatus for transmitting, receiving and manipulating information on a

computer system, the apparatus including a plurality of containers, each container being a

logically defined data enclosure and comprising:

• "An information element having information" See Fig. 1, element 2, col.4, lines 10 - 13.

- "A plurality of registers (Fig. 1 - 3), the plurality of registers forming part of the

container and including:

- "A first register for storing a unique container identification value" Fig. 3,
 element 30-1, col. 5, lines 1 2.
- "A second register" See Fig. 3, element 50 1, col. 5, lines 4 5.
- "A gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, system or processes" See Fig. 1,
- element 1, and Fig. 2, col. 4, lines 10 39.
- As per claim 2, Chiussi discloses:
 - "The information element is one from the group of text, graphic...a system" col.4, lines
 10 13 of Chiussi.
- As per claim 3 4, Chiussi discloses:
 - "One container history register for storing information regarding past interaction of the container with other container... modified" See col. 6, lines 46 63, of Chiussi.
- As per claim 5 7, Chiussi discloses:
 - "Plurality of registers include at least one predefined register" and "Plurality of registers include a user created register" See col. 17, lines 22.
- As per claims 9, 14,
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- As per claim 15 19, Chiussi discloses:

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Page 4

- Chang et al (U.S. 6,198,738) discloses a communications between the public switched telephone network and packetized data networks.
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Cam-Linh Nguyen Art Unit 2161

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SAFET METJAHIC "SORY PATENT EXAMINER "ALUGY CENTER 2100

Notice of References Cited	Application/Control No. 09/284,113	Applicant(s)/Patent Under Reexamination DE ANGELO, MICHAEL	
Notice of References Cited	Examiner	Art Unit	Designation
	CamLinh Nguyen	2161	Page 1 of 1

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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

Part of Paper No. 200410261

Ref #	Hits	Search Query	DBs	Default Operat or	Plural s	Time Stamp
L1	42605	(plural\$3 or multiple) near3 register\$3	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:18
L2	28564	1 and @AD<"19990407"	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 09:50
L3	16264	active with register\$3	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 09:50
L4	688	passive with register\$3	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 09:51
L5	649	neutral with register\$3	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 09:51
L6	0	2 and 3 and 4 and 5	US-PGPU B; USPAT; EPO; JPO, DERWEN T; IBM_TDB	OR	OFF	2004/12/30 09:51

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L7	2824	2 and 3	US-PGPU	OR	OFF	2004/12/30
	2024		B; USPAT; EPO; JPO; DERWEN T; IBM_TDB			09:51
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L9	52525	register\$3 with (ID or IDs or identif\$6)	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:17
L10	5583	2 and 9	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM TDB	OR	OFF	2004/12/30 10:17
L11	117	10 and "707"/\$.ccls.	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:17
L12	6831	(register\$3 with (ID or IDs or identif\$6)).clm.	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:17

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L14	1032	12 and 13 and @AD<"19980130"	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:18
L15	19	14 and "707"/\$.ccls.	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:22
L16	3	14 and 3 and 4	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR.	OFF	2004/12/30 10:23
L17		14 and 3 and 4 and 5	US-PGPU B; USPAT; EPO; JPO; DERWEN T; IBM_TDB	OR	OFF	2004/12/30 10:23

01/03/2005 19:43 FAX 6508395071

Attorney's Docket No.: 17776-002US1

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OFFICIAL FAX NO: (703) 872-9306

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Number of pages including this page 15

Applicant : DeAngelo, Michael Serial No. : 09/284,113 Filed : April 7, 1999

Art Unit : 2171 Examiner : Cam N. Nguyen

: System and Method for Creating and Manipulating Information Containers with Title **Dynamic Registers**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an AMENDMENT IN REPLY TO ACTION OF NOVEMBER 2, 2004, faxed this 3rd day of Janurary, 2005, to the United States Patent and Trademark Office.

Respectfully submitted.

Dorian Cartwright Reg. No. 53,853

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Fax: (650) 839-5071

Date: Janurary 3, 2004

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PAGE 1/15 * RCVD AT 1/3/2005 9:41:22 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/1 * DNIS:8729306 * CSID:6508395071 * DURATION (mm-ss):04-16

Attorney's Docket No.: 17776-002US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 2171

Examiner : Cam N. Nguyen

JAN 0 3 2005

Applicant : DeAngelo, Michael Serial No.: 09/284,113 Filed : April 7, 1999 : SYSTEM AND METHOD FOR CREATING AND MANIPULATING Title INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

MAIL STOP AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INTERVIEW SUMMARY AND AMENDMENT IN REPLY TO FINAL OFFICE ACTION OF NOVEMBER 2, 2004

Please amend the above-identified application as follows:

I hereby certify that this correspondence is being transmitted by
facsimile to the Patent and Trademark Office on the date indicated below
1/3/05
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Dorian Connight
Signature
Dorian Cartwright

PAGE 2/15 * RCVD AT 1/3/2005 9:41:22 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/1 * DNIS:8729306 * CSID:6508395071 * DURATION (mm-ss):04-16

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Attorney's Docket No.: 17776-002US1

Amendments to the Specification:

Please delete previous abstract at page 50 and add the following <u>new</u> abstract, a clean version of which is also attached on a separate sheet:

--An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising an information element, a plurality of registers, and a gateway. The plurality of registers, form part of the container, and include a first register for storing a unique container identification value; a second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time; an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways; a passive time register for identifying times at which the container can be acted upon by other containers, processes, systems or gateways; and a neutral time register for identifying times at which the container may interact with other containers, processes, systems or gateways. Additional registers designate space for container interactions.--

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Amendments to the Claims:

Claims 1, 10 and 37 are amended. Claims 9 and 14 are cancelled. Claims 38 and 39 are added. This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Currently amended) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including

a first register for storing a unique container identification value, and

a second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time,

an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways,

a passive time register for identifying times at which the container can be acted upon by other containers, processes, systems or gateways, and

a neutral time register for identifying times at which the container may interact, with other containers, processes, systems or gateways; and

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a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems or processes.

2. (Previously presented) The apparatus of claim 1 or 37, wherein the information element is one from the group of text, graphic images, video, audio, a digital pattern, a process, a nested container, bit, natural number and a system.

3. (Previously presented) The apparatus of claim 1 or 37, wherein the plurality of registers includes at least one container history register for storing information regarding past interaction of the container with other containers, systems or processes, the container history register being modifiable.

4. (Previously presented) The apparatus of claim 1 or 37, wherein the plurality of registers includes at least one system history register for storing information regarding past interaction of the container with different operating system and network processes.

5. (Previously presented) The apparatus of claim 1 or 37, wherein the plurality of registers includes at least one predefined register, the predefined register being a register associated with an editor for user selection and being appendable to any container.

6. (Previously presented) The apparatus of claim 1 or 37, wherein the plurality of registers includes a user-created register, the user-created register being generated by the user, and being appendable to any container.

7. (Previously presented) The apparatus of claim 1 or 37, wherein the plurality of registers includes a system-defined register, the system-defined register being set, controlled and used by the system, and being appendable to any container.

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8. (Cancelled)

9. (Cancelled)

10. (Currently amended) The apparatus of claim I or 37, wherein the plurality of registers includes at least one acquire register for controlling whether the container adds a register or a container from other containers or adds a container from other containers when interacting with them.

11-13. (Cancelled)

14. (Cancelled)

15. (Previously presented) The apparatus of claim 1 or 37, wherein the gateway includes means for acting upon another container, the means for acting upon another container using the plurality of registers to determine whether and how the container acts upon other containers.

16. (Previously presented) The apparatus of claim 1 or 37, wherein the gateway includes means for allowing interaction, the means for allowing interaction using the plurality of registers to determine whether and how another container can act upon the container.

17. (Previously presented) The apparatus of claim 1 or 37, wherein the gateway includes means for gathering information, the means for gathering information recording register information from other containers, systems or processes that interact with the container.

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18. (Previously presented) The apparatus of claim 1 or 37, wherein the gateway includes means for reporting information, the means for reporting information providing register information to other containers, systems or processes that interact with the container.

19. (Previously presented) The apparatus of claim 1 or 37, wherein the gateway includes an expert system including rules defining the interaction of the container with other containers, systems or processes.

20-36. (Cancelled)

37. (Currently amended) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including

a first register for storing a unique container identification value, and

a second register having a representation designating space and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-theapparatus three-dimensional space.

an active space register for identifying space in which the container will act upon other containers, processes, systems or gateways,

a passive space register for identifying space in which the container can be acted upon by other containers, processes, systems or gateways,

a neutral space register for identifying space in which the container may interact with other containers, processes, systems or gateways; and

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a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems or processes.

38. (New) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including

a first register for storing a unique container identification value,

a second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time, and

at least one acquire register for controlling whether the container adds a register from other containers or adds a container from other containers when interacting with them; and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems or processes.

39. (New) An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising:

an information element having information;

a plurality of registers, the plurality of registers forming part of the container and including

a first register for storing a unique container identification value,

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a second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time, and

at least one acquire register for controlling whether the container adds a register from other containers or adds a container from other containers when interacting with them; and

a gateway attached to and forming part of the container, the gateway controlling the interaction of the container with other containers, systems or processes.

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<u>REMARKS</u>

Claims 1-7, 9, 10, 14-19, and 37 were presented for examination. In a final office action mailed November 2, 2004, Examiner objected to the specification, and rejected claims 1-7, 9, 10, 14-19, and 37 under 35 U.S.C. § 102(e). On January 29, 2004, Examiner granted a telephone interview with Applicant's attorney.

In response, the specification is amended. Claims 1, 10 and 37 are amended. Claims 38 and 39 are added without introducing any new matter. Claims 9 and 14 are cancelled. Applicant thanks Examiner for examination and the subsequent interview, and now requests reconsideration of claims 1-7, 10, 15-19, and 37-39 in light of the following remarks.

I. Summary of the Interview

During the interview, Applicant argued that Chiussi fails to disclose containers configured for interactions with other containers as recited in independent claim 1 as an example. Furthermore, Applicant argued that Chiussi fails to disclose an active time register, a passive time register and/or a neutral time register as disclosed in dependent claim 9. While failing to reach agreement with respect to claim 1, Examiner agreed to reconsider claim 9 and other claims based on a formal communication.

II. Objection to the Specification

The specification was objected to because, according to the final action, the application did not contain an abstract of the disclosure. Applicant has amended the specification to include a new abstract. Applicant has also included the abstract a separate sheet. Therefore, Applicant respectfully submits that the specification should no longer be objected to.

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III. Rejections under § 102(e)

Claims 1-7, 9, 10, 14-19, and 37 were rejected under § 102(e) as being anticipated by U.S. Patent No. 6,075,791 issued to Chiussi et al. ("Chiussi"). Applicant respectfully traverses the rejections as follows.

A. Claim 1

Claim 1 has been amended to include the limitations of claim 9, and is directed to an apparatus including a plurality of containers. Claim 1 further recites that each container comprises an active time register, a passive time register, and a neutral time register. Since the limitations are identical to a previously submitted claim, Applicant submits that no further searching is necessary.

Chiussi discloses a server 100 which services a plurality of queues having guaranteed data transfer rates and data transfer delays. (Abstract). A queue contains a connection identifier register 30-i, and a time stamp register 50-i. (col. 4, ln. 67-col. 5, ln. 5). The server 100 generates a new timestamp when a new packet reaches the head of a queue. (Col. 5, ll. 20-22)

However, Chiussi does not teach or suggest limitations recited in claim 1. Specifically, while the "active time register" of claim 1 identifies "times at which the container will act" (i.e., "upon other containers, processes, systems, or gateways"), the queue of Chiussi merely logs a time that a new packet reached the head of queue. Similarly, the "passive time register" of claim 1 identifies "times at which the container can be acted upon", and the "neutral time register" identifies "times at which the container may interact," neither of which are disclosed by Chiussi. Moreover, while claim 1 recites containers having a "second register...governing interactions with other containers," the queues of Chiussi are unable to interact with other queues because they have no awareness of other queues. Nor does Chiussi disclose any specific time parameters for such interaction. Thus, Chiussi fails to disclose the active time register, the passive time register, the neutral time register, or the second register as recited in claim 1.

Because Chiussi does not disclose every limitation of claim 1, Applicant respectfully submits that claim 1 is patentable over Chiussi.

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B. Claim 37

Claim 37 has been amended to include the limitations of claim 14, and is directed to an apparatus including a plurality of containers. Claim 37 further recites that each container comprises an active space register, a passive space register, and a neutral space register. Since the limitations are identical to a previously submitted claim, Applicant submits that no further searching is necessary.

Examiner relies on the same disclosure for claim 37 as discussed above with respect to claim 1.

However, Chiussi does not teach or suggest limitations recited in claim 37. Specifically, the cited portions of Chiussi, in disclosing merely a connection identification register and a timestamp register, do not disclose a "second register designating space and governing interactions of the container with other containers" as recited in claim 37. Similarly, Chiussi does not specifically disclose an "active space register" to identify a "space in which the container can be acted upon," nor the "neutral space register" to identify a "space in which the container may interact." Also, while claim 37 recites a "second register...governing interactions of the containers," the queues of Chiussi are unable to interact with other queues as discussed. Thus, Chiussi fails to disclose the active space register, the space time register, the neutral space register as recited in claim 37.

Because Chiussi does not disclose every limitation of claim 37, , Applicant respectfully submits that claim 37 is patentable over Chiussi.

C. Claim 38

New claim 38 includes the limitations of previously presented claims 1 and 10, and is directed to an apparatus including a plurality of containers. Claim 38 further recites that each container comprises at least one acquire register. Because the limitations are identical to a previously submitted claim, Applicant submits that no further searching is necessary.

During the course of the interview, Examiner indicated that Chiussi discloses a server 100 that increments the content of register 115, that increments the content of queue length

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register 60-i, that <u>mathematically or logically</u> adds the content of rate register 40-i to the content of register 110, and that adds the product of the contents of timestamp register 50-i and rate register 40-i to the content of register 123. (Col. 8, 11. 3-24).

However, Chiussi does not teach or suggest limitations recited in claim 38 Specifically, the containers of claim 38 include an "acquire register" that can "control[] whether the container adds a register from other containers or adds a container from other containers." Thus, the container of claim 38 can acquire the register itself from another container rather than merely <u>mathematically adding</u> (or logically adding) the contents of two registers together as disclosed in Chiussi. Moreover, whereas the container of claim 38 can condition "whether" a register or container is added to the container the queues of Chiussi present no conditions since the centrally-controlled queues are unaware that other queues exist . Nor does the server of Chiussi assist in the acquisition of a register of one queue by another queue. As such, the queues of Chiussi are not able add registers directly from other queues. Nor are the queues able to add another queue. Moreover, the purpose of Chiussi, for sharing communication access between queues as designated by the server, would be foreclosed by adding one queue to another queue. Thus, Chiussi fails to disclose the acquire register as recited in claim 38.

Because Chiussi does not disclose every limitation of claim 38, Applicant respectfully submits that claim 38 is patentable over Chiussi.

D. Claim 39

New claim 39 includes the limitations of previously presented claims 37 and 10, and is directed to an apparatus including a plurality of containers. Claim 39 further recites that each container comprises at least one acquire register. Since the limitations are identical to a previously submitted claim, Applicant submits that no further searching is necessary.

Examiner relies on the same disclosure for claim 39 as discussed above with respect to claim 1.

However, Chiussi does not teach or suggest limitations recited in claim 39 for at least the same reasons as discussed above with respect to claim 39. Also, as discussed with respect to

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claim 37, Chiussi fails to disclose a register designating space. Thus, Chiussi fails to disclose the acquire register as recited in claim 38.

Because Chiussi does not disclose every limitation of claim 39, Applicant respectfully submits that claim 39 is patentable over Chiussi.

E. Dependent Claims

Because claims 2-7, 10, and 15-19 depend from patentable base claims, these claims are patentable for at least the same reasons.

Please apply \$200 for excess claim fees, and any other charges or credits, to deposit account 06-1050.

