Presentation of Petitioners

IPR 2014-00029 IPR 2014-00031 IPR 2014-00040 IPR 2014-00044

U.S. Patent No. 6,771,290

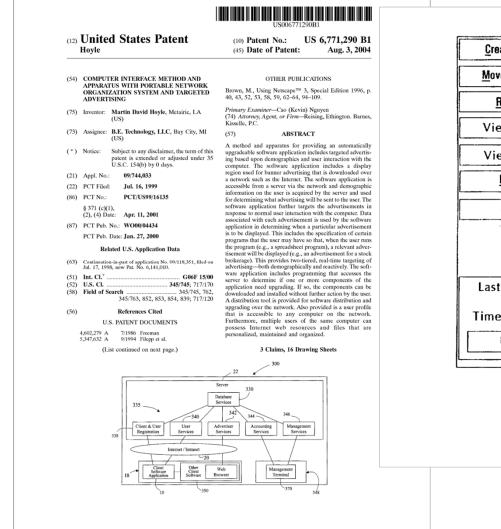
Principal Prior Art at Issue

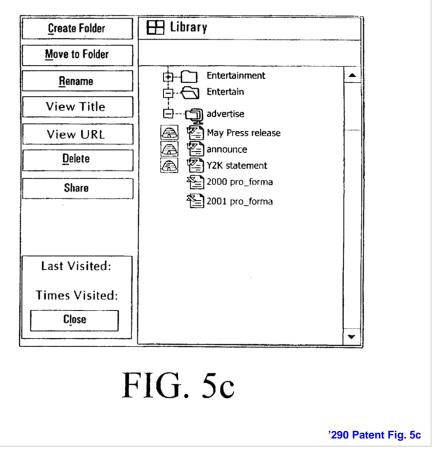
 PCT International Publication Number WO 97/09682 to Kikinis ("Kikinis"), published Mar. 13, 1997 is prior art under § 102(b)

The '290 Patent

United States Pat			
²⁾ United States Pat (12) United States	s Pate	nt	(10) Patent No.: US 6,771,290 B1
b) COMPUTER INTERFACE MET Hoyle			(45) Date of Patent: Aug. 3, 2004
APPARATUS WITH PORTABLE ORGANIZATION SYSTEM AND TARGETED JUNE ADVERTISING 40, 43, 52, 53, 58, 59, 62–64, 94–109.	p.		
 Inventor: Martin David Hoyle, Metairie, LA (US) Primary Examiner—Cao (Kevin) Nguyen (74) Attorney, Agent, or Firm—Reising, Ethington. Barne Kisselle, PC. 		COMDUT	ED INTEDEACE METHOD AND
Assignce: B.E. Technology, LLC, Bay City, MI (57) ABSTRACT (US) (57)	(54)		ER INTERFACE METHOD AND
) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.	s- he		TUS WITH PORTABLE NETWORK
1) Appl. No.: 09/744,033 compared for the software application includes a display region used for banner advertising that is downloaded ow a network such as the Internet. The software application	er	ORGANIZ	ZATION SYSTEM AND TARGETED
2) PCT Filed: Jul. 16, 1999 accessible from a server via the network and demograph information on the user is acquired by the server and use	ic d	ADVERT	ISING
§ 371 (c)(1), software application further targets the advertisements	in		
(2), (4) Date: Apr. 11, 2001 response to normal user interaction with the computer. Data associated with each advertisement is used by the softwa application in determining when a particular advertisement	re	_	
PCT Pub. Date: Jan. 27, 2000 is to be displayed. This includes the specification of certai programs that the user may have so that, when the user run	in (75)	Inventor:	Martin David Hoyle, Metairie, LA
Related U.S. Application Data the program (e.g., a spreadsheet program), a relevant adve tissement will be displayed (e.g., an advertisement for a stor	r-		(US)
Continuation-in-part of application No. 09/118,351, filed on Jul. 17, 1998, now Pat. No. 6,141,010. brokerage). This provides two-tiered, real-time targeting advertising—both demographically and reactively. The soft	ì-		(03)
 Int. Cl.⁷	he		
 U.S. CL	n (73)	Assignee:	B.E. Technology, LLC, Bay City, MI
5) References Cited upgrading over the network. Also provided is a user profit that is accessible to any computer on the network	le	8	
U.S. PATENT DOCUMENTS Furthermore, multiple users of the same computer car possess Internet web resources and files that an			(US)
4,602,279 A 7/1986 Freeman personalized, maintained and organized. 5,347,632 A 9/1994 Filepp et al.			
(List continued on next page.) 3 Claims, 16 Drawing Sheets	(*)	Notice:	Subject to any disclaimer, the term of this
22 300			5
Server 330			patent is extended or adjusted under 35
Database			U.S.C. 154(b) by 0 days.
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Client & User User Adversiser Accounting Management Services Services	(21)	Appl. No.:	09/744,033
Job Internet / Intranet	(21)	7 1 1 1 1 1 1 1 1 1	v/// TT,000
20 Chear Chear Che	(22)	PCT Filed:	Jul. 16, 1999
			² ² 290 Patent at pg. 1.

The '290 Patent, Fig. 5c





(12) United States Patent

(10) Patent No.: US 6,771,290 B1

1. A computer-readable memory for use by a client computer to provide a user of the computer with an integrated, customized, graphical user interface to a plurality of computer resources, the computer-readable memory comprising:

- a non-volatile data storage device;
- a program stored on said non-volatile data storage device in a computer-readable format;
- said program being operable upon execution to display a graphical user interface comprising an application window separated into a number of regions,
- a first one of said regions including a number of graphical objects, at least some of which are each representative of a different software application and are selectable by the user via an input device, wherein said program is operable upon selection of one of said graphical objects to initiate execution of the software application associated therewith;

- a second one of said regions including a number of user-selectable items, at least some of which are each associated with a different data set, said data sets each comprising a number of links to different information resources, wherein said program is operable in response to selection of at least one of said items to provide the user with access to its associated data set;
- said program including a login module that is operable upon execution to identify the user of the computer; and
- said program being operable following execution of said login module to provide an identification of the user to the server and to receive from the server a user profile containing one or more user data sets and user links to information resources, with said program further being operable to display in one of said regions a userselectable item for each of said user data sets and each of said user links.