Respectfully submitted,

1/3/05 Date:

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

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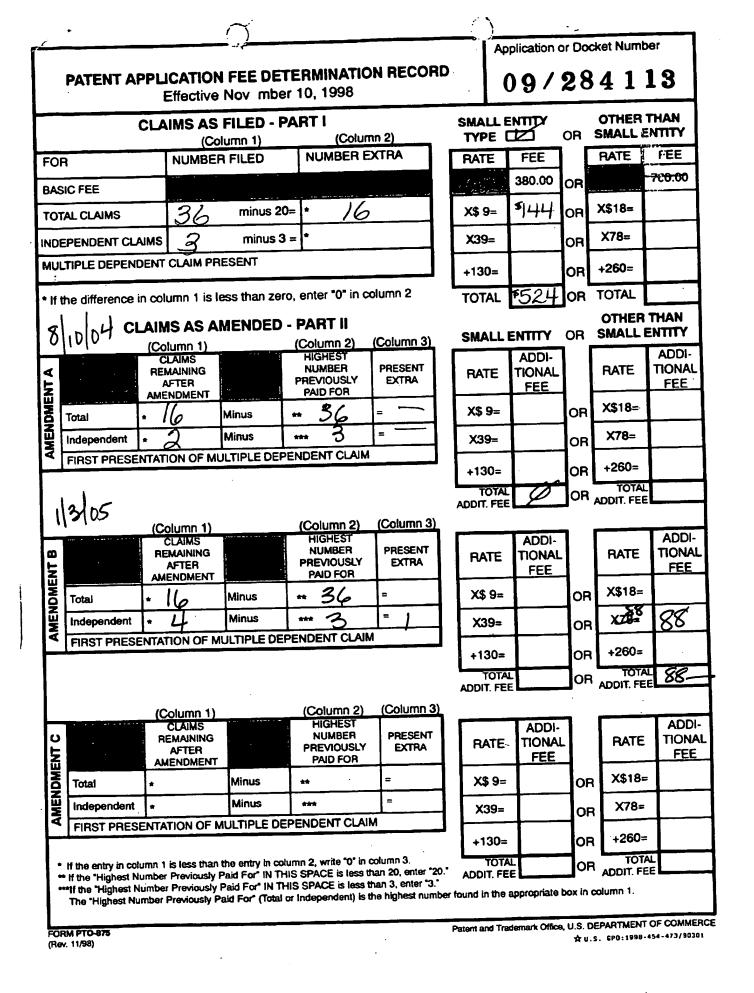
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ABSTRACT OF THE DISCLOSURE

An apparatus for transmitting, receiving and manipulating information on a computer system, the apparatus including a plurality of containers, each container being a logically defined data enclosure and comprising an information element, a plurality of registers, and a gateway. The plurality of registers, form part of the container, and include a first register for storing a unique container identification value; a second register having a representation designating time and governing interactions of the container with other containers, systems or processes according to utility of information in the information element relative to an external-to-the-apparatus event time; an active time register for identifying times at which the container will act upon other containers, processes, systems or gateways; a passive time register for identifying times at which the container can be acted upon by other containers, processes, systems or gateways; and a neutral time register for identifying times at which the container may interact with other containers, processes, systems or gateways. Additional registers designate space for container interactions.

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TO: Cam-Linh T Nguyen Location: RND 3C21 Art Unit : 2161 Tuesday, January 04, 2005 From: David Holloway Location: EIC 2100 RND 4B19 Phone: 2-3528

Case Serial Number: 09/284113

david.holloway@uspto.gov

Search Notes

Dear Examiner Nguyen,

Attached please find your search results for above-referenced case. Please contact me if you have any questions or would like a re-focused search.

David



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S8	4	S1 (S) S4 (S) S5
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S10	25	S7 OR S8 OR S9
S11	48	S6 AND IC=G06F?
S12	59	S10 OR S11
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(Item 2 from file: 348) 18/3,K/2 DIALOG(R)File 348:EUROPEAN PATENTS (c) 2004 European Patent Office. All rts. reserv. 00937647 System and method for parsing multiple sets of data System und Verfahren zur Analyse mehrerer Datenmengen Systeme et procede pour l'analyse de plusieurs ensembles de donnees PATENT ASSIGNEE: Hewlett-Packard Company, (206030), 3000 Hanover Street, Palo Alto, California 94304, (US), (Applicant designated States: all) INVENTOR: Pakenham, Gene, 5243 W 11th No. 1812, Greeley, Co 80634, (US) DeVore, Darwin A., 1221 Eastlake Court, Loveland, CO 80537, (US) LEGAL REPRESENTATIVE: Carpmaels & Ransford (101821), 43 Bloomsbury Square, London WC1A 2RA, (GB) PATENT (CC, No, Kind, Date): EP 853418 A2 980715 (Basic) EP 853418 A3 000705 EP 97309785 971204; APPLICATION (CC, No, Date): PRIORITY (CC, No, Date): US 782729 970113 DESIGNATED STATES: DE; FR; GB EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI INTERNATIONAL PATENT CLASS: H04N-001/40; H04N-001/64 ABSTRACT WORD COUNT: 43 NOTE: Figure number on first page: 1 LANGUAGE (Publication, Procedural, Application): English; English; English FULLTEXT AVAILABILITY: Word Count Update Available Text Language 351 CLAIMS A (English) 9829 (English) 9829 5723 SPEC A 6074 Total word count - document A Total word count - document B 0 Total word count - documents A + B 6074

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... SPECIFICATION from the CCD cells into a sequential or serial data stream.

A typical analog shift **register** comprises a **plurality** of "charge transfer **buckets** " each of which is connected to an individual cell. At the end of the exposure **time**, the charges collected by each of the CCD cells are simultaneously transferred to the charge transfer **buckets**, thus preparing the CCD cells for the next exposure sequence. The charge in each **bucket** is then transferred from **bucket** to **bucket** out of the shift register in a sequential or " **bucket** brigade" fashion during the time the CCD cells are being exposed to the next scan...

18/3,K/10 (Item 10 from file: 349) DIALOG(R)File 349:PCT FULLTEXT (c) 2004 WIPO/Univentio. All rts. reserv. **Image available** 00300850 UPDATE MECHANISM FOR COMPUTER STORAGE CONTAINER MANAGER MOYEN DE MISE A JOUR POUR MODULE DE GESTION D'ELEMENTS DE STOCKAGE D'ORDINATEURS Patent Applicant/Assignee: APPLE COMPUTER INC, Inventor(s): HARRIS Jared M, RUBEN Ira L, Patent and Priority Information (Country, Number, Date): WO 9519001 A1 19950713 Patent: (PCT/WO US9500196) WO 95US196 19950104 Application: Priority Application: US 94177853 19940105 Designated States: (Protection type is "patent" unless otherwise stated - for applications prior to 2004) AM AT AU BB BG BR BY CA CH CN CZ DE DK EE ES FI GB GE HU JP KE KG KP KR KZ LK LR LT LU LV MD MG MN MW MX NL NO NZ PL PT RO RU SD SE SI SK TJ TT UA UZ VN KE MW SD SZ AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG Publication Language: English Fulltext Word Count: 119635 Fulltext Availability: Claims Claim ... the TOC and global name table of the target, Up to this point the updating container has basically been opened "normally" like any other. It has its own TOC and global... ... that will eventually be returned to the user will be the one for the updating container , so the targetFs TOC and global name table must be inherited by the updating CCB, The way the updating container gets to use the ... simply to copy the target's TOC and global name table pointers into the updating container CCB, But the pointers to the tables already there can't simply be clobbered. They... ... global name table pointers used by everyone, and the "private" pair mainly used by close- time processing. One other pointer is inherited. That is a pointer referred to as the "target container pointer" (targetContainer), It is a CCB pointer copied from the target. It is always initialized... ...both "All and "B"s targetContainer will point to "B", This pointer is used for container refNum validity checks in the various API routines, It is the opposite of the updatingContainer pointer mentioned in step (1). updatingContainer points to the top-most container and targetContainer the bottom-most (final or ultimate target). (5). Load in updater's non-private TOC If this is a previously existing updating container opened for reading, then it is at this point all the updates from the updating container are applied to the target, The non-private portion of the updating container 's TOC was loaded first in step (3),

Since the normal TOC is now the... ... updating" list properties for the objects they update will be encountered. As discussed for close- time processing, these will be value operations (set-infos, data edits, moves, etc.). The value data... ... represent all objects needing updating. The touched chain can now be walked much like close- time processing to process the updating instructions associated with the "updating" property of each object on the chain. Also like close- time processing, objects on the touched chain are removed from the chain after each updating list... ... size and offsets are generated for the value headers and segments. Applying updates at this time changes the logical sizes and offsets. Thus, after each value's updates are completed, if... ... the value must be "re-logicalized". It should also be pointed out that during this time , recording of updates is suppressed. It stays suppressed until the end of all open processing... ...and property updating instructions can be processed using the special TOC #1 property of the container 's private TOC. At this point the target And the updating container have been opened, The updater's CCB pointer is returned to the user as the container refNum, The diagram of Fig. 22 illustrates the pertinent data structures discussed above. In the... ... target point to the same tables. Since "All is opened first, then "B". the close- time processing reverses this by closing "B" then "A", In order to prevent the closing ofthe TOC and global name tables. This prevents premature release of the data. H. Open- time Processing for Multi-layered Updaters The previous discussion was mainly limited to one container updating another, It is fairly simple extension to the algorithm to allow for multiple updaters. Multiple updaters arise if a new container is opened for updating a target in multiple sessions. For example, the above situation was other hand, there is nothing preventing another new updating container from being opened,, say "XI', and using "All as its target; IIX updating "All updating... ... The process is the same, except for one variation in step (3) of the open- time processing; opening of the target container . Basically, as part of standard open-time processing, a check is always made to see if TOC #1 has a "Pointing value". This only exists in updating container TOCs and allows access to the proper target, be it separate or appended. If the... ... TOC is present in memory that is indistinguishable from that of an ordinary,

non-update **container**, except that some of the values refer to data actually present in other **containers**. Each of the **containers** in the update chain remain open

- . so that value operations can reach the data, I...
- ...Appendix D is a C-language header file for routines which read and write the **container** TOC. Appendix E sets forth the routines themselves, Appendix F is a C-language header file for a set of basic **container** handlers, and their metahandler, used by the **Container** Manager when doing update operations on a target **container**. Appendix G sets forth the handlers themselves. The foregoing description of preferred embodiments of the...

...to

practitioners skilled in this art. As one example, an embodiment may permit an update **container** to be an update of two or more target **containers** concurrently, As another example, an implementation of the routines may construct only those aspects of the TOC in-memory which are needed for a particular operation after an update **container** is opened. The embodiments described herein were chosen and described in order to best explain...

Set	Items	Description
S1	905852	CONTAINER? OR ENVELOPE? OR BUCKET? OR (DATA OR INFORMATION-
) ()(ENCLOSURE? OR RECEPTACLE? OR FOLDER?)
S2	3635562	REGISTER? OR REGISTR? OR (STORAGE OR MEMORY)(N)(LOCATION? -
	OR	AREA OR AREAS OR ADDRESS? OR SECTOR? OR REGION?)
S3	38232	S2(3N)(MULTIPLE OR MULTIPLICITY OR PLURAL OR PLURALITY OR -
	MU	LTIPLICITY OR SEVERAL OR DIFFERENT OR MANY OR VARIOUS OR VA-
	RI	ETY)
S4	142842	S2(5N)(ALTERABLE OR DYNAMIC? OR CHANGE? OR MODIFY? OR REVI-
	S?	OR EDIT? OR LIVE OR HOT)
S5	50584	S1(12N)(TIME? OR SCHEDUL? OR HOUR? OR CALENDAR? OR TIMING -
	OR	TIMING OR DURATION? OR INTERVAL?)
S6	0	S3 (S) S4 (S) S5
S7	6	S4 (S) S5
S8	4	S3 (S) S5
S9		S2 (S) S5
S10	210	S2(10N)S5
S11	5	S10(10N)(ALTERABLE OR DYNAMIC? OR CHANGE? OR MODIFY? OR RE-
		S? OR EDIT? OR LIVE OR HOT)
S12	15	S11 OR S8 OR S7
S13	9	RD (unique items)
S14	146	S2 (5N) S5
S15	57	S14(S) (DATA OR BIT OR BYTE? OR DATABLOCK? OR INFORMATION? -
		MEMOR? OR STORAGE? OR BUFFER? OR CACHE?)
S16	37	RD (unique items)
S17	45	S16 OR S13
S18	45	RD (unique items)
S19	23	S18 NOT PY>1998
S20	21	S19 NOT PD=19980130:20010130
S21	21	S20 NOT PD=20010130:20050110 roup Computer DB(TM) 1983-2005/Jan 04
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File		roup Magazine DB(TM) 1959-2005/Jan 04
		05 The Gale group
File		nagement Contents(R) 86-2004/Dec W1
		04 The Gale Group
File		roup Newsletter DB(TM) 1987-2005/Jan 04
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File		roup PROMT(R) 1990-2005/Jan 04
	(c) 20	05 The Gale Group
File		-Hill Publications 1985-2004/Dec 28
		04 McGraw-Hill Co. Inc
File		ical Abs Plustext 1986-2004/Dec W4
		04 ProQuest swire 1999-2005/Jan 03
LTTG		05 PR Newswire Association Inc
Filo		swire 1987-1999/Apr 30
LTTC		99 PR Newswire Association Inc
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4 0		04 The HW Wilson Co
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File		Telecom. Newsletters 1995-2005/Jan 03
		05 The Dialog Corp.
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1116		99 The Gale Group
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File 15:ABI/Inform(R) 1971-2005/Jan 01 (c) 2005 ProQuest Info&Learning 9:Business & Industry(R) Jul/1994-2005/Jan 03 File (c) 2005 The Gale Group File 13:BAMP 2005/Dec W4 (c) 2005 The Gale Group File 810:Business Wire 1986-1999/Feb 28 (c) 1999 Business Wire File 610:Business Wire 1999-2005/Jan 03 . (c) 2005 Business Wire. File 647:CMP Computer Fulltext 1988-2005/Dec W3 (c) 2005 CMP Media, LLC File 98:General Sci Abs/Full-Text 1984-2004/Sep (c) 2004 The HW Wilson Co. File 148:Gale Group Trade & Industry DB 1976-2004/Jan 03 (c)2004 The Gale Group

File 634:San Jose Mercury Jun 1985-2004/Dec 31 (c) 2005 San Jose Mercury News

Set		Description
S1	325405	
) (ENCLOSURE? OR RECEPTACLE? OR FOLDER?)
S2	304185	REGISTER? OR REGISTR? OR (STORAGE OR MEMORY) (N) (LOCATION? -
		AREA OR AREAS OR ADDRESS? OR SECTOR? OR REGION?)
S3	5930	S2(2N) (MULTIPLE OR MULTIPLICITY OR PLURAL OR PLURALITY OR -
		ILTIPLICITY OR SEVERAL OR DIFFERENT OR MANY OR VARIOUS OR VA-
		ETY)
S4	91	S3(5N) (ALTERABLE OR DYNAMIC? OR CHANGE? OR MODIFY? OR REVI-
		OR EDIT? OR LIVE OR HOT)
S5	8070002	TIME? OR SCHEDUL? OR HOUR? OR CALENDAR? OR TIMING OR TIMING
26		OR DURATION? OR INTERVAL?
S6	1	S1 AND S4
S7	56519	S1 AND S5
S8	11 36	S3 AND S7 S1 AND S3
S9	36	SE OR SS OR S9
S10 S11		RD (unique items)
S11 S12	445	S1 AND S2 AND S5
S12 S13	8654	S1 (3N) (DATA OR INFORMATION OR BYTE? OR BITS OR MEGABYTE? OR
515		(ILOBYTE? OR STORAGE? OR MEMORY?)
S14	49	S12 AND S13
S15	74	
S16	65	RD (unique items)
S17	49	S16 NOT PY>1998
File	8:Ei Com	npendex(R) 1970-2005/Dec W4
		05 Elsevier Eng. Info. Inc.
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		004 Institution of Electrical Engineers
File		EPlus 1985-2004/Nov W4
		04 Japan Science and Tech Corp(JST)
File		atl.Newspaper Index(SM) 1979-2004/Dec 29
		004 The Gale Group
File		.964-2004/Dec W4
File		004 NTIS, Intl Cpyrght All Rights Res
rite		1973-2004/Dec W1
File		004 INIST/CNRS arch(R) Cited Ref Sci 1974-1989/Dec
LTTG		998 Inst for Sci Info
File		arch(R) Cited Ref Sci 1990-2004/Dec W4
erre		004 Inst for Sci Info
File		Appl. Sci & Tech Abs 1983-2004/Nov
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Petitioner Apple Inc. - Exhibit 1002, p. 461

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17/5/13 (Item 13 from file: 8)
DIALOG(R)File 8:Ei Compendex(R)
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00267759 E.I. Monthly No: EI7212010596

Title: SIMPLE CHARGE REGENERATOR FOR USE WITH CHARGE-TRANSFER DEVICES AND THE DESIGN OF FUNCTIONAL LOGIC ARRAYS. Author: Tompsett, Michael F. Corporate Source: Bell Telephone Lab, Inc, Murray Hill, NJ Source: IEEE Journal of Solid-State Circuits v SC-7 n 3 Jun 1972 p 237-242 Publication Year: 1972

CODEN: IJSCBC ISSN: 0018-9200 Language: ENGLISH Journal Announcement: 7212

Abstract: An inverting binary-charge regenerator for use with new charge-transfer devices (charge-coupled and integrated MOS **bucket** brigade) is described. This simple element requires an area approximately that of one bit in the register and is driven by the transfer pulses. Its uses with these shift **registers** in **various** configurations, which are described, make possible even larger functional devices. These uses include regeneration in serial memories, performing logic operations such as NAND and NOR involving the bit trains in **several registers**, and performing fixed counts and sequential addressing of other circuit elements.

Descriptors: *LOGIC CIRCUITS

Classification Codes:

`¥

721 (Computer Circuits & Logic Elements)

72 (COMPUTERS & DATA PROCESSING)

17/5/17 (Item 4 from file: 35) DIALOG(R)File 35:Dissertation Abs Online (c) 2004 ProQuest Info&Learning. All rts. reserv.

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01219256 ORDER NO: AAD92-14527 ANALYTICAL MODELS AND OPTIMAL STRATEGIES FOR AUTOMATED STORAGE/RETRIEVAL SYSTEM OPERATIONS (STORAGE-RETRIEVAL) Author: PARK, BYUNG CHUN Degree: PH.D. Year: 1991 Corporate Source/Institution: GEORGIA INSTITUTE OF TECHNOLOGY (0078) Director: EDWARD H. FRAZELLE Source: VOLUME 52/12-B OF DISSERTATION ABSTRACTS INTERNATIONAL. PAGE 6592. 184 PAGES Descriptors: ENGINEERING, INDUSTRIAL Descriptor Codes: 0546

The objective of this research is to provide exact reliable expressions for use in designing and operating automated storage/retrieval systems. We focus on the efficient operation of dual command cycles. The main performance criteria are travel **time** and system throughput.

We begin by developing a general analytical baseline for automated storage/retrieval system performance analysis. The baseline is a closed-form expression for the mean and variance of single and dual command cycle time. The model can be effectively used for evaluating any storage policy, i.e., random, dedicated or class-based storage. We give examples to illustrate how the formulas can be used for evaluating each storage policy.

With an evaluation baseline, our attention turns to specific improvement strategies. Contour line configurations for **storage location** assignment are developed first. We develop a general scheme to generate contour line configurations for dual command operations. To investigate the effects of alternative contour line configurations on system performance, a series of experiments are performed. The storage policies considered are random storage, priority-based open location (POL) storage, turnover-based storage, and 2-class storage. The performance of each contour line configuration is measured in terms of the expected dual command travel **time**.

Next, we develop optimal dwell point policies for automated storage/retrieval systems. Based on the fact that dwell point policies minimize the completion **time** of the first transaction after the storage/retrieval machine becomes idle, we show that there is a unique optimal dwell point policy, regardless of other system parameters. Then a variety of return paths to the dwell point are introduced and studied.

Finally, an end-of-aisle order picking system with inbound and outbound buffer positions is studied. This is usually referred to as a miniload system with a horse-shoe "front-end". The system is modeled as a two-stage cyclic queueing system consisting of one general and one exponential server with limited capacity. The cyclic queueing system is then analyzed by using the customer-hole duality concept. Closed-form expressions for the stationary probability and system throughput are developed. We also obtain the proportion of picker-idle and storage/retrieval machine-idle **time** by noting that the throughput of the picker is equal to that of the storage/retrieval machine. Then, a design problem to determine the optimal number of inbound and outbound buffer

containers in the system are studied. The effect of buffer size on system throughput is also investigated.

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Set	Items	Description
S1	675611	CONTAINER? OR ENVELOPE? OR BUCKET? OR (DATA OR INFORMATION-
) ()(ENCLOSURE? OR RECEPTACLE? OR FOLDER?)
S2	397825	REGISTER? OR REGISTR? OR (STORAGE OR MEMORY)(N)(LOCATION? -
	OR	AREA OR AREAS OR ADDRESS? OR SECTOR? OR REGION?)
S3	12040	S2(2N)(MULTIPLE OR MULTIPLICITY OR PLURAL OR PLURALITY OR
	MU	LTIPLICITY OR SEVERAL OR DIFFERENT OR MANY OR VARIOUS OR VA-
	RI	ETY)
S4	140	S3(5N)(ALTERABLE OR DYNAMIC? OR CHANGE? OR MODIFY? OR REVI-
	S?	OR EDIT? OR LIVE OR HOT)
S5	3326894 -	TIME? OR SCHEDUL? OR HOUR? OR CALENDAR? OR TIMING OR TIME(-
) S	TAMP?
S6	34	S4 AND S5
S7	0	S1 AND S4
S8	70	S1 AND S3
S9	5	S8 AND IC=(G06F-017? OR G06F-007?)
S10	11	S8 AND IC=G06F?
S11	20	S4 AND IC=(G06F-017? OR G06F-007?)
S12	22	S6 AND IC=G06F?
S13	88865	MC=(T01-C04? OR T01-J05B?)
S14	5	S13 AND (S6 OR S8)
S15	40	S12 OR S11 OR S14
S16	40	IDPAT (sorted in duplicate/non-duplicate order)
S17	39	IDPAT (primary/non-duplicate records only)
File		Nov 1976-2004/Aug(Updated 041203)
	· ·	04 JPO & JAPIO
File	350:Derwen	t WPIX 1963-2004/UD,UM &UP=200482

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17/5/3 (Item 3 from file: 350) DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. **Image available** 015947975 WPI Acc No: 2004-105816/200411 Method and system for vector scheduling on object code level Patent Assignee: UNIV INHA (UYIN-N) Inventor: KIM G C; KIM J S; KIM S D; LEE D H; LEE Y S Number of Countries: 001 Number of Patents: 001 Patent Family: Patent No Kind Date Applicat No Kind Date Week KR 2003078467 A 20031008 KR 200217526 20020329 200411 B Α Priority Applications (No Type Date): KR 200217526 A 20020329 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes 1 G06F-009/38 KR 2003078467 A Abstract (Basic): KR 2003078467 A NOVELTY - A method and a system for vector scheduling on an object code level are provided to produce an excellent scheduling result in a scheduling time faster than a present software pipelining method. DETAILED DESCRIPTION - An LCV(Loop Control Variable), and an initialization instruction, an initial value, a change instruction, a variance width, and an end instruction of the LCV are found out by using a CFG(Control Flow Graph) of a vector loop as input. The number of the concurrent executing instructions is calculated and the copies are generated by developing the vector loop with x. Registers are renamed in order to remove the data dependency of the registers used for each copy. The LCV of the copies is changed to the register different with each other. The LCV initialization instruction in the copies is changed based on the variance width. The LCV changing instruction in the copies is changed based on the x value. The instruction for copying the value of the LCV to the variable is inserted into a loop termination path of the copies. The vector

scheduled CFG is generated by collecting the duplicated instruction for each instruction of the first copy. pp; 1 DwgNo 1/10

Title Terms: METHOD; SYSTEM; VECTOR; SCHEDULE ; OBJECT; CODE; LEVEL Derwent Class: TO1 International Patent Class (Main): G06F-009/38 File Segment: EPI

(Item 5 from file: 350) 17/5/5 DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. **Image available** 015768651 WPI Acc No: 2003-830853/200377 XRPX Acc No: N03-663897 Dynamic random access memory controller in computer system, has configuration registers to store control information of memory banks, and column address strobe state machine to generate strobe signals for memory banks Patent Assignee: INTEL CORP (ITLC) Inventor: LANGENDORF B K; DODD J M; WADE N D Number of Countries: 001 Number of Patents: 002 Patent Family: Applicat No Kind Date Week Kind Date Patent No US 20030177303 A1 20030918 US 97814697 А 19970311 200377 B US 2003389092 Α· 20030313 20040420 US 94381091 Α 19941223 200427 B2 US 6725349 US 97814697 А 19970311 US 2003389092 А 20030313 Priority Applications (No Type Date): US 97814697 A 19970311; US 2003389092 A 20030313; US 94381091 A 19941223 Patent Details: Filing Notes Patent No Kind Lan Pg Main IPC US 20030177303 A1 10 G06F-012/00 Cont of application US 97814697 US 6725349 B2 G06F-012/00 Cont of application US 94381091 Cont of application US 97814697 Abstract (Basic): US 20030177303 A1 NOVELTY - Several configuration registers (300) store control information for dynamic RAM (DRAM) memory banks of a main memory (103). A column address strobe (CAS) state machine (330) coupled to the registers, generates CAS signals (220) for the memory banks. A detection logic circuit coupled to the CAS state machine, determines type of DRAM device installed in each memory bank to store control information of the device in the registers. DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for method for optimizing control of each memory bank. USE - For automatically configuring and controlling memory banks installed with dynamic random access memory (DRAM) devices including standard page mode DRAM and extended data-out DRAM (EDO-DRAM) in computer system. ADVANTAGE - The CAS state machine automatically controls timing requirements of the DRAM devices installed in the main memory to quickly and efficiently handle access requests. Thus, the performance of EDO-DRAM and standard page mode DRAM is preserved and controlled without increasing the hardware cost, while ensuring correct operation of the DRAMs. DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the DRAM controller. main memory (103) CAS signals (220) configuration register (300) address bank decoder (310) CAS state machine (320) pp; 10 DwgNo 3/7 Title Terms: DYNAMIC; RANDOM; ACCESS; MEMORY; CONTROL; COMPUTER; SYSTEM; CONFIGURATION; REGISTER; STORAGE; CONTROL; INFORMATION; MEMORY; BANK; COLUMN; ADDRESS; STROBE; STATE; MACHINE; GENERATE; STROBE; SIGNAL; MEMORY ; BANK Derwent Class: T01; U14 International Patent Class (Main): G06F-012/00 File Segment: EPI

(Item 11 from file: 350) 17/5/11 DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. **Image available** 013483279 WPI Acc No: 2000-655222/200063 Related WPI Acc No: 1999-457716 XRPX Acc No: N00-485639 Data processing system used in graphical user interface, displays one of subset of stored object automatically only if at least one of stored object of subset has not been manually associated with its container Patent Assignee: INT BUSINESS MACHINES CORP (IBMC) Inventor: LISLE L A; MARTIN S L; MULLALY J M Number of Countries: 001 Number of Patents: 001 Patent Family: Patent No Kind Date Applicat No Kind Date Week US 6104394 А 20000815 US 97813717 А 19970307 200063 B .US 99239405 А 19990128 Priority Applications (No Type Date): US 97813717 A 19970307; US 99239405 A 19990128 Patent Details: Patent No Kind Lan Pg Filing Notes Main IPC US 6104394 A 19 G06F-017/30 Cont of application US 97813717 Cont of patent US 5936624 Abstract (Basic): US 6104394 A NOVELTY - Two subsets constituting stored object of respective object types within respective containers , are displayed automatically in a display unit, only if at least one of stored objects of the two subsets has not been manually associated with their respective containers . DETAILED DESCRIPTION - The display unit automatically updates the displayed subsets when the stored objects is charged, when either storing, editing, deleting, moving, archiving, copying, linking on undoing of stored object occurs. The object type is chosen from text type, audio type, graphic type, type corresponding to date and time. INDEPENDENT CLAIMS are also included for the following: (a) operating data processing system; (b) program product USE - Used in graphical user interface, real world style interface with logical containment system. ADVANTAGE - Enables user to organize representation of desired objects in various storage locations without requiring extra steps by a user access memory. Allows greater flexibility in obtaining desired graphical user interface, by the ability of user to modify the containment settings and to have modifications immediately rejected in logical container rendered on display device. DESCRIPTION OF DRAWING(S) - The figure shows model diagram of data processing system explained with Booch notation. pp; 19 DwgNo 8/8 Title Terms: DATA; PROCESS; SYSTEM; GRAPHICAL; USER; INTERFACE; DISPLAY; ONE; SUBSET; STORAGE; OBJECT; AUTOMATIC; ONE; STORAGE; OBJECT; SUBSET; MANUAL; ASSOCIATE; CONTAINER Derwent Class: T01 International Patent Class (Main): G06F-017/30 File Segment: EPI

17/5/22 (Item 22 from file: 350) DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv. 007659045 **Image available** WPI Acc No: 1988-292977/198841 XRPX Acc No: N88-222362 Multiprocessor system with shared memory - has machine instruction sequence in shared memory for assigning register sets based on status information Patent Assignee: STELLAR COMPUTER IN (STEL-N) Inventor: DARNELL P A; MORTON M A Number of Countries: 029 Number of Patents: 002 Patent Family: Patent No Applicat No Kind Date Kind Date Week WO 8807720 А 19881006 WO.88US1032 Α 19880325 198841 R AU 8816821 А 19881102 198904 Priority Applications (No Type Date): US 8734166 A 19870402 Cited Patents: 1.Jnl.Ref; EP 174446; US 3916383; US 3972029; US 3980922; US 4121286; US 4197579; US 4280176; US 4354227; US 4713757 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes WO 8807720 A E 27 Designated States (National): AU BB BG BR DK FI HU JP KP KR LK MC MG MW NO RO SD SU Designated States (Regional): AT BE CH DE FR GB IT LU NL OA SE Abstract (Basic): WO 8807720 A The multiprocessor system has four processors which share machine instruction sequence (14) stored in parallel regions in the memory (16), which includes parallel regions of instructions. Each region has two blocks of instructions which are independent in that the same result is obtained if the blocks are executed by the same processor or by different processors. The system provides a pool (22) of high speed register sets to regulate the work of the four processors. Each processor has a respective unshared register (40) which stores a program status word. The word provides information about the part of the process executing on a given processor at a given time . ADVANTAGE - The assignment of shared register sets to multiple processors is effected dynamically without interrupting the execution of instructions in the sequence. 1/8Title Terms: MULTIPROCESSOR; SYSTEM; SHARE; MEMORY; MACHINE; INSTRUCTION; SEQUENCE; SHARE; MEMORY; ASSIGN; REGISTER; SET; BASED; STATUS; INFORMATION Derwent Class: T01 International Patent Class (Additional): G06F-012/00 File Segment: EPI

17/5/25(Item 25 from file: 347)DIALOG(R)File 347: JAPIO(c) 2004 JPO & JAPIO. All rts. reserv.

07054897 **Image available** COMMUNICATION INSTRUCTION RESULT OF PROCESSOR AND COMPILING METHOD FOR PROCESSOR

 PUB. NO.:
 2001-282532 [JP 2001282532 A]

 PUBLISHED:
 October 12, 2001 (20011012)

 INVENTOR(s):
 TOPHAM NIGEL PETER

 APPLICANT(s):
 SIROYAN LTD

 APPL. NO.:
 2001-032090 [JP 200132090]

 FILED:
 February 08, 2001 (20010208)

 PRIORITY:
 00 200002848 [GB 20002848], GB (United Kingdom), February 08, 2000 (20000208)

 INTL CLASS:
 G06F-009/38 ; G06F-009/30 ; G06F-009/34 ; G06F-009/45

ABSTRACT

PROBLEM TO BE SOLVED: To simplify a task of a compiler for the allocation of a register and to set up an instruction in a more compact state.

SOLUTION: A processor 1 for executing a pipeline by software includes an instruction issuing device 10 for issuing plural instructions to be executed by a previously determined sequence. The sequence of instructions includes plural value generation instructions for generating respective values at the time of execution of the sequence. Each of instruction execution devices 14, 16, 18 executes an issued instruction. A register file 20 has plural registers and stores plural values generated by respective executed instructions. During the period of operation, the allocates plural values generated by respective value processor l generation instructions to respective sequence numbers in accordance with issued order of respective value generation instructions. Each the generated value is allocated to one of plural registers in order to store the generated value on the basis of the sequence number allocated to the value. The names of these plural registers can be changed in each issue of a value generation instruction.

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17/5/31 (Item 31 from file: 347) DIALOG(R)File 347: JAPIO (c) 2004 JPO & JAPIO. All rts. reserv. 03475828 **Image available** DIGITAL PROCESSOR 03-138728 [JP 3138728 A] PUB. NO.: PUBLISHED: June 13, 1991 (19910613) INVENTOR(s): SATOMURA RYUICHI TOMOBE KATSUICHI APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan) APPL. NO.: 01-275821 [JP 89275821] FILED: October 25, 1989 (19891025) INTL CLASS: [5] **G06F-009/38** JAPIO CLASS: 45.1 (INFORMATION PROCESSING -- Arithmetic Sequence Units) JAPIO KEYWORD:R131 (INFORMATION PROCESSING -- Microcomputers & Microprocessers) JOURNAL: Section: P, Section No. 1250, Vol. 15, No. 360, Pg. 105, September 11, 1991 (19910911)

ABSTRACT

PURPOSE: To shorten the instruction execution time of a microprocessor, etc., and to raise processing capacity by **dynamically** assigning **plural** work **registers** in accordance with its usage condition.

CONSTITUTION: n numbers of work registers WR1-WRn used when execution a micro-instruction, and a work register control part WRC which dynamically assigns these work registers WR1-WRn in accordance with their usage condition, are provided. Then, the work registers WR1-WRn can be dynamically assigned in accordance with their usage condition without specifying them with a micro-instruction, the score boarding of the work registers WR1-WRn can be executed simultaneously with the score boarding of a general purpose register GR by a machine language instruction, and the parallel processing of he following machine language instructions can be started early. Thus, the instruction execution time of the microprocessor, etc., can be shortened equally, and its processing capacity can be raised.