(12) United States Patent

(10) Patent No.: US 6,771,290 B1

2. A computer-readable memory for use by a client computer in conjunction with a server that is accessible by the client computer via a network, the server storing a user profile and user library for each of a number of different users, with the user library containing one or more files and 5 the user profile containing at least one user link that provides a, link to one of the files in the user library, the computer-readable memory comprising:

a non-volatile data storage device;

a program stored on said non-volatile data storage device in a computer-readable format;

said program being operable upon execution to display a graphical user interface comprising an application window having a number of user-selectable items displayed therein, wherein each of said items has associated with it a link to an information resource accessible via the network and wherein said program is operable upon execution and in response to selection by a user of one of said items to access the associated information resource over the network;

said program being operable upon execution to receive from server one of the user profiles and to display a user-selectable item for user links contained within the user profile, said program further being operable in response to selection by a user of one of the user links to access the file associated with the selected user link from the user library associated with the received user profile.

3. A computer-readable memory as defined in claim **2**, wherein said program is operable upon execution and in response to selection by a user of one of said items to access the associated information resource over the network using a browser.

* * * *

'290 Patent at 39:1-40:16 (Claims 2-3)

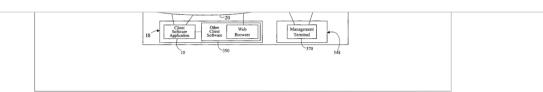


Exhibit 1014 - Petitioner Samsung Electronics America, Inc.

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INT	1) International (3) International	A1	ation ⁶ :	International Patent Classific G06F 17/30
(51) Inte G0	(81) Designated DK, E	96/00986 30.08.96)	mber: PCT/IB9 30 August 1996 (2	International Application Nu International Filing Date:
	Published With in Before claims amendi) US	ptember 1995 (01.09.95	Priority Data: 08/523,005 1 Sep
(21) Inte		London	B/GB]; 2 Apsley Way,	Applicant: ELONEX PLC [G NW2 7LF (GB).
(22) Inte				Inventor: KIKINIS, Dan; 2026 95070 (US).
(30) Prio		Barker & n WC2A	If et al.; Reginald W. I Chancery Lane, Londo	Agents: FREED, Arthur, Wool Co., Chancery House, 53-64 1QU (GB).
(57) A	ail, and facsimile trievable without he Internet. In a gateway from a	, voice-m e page re ccess to t aunch the	ection, including e-mail way interface in a home ice Provider granting ac otocol is required to la	A retrieval and auditing system orwarded over an Internet commi- j documents, provides a gatew d to a particular Internet Servi red embodiment a security pr page, ensuring that the person ments is the addressee.
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)						
(51) International Patent Classification	1 ⁶ :		(11) International Publication Number: WO 97/09682			
G06F 17/30		A1	(43) International Publication Date: 13 March 1997 (13.03.97)			
(21) International Application Number (22) International Filing Date:	r: PCT/IB 30 August 1996 (3		DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).			
(30) Priority Data: 08/523,005 1 Septemb	per 1995 (01.09.95) U	JS			

: SERVICE INDEPENDENT ELECTRONIC DOCUMENT SERVER

tract

retrieval and auditing system for electronic documents specifically addressed arded over an Internet connection, including e-mail, voice-mail, and facsimile ocuments, provides a gateway interface in a home page retrievable without a particular Internet Service Provider granting access to the Internet. In a embodiment a security protocol is required to launch the gateway from a e, ensuring that the person granted access to specifically-addressed electronic ts is the addressee.

Kikinis at pg. 1.

(Alia)