(Item 36 from file: 347) 17/5/36 DIALOG(R)File 347: JAPIO (c) 2004 JPO & JAPIO. All rts. reserv. 01917037 **Image available** CONTINUOUS GENERATING SYSTEM OF PLURAL ADDRESSES 61-131137 [JP 61131137 A] PUB. NO.: June 18, 1986 (19860618) PUBLISHED: INVENTOR(s): AKIBA HIROSHI AOYANAGI KEIZO APPLICANT(s): TOSHIBA CORP [000307] (A Japanese Company or Corporation), JP (Japan) 59-253342 [JP 84253342] APPL. NO.: FILED: November 30, 1984 (19841130) INTL CLASS: [4] G06F-012/02 45.2 (INFORMATION PROCESSING -- Memory Units) JAPIO CLASS: Section: P, Section No. 512, Vol. 10, No. 324, Pg. 91, JOURNAL: November 05, 1986 (19861105)

ABSTRACT

PURPOSE: To attain count-up or count-down sequentially for **plural** address **registers** by using an adder to **modify** logically an address of an address register before one address and applying it sequentially to each register.

CONSTITUTION: An address modification data and a logical address from an adder 2 inputting an addend for modification and generating a logical address are inputted sequentially to plural address registers 3,4,5. Then address information of the address registers 3,4,5 is selected in the predetermined order by a selector 10 and outputs it as a memory access (f). Further, the address register selected precedingly by the selector 10 is selected by the other selector 9 at the same time and the address information (e) is fed back to a selector 6 as the address modification data. Then a required addition is executed by the odder 2 to modify the address of the register subject to feed back. The operation is executed sequentially to the registers 3,4,5 to generate continuously plural addresses thereby clearing them.

17/5/37 (Item 37 from file: 347) DIALOG(R)File 347: JAPIO (c) 2004 JPO & JAPIO. All rts. reserv. **Image available** 01655844 GUIDANCE INFORMATION CONTROLLING SYSTEM 60-134344 [JP 60134344 A] PUB. NO.: July 17, 1985 (19850717) PUBLISHED: YOSHINO ISAO INVENTOR(s): SOMA MASATO APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan) 58-241921 [JP 83241921] APPL. NO.: December 23, 1983 (19831223) FILED: INTL CLASS: [4] G06F-009/00 ; G06F-015/00 JAPIO CLASS: 45.1 (INFORMATION PROCESSING -- Arithmetic Sequence Units); 45.4 (INFORMATION PROCESSING -- Computer Applications) Section: P, Section No. 408, Vol. 09, No. 299, Pg. 50, JOURNAL: November 27, 1985 (19851127)

ABSTRACT

PURPOSE: To execute a change which requires no person's help by executing the change by sending guidance information to a terminal control device from a central processor by a guidance information change request from a change request mechanism of the central processor or a terminal equipment.

CONSTITUTION: A central processor 11, terminal control device 16 and a terminal equipment 20 are connected, a local guidance control mechanism 12 and a timer 13 are provided on the processor 11, and a storage mechanism 15 and a managing mechanism 14 are provided on the mechanism 12. Also, a local guidance change control mechanism 19 consisting of a store part 17 and a change identifying mechanism 18 is provided on the device 16, and the store part 17 is constituted of **plural storage area** 21 and on-demand **changeable** storage areas 22. In this state, the area 21 is changed by receiving a change request from the timer 13 by the mechanism 14 and sending retrieved information to the mechanism 19, a change request from the processor 11, and the area 22 is changed by sending the retrieved information to the mechanism 18 and also transferred to the processor 11, and the area 22 is changed by sending the retrieved information to the mechanism 18 and also transferred to the processor 11, and the area 22 is changed by sending the retrieved information to the mechanism 18 and also transferred to the processor 11, and the area 22 is changed by sending the retrieved information to the mechanism 19.

17/5/38 (Item 38 from file: 347) DIALOG(R)File 347:JAPIO (c) 2004 JPO & JAPIO. All rts. reserv.

01125342 **Image available** OPERATION PROCESSING DEVICE

58-062742 [JP 58062742 A] PUB. NO.: April 14, 1983 (19830414) PUBLISHED: INVENTOR(s): TAMURA NOBORU APPLICANT(s): CANON INC [000100] (A Japanese Company or Corporation), JP (Japan) [JP 81162367] 56-162367 APPL. NO.: October 12, 1981 (19811012) FILED: [3] G06F-007/00 INTL CLASS: JAPIO CLASS: 45.1 (INFORMATION PROCESSING -- Arithmetic Sequence Units) Section: P, Section No. 208, Vol. 07, No. 152, Pg. 39, July JOURNAL: 05, 1983 (19830705)

ABSTRACT

PURPOSE: To make the operation easy, by providing a means having a **plurality** of condition **registers** and storing the **change** in the condition codes in the past, and a means performing the operation instruction between condition registers.

CONSTITUTION: In executing an arithmetic logical operation instruction having the alteration of a CCR through the instruction of an instruction decoder 6, the conditions with the result of operation are set to the CCR. An arithmetic logical operation device 3 reads out the 1st CCR4-1 in one machine cycle and gives an output to the 2nd CCR4-2 in the next machine cycle. The conditions through the result of present operation are outputted to the 1st CCR4-1 in the next machine cycle. Through this operation, the previous condition is set to the 2nd CCTR4-2 and the present condition is set to the 1st CCR4-1. Further, with the operation instruction between the CCRs, the two CCRs 4-1, 4-2 are read and an output is given to the instructed CCR

- Set Items Description
- S1 9 AU=(DEANGELO, M? OR DEANGELO M? OR DE ANGELO M? OR DE ANGE-LO, M?)
- S2 3 S1 AND IC=G06F?
- File 347: JAPIO Nov 1976-2004/Aug(Updated 041203)
- (c) 2004 JPO & JAPIO
- File 348: EUROPEAN PATENTS 1978-2004/Dec W03
- (c) 2004 European Patent Office File 349:PCT FULLTEXT 1979-2002/UB=20041230,UT=20041223
- (c) 2004 WIPO/Univentio
- File 350:Derwent WPIX 1963-2004/UD,UM &UP=200482
 - (c) 2004 Thomson Derwent

(Item 1 from file: 348) 2/5/1 DIALOG(R) File 348: EUROPEAN PATENTS (c) 2004 European Patent Office. All rts. reserv. 01077982 SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS SYSTEM UND UND VERFAHREN ZUR ERZEUGUNG BEARBEITUNG VON INFORMATIONSBEHALTERN MITDYNAMISCHEN REGISTERN. SYSTEME ET PROCEDE POUR LA CREATION ET LA MANIPULATION DE CONTENEURS D'INFORMATIONS A REGISTRES DYNAMIQUES PATENT ASSIGNEE: Ematrix Corporation, (2819080), 104 West Anapamu, Santa Barbara, CA 93101 (US), (Applicant designated States: all) INVENTOR: De Angelo, Michael, Suite 290, 1324 J State Street, Santa Barbara, CA 93101, (US LEGAL REPRESENTATIVE: McLeish, Nicholas Alistair Maxwell et al (74621), Boult Wade Tennant Verulam Gardens 70 Gray's Inn Road, London WC1X 8BT, (GB) PATENT (CC, No, Kind, Date): EP 1049996 A1 001108 (Basic) WO 9939285 990805 APPLICATION (CC, No, Date): EP 99905548 990128; WO 99US1988 990128 PRIORITY (CC, No, Date): US 73209 980130 DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE INTERNATIONAL PATENT CLASS: G06F-017/30 ; G06F-003/14 NOTE: No A-document published by EPO LEGAL STATUS (Type, Pub Date, Kind, Text): Application: 001108 A1 Published application with search report Application: 991006 A1 International application. (Art. 158(1)) 040204 A1 Date application deemed withdrawn: 20030801 Withdrawal: Examination: 001108 A1 Date of request for examination: 20000713 Search Report: 010425 Al Date of drawing up and dispatch of supplementary:search report 20010308 Application: 991006 A1 International application entering European phase LANGUAGE (Publication, Procedural, Application): English; English; English 2/5/2 (Item 1 from file: 349) DIALOG(R)File 349:PCT FULLTEXT (c) 2004 WIPO/Univentio. All rts. reserv. 00507933 **Image available** SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS SYSTEME ET PROCEDE POUR LA CREATION ET LA MANIPULATION DE CONTENEURS D'INFORMATIONS À REGISTRES DYNAMIQUES Patent Applicant/Assignee: EMATRIX CORPORATION, DE ANGELO Michael, Inventor(s): DE ANGELO Michael Patent and Priority Information (Country, Number, Date): WO 9939285 A1 19990805 Patent: Application: WO 99US1988 19990128 (PCT/WO US9901988) Priority Application: US 9873209 19980130 Designated States:

- 10

(Protection type is "patent" unless otherwise stated - for applications prior to 2004) AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG Main International Patent Class: G06F-017/30 International Patent Class: G06F-003/14 Publication Language: English Fulltext Availability: Detailed Description Claims . Fulltext Word Count: 18390

English Abstract

-1-

A system for creating and manipulating information containers with dynamic registers on a multi-user computer system, or computer network comprises an interactive information container, a container editor, a search interface, a user profile, system-wide hierarchical container gateways (site 7), interactive and evolving container registers, a data collection means, a data reporting means, an analysis engine with editor, an executing engine with editor, and a means of communicating with other computers, computer networks, or digital-based public or published media. The container editor provides an authoring user with the capacity to encapsulate any information component such as a file, set, database, network, event or process, and a set of parameters of multiple container registers to govern the interaction of that container with other containers or processes. The container registers include system-defined, system-alterable, user-defined and user-alterable registers.

French Abstract

L'invention concerne un systeme pour la creation et la manipulation de conteneurs d'informations a registres dynamiques, sur un systeme informatique multi-utilisateur, ou sur un reseau informatique. Ce systeme comprend un conteneur d'informations interactif, un editeur de conteneur, une interface de recherche, un profil d'utilisateur, des passerelles (site 7) de conteneurs hierarchiques a l'echelle du systeme, des registres interactifs et evolutifs, un dispositif de rassemblement de donnees, un dispositif d'edition de donnees, un moteur d'analyse avec editeur, un moteur d'execution avec editeur, et un dispositif permettant de communiquer avec d'autres ordinateurs, avec des reseaux informatiques, ou avec des supports numeriques publics ou publies. L'editeur de conteneur permet a un utilisateur-auteur d'encapsuler n'importe quel composant d'information tel qu'un dossier, un ensemble, une base de donnees, un reseau, un evenement ou un procede, et fournit a cet utilisateur une serie de parametres pour plusieurs registres de conteneurs pour commander l'interaction de ce conteneur avec d'autres conteneurs ou procedes. Les registres de conteneurs comprennent des registres definis par le systeme, modifiables par le systeme, definis par l'utilisateur et modifiables par l'utilisateur.

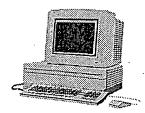
2/5/3 (Item 1 from file: 350) DIALOG(R)File 350:Derwent WPIX (c) 2004 Thomson Derwent. All rts. reserv.

012673114 **Image available** WPI Acc No: 1999-479221/199940 XRPX Acc No: N99-356757

Computer system for creating and manipulating information containers in multi-user systems e.g. client server network Patent Assignee: EMATRIX CORP (EMAT-N) Inventor: DE ANGELO M Number of Countries: 085 Number of Patents: 003 Patent Family: Patent No Kind Date Applicat No Kind Date Week WO 9939285 A1 19990805 WO 99US1988 А 19990128 199940 B AU 9925687 AU 9925687 А 19990816 Α 19990128 200002 EP 1049996 A1 20001108 EP 99905548 А 19990128 200062 WO 99US1988 Α 19990128 Priority Applications (No Type Date): US 9873209 P 19980130 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes WO 9939285 A1 E 78 G06F-017/30 Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW AU 9925687 А G06F-017/30 Based on patent WO 9939285 EP 1049996 A1 E . G06F-017/30 Based on patent WO 9939285 Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE Abstract (Basic): WO 9939285 A1 NOVELTY - A container editor provides an authoring user with the capacity to encapsulate any information component such as a file, set, database, network, event or process, and a set of parameters of multiple container registers to govern the interaction of that container with other containers or processes. The container-registers include system-defined, system-alterable, user-definable and user-alterable algorithms. DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for; a method for creating an interactive information container; a method for interacting between two interactive information containers. USE - Transmitting, receiving and manipulating information containers with dynamic registers on a multi-user computer system, or computer network e.g. local, wide area or public networks, in computer, media or publishing networks. ADVANTAGE - Information can be manufactured on, utility upgraded, and intelligence developed in a computer network by offering the mechanism to create and manipulate information containers with dynamic registers. DESCRIPTION OF DRAWING(S) - The drawing shows a block diagram of a preferred embodiment of the invention. pp; 78 DwgNo 1/17 Title Terms: COMPUTER; SYSTEM; MANIPULATE; INFORMATION; CONTAINER; MULTI; USER; SYSTEM; CLIENT; SERVE; NETWORK Derwent Class: T01 International Patent Class (Main): G06F-017/30 International Patent Class (Additional): G06F-003/14 File Segment: EPI

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The search results generated for your recent request are attached. If you have any questions or comments (compliments or complaints) about the scope or the results of the search, please contact *the EIC searcher* who conducted the search *or contact*:

Anne Hendrickson, Team Leader, 571-272-3490, RND 4B28 or Carol Wong, Librarian, 571-272-3513, RND 4B28

Voluntary Results Feedback Form
I am an examiner in Workgroup: Example: 2170
Relevant prior art found, search results used as follows:
102 rejection
103 rejection
Cited as being of interest.
Helped examiner better understand the invention.
Helped examiner better understand the state of the art in their technology.
Types of relevant prior art found:
Foreign Patent(s)
Non-Patent Literature (journal articles, conference proceedings, new product announcements etc.)
Relevant prior art not found:
Results verified the lack of relevant prior art (helped determine patentability).
Search results were not useful in determining patentability or understanding the invention.
Other Comments.

	UNITED STATES DEPARTMENT OF C United States Patent and Trademark O Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
75	90 01/10/2005		EXAM	INER
Michael De Ai			NGUYEN, C	AM LINH T
Information Equ 100 South Sunri	se Boulevard, Suite 470		ART UNIT	PAPER NUMBER
Palm Springs, C	-		2161	· · ·
1 0 /			DATE MAILED: 01/10/200	5

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Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)
Interview Summer	09/284,113	DE ANGELO, MICHAEL
Interview Summary	Examiner	Art Unit
	CamLinh Nguyen	2161
All participants (applicant, applicant's representative	e, PTO personnel):	
(1) <u>CamLinh_Nguyen</u> .	(3)	
(2) <u>Dorian Cartwright (Reg.53,853)</u> .	(4)	
Date of Interview: 29 December 2004.		
Type: a)⊠ Telephonic b)⊡ Video Conferen c)⊡ Personal [copy given to: 1)⊡ applic		ative]
Exhibit shown or demonstration conducted: d)	Yes e)⊠ No.	
Claim(s) discussed: <u>1 and 9</u> .		,
Identification of prior art discussed: U.S. 6,075,791)		
Agreement with respect to the claims f) was reac		
amendments to clarify the claim language of the inv claim language without adding new matter, and the made based on the amendment.	rention. The Applicant agrees to fi	
<u>claim language without adding new matter, and the</u> <u>made based on the amendment.</u> (A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, whe allowable is available, a summary thereof must be a THE FORMAL WRITTEN REPLY TO THE LAST OF INTERVIEW. (See MPEP Section 713.04). If a repl GIVEN ONE MONTH FROM THIS INTERVIEW DAT FORM, WHICHEVER IS LATER, TO FILE A STATE	amendments which the examiner amendments which the examiner are no copy of the amendments the attached.) FICE ACTION MUST INCLUDE y to the last Office action has alre F, OR THE MAILING DATE OF MENT OF THE SUBSTANCE OF	the amendment to claify the indment. Further action will be be agreed would render the claims at would render the claims THE SUBSTANCE OF THE eady been filed, APPLICANT THIS INTERVIEW SUMMAR
<u>claim language without adding new matter, and the</u> <u>made based on the amendment.</u> (A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, whe allowable is available, a summary thereof must be a THE FORMAL WRITTEN REPLY TO THE LAST OF INTERVIEW. (See MPEP Section 713.04). If a repl GIVEN ONE MONTH FROM THIS INTERVIEW DAT	ention. The Applicant agrees to find Examiner will consider the americation of the amendments which the examiner of the amendments the attached.) FICE ACTION MUST INCLUDE y to the last Office action has alree (FE, OR THE MAILING DATE OF MENT OF THE SUBSTANCE OF Werse side or on attached sheet.	THE SUBSTANCE OF THE er agreed would render the cla nat would render the claims THE SUBSTANCE OF THE eady been filed, APPLICANT THIS INTERVIEW SUMMAR F THE INTERVIEW. See
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<u>claim language without adding new matter, and the</u> <u>made based on the amendment.</u> (A fuller description, if necessary, and a copy of the allowable, if available, must be attached. Also, whe allowable is available, a summary thereof must be a THE FORMAL WRITTEN REPLY TO THE LAST OF INTERVIEW. (See MPEP Section 713.04). If a repl GIVEN ONE MONTH FROM THIS INTERVIEW DAT FORM, WHICHEVER IS LATER, TO FILE A STATE	amendments which the examine amendments which the examine are no copy of the amendments the attached.) FICE ACTION MUST INCLUDE y to the last Office action has alre TE, OR THE MAILING DATE OF MENT OF THE SUBSTANCE OF werse side or on attached sheet.	THE SUBSTANCE OF THE er agreed would render the claims THE SUBSTANCE OF THE eady been filed, APPLICANT THIS INTERVIEW SUMMAR F THE INTERVIEW. See

Interview Summary

Paper No. 20041229

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- _ Name of applicant
- Name of examiner _
- Date of interview
- Type of interview (telephonic, video-conference, or personal) _
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.) _
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items: 1) A brief description of the nature of any exhibit shown or any demonstration conducted,

- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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	ED STATES PATENT A	AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
75	90 01/26/2005		EXAM	INER
Michael De An			NGUYEN, C	AM LINH T
Information Equ 100 South Sunri	uity Corporation se Boulevard, Suite 470		ART UNIT	PAPER NUMBER
Palm Springs, C			2161	•
			DATE MAILED: 01/26/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
Advisory Action	09/284,113	DE ANGELO, MICHAEL					
	Examiner	Art Unit					
	CamLinh Nguyen	2161					
The MAILING DATE of this communication appears n the cover sheet with the correspondence address							
THE REPLY FILED 03 January 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may <u>only</u> be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.							
PERIOD FOR RI	EPLY [check either a) or b)]	· · · · · · · · · · · · · · · · · · ·					
 a) The period for reply expires <u>3</u> months from the mailing dat b) The period for reply expires on: (1) the mailing date of this no event, however, will the statutory period for reply expire ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The 	Advisory Action, or (2) the date set forth later than SIX MONTHS from the mailir S FILED WITHIN TWO MONTHS OF T	ng date of the final rejection. HE FINAL REJECTION. See MPEP					
fee have been filed is the date for purposes of determining the period fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of (2) as set forth in (b) above, if checked. Any reply received by the Offi timely filed, may reduce any earned patent term adjustment. See 37 (of extension and the corresponding and the shortened statutory period for reply ce later than three months after the ma CFR 1.704(b).	ount of the fee. The appropriate extension originally set in the final Office action; or illing date of the final rejection, even if					
1. A Notice of Appeal was filed on Appellant's 37 CFR 1.192(a), or any extension thereof (37 CF	R 1.191(d)), to avoid dismissal o	eriod set forth in of the appeal.					
2. The proposed amendment(s) will not be entered b	ecause:						
(a) 🛛 they raise new issues that would require furth	er consideration and/or search ((see NOTE below);					
(b) (b) (b) (b) (b) (b) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c	pelow);						
(c) they are not deemed to place the application i issues for appeal; and/or	n better form for appeal by mate	erially reducing or simplifying the					
(d) they present additional claims without cancel	ing a corresponding number of f	finally rejected claims.					
NOTE: See Continuation Sheet.							
3. Applicant's reply has overcome the following rejection	tion(s):						
4. Newly proposed or amended claim(s) would canceling the non-allowable claim(s).	be allowable if submitted in a s	eparate, timely filed amendment					
5. The a) affidavit, b) exhibit, or c) request for application in condition for allowance because:		idered but does NOT place the					
6. The affidavit or exhibit will NOT be considered bec raised by the Examiner in the final rejection.	ause it is not directed SOLELY	to issues which were newly					
7. For purposes of Appeal, the proposed amendmen explanation of how the new or amended claims w							
The status of the claim(s) is (or will be) as follows:							
Claim(s) allowed:							
Claim(s) objected to:							
Claim(s) rejected:							
Claim(s) withdrawn from consideration:							
8. The drawing correction filed on is a) app	roved or b) disapproved by t	the Examiner					
9. Note the attached Information Disclosure Stateme	· · · ·						
		·					
10. Other:							

Continuation Sheet (PTOL-303)

Continuation of 2. NOTE: The amended limitation "an active register for identifying times...with other containers, processes, systems or gateways" to independent claims 1 and 37 has changed the scope of the claims that need further search and reconsideration.

UYEN LE PRIMARY EXAMINER

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UNITED STAT	es Patent and Tradem	EMARK OFFICE United States Department of O United States Patent and Trademark Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov		
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE	
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	
			CONFIRMATION NO. 1910	
Fish & Richardson 500 Arguello Street			000000015091147* 0000015091147*	

Sute 500 Redwood City, CA 94063

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Date Mailed: 02/02/2005

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/03/2004.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

ROSALIND A BALL 2100 (571) 272-3566

OFFICE COPY

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UNITED STAT	tes Patent and Tradem	UNITED STA' United States Address COMMI P.O. Box I	i, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US
			CONFIRMATION NO. 1910
Michael De Angelo		*OC	000000015091115*

Michael De Angelo Information Equity Corporation 100 South Sunrise Boulevard, Suite 470 Palm Springs, CA 92262

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OC00000015091115

Date Mailed: 02/02/2005

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/03/2004.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

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ROSALIND A BALL 2100 (571) 272-3566

OFFICE COPY

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APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE	
09/284.113	04/07/1999	MICHAEL DE ANGELO	3726-US	

Michael De Angelo Information Equity Corporation 100 South Sunrise Boulevard, Suite 470 Palm Springs, CA 92262 CONFIRMATION NO. 1910 *OC00000015091115* *OC00000015091115*

Date Mailed: 02/02/2005

Page 1 of 1

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 11/03/2004.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

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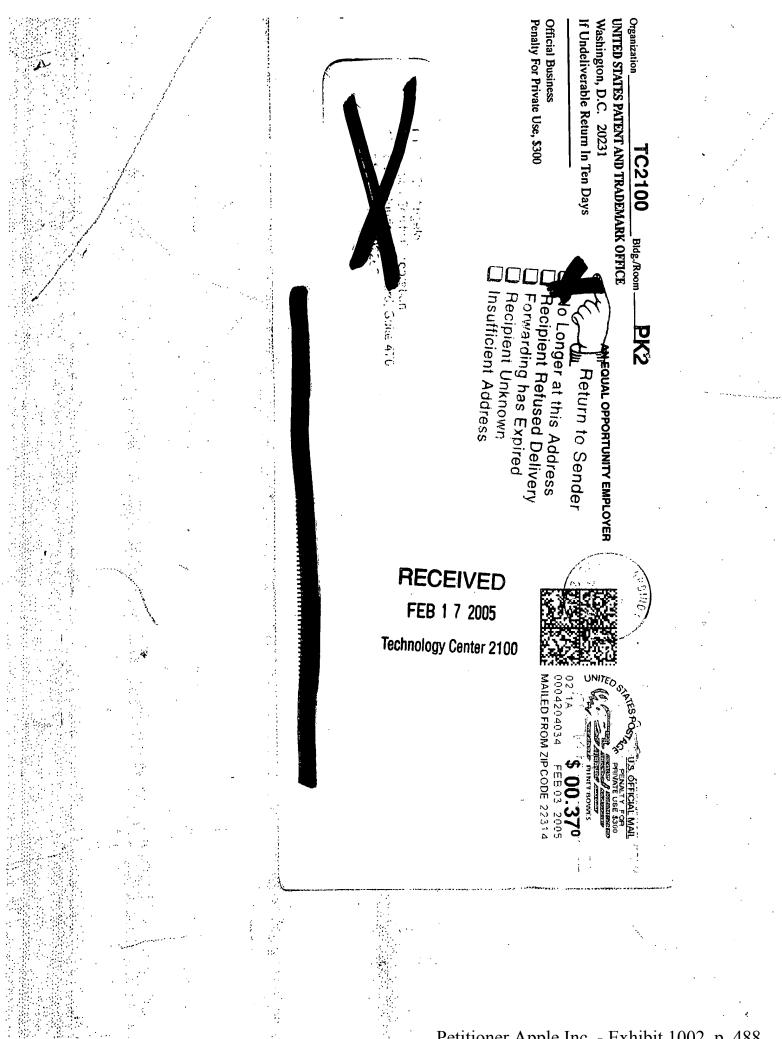
ROSALIND A BALL 2100 (571) 272-3566

FORMER ATTORNEY/AGENT COPY

FEB 1 7 2005

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Technology Center 2100



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590	03/07/2005	ſ	EXAMINER	
Fish & Richardson 500 Arguello Street			NGUYEN	, CAM LINH T
Sute 500			ART UNIT	PAPER NUMBER
Redwood City, CA 94063		-	2161	
		Т	DATE MAILED: 03/07/2	005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910

TITLE OF INVENTION: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	06/07/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u>	Mail Sto Commis
	Commis

Mail Stop ISSUE FEE
Mail Stop ISSUE FEE Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(503) 546 4000

or <u>Fax</u> (703) 746-4000

appropriate. All further con	respondence including the helps	atent, advance order	rs and notific	cation of maintenance fees y	ired). Blocks 1 through 5 si will be mailed to the current ; and/or (b) indicating a sepa	correspondence address ac				
CURRENT CORRESPONDENC	E ADDRESS (Note: Use Block 1 for	any change of address)		Fee(s) Transmittal. Th	mailing can only be used for is certificate cannot be used a al paper, such as an assignment	for any other accompanying				
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Fish & Richardso	n			Ce	rtificate of Mailing or Trans	mission				
500 Arguello Street	t		I hereby certify that the States Postal Service of	his Fee(s) Transmittal is bein, with sufficient postage for fir il Stop ISSUE FEE address PTO (703) 746-4000, on the c	g deposited with the United					
Sute 500	0.000			addressed to the Mai	I Stop ISSUE FEE address	above, or being facsimile				
Redwood City, CA	94063				10 (703) 740-4000, on the C	(Depositor's name)				
						(Signature)				
						(Date)				
APPLICATION NO.	FILING DATE	FI	RST NAMED I	NVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
09/284,113	04/07/1999		ICHAEL DE		3726-US	1910				
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE				
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		ART UNIT		CLASS-SUBCLASS	J					
NGUYEN, C		2161		707-001000						
"Fee Address" indicat	e address or indication of "Fo lence address (or Change of (22) attached. ion (or "Fee Address" Indica or more recent) attached. Use	Correspondence	(1) the name or agents OF(2) the name registered at 2 registered	R, alternatively, e of a single firm (having as torney or agent) and the nan	of up to 3 registered patent attorneys lternatively, a single firm (having as a member a new or agent) and the names of up to ent attorneys or agents. If no name is 3					
3. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGNI	an assignee is identified be 37 CFR 3.11. Completion of	elow, no assignee da of this form is NOT a	ta will appea a substitute fo	r on the natent If an assign	nee is identified below, the d UNTRY)	locument has been filed for				
Please check the appropriate	assignee category or catego	ries (will not be print	ed on the nat	ent) · DIndividual DC	orporation or other private gr					
4a. The following fee(s) are			Payment of Fe		orporation of outer private gr	oup entity Government				
Issue Fee				the amount of the fee(s) is end	aclosed					
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	Copies		The Direct		s hereby authorized by charge the required fee(s) or credit any overnavment to					
5. Change in Entity Status	(from status indicated above MALL ENTITY status. See 2)	_		LL ENTITY status. See 37 C					
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Authorized Signature				Date						
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OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

			UNITED STATES DEPART United States Patent and T Address: COMMISSIONER FC P.O. Box 1450 Alexandria, Virginia 2231 www.uspto.gov	Trademark Office OR PATENTS			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910			
75	90 03/07/2005		EXAM	INER			
Fish & Richardson			NGUYEN, CAM LINH T				
500 Arguello Street Sute 500		ART UNIT	PAPER NUMBER				
Redwood City, CA	94063		2161				
			DATE MAILED: 03/07/2005	5			

Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)										
Notice of Allowability	09/284,113 Examiner	DE ANGELO, MICHAEL										
Notice of Anomability	Examiner											
	CamLinh Nguyen	2161										
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.												
1. X This communication is responsive to amendment filed 01/03/2005.												
2. 🔀 The allowed claim(s) is/are <u>1 – 7, 10, 15 – 19, 37 – 39</u> .												
3. \square The drawings filed on <u>07 April 1999</u> are accepted by the E	xaminer.											
 3. X The drawings filed on <u>07 April 1999</u> are accepted by the Examiner. 4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No												
 Attachment(s) 1. □ Notice of References Cited (PTO-892) 2. □ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. □ Information Disclosure Statements (PTO-1449 or PTO/SB/C Paper No./Mail Date	6. Interview Summary Paper No./Mail Dat 98), 7. Examiner's Amendr	ie										

Application/Control Number: 09/284,113 Art Unit: 2161

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DETAILED ACTION

Response to Amendment

1. This Office Action is responsive to Amendment papers filed 01/03/2005. Consequently, Advisory Action mailed on 01/26/2005 is withdrawn.

2. Applicant's amendments to the specification are acknowledged. Consequently, the objection to the Specification is withdrawn and the specification has amended to include a new abstract.

3. Applicant's amendments to the claims are acknowledged. Consequently, claims 1, 10, 37 are amended; claims 38 - 39 are added to include limitations of claims 1 and 10 and 37 and 10 respectively. Claims 8 - 9, 11 - 14, 20 - 36 are cancelled. Claims 1 - 7, 10, 15 - 19, 37 - 39 are pending in this application.

4. Claims 1 - 7, 10, 15 - 19, 37 - 39 are renumbered as 1 - 16 respectively.

Allowable Subject Matter

5. Claims 1 - 7, 10, 15 - 19, 37 - 39 are allowed.

6. The following is an examiner's statement of reasons for allowance: in independent claims 1 and 37, an apparatus including a plurality of containers, each container comprising an information element, plurality of registers wherein the plurality of registers including an active time register, passive time register, neutral time register, in conjunction with the other claim limitations, and in independent claims 38 – 39 an apparatus including a plurality of containers,

Application/Control Number: 09/284,113 Art Unit: 2161

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each container comprising an information element, plurality of registers wherein the plurality of registers including a first register for storing container ID value, an acquire register for controlling whether the container adds a register from other containers or adds a container from other containers when interacting with them, taken with the other limitations of the claim, were not disclosed by, would not have been obvious over, nor otherwise fairly disclosed by the prior art of record.

7. The dependent claims, being further limiting, definite and fully enabled by the Specification, are also allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CamLinh Nguyen whose telephone number is (571) 272 - 4024. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on (571) 272 - 4023. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Page 3

Application/Control Number: 09/284,113 Art Unit: 2161

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nguyen, Cam-Linh

Art Unit 2161

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Application/Control I 09/284,113	Applicant(s)/Patent Under Reexamination DE ANGELO, MICHAEL			
Examiner	Art Unit			
CamLinh Nguyen	2161 Page 1 of 1			
CamLinh Nguyen	2161			

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-6,173,280 B1	01-2001	Ramkumar et al.	707/6
	В	US-6,154,782 A	11-2000	Kawaguchi et al.	709/239
	С	US-6,198,738 B1	03-2001	Chang et al.	370/352
	D	US-5,815,665 A	09-1998	Teper et al.	709/229
	Е	US-6,075,791 A	06-2000	Chiussi et al.	370/412
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FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification					
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NON-PATENT DOCUMENTS

	NON-FATENT DOCUMENTS										
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20050223

	Application No.	Applicant(s)
Issue Classification	09/284,113	DE ANGELO, MICHAEL
	Examiner	Art Unit
	CamLinh Nguyen	2161

	ISSUE CLASSIFICATION																				
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U.S. Patent and Trademark Office



Application No.	Applicant(s)				
09/284,113	DE ANGELO, MICHAEL				
Examiner	Art Unit				
 CamLinh Nguyen	2161				

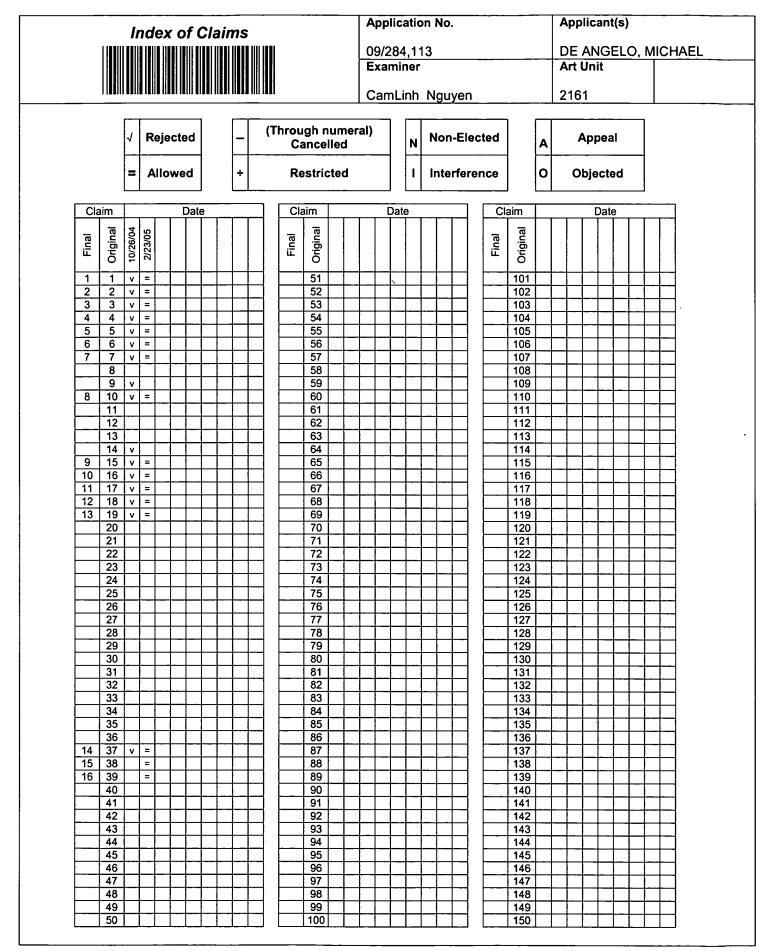
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Class	Subclass	Date	Examiner						
707	6	10/25/2004	LINH						
707	all	12/30/2004	LINH						
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INTERFERENCE SEARCHED									
Class	Subclass	Date	Examiner						
707	100	2/23/2005	LINH						
707	203	2/23/2005	LINH						
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SEARCH NOTES (INCLUDING SEARCH STRATEGY)						
	DATE	EXMR				
EAST search	10/25/2004	LINH				
EAST search	12/30/2004	LINH				
NPL search	1/4/2005	LINH				

U.S. Patent and Trademark Office

Part of Paper No. 20050223



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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIBDATASHEET

Bib Data Sheet

CONFIRMATION NO. 1910

SERIAL NUMBE 09/284,113	R	FILING DATE 04/07/1999 RULE		CLASS 707	GRC)UP ART (2161	JNIT		RNEY DOCKET NO. 3726-US
APPLICANTS									
MICHAEL DE	E ANC	GELO, SANTA BARBARA	۹, CA;						
This applicat	tion is	a 371 of PCT/US99/0198 fit of 60/073,209 01/30/19		Y <i>e</i> , 999	3	LN			
** FOREIGN APPLICATIONS ************************************									
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ADDRESS Fish & Richardson 500 Arguello Street Sute 500 Redwood City , CA 94063									
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		IATION DISCLOS	URE CITATI	ON	Applicant	Michael De		
		(Use several sheets if nec			Filing Data April 7, 1	1999	Group Art Unit	271
			U.S. PAT	ENT DOC	UMENTS			·
Examiner Initial		Document Number	Date		Name	Class	Subclass	Filing Date If Appropriate
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EXAMINER: Initia		nces considered, whether or no th next communication to applic	t citation is in conform				conformance a	nd not considered.