Kikinis, Fig. 2

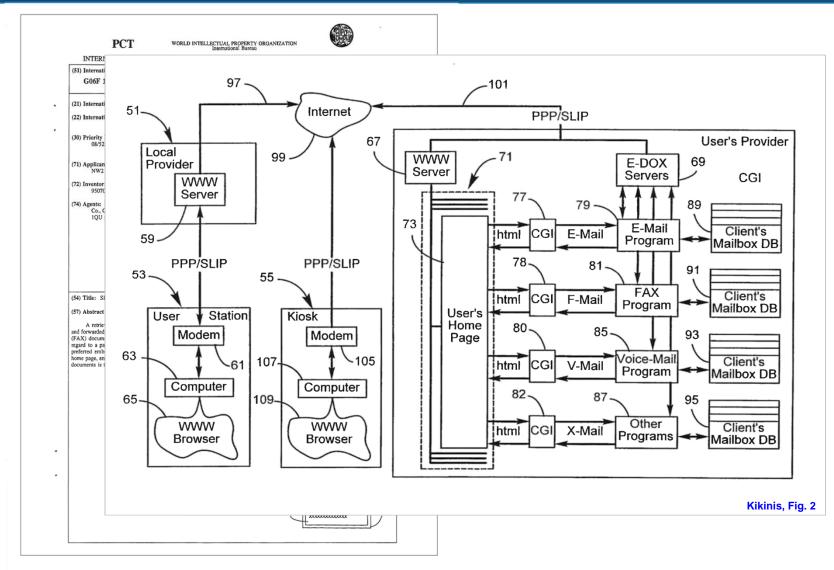


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Kikinis, Fig. 3

	A1	(11) International Publication Number: WO 97/09682 (43) International Publication Date: 13 March 1997 (13.03.97)	
 (21) International Application Number: PCC (22) International Filing Date: 30 August 19 (30) Priority Data: 08/523,005 1 September 1995 (01.0 (71) Applicant: ELONEX PLC [GB/GB]; 2 Apsley NW2 7LF (GB). (72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, 95070 (US). (74) Agents: FREED, Arthur, Woolf et al.; Reginald Co., Chancery House, 53-64 Chancery Lane, L 1QU (GB). 	9.95) US Vay, Londor Saratoga, CA W. Barker &	 DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. 	
(54) Title: SERVICE INDEPENDENT ELECTRONI (57) Abstract A retrieval and auditing system for electronic dd and forwarded over an Internet connection, including e- (FAX) documents, provides a gateway interface in a regard to a particular Internet Service Provider grantin preferred embodiment a security protocol is required home page, ensuing that the person granted access to s documents is the addressee.	cuments spe mail, voice-t home page r og access to to launch th	cifically addressed nail, addressed the intervention without the Internet. In a dressed electronic	
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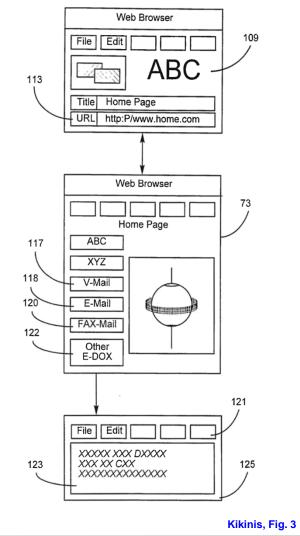


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Kikinis, Fig. 4

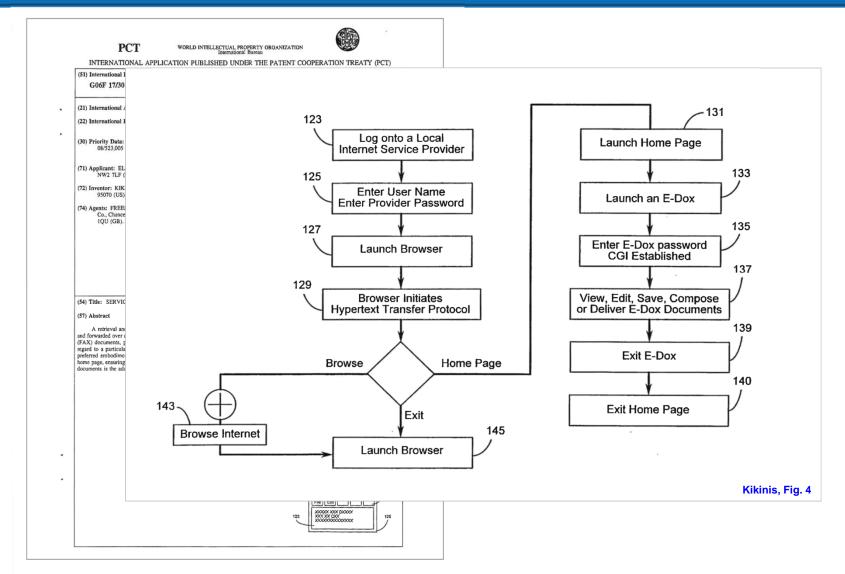
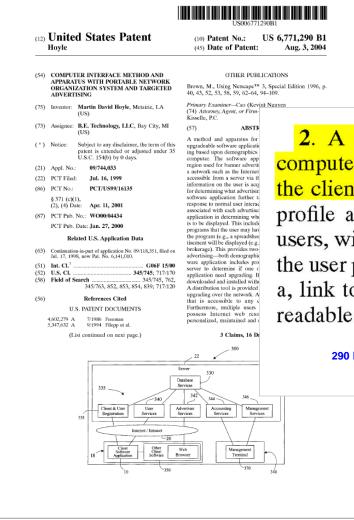


Exhibit 1014 - Petitioner Samsung Electronics America, Inc.