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			CR RUSH (SISTANCE)		
Application :	· 09/28411-	<u>)</u> Examiner :	Kindved	GAU:	2161
From:	: <u>PAP</u>	Location:	DO FMF FDC	Date:	5/18/05
		Tracking #:	06086395	Week Date:	3/14/05
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INITIALS:

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JUN 0 9 2005 g		PART	B – FEE(S)	TRANSMITTAL		K
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CURRENT CORRESPONDENCE A			or use Block 1)	Note: A certificate	of mailing can only be used a mittal. This certificate cannot ers. Each additional paper, su ist have its own certificate of	t he used for any other
FISH & RICHARDS 500 ARGUELLO ST REDWOOD CITY, C	REET, SUITE 500 CALIFORNIA 9406	53		Cert I hereby certify that United States Posta in an envelope addr	tificate of Mailing or Trans t this Fee(s) Transmittal is be ll Service with sufficient post ressed to the Mail Stop ISSU ransmitted to the USPTO, or	mission ing deposited with thy age for first class mai E FEE address above, the date indicated
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FC:2501 FC:8001	700.00 OP 3.00 OP				mma Durill 06/07/2005	(Signature) (Date)
APPLICATION NO.	FILING DATE	1	FIRST NAMED	· · · · · · · · · · · · · · · · · · ·	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/284,113 TITLE OF INVENTION: SYST	04/07/1999 Em and method for	CREATING AN	Michael D D MANIPULAT	-	17776-002US1 NTAINERS WITH DYNAMIC RE	1910 GISTERS
APPLN. TYPE nonprovisional	SMALL ENTITY YES		E FEE	PUBLICATION FEE \$0	TOTAL FEE(S) DUE \$700	DATE DUE 06/07/2005
EXAMI NGUYEN, CA			UNIT	CLASS-SUBCLASS 707-001000		
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3. ASSIGNEE NAME AND R PLEASE NOTE: Unless an previously submitted to the (A) NAME OF ASSIGNEE	assignee is identified below USPTO or is being submitt	w, no assignee dat ted under separate	ta will appear on cover. Complet	the patent. Inclusion of ass	ignee data is only appropriate whe ubstitute for filing an assignment. UNTRY)	n an assignment has been
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The Director of the USPTO is NOTE: The issue Fee and Pul shown by the records of the U	requested to apply the Issu blication Fee (if required) v ntied States Patent and Trac	e Fee and Publica vill not be accepte demark Office.	ation Fee (if any) ed from anyone o	or to re-apply any previou ther than the applicant, a re	sly paid issue fee to the application egistered agent or; or the assignee of	identified above. or other party in interest a
(Authorized Signature)	Doria Cant	2. Ar		(Date)	June 7, 2005	<u>.</u>
••	rian Cartwright			Registration No. 53,853		
This collection of information an application. Confidentiality	is governed by 35 U.S.C. ication form to the USPTO	122 and 37 CFR . Time will vary	1.14. This collect depending upon	obtain or retain a benefit by tion is estimated to take 12 he individual case. Any co	y the public which is to file (and by minutes to complete, including ga mments on the amount of time you	thering, preparing, and require to complete this
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OIPE		, т.	Attorney'	s Docket No.: 17776-002US1
JUN 0 9 2005		IN THE UNITED STATES	S PATENT AND TRADEMA	RK OFFICE
RAMApplicant	:	DeAngelo, Michael	Art Unit :	2161
Serial No.	:	09/284,113	Examiner :	Cam Linh T. Nguyen
· Filed	:	April 7, 1999	Confirmation No.:	1910
		•	Notice of Allowance I	Date: March 7, 2005
Title	:	SYSTEM AND METHOD	FOR CREATING AND MAN	IPULATING
		INFORMATION CONTAI	NERS WITH DYNAMIC REC	GISTERS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed March 7, 2005, enclosed are a completed issue fee transmittal form PTOL-85b, a check for \$703 for the required fee, including patent copies, a Recordation Form Cover Sheet, and an executed Assignment, and a separate check for \$40 for the recordal fee for the above-captioned patent application

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

6/7/05 Date:

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063 Telephone: (650) 839-5070 Facsimile: (650) 839-5071

50281375.doc

Respectfully submitted,

atrifo

Dorian Cartwright Reg. No. 53,853

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 7, 2005

Date of Deposit Simell mma

Petitioner Apple Inc. - Exhibit 1002, p. 505

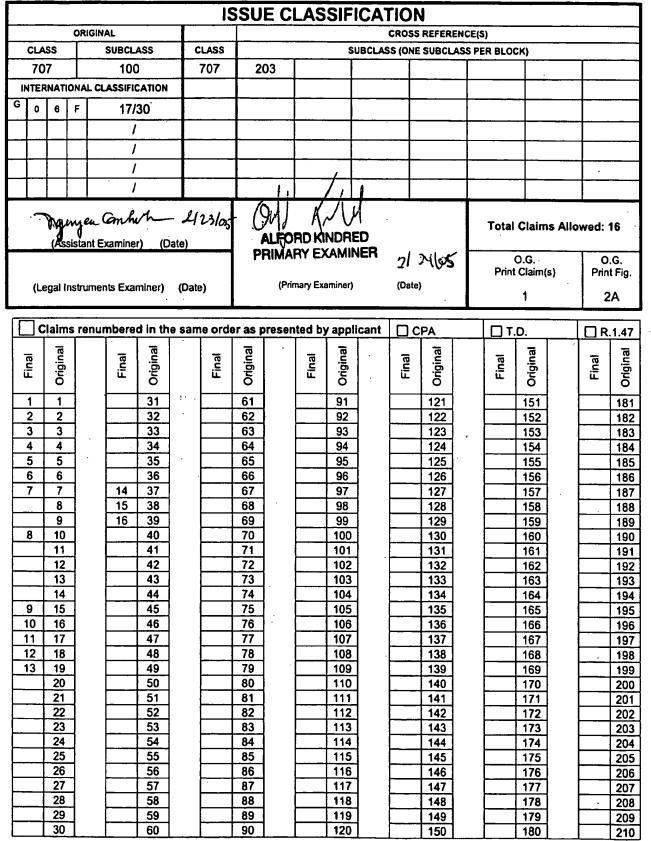
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NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH. REV 10/04

	Application No.	Applicant(s)	
Issue Classification	09/284,113	DE ANGELO, MICHAEL	
	Examiner	Art Unit	
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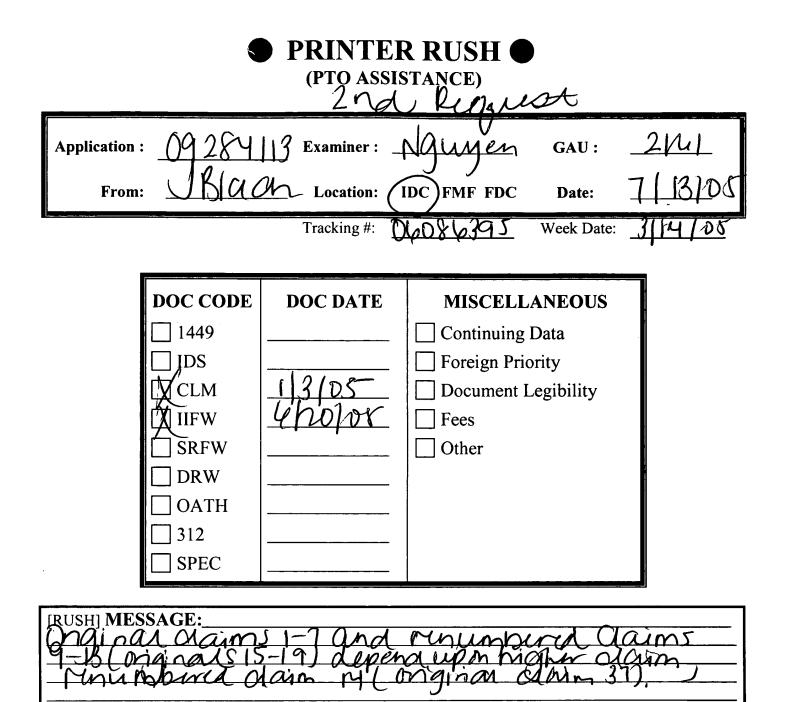


U.S. Patent and Trademark Office

Part of Paper No. 20050223 Petitioner Apple Inc. - Exhibit 1002, p. 507

Fish & Richardson	P.SUL 0 5 2005 8		Рто/Ѕв/122(06-0
СНА	CE OF MARY	Application Number	09/284,113
· A	ENCE ADDRESS	Filing Date	April 7, 1999
	lication	First Named Inventor	DeAngelo, Michael
Address to: Commissioner	for Patents	Group Art Unit	2161
P.O. Box 1450)	Examiner Name	Cam Lihn T. Nguyen
Alexandria, VA	A 22313-1450	Attorney Docket Number	17776-002US1
Please change the Cor	respondence Address fo	r the above-identified application	n to:
Customer Nu	mber: 26	181	
Firm or Individual Name	Dorian Cartwright		
Address			
Address			
City		State	Zip
Country	United States of Ame	rica	
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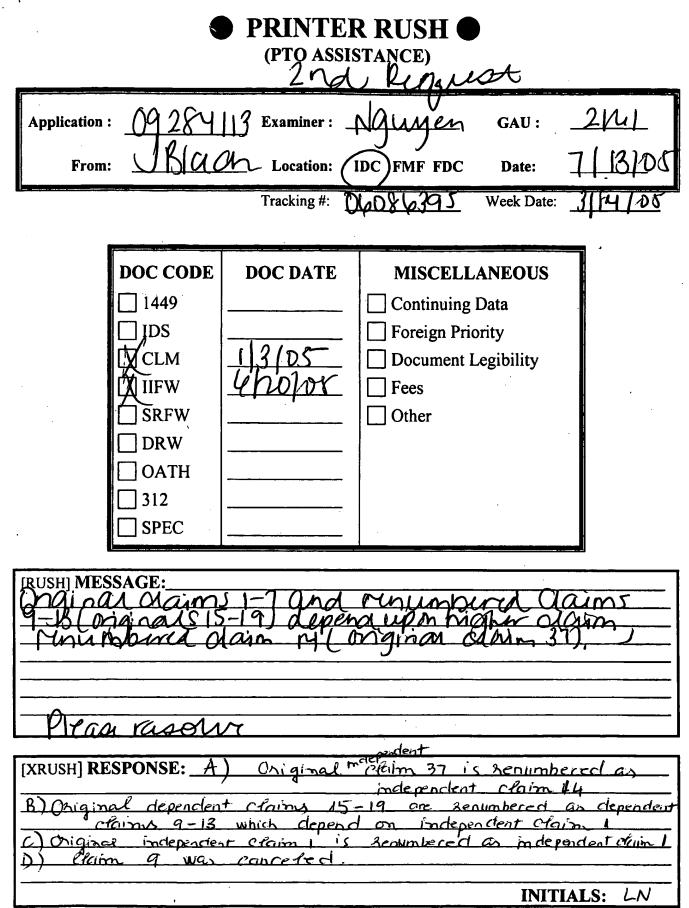
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[XRUSH] RESPONSE:	
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NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH. REV 10/04



Application No.	Applicant(s)	
09/284,113	DE ANGELO, MICHAEL	
Examiner .	Art Unit	
CamLinh Nguyen	2161	

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U.S. Patent and Trademark Office

Part of Paper No. 20050223

	PRINTE (PTO ASSI	R RUSH		
Application : 0928	_	Nguyen	GAU :	2161
From:	SIGCA Location: Tracking #:	10C FMF FDC D6D86395	Date: Week Date:	9/17/08 3/14/05
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PICARL REPORT.

[XRUSH] RESPONSE:	
	INITIALS:

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			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Frademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION N
09/284,113	04/07/1999	MICHAEL DE ANGELO	3726-US	1910
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Fish & Richar	dson		NGUYEN, C	AM LINH T
500 Arguello S Sute 500	treet		ART UNIT	PAPER NUMBER
Redwood City,	CA 94063		2161	
			DATE MAILED: 09/23/200	_

Please find below and/or attached an Office communication concerning this application or proceeding.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOTICE OF DRAWING INCONSISTENCY WITH SPECIFICATION

The drawings filed 04 - 07 - 1999 have been received. However, an inconsistency exists between the drawings and the Brief Description of the Drawings in the specification.

Figure _____ is listed in the Brief Description of the Drawings in the specification but not contained in the Drawings.

Figure 3B is contained in the Drawings but not listed in the Brief Description of the Drawings in the specification.

Applicant is required to correct the above-noted inconsistency within a time period of ONE MONTH or THIRTY (30) DAYS, whichever is longer, from the mailing date of this Notice, or within the time remaining in the time period set forth in the Notice of Allowability (Form PTOL-37) to file corrected drawings, whichever is longer. NO EXTENSION OF THIS TIME PERIOD MAY BE GRANTED UNDER EITHER 37 CFR 1.136 (a) OR (b)

Failure to correct the above noted inconsistency will result in abandonment of the application.

The file will be held in the Publishing Division to await the correction of the inconsistency.

Return Corrected Drawings/Specification to: Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Office of Patent Publication/Publishing Division Customer Service: 703-308-6789 1-888-786-0101

FORM PTO-1631 (REV. 10-03)

P.O. Box 1450, Alexandria, Virginia 22313-1450 - WWW.USPTO.GOV

Petitioner Apple Inc. - Exhibit 1002, p. 514

PRINTER RUSH (PTO ASSISTANCE) Sca Reguest					
Application: 09284113 Examiner: NGUYEN GAU: 2161					
From: J. BIACH Location: DC FMF FDC Date: 9/17/05					
Tracking #: 06086395 Week Date: 3/14/05					
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* Original independent claim 37 is seidembered as 2 * Original dependent claim 2 is senumbered as 14 * see the issue classification					

INITIALS: LN

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH. REV 10/04

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	Application No.	Applicant(s)
Issue Classification	09/284,113	DE ANGELO, MICHAEL
	Examiner	Art Unit
	CamLinh Nguyen	2161

	ISSUE CLASSIFICATION																			
	ORIGINAL CROSS REFERENCE(S)																			
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U.S. Patent and Trademark Office

Part of Paper No. 20050104 Petitioner Apple Inc. - Exhibit 1002, p. 516



Application No.	Applicant(s)
09/284,113	DE ANGELO, MICHAEL
Examiner	Art Unit
CamLinh Nguyen	2161

ISSUE CLASSIFICATION												
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U.S. Patent and Trademark Office

Part of Paper No. 20050104

10/24/2005 16:57 FAX 1 858 678 5099

OCT 2 4 2005

Attorney's Docket No.: 17776-002US1

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (571) 273-8300

5

Number of pages including this page

Applicant	:	DeAngelo, Michael
Serial No.	:	09/284,113
Filed	:	April 7, 1999

Art Unit : 2161 Examiner : Cam Lihn T. Nguyen

Title : System and Method for Creating and Manipulating Information Containers with Dynamic Registers

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Response to Notice of Drawing Inconsistency and copy of the Notice of Drawing Inconsistency with Specification, faxed this 24th day of October, 2005, to the United States Patent and Trademark Office.

Respectfully submitted,

Carl A. Kukkonen, III

Reg. No. 42,773

WILLIAM E. HUNTER REG. NO 47.671

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Fax: (858) 678-5099

Date: October 24, 2005

10564047.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (858) 678-5070 to arrange for its return. Thank you.

PAGE 1/5 * RCVD AT 10/24/2005 8:00:03 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:1 858 678 5099 * DURATION (mm-ss):01-46

10/24/2005 16:57 FAX 1 858 678 5099

FISH AND RICHARDSON

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OCT 2 4 2005

Attorney's Docket No.: 17776-002US1/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : DeAngelo, MichaelArt Unit: 2161Serial No.: 09/284,113Examiner: Cam Lihn T. NguyenFiled : April 7, 1999Title : SYSTEM AND METHOD FOR CREATING AND MANIPULATING
INFORMATION CONTAINERS WITH DYNAMIC REGISTERS

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF DRAWING INCONSISTENCY

In response to Notice of Drawing Inconsistency mailed September 23, 2005 (copy enclosed), please amend the application as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

October 24, 2005	
Date of Transmission	
)
Rennica) Whalen)
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Signature	

Veronica Whalen Typed or Printed Name of Person Signing Certificate

PAGE 2/5 * RCVD AT 10/24/2005 8:00:03 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:1 858 678 5099 * DURATION (mm-ss):01-46

Petitioner Apple Inc. - Exhibit 1002, p. 519



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOTICE OF DRAWING INCONSISTENCY WITH SPECIFICATION

The drawings filed 04 - 07 - 1999 have been received. However, an inconsistency exists between the drawings and the Brief Description of the Drawings in the specification.

Figure _____ is listed in the Brief Description of the Drawings in the specification but not contained in the Drawings.

Figure 3B is contained in the Drawings but not listed in the Brief Description of the Drawings in the specification.

Applicant is required to correct the above-noted inconsistency within a time period of ONE MONTH or THIRTY (30) DAYS, whichever is longer, from the mailing date of this Notice, or within the time remaining in the time period set forth in the Notice of Allowability (Form PTOL-37) to file corrected drawings, whichever is longer. NO EXTENSION OF THIS TIME PERIOD MAY BE GRANTED UNDER EITHER 37 CFR 1.136 (a) OR (b)

Failure to correct the above noted inconsistency will result in abandonment of the application.

The file will be held in the Publishing Division to await the correction of the inconsistency.

Return Corrected Drawings/Specification to: Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Office of Patent Publication/Publishing Division Customer Service: 703-308-6789 1-888-786-0101

FORM PTO-1631 (REV. 10-03)

P.O. Box 1450. Alexandria, Virginia 22313-1450 - www.uspro.gov PAGE 5/5 * RCVD AT 10/24/2005 8:00:03 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:1 858 678 5099 * DURATION (mm-ss):01-46

Petitioner Apple Inc. - Exhibit 1002, p. 520

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Attorney's Docket No.:17776-002US1

Amendments to the Specification:

Please add a new paragraph after the paragraph beginning at page 9, line 2:

Fig. 3B is a graphical representation for a second embodiment of a container having a plurality of containers nested within that container.

PAGE 3/5 * RCVD AT 10/24/2005 8:00:03 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:1 858 678 5099 * DURATION (mm-ss):01-46

2

Attorney's Docket No.: 17776-002US1

REMARKS

The specification has been amended to add a brief description for Fig. 3B (for support, see, inter alia, specification page 17, lines 3-12). No new matter is added. Hence, the application as amended is now in full condition for issuance.

Applicants ask that this matter now proceed to issuance. Please apply any applicable charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Oct. 24, 2005 Date:

San Diego, California 92130 (858) 678-5070 telephone (858) 678-5099 facsimile

Fish & Richardson P.C.

12390 El Camino Real

Kukkonen, III

Reg. No. 42.

WILLIAM E. HUNTER REG. NO 47,671

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PAGE 4/5 * RCVD AT 10/24/2005 8:00:03 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:1 858 678 5099 * DURATION (mm-ss):01-46

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Petitioner Apple Inc. - Exhibit 1002, p. 522

A CONTRACTOR			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F4 P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/284,113	04/07/1999	MICHAEL DE ANGELO	17776-002US1	1910
26181 75	590 01/17/2006		EXAM	NER
	IARDSON P.C.		NGUYEN, C	AM LINH T
PO BOX 1022	S, MN 55440-1022		ART UNIT	PAPER NUMBER
WINNEAT OLI	5, IVIIN 55770-1022		2161	

Please find below and/or attached an Office communication concerning this application or proceeding.

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1

Response to Rule 312 Communication	Application No. 09284113	Applicant(s)		
	Examiner		Art Unit	
The MAILING DATE of this communication appea	urs on the cover sheet	with the cor	respondence addr	ess
1. The amendment filed on $10 - 24 - 05$ a) relation and relation and relation and relation and relation and relation and relationships and relation and relationships and re	under 37 CFR 1.312	2 has been co	onsidered, and has	been:
b) entered as directed to matters of form not affecting the sco	ope of the invention.			
c) 🔲 disapproved because the amendment was filed after the pa	syment of the issue fee			
Any amendment filed after the date the issue fee is paid n	nust be accompanied b	y a petition	under 37 CFR 1.3	13(c)(1)
and the required fee to withdraw the application from issu	е.			
d) disapproved. See explanation below.				
e) entered in part. See explanation below.			ι.	
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Publishing Division				
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Adexandra, Virginia 22313-1450 www.usplo.gov

BIBDATASHEET

Bib Data Sheet

CONFIRMATION NO. 1910

SERIAL NUMBER 09/284,113		FILING DATE 04/07/1999 RULE	C	CLASS 707		GROUP ART UNIT 2161		ATTORNEY DOCKET NO. 7776-002US1
** CONTINUING This applic	DATA cation	is a 371 of PCT/US99/	01988 0 ⁻					
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PTO/SB/83 (01-06) Approved for use through 12/31/2008. OMB 0651-0035 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Pape	erwork Reduction	Act of 1995, no persons are		,	unless it displays a valid OMB control number.	
DEAUE			Application Number	0	9/284,113	
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		OR AGENT	First Named Inventor	N N	1ichael De Angelo	
			Art Unit	2	171	
CURRES	SPUNDEN	CE ADDRESS	Examiner Name	C	Cam Linh T. Nguyen	
			Attorney Docket Nun	ber P	ATT-002/01US	
P.O. Box 1	issioner for 450 a, VA 22313-					
Please withd [] all t	fraw me as at the attorneys/	torney or agent for the agents of record.	e above identified pate	nt application, and	d	
[] the	attorneys/age	ents (with registration	numbers) listed on the	attached paper(s	s), or	
[X] the a	attorneys/age	nts associated with C	ustomer Number	23419		
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OR						
[X] Firn Indi	n <i>or</i> vidual Name	Michael De Ang	gelo, Pattern Intelli	gence, Inc.		
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City	****	Forestville	State CA		Zip 95472	
Country		U.S.A.	4			
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ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any

comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S.

Patent

and Trademark Office, U.S. Department of Commerce; P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS
ADDRESS SEND TO: Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22242, 1450.

Electronic Acl	Electronic Acknowledgement Receipt							
EFS ID:	3279720							
Application Number:	09284113							
International Application Number:								
Confirmation Number:	1910							
Title of Invention:	SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS							
First Named Inventor/Applicant Name:	MICHAEL DE ANGELO							
Customer Number:	26181							
Filer:	William S. Galliani/Gina Luna							
Filer Authorized By:	William S. Galliani							
Attorney Docket Number:	17776-002US1							
Receipt Date:	09-MAY-2008							
Filing Date:	07-APR-1999							
Time Stamp:	12:41:49							
Application Type:	U.S. National Stage under 35 USC 371							

Payment information:

Submitted with	Payment		no					
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

United St	ates Patent and Tradema	UNITED STA United States Address: COMMIS P.O. Box 1	a, Virginia 22313-1450		
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE		
09/284,113	04/07/1999	MICHAEL DE ANGELO	17776-002US1		
			CONFIRMATION NO. 1910		
26181		IMPROPE	R CPOA LETTER		
FISH & RICHARDSON P. PO BOX 1022 MINNEAPOLIS, MN 5544		*OC00000030002140*			
,			Date Mailed: 05/21/2008		

NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/09/2008. The Power of Attorney in this application is not accepted for the reason(s) listed below:

• The attorney or agent requesting to withdraw has not been appointed attorney of record in the application (i.e., there is no power of attorney). Accordingly, the withdrawal cannot be accepted because the practitioner seeking to withdraw is not of record. Moreover, a patent practitioner cannot withdraw another practitioner of record unless they also withdraw himself or herself, and affirm that they are acting on behalf of the other patent practitioner(s). To revoke the power of attorney given to another patent practitioner, the applicant must comply with 37 CFR 1.36(a).

/atesfai/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	:	09/284,113
Patent No.	:	7,010,536
Applicant	:	MICHAEL DE ANGELO
Filing Date	:	04-07-1999
Issue Date	:	03-07-2006
Title	:	SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS
Confirmation N	o. :	1910
Docket No.	:	20933.4001
Customer No.	:	34313

Via EFS Web Attn: Office of Petitions

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR APPLICANT'S MISTAKE (37 CFR 1.323)

Dear Sir:

- 1. Attached is Form PTO/SB/44 with at least one copy being suitable for printing
- 2. Applicant requests a correction of following words which were inadvertently included on the above-referenced patent due to a typographical mistake.

Claim 1, Page 30, Line 26 intersect (typo) should be replaced with interact (correct)

Claim 2, Page 30, Line 49 resister (typo) should be replaced with register (correct)

3. Applicant believes than an erroneous placement of the word "time" instead of the word "space" (Claim 16, page 32, line 33), and the word "event time" instead of the word "three dimensional space" (Claim 16, page 32, line 37) occurred due to a clerical error related to a cut and paste operation while employing parallel

OHSUSA:750733664.1

Applicant	:	MICHAEL DE ANGELO
Patent. No.	:	7010536
Docket No.	:	705397.4065

construction. Specification and argument indicate the logic of the requested correction.

Claim 16, Page 32, Line 33 "time" (incorrect) should be replaced with "**space**" (correct)

Claim 16, page 32, line 37

"event time" (incorrect) should be replaced with "three dimensional space" (correct)

Remarks: Applicant indicates that the corrections are supported by syntax, parallel construction, and word use in the claims themselves, especially between Claims 15 and 16, and in the drawings, and in the summary and specification throughout. The correction is further supported by arguments for Claim 16 to the USPTO, January 3, 2005, our attorney argument versus Chiussi as not including "space". Also "space" is already established in Claim 2; therefore the change does not expand because Claim 16, becomes only a combination of "space" as already granted in Claim 1, and "acquire" as already granted in Claim 15 and 16, and shown thought the specification and in Drawings, Figure 4, Registers "Acquire" (123000) and "Active Space" (111000), "Passive Space" (112000), and "Neutral Space" (113000), and described processes in Preferred Embodiment, and as describing processes in Figure 13 B, describing the acquisition of time and "space relevant" containers, and in Figure 13 C, and in Figure 14, "acquire 1404, edit 1405, or create a register 1406 to append or detach registers 120 to those containers" and Figure 16, and in paragraphs describing "an acquire register 123000, enabling the user to search and utilize other registers residing on the network".

4. Applicant believes that the above-referenced corrections do not constitute in the addition of new matter.

5. Fee and Method of Fee Payment for Certificate of Correction:



To our knowledge, no fees are required.



Attached is a check for _____.

 \boxtimes

Charge Account 15-0665 (\$<u>100.00</u>).

OHSUSA:750733664.1

Applicant	:	MICHAEL DE ANGELO
Patent. No.	:	7010536
Docket No.	:	705397.4065

- If any additional fees are due, please charge Account 15-0665.
- 6 Please forward the Certificate to the undersigned.

Respectfully submitted, ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: May 2, 2012

By: <u>/Mark Shean/</u> Mark J. Shean

Reg. No. 54441

Orrick, Herrington & Sutcliffe LLP 2050 MAIN STREET, SUITE 1100 IRVINE, CA 92614-8255 Tel. 949-567-6700 Fax: 949-567-6710

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7010536

APPLICATION NO.: 09/284,113

ISSUE DATE: : 03-07-2006

INVENTOR(S) : MICHAEL DE ANGELO

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 1, Page 30, Line 26,

between the words "may" and "with" delete the word "intersect", insert therefor the word "interact"

Claim 2, Page 30, Line 49

between the words "passive" and "for" delete the word "resister", insert therefor the word "register"

Claim, 16, Page 32, Line 33

between the words "designating" (from previous line) and "and governing" delete the word "time", insert therefor the word "space"

Claim, 16, Page 32, Line 37

between the words "external-to-the-apparatus" and ",and" delete the word "event time", insert therefor the word "three dimensional space"

MAILING ADDRESS OF SENDER (Please do not use customer number below): ORRICK, HERRINGTON & SUTCLIFFE LLP 2050 MAIN STREET, SUITE 1100 IRVINE CA 92614-8255

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DNOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Petitioner Apple Inc. - Exhibit 1002, p. 533

Page <u>1</u> of <u>1</u>

PTO/SB/96 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to réspond to a collection of information unless il displays a valid OMB control number.
STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: MICHAEL DE ANGELO
Application No./Patent No.: 7010536 Filed/Issue Date: 03/07/2006
Entitled: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS
INCANDESCENT, INC. , a CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. 🔀 the assignee of the entire right, title, and interest in;
 an assignee of less than the entire right, title and interest in (The extent (by percentage) of its ownership interest is%); or
3. In the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
in the patent application/patent identified above by virtue of either:
 A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. OR
B. 🗌 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
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Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy <i>(i.e.,</i> a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Signature Date
MICHAEL DE ANGELO CEO
Printed or Typed Name Title
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/81A (12-08)

Approved for use through 11/30/2011. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PATENT - POWER OF ATTORNEY
OR
REVOCATION OF POWER OF ATTORNEY
First Named Inventor
Michael J. DE ANGELO

WITH A NEW POWER OF ATTORNEY	Title
AND	
CHANGE OF CORRESPONDENCE ADDRESS	Attorney Do

L		
	Issue Date	07-Mar-2006
γĺ	First Named Inventor	Michael J. DE ANGELO
•	Title	SYSTEM AND METHOD FOR CREA
ss	Attomey Docket Number	20933.4001

hereby	v revoke all	previous i	nowers of	fattornev	aiven i	n the	above-identif	ied patent.
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OR	A Power of At	torney is submitted herewith.				
×	attomey(s) or	int Practitioner(s) associated with agent(s) with respect to the paten ites Patent and Trademark Office	it identified abov	e, and t		34313
		int Practitioner(s) named below as transact all business in the United				
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	nventor, having Patent owner.	ownership of the patent. 37 CFR 3.73(b) (Form PTO/SB/96) st	ubmitted herewith	or filed a	n	
	· · · · ·	AAA SIGNATURE of	Inventor or Pater	nt Owne	r	2 2016
Signatu	ıre	MICHMEL DE AND	ALD.			2,202
Name Title an	d Company	CEO INCHMESCE	MT.INC	<u></u>	Telephone	
NOTE: S		e inventors or patent owners of the entire			e(s) are required. Submit mult	iple forms if more than one
-	Total of	forms are submitted.				·····

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 4 Patent #: 7010536 Issue Dt: 03/07/2006 **Application #:** 09284113 Filing Dt: 04/07/1999 Inventor: MICHAEL DE ANGELO Title: SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS Assignment: 1 Reel/Frame: 010517/0456 Recorded: 04/07/1999 Pages: 2 Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Exec Dt: 04/05/1999 Assignor: DE ANGELO, MICHAEL Assignee: EMATRIX CORPORATION SUITE C 104 WEST ANAPAMU SANTA BARBARA, CALIFORNIA 93101 Correspondent: FENWICK & WEST LLP GREG T. SUEOKA, ESQ. TWO PALO ALTO SQUARE PALO ALTO, CA 94306 Assignment: 2 Reel/Frame: 016675/0763 Recorded: 06/09/2005 Pages: 2 Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Exec Dt: 06/06/2005 Assignor: EMATRIX CORPORATION Assignee: PATTERN INTELLIGENCE, INC. 100 SOUTH SUNRISE WAY PALM SPRINGS, CALIFORNIA 92264 Correspondent: FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022 **Assignment: 3** Reel/Frame: 022878/0481 Recorded: 06/29/2009 Pages: 3 Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Exec Dt: 06/26/2009 Assignor: PATTERN INTELLIGENCE, INC. Assignee: INCANDESCENT, INC. P.O. BOX 15194 SAN RAFAEL, CALIFORNIA 94915 Correspondent: SANJEET K. DUTTA 4 PARK PLAZA **SUITE 1600** IRVINE, CA 92614 Assignment: 4 Reel/Frame: 022886/0273 Recorded: 06/29/2009 Pages: 3 Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Assignor: EMATRIX CORPORATION Exec Dt: 06/26/2009

http://assignments.uspto.gov/assignments/q?db=pat&qt=pat&reel=&frame=&pat=7010536... 5/2/2012