Grounds in This Trial

Kikinis: Anticipates claims 1-3

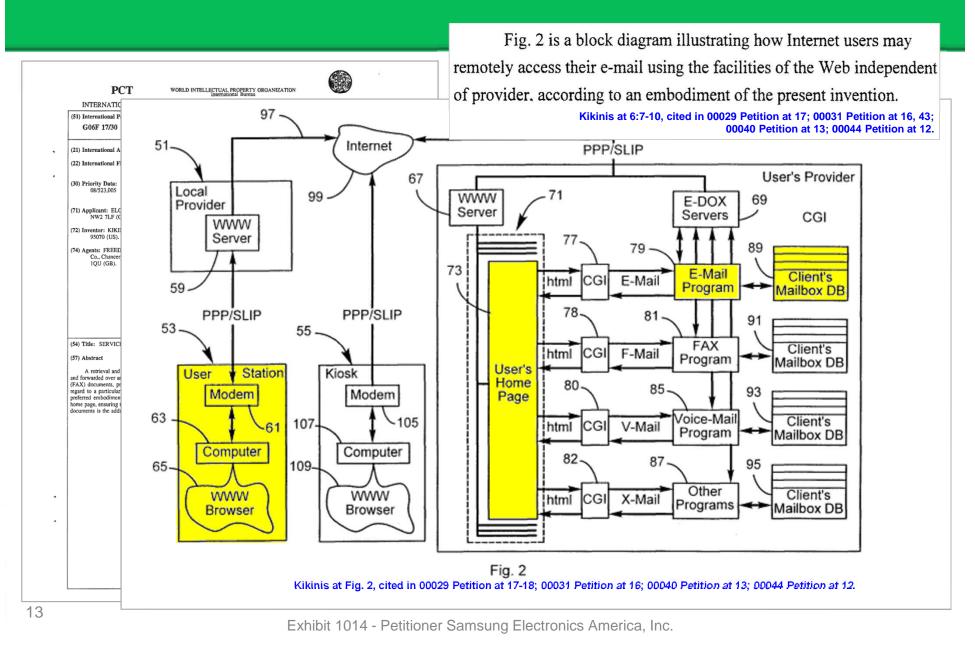
The '290 Patent, Claim 2 Preamble

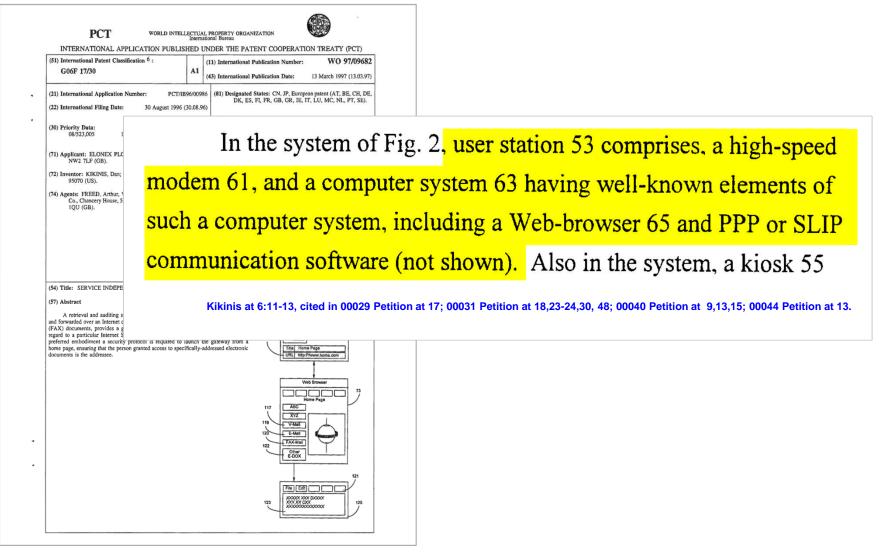


2. A computer-readable memory for use by a client computer in conjunction with a server that is accessible by the client computer via a network, the server storing a user profile and user library for each of a number of different users, with the user library containing one or more files and the user profile containing at least one user link that provides a, link to one of the files in the user library, the computerreadable memory comprising:

290 Patent at claim 2, cited in 00029 Petition at 17; 00031 Petition at 15-16; 00040 Petition at 13-14; 00044 Petition at 12.

Exhibit 1014 - Petitioner Samsung Electronics America, Inc.







(10) Pat

	Hoyle		(45) Dat	
(54)	APPARA'	ER INTERFACE METHOD AND IUS WITH PORTABLE NETWORK ZATION SYSTEM AND TARGETED ISING	Brown, M., 1 40, 43, 52, 5	2.
(75)	Inventor:	Martin David Hoyle, Metairie, LA (US)	Primary Exa (74) Attorne Kisselle, P.C	com
(73)	Assignee:	B.E. Technology, LLC, Bay City, MI (US)	(57)	the o
(*)	Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.	A method a upgradeable i ing based up computer. T	
(21)	Appl. No.		region used f a network su	prof
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(97)	(2), (4) Da	No.: WO00/04434	response to n associated wi application in	
(07)		Date: Jan. 27, 2000	is to be displ programs that	the 1
	Re	ated U.S. Application Data	the programs that the program (tisement will	
(63)	Continuatio Jul. 17, 199	n-in-part of application No. 09/118,351, filed on 8, now Pat. No. 6,141,010.	brokerage). T advertising-	a, li
(51)	Int. Cl. ⁷ .		ware applica server to de	
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	4,602,279 A 5,347,632 A	References Cited S. PATENT DOCUMENTS 7/1986 Freeman 9/1994 Filepp et al. ist continued on next page.)	upgrading ov that is acce Furthermore, possess Int personalized,	
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(12) United States Patent

2. A computer-readable memory for use by a client computer in conjunction with a server that is accessible by the client computer via a network, the server storing a user profile and user library for each of a number of different users, with the user library containing one or more files and the user profile containing at least one user link that provides a, link to one of the files in the user library, the computerreadable memory comprising:

290 Patent at claim 2, cited in 00029 Petition at 18; 00031 Petition at 15-16,21; 00040 Petition at 14; 00044 Petition at 13.

WORLD INTELLECTUAL PROPERTY ORGANIZATION

PCT

10U (GB).

(57) Abstract

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (51) International Patent Class Web server 67 runs unique control routines according to an G06F 17/30 21) International Applicatio embodiment of the present invention that supports a set of data bases 71. (22) International Filing Date: (30) Priority Data: 08/523,005 Each data base belongs to (or is assigned to or associated with) a (71) Applicant: ELONEX PLC NW2 7LF (GB) different client. A single data base of set 71 includes a home page 73, (72) Inventor: KIKINIS, Dan: 95070 (US). (74) Agents: FREED, Arthur, Co., Chancery House, 5 individualized to a specific client, that provides software links to various lower-order data bases maintained by electronic document server 69. Examples of such lower-order data bases are an e-mail data base 89, a (54) Title: SERVICE INDEPE A retrieval and auditing st fax data base 91, a voice mail data base 93, and other electronic and forwarded over an Internet c (FAX) documents, provides a g regard to a particular Internet S preferred embodiment a security home page, ensuring that the per documents is the addressee. documents in data base 95. Home page 73 is created with hypertext markup language (HTML), as are other home pages, and provides access to data bases 89, 91, 93, and 95 as described below through software links. Those with skill in the art will recognize that the technology of Kikinis at 7:34-8:7, cited in 00029 Petition at 18; 00031 Petition at 16-17,22; 00040 Petition at 14; 00044 Petition at 13.

AIPO-

WO 97/09682

WORLD INTELLECTUAL PROPERTY ORGANIZATION

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) (51) International Patent Classification 6 (11) International Publication Number: A1 G06F 17/30 (43)] (21) International Application Number PCT/IB96/00986 (22) International Filing Date: 30 August 1996 (30.08.96 (30) Priority Data: 08/523.005 1 September 1995 (01.09.95) US (71) Applicant: ELONEX PLC [GB/GB]; 2 Apsley Way, Londor NW2 7LF (GB) (72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, Saratoga, CA (74) Agents: FREED, Arthur, Woolf et al.; Reginald W. Barker & Co., Chancery House, 53-64 Chancery Lane, London WC2A 10U (GB). (54) Title: SERVICE INDEPENDENT ELECTRONIC DOCUMENT S (57) Abstract A retrieval and auditing system for electronic documents specifical and forwarded over an Internet connection, including e-mail, voice-mail, e (FAX) documents, provides a gateway interface in a home page retriev regard to a particular Internet Service Provider granting access to the Ir preferred embodiment a security protocol is required to launch the gate home page, ensuring that the person granted access to specifically-addre documents is the addressee.

PCT

Fig. 3 shows an example set 111 of windows accessible in a home page for accessing electronic documents by using the facilities of a Web browser such as Web browser 109 at kiosk 55 (Fig. 2) according to an embodiment of the present invention. A user invokes browser 109 and enters a URL for his or her home page 73 in field 113 of browser 109. An initiation signal then causes control to retrieve home page 73 and display it as an interface to data and other Web destinations. Operation to this point are conventional, and well-known in the art. Home page 73, however, is different than conventional home pages, as described above with reference to Fig. 2, having on-screen links to electronic documents reserved for the home page "owner", such as e-

Kikinis at 7:29-7:36, cited in 00029 Petition at 18; 00031 Petition at 16; 00040 Petition at 15; 00044 Petition at 16.

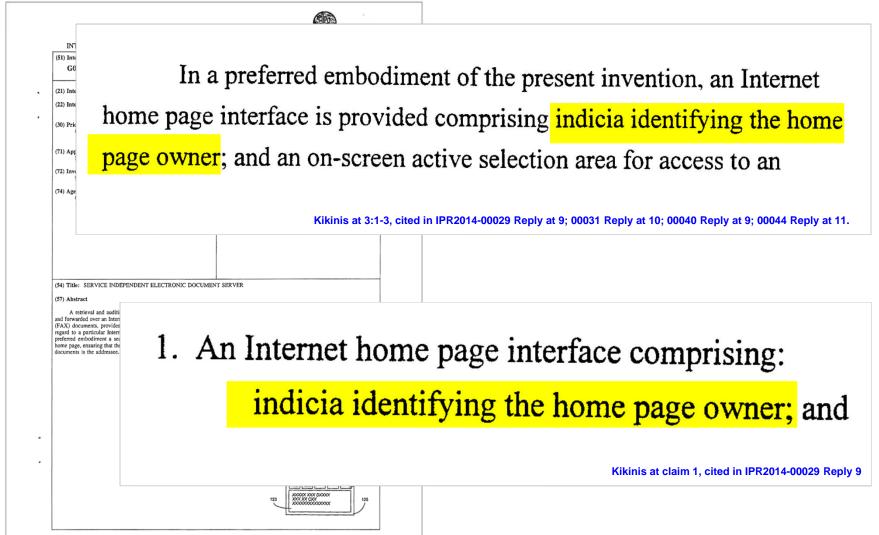


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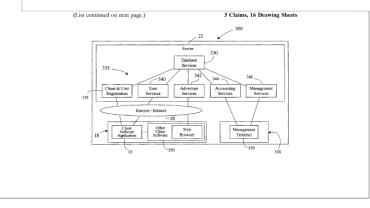


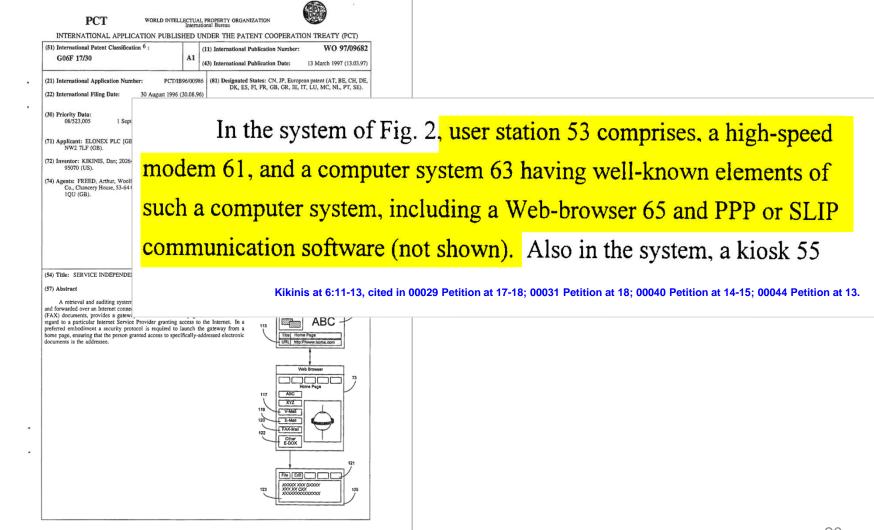
a, link to one of the files in the user library, the computerreadable memory comprising:

a non-volatile data storage device;

a program stored on said non-volatile data storage device in a computer-readable format;

290 Patent at claim 2, cited in 00029 Petition at 22; 00031 Petition at 18; 00040 Petition at 14-15; 00044 Petition at 13.





Expert Declarations

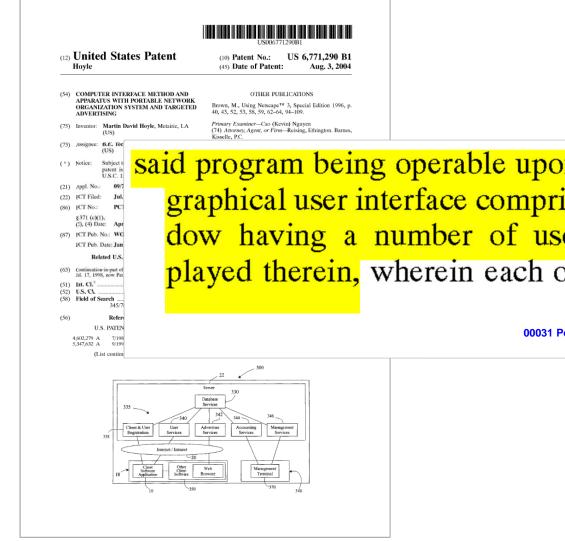
"well-known elements" of a computer system . . . would have included some form of non-volatile data storage devices

00029 Exhibit Sony-1006 at ¶ 17.b, cited in 00029 Petition at 16-17.

browser software on a user's computer 63 would necessarily be stored on a non-volatile data storage device

a computer system "having well-known elements of such a computer . . ." . . . thus describes "a non-volatile data storage device . . ."

the computer system (63, 107) has well-known elements of such a computer system, which would necessarily include a nonvolatile data storage device.



said program being operable upon execution to display a graphical user interface comprising an application window having a number of user-selectable items displayed therein, wherein each of said items has associ-

> 290 Patent at claim 2, cited in 00029 Petition at 19; 00031 Petition at 18-19; 00040 Petition at 15-16; 00044 Petition at 14.

(51) International Patent Classification 6 :	ED UNDER THE PAT (11) International I (43) International I		Web Browser
 (21) International Application Number: PCT/IB960 (22) International Filing Date: 30 August 1996 (30.0 (30) Priority Data: 08/523,005 1 September 1995 (01.09.95) (71) Applicant: ELONEX PLC [GB/GB]: 2 Apsley Way, Le NW2 7LF (GB). (72) Inventor: KIKINIS, Dar; 20264 Ljepava Drive, Saratoga 95070 (US). (74) Agente: REED, Arthur, Woolf et al.; Reginald W. Bari Co., Chancery House, 53-64 Chancery Lane, London W 1QU (GB). 	(81) Designated DK, ES, USS-60 Published With in: Before i amendmi ga, CA	117	Home Page
(54) Title: SERVICE INDEPENDENT ELECTRONIC DOCI (57) Abstract A retrieval and auditing system for electronic document and forwarded over an intennet connection, including e-mail, ve (FAX) documents, provides a galwava interface in a home pr regard to a particular Internet Service Provider granting acces preferred embodiment a security protocol is required to laun home page, ensuring that the person granted access to specifical documents is the addressee.	nts specifically addressed voice-mail, and facsimile page retrievable without ess to the Internet. In a nch the gateway from a	118	E-Mail FAX-Mail
			Other E-DOX

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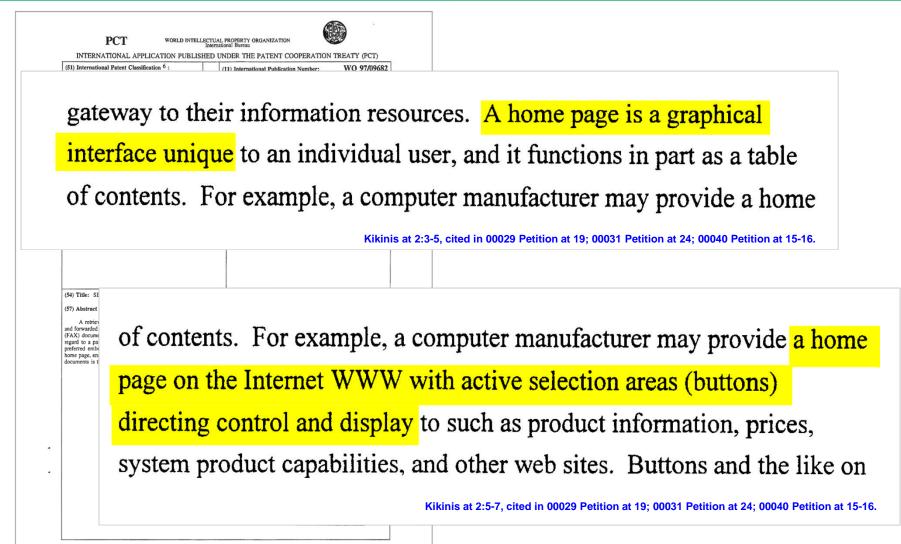
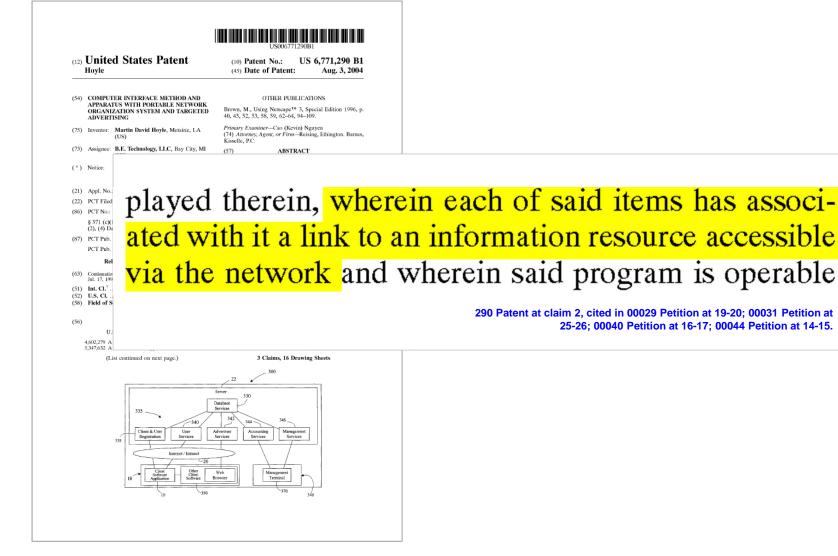


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system product capabilities, and other web sites. Buttons and the like on one web page also can cause control to jump to another web page. A home page is created with the hypertext markup language.

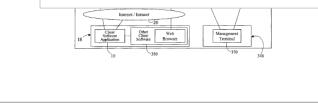
Kikinis at 2:8-9, cited in 00029 Petition at 20; 00031 Petition at 24; 00040 Petition at 15,16.

and forwarded over an Internet connection, including e-mail, voice-mail, and fastimilie (RAX) documents provides a gauway interface in a home page entreviable without regard to a particular Internet. Service Provider granting access to the Internet. In a home page, ensuring that the person granted access to specifically-addressed electronic documents is the addressee.

via the network and wherein said program is operable upon execution and in response to selection by a user of one of said items to access the associated information resource over the network;

said program being operable upon execution to receive from server one of the user profiles and to display a user-selectable item for user links contained within the user profile, said program further being operable in

290 Patent at claim 2, cited in 00029 Petition at 20-21; 00031 Petition at 19-20; 00040 Petition at 16-17; 00044 Petition at 16.



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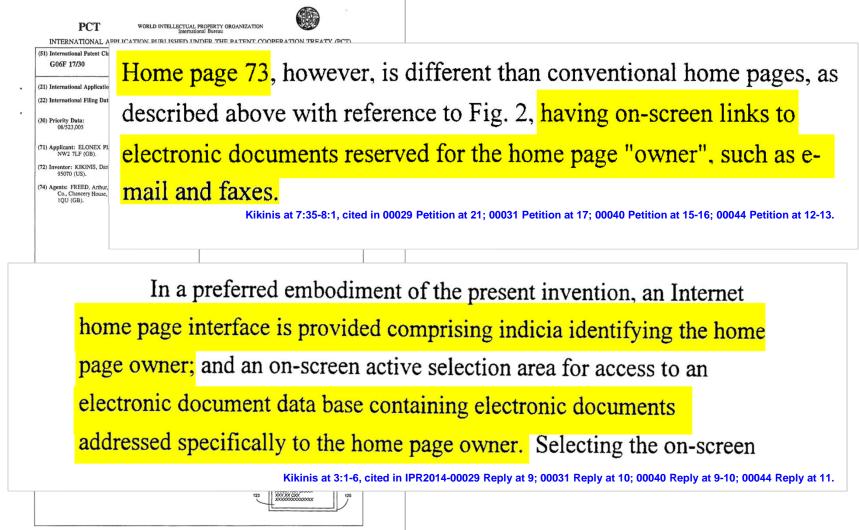
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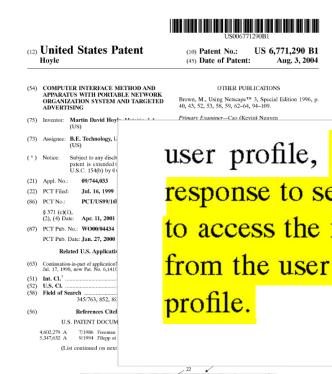
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(63) (51) (52) (58)

(56)

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Database Services

Advertise Services

Other Web Climit Browser

342

Terminal

335 .___

Client & Us

user profile, said program further being operable in response to selection by a user of one of the user links to access the file associated with the selected user link from the user library associated with the received user profile.

> 290 Patent at claim 2, cited in 00029 Petition at 21; 00031 Petition at 19-20.27-28; 00040 Petition at 16-17; 00044 Petition at 16.

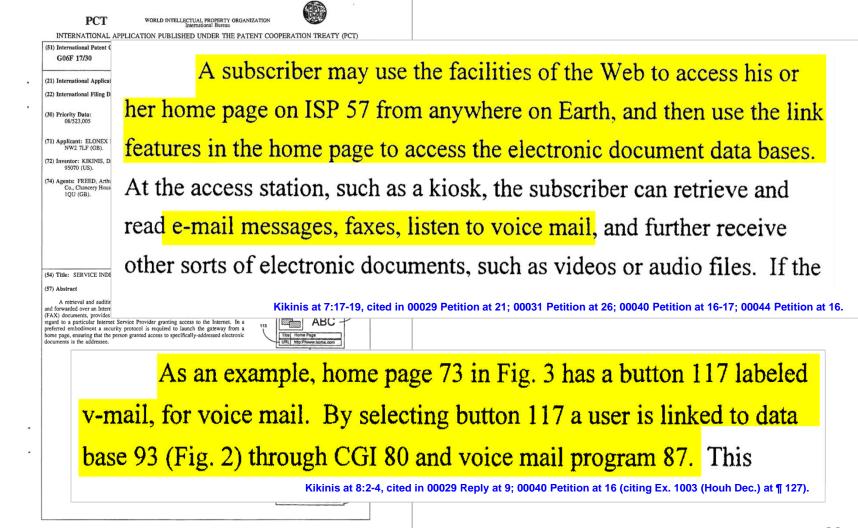
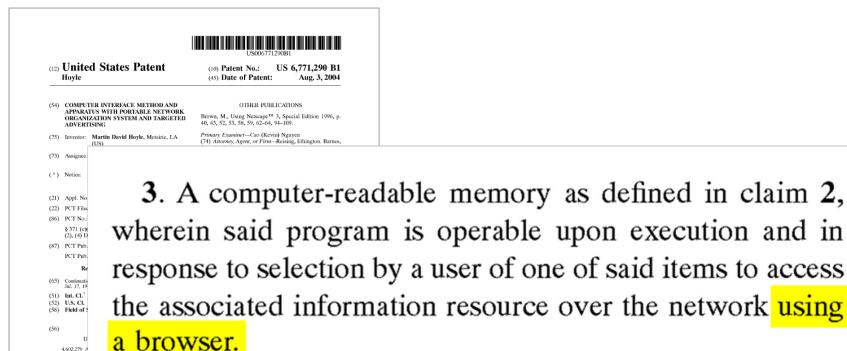


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Database Services 342 Advertiser Services

Web Browser

4,602,279 / 5,347,632 /

290 Patent at claim 2, cited in 00029 Petition at 22; 00031 Petition at 29-30; 00040 Petition at 17-18; 00044 Petition at 17-18.

		IAL PROPERTY ORGANIZATION	
	al Patent Classification 6 :	(11) International Publication Number: WO 97/09682 (43) International Publication Date: 13 March 1997 (13.03.97)	
(21) Internationa (22) Internationa	al Application Number: PCT/I al Filing Date: 30 August 1996	DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(30)	F	g. 3 shows an example set 111 of windows accessible in a	
(72) (74)	home pag	e for accessing electronic documents by using the facilities	of a
	Web bro	ser such as Web browser 109 at kiosk 55 (Fig. 2) accordin	g to
(54)	an embo	ment of the present invention. A user invokes browser 10	9
(57) and f (FA)		Kikinis at 7:26-28, cited in 00029 Petition at 22; 00031 Petition at 29-30; 00040 Petition at 17-18; 00044 Petit	ion at 17.
regar prefe			
regai prefe homi documents is the a	addressee.	URL Nip Plane Joins 200 Web Browser 73 None Rega 73 117 XX2 12 CX42 12 CX42	

tent Owner Response

UNITED	STATES PATENT	AND TRADEM	AARK OFFICE
UTALLES A	ALIST ALIST	AND INADE	MARKADITER

KIKINIS DOES NOT DISCLOSE "A PROGRAM STORED ON SAID NON-VOLATILE DATA STORAGE DEVICE" THAT PERFORMS THE FUNCTIONS CLAIMED IN CLAIMS 1 AND 2.

Patent Owner Responses at V

Patent 6,771,290

THE '290 PATENT TEACHES A "ONE-CLICK" SYSTEM.

Patent Owner Response at VI (00040 at VII)

PATENT OWNER'S RESPONSE TO PETITION (37 C.F.R. § 42.120)

KIKINIS DOES NOT DISCLOSE A USER PROFILE.

Patent Owner Responses at VII (00040 at VIII)

Patent Owner Response

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

KIKINIS DOES NOT DISCLOSE "A PROGRAM STORED ON SAID NON-VOLATILE DATA STORAGE DEVICE" THAT PERFORMS THE FUNCTIONS CLAIMED IN CLAIMS 1 AND 2.

Patent Owner Responses at V

LYNNE E. PETTIGREW, Administrative Patent Judges.

PETTIGREW, Administrative Patent Judge.

PATENT OWNER'S RESPONSE TO PETITION (37 C.F.R. § 42.120)

Mail Stop Patent Board Patent Trial and Appeal Board United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Patent Owner Response

UNITED STATES PATENT AND TRADEMARK OFFICE

A. Kikinis Teaches Remotely Stored Programs.

Kikinis generally discloses a system that provides remote indirect access to

electronic documents, such as e-mail. See Ex. 1005, Col. 1:1-3 ("The present

00029 Patent Owner Response at 9; 00031 Patent Owner Response at 9; 00040 Patent Owner Response at 11; 00044 Patent Owner Response at 10.

Before SALLY C. MEDLEY, KALYAN K. DESHPANDE, and

mail."). But unlike the system claimed in the '290 patent, Kikinis requires

remotely stored programs to access the remotely stored electronic documents.

Alexandria, VA 22313-1450

00029 Patent Owner Response at 9; 00031 Patent Owner Response at 9; 00040 Patent Owner Response at 11; 00044 Patent Owner Response at 11.

Patent Owner Response

UNITED STATES PATENT AND TRADEMARK OFFICE

The web browser of Kikinis provides access to the user's home page. See

Ex. 1005, Col. 7:17-19 ("A subscriber may use the facilities of the Web to access

his or her home page on ISP 57 from anywhere on Earth,"); id. Col. 7:29-31

00029 Patent Owner Response at 14; 00031 Patent Owner Response at 15; 00040 Patent Owner Response at 17; 00044 Patent Owner Response at 15.

Patent 6,771,290

field 113 of browser 109"). The browser does not access the electronic document

data bases without additional software programs stored on remote servers. See Ex.

00029 Patent Owner Response at 14; 00031 Patent Owner Response at 15; 00040 Patent Owner Response at 17; 00044 Patent Owner Response at 16.

Mail Stop Patent Board Patent Trial and Appeal Board United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

First, BET is attempting to improperly import negative limitations into the claims,

and its argument is incorrect as a matter of law. BET does not dispute that Kikinis

00029 Reply at 2; see also 00040 Reply at 1-6

Title: COMPUTER INTERFACE METHOD AND APPARATUS WITH PORTABLE NETWORK ORGANIZATION SYSTEM AND TARGETED ADVERTISING

PETITIONER'S REPLY TO PATENT OWNER'S RESPONSE TO PETITION UNDER 37 C.F.R. § 42.23

No. IPR2014-00029

Patent Owner's attempt to distinguish Kikinis using its contorted reading of IPR2014-00040 the claim term "program" should be rejected. Specifically, Patent Owner contends <u>Kikinis</u> does not describe a "program" per the claims because the web browser in UNIT Kikinis "requires remotely stored programs to access the remotely stored electronic BEF documents." Response at 11. That construction rests on its incorrect and unsupported assertion that the claimed process cannot "utilize programs stored on servers to perform" the functions specified in claims 1 and 2. Response at 2; see 00040 Reply at 2. PETITIONER'S REPLY TO PATENT OWNER'S RESPONSE

The '290 Patent, Claim 2



(12) United States Patent Hoyle

(54) COMPUTER INTER APPARATUS WITH

(73) Assignce: B.E. Techn (US)

(22) PCT Filed: Jul. 10 (86) PCT No.: PCT/U

(87) PCT Pub. No.: WO00 PCT Pub. Date: Jan. 2 Related U.S. At

 (63) Continuation-in-part of ap Jul. 17, 1998, now Pat. N
 (51) Int. Cl.⁷
 (52) U.S. Cl.
 (58) Field of Search

(56)

Subject to a

patent is ex U.S.C. 154 (21