Assignee: PATTERN INTELLIGENCE, INC. P.O. BOX 15194 SAN RAFAEL, CALIFORNIA 94915 Correspondent: SANJEET K. DUTTA 4 PARK PLAZA **SUITE 1600** IRVINE, CA 92614

Search Results as of: 05/02/2012 09:56 PM If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.3.1 Web interface last modified: Jan 26, 2012 v.2.3.1

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Electronic Patent Application Fee Transmittal					
Application Number:	092	284113			
Filing Date:	07-	Apr-1999			
Title of Invention:		STEM AND METHOD NTAINERS WITH DY			ING INFORMATION
First Named Inventor/Applicant Name:	MI	CHAEL DE ANGELO			
Filer:	Ma	rk Jonathan Shean/	Victor Santos		
Attorney Docket Number: 17776-002US1					
Filed as Large Entity					
U.S. National Stage under 35 USC 371 Filing	Fee	S			
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Certificate of correction		1811	1	100	100
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	100

Electronic Acknowledgement Receipt				
EFS ID:	12691189			
Application Number:	09284113			
International Application Number:				
Confirmation Number:	1910			
Title of Invention:	SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION CONTAINERS WITH DYNAMIC REGISTERS			
First Named Inventor/Applicant Name:	MICHAEL DE ANGELO			
Customer Number:	26181			
Filer:	Mark Jonathan Shean/Victor Santos			
Filer Authorized By:	Mark Jonathan Shean			
Attorney Docket Number:	17776-002US1			
Receipt Date:	02-MAY-2012			
Filing Date:	07-APR-1999			
Time Stamp:	22:08:10			
Application Type:	U.S. National Stage under 35 USC 371			

Payment information:

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Payment Type	Deposit Account				
Payment was successfully received in RAM	\$100				
RAM confirmation Number	9157				
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-	any Additional Fees required under 37 C.F. any Additional Fees required under 37 C.F.				
-	any Additional Fees required under 37 C.F.				
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		transmittal.pdf	273715		
1	Request for Certificate of Correction	f040ad00733b0669b4a6af13f4cb89570399 8473	no	4	
Warnings:				I	
Information:					
2		POA.PDF	354807	Nos	4
2		r OA.F DF	2fcac14f4daa693cc01fb8faeb050ff83950e9 67	yes	4
	Multip	art Description/PDF files in .	zip description		
	Document Des	scription	Start	Eı	nd
	Assignee showing of owners	nip per 37 CFR 3.73(b).	1		1
	Power of Att	orney	2		4
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	30273	no	2
			d5fbff59791787a0377fc3d000d88297afed8 f18		
Warnings:					
Information:			1		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.					
<u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.					
<u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.					
lf a new inter an internatio and of the In	tional Application Filed with the USP mational application is being filed ar mal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack on.	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	of the International A ourse, subject to pres	Application criptions co	Number oncerning

SPE RESPONSE FOR CERTIFICATE OF CORRECTION

DATE : _____05-11-12____

TO SPE OF : ART UNIT ______

SUBJECT : Request for Certificate of Correction for Appl. No.: 09/284113 Patent No.: 7010536

COCIN mailroom date: <u>05-02-12</u>

Paper No.:

Please respond to this request for a certificate of correction within 7 days.

FOR IFW FILES:

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code **COCX**.

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In particular note: _____

Certificates of Correction Branch 703-756-1814

Thank You For Your Assistance

The request for issuing the above-identified correction(s) is hereby: Note your decision on the appropriate box.

Approved All changes appl	у.
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Approved in Part

Denied

State the reasons for denial below.

Specify below which changes **do not** apply.

Comments: _____

SPE Art Unit U.S. DEPARTMENT OF ADMERCE Patent AND T PORTARY . 942

SPE RESPONSE FOR CERTIFICATE OF CORRECTION

DATE : ____05-11-12

TO SPE OF : ART UNIT ______

SUBJECT : Request for Certificate of Correction for Appl. No.: 09/284113 Patent No.: 7010536

COCIN mailroom date: 05-02-12

Paper No.: ____

Please respond to this request for a certificate of correction within 7 days.

FOR IFW FILES:

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced nor should the scope or meaning of the claims be changed.

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Certificates of Correction Branch 703-756-1814

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306 (REV. 7/03)	U.S. DEPARTMENT OF COMMERCE	Art Uni
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omments:		
Denied	State the reasons for denial	below.
Approved in Part	Specify below which change	es do not apply.
Approved	All changes apply.	
XI Anneward	All changes such	

UNITED ST	ates Patent and Tradema	UNITED STAT United States Address: COMMIS P.O. Box I	Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/284,113	04/07/1999	MICHAEL DE ANGELO 17776-002US	
26181 FISH & RICHARDSON P.C. (SV) PO BOX 1022 MINNEAPOLIS, MN 55440-1022		POWER O	CONFIRMATION NO. 1910 F ATTORNEY NOTICE

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/02/2012.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/ttkim/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

UNITED ST	ates Patent and Tradem	UNITED STAT United States Address: COMMIS P.O. Box I	Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/284,113 04/07/1999		MICHAEL DE ANGELO	20933.4001
			CONFIRMATION NO. 1910
34313		POA ACCE	EPTANCE LETTER
ORRICK, HERRINGTON & SUTCLIFFE, LLP IP PROSECUTION DEPARTMENT 2050 Main Street, Suite 1100 IRVINE, CA 92614			C000000054363108*

Date Mailed: 05/23/2012

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/02/2012.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ttkim/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.	: 7,010,536 B1
APPLICATION NO.	: 09/284113
DATED	: March 7, 2006
INVENTOR(S)	: Michael De Angelo

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 1, Page 30, Line 26, between the words "may" and "with" delete the word "intersect", insert therefor the word --interact--Claim 2, Page 30, Line 49, between the words "passive" and "for" delete the word "resister", insert therefor the word --register--Claim, 16, Page 32, Line 33, between the words "designating" (from previous line) and "and governing" delete the word "time", insert therefor the word --space--Claim, 16, Page 32, Line 37, between the words "external-to-the-apparatus" and ", and" delete the word "event time", insert therefor the word --three dimensional space--

> Signed and Sealed this Twenty-sixth Day of June, 2012

land J.

David J. Kappos Director of the United States Patent and Trademark Office

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:			NEW ASSIGNMENT	
NATURE OF CONVEYANCE:			ASSIGNMENT	
CONVEYING PARTY DATA				
		N	lame	Execution Date
Incandescent, Inc.				07/11/2012
RECEIVING PARTY DATA				
Name:	Evolutionary I	Intellige	ence, LLC	
Street Address:	c/o Gutride Sa	afier Ll	LP, 835 Douglass Street	
City:	San Francisco	0		
State/Country:	CALIFORNIA			
Postal Code:	94114			
PROPERTY NUMBER	RS Total: 3			
Property Ty	/pe		Number	
Patent Number:		70105	36	
Patent Number: 77026			82	
Patent Number: 78736			82	
CORRESPONDENCE DATA				
Fax Number: 4154496469				
Correspondence will b			hen the fax attempt is unsuccessful.	
Phone: 415-789-6390				
Email: todd@gutridesa				
Correspondent Name:Todd KennedyAddress Line 1:835 Douglass S				
Address Line 1:835 Douglass StreetAddress Line 4:San Francisco, CALIFORNIA 94114				
NAME OF SUBMITTER: Todd Kennedy				
			This document serves as an Oath/Declaration	n (37 CFR 1.63).
	source=patent assignment executed and notarized#page1.tif source=patent assignment executed and notarized#page2.tif			
			retutioner Apple	Inc Exhibit 1002, p. 547

PATENT ASSIGNMENT

Inventor:	Michael De Angelo
U.S. Patent Nos .:	7,010,536; 7,702,682; 7,873,682
Application Nos.:	09/284,113; 11/280,700; 12/691,425
Filing Dates:	1/28/99; 11/14/05; 1/21/10
Titles:	"System and method for creating and manipulating information containers with dynamic registers"

WHEREAS, Incandescent, Inc., a Delaware corporation having an office and place of business at 100 Pine Street, San Francisco, California 94111 (hereinafter referred to as "ASSIGNOR") is the current assignee of the U.S. Patents listed above (hereinafter referred to as the "PATENTS"); and

WHEREAS, Evolutionary Intelligence, LLC, a Delaware limited liability company having an office and place of business at 100 Pine Street, Suite 500, San Francisco, California 94111 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest throughout the world in and to the PATENTS and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns and transfers unto ASSIGNEE, the entire right, title and interest throughout the world in and to the PATENTS, the inventions described therein, and any and all letters patent which may be granted or have been granted, including all potential, existing, and future causes of action and associated past, present and future damages, for said inventions in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues, continuations, continuations-in-part, and certificates of correction thereof, including the right to file domestic and foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from the applications leading to the PATENTS, said inventions, PATENTS, and all other letters patent deriving from said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment not been made.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent on said inventions to ASSIGNEE. ASSIGNOR warrants that ASSIGNOR is the rightful owner of the PATENTS and said invention, and that there are no others who could make a claim against the rights being assigned, and that the rights being assigned are subsisting and are not assigned, licensed, or otherwise diluted in any way. ASSIGNOR further agrees to execute and deliver any further papers and do such other acts as may be necessary and proper to vest full title in and to the PATENTS in the ASSIGNEE. ASSIGNOR further agrees to execute all instruments and documents required for the making and prosecution of foreign and domestic applications for letters patent on said inventions, and for litigation regarding said letters patent. ASSIGNOR also covenants that, if there are any disputes, actions, litigations, trials, or any other challenges related to the rights being assigned, then ASSIGNOR shall assist ASSIGNEE to the best of ASSIGNOR's ability.

IN WITNESS WHEREOF, ASSIGNOR has caused these presents to be duly executed in a manner appropriate thereto this 9th day of July, 2012.

ASSIGNOR:

Incandescent, Inc.

By: Michael De Angelo

CEO

Gee. 3.14 , 2012. Signed before me this 1/ day of

Notary Public

ASSIGNEE hereby accepts this assignment.

ASSIGNEE:

Evolutionary Intelligence, LLC

De Mica A By: Michael De Angelo

Manager

Set her ly Signed before me this 1 day of . 2012.

Notary Public

	Mail Stop 8 S. Patent and Trademark O P.O. Box 1450 Idria, VA 22313-1450	FILING OR DET ACTION REGA	RT ON THE ERMINATION OF AN RDING A PATENT OR DEMARK	
filed in the U.S. Dist		Easte	1116 you are hereby advised that rn District of Texas is 35 U.S.C. § 292.):	a court action has been on the following
DOCKET NO. 6:12-cv-794	DATE FILED 10/17/2012	U.S. DI	STRICT COURT Eastern Distr	ict of Texas
PLAINTIFF			DEFENDANT	
Evolutionary Intelligence, LLC			Yelp, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATEN	T OR TRADEMARK
1 7,010,536	3/7/2006	Evo	utionary Intelligence, LLC	
2 7,702,682 4/20/2010 E		Evo	utionary Intelligence, LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		iment 🗌 Answe	r 🗌 Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	НО	LDER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

DOCKET NO. 6:12-cv-783	DATE FILED 10/17/2012	U.S. DI	STRICT COURT Eastern District of Texas
PLAINTIFF			DEFENDANT
Evolutionary Intelligence, LLC			Apple, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,010,536	3/7/2006	Evolutionary Intelligence, LLC	
2 7,702,682	4/20/2010	Evolutionary Intelligence, LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
		ndment	Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDEI	R OF PATENT OR	FRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF ACTION REGARDING A PATENT TRADEMARK	
filed in the U.S. Dist	-	Easter	1116 you are hereby advised that a court action has been n District of Texas on the follo	owing
Trademarks or DOCKET NO. 6:12-cv-784	DATE FILED 10/17/2012		TRICT COURT Eastern District of Texas	
PLAINTIFF Evolutionary Intelligence, LLC		I	DEFENDANT Facebook, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7 040 526	2/7/2006	Evolutionary Intelligence, LLC		

TRADEMARK NO.	OR TRADEMARK		
1 7,010,536	3/7/2006	Evolutionary Intelligence, LLC	
2 7,702,682	4/20/2010	Evolutionary Intelligence, LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10) **REPORT ON THE** Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office **ACTION REGARDING A PATENT OR** P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas on the following filed in the U.S. District Court \blacksquare Patents. (\square the patent action involves 35 U.S.C. § 292.): Trademarks or U.S. DISTRICT COURT DATE FILED DOCKET NO. Eastern District of Texas 10/17/2012 6:12-cv-785 DEFENDANT PLAINTIFF Foursquare Labs, Inc. Evolutionary Intelligence, LLC DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. Evolutionary Intelligence, LLC 1 7,010,536 3/7/2006 4/20/2010 Evolutionary Intelligence, LLC 2 7,702,682 3 4 5

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
		ndment	Answer	Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 6:12-cv-00787 Document 2 Filed 10/17/12 Page 1 of 1 PageID #: 105

AO 120 (Rev. 08/10) **REPORT ON THE** Mail Stop 8 TO: FILING OR DETERMINATION OF AN Director of the U.S. Patent and Trademark Office **ACTION REGARDING A PATENT OR** P.O. Box 1450 Alexandria, VA 22313-1450 **TRADEMARK** In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas on the following filed in the U.S. District Court ☑ Patents. (□ the patent action involves 35 U.S.C. § 292.): Trademarks or DOCKET NO. DATE FILED U.S. DISTRICT COURT 10/17/2012 Eastern District of Texas 6:12-cv-787 DEFENDANT PLAINTIFF Groupon, Inc. Evolutionary Intelligence, LLC PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO. Evolutionary Intelligence, LLC 1 7,010,536 3/7/2006 2 7,702,682 4/20/2010 Evolutionary Intelligence, LLC 3 4 5

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office	RI FILING OR I
	P.O. Box 1450	ACTION RE
	Alexandria, VA 22313-1450	
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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

 \Box Trademarks or \blacksquare Patents. (\Box the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:12-cv-789	DATE FILED 10/17/2012	U.S. DISTRICT COURT Eastern District of Texas		
PLAINTIFF			DEFENDANT	
Evolutionary Intelligence, LLC		Livingsocial, Inc.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7,010,536	3/7/2006	Evolutionary Intelligence, LLC		
2 7,702,682	4/20/2010	Evolutionary Intelligence, LLC		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		lment 🗌 Answer	Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 6:12-cv-00790 Document 2 Filed 10/17/12 Page 1 of 1 PageID #: 105

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK			
-			1116 you are hereby advised that			
filed in the U.S. Dist	rict Court Patents. (🗌 the patent action		rn District of Texas	on the following		
Trademarks or						
DOCKET NO. 6:12-cy-790	DATE FILED 10/17/2012	U.S. DI	STRICT COURT Eastern Distri	ict of Texas		
PLAINTIFF			DEFENDANT			
Evolutionary Intelligence, LLC			Millennial Media, Inc.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATEN	T OR TRADEMARK		
1 7,010,536	3/7/2006	Evo	lutionary Intelligence, LLC			
2 7,702,682 4/20/2010 Evo		Evolutionary Intelligence, LLC				
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

AO 120 (Rev. 08/10)

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Petitioner Apple Inc. - Exhibit 1002, p. 556

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Case 6:12-cv-00791 Document 2 Filed 10/17/12 Page 1 of 1 PageID #: 105

AO 120 (Rev. 08/10)						
TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK			
			§ 1116 you are hereby advised that a court action has been ern District of Texas on the following es 35 U.S.C. § 292.):			
DOCKET NO. 6:12-cv-791	DATE FILED 10/17/2012	U.S. DISTRICT COURT Eastern District of Texas				
PLAINTIFF DEFENDANT						
Evolutionary Intelligence, LLC			Sprint Nextel Corporation, Sprint Communications Company L.P., Sprint Spectrum, L.P., Sprint Solutions, Inc.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 7,010,536	3/7/2006	Evo	lutionary Intelligence, LLC			
2 7,702,682	4/20/2010	Evolutionary Intelligence, LLC				
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

ſO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

DOCKET NO. 6:12-cv-792	DATE FILED 10/17/2012	U.S. DISTRICT COURT Eastern District of Texas		
PLAINTIFF	k		DEFENDANT	
Evolutionary Intelligence, LLC			Twitter, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7,010,536	3/7/2006	Evolutionary Intelligence, LLC		
2 7,702,682	4/20/2010	Evolutionary Intelligence, LLC		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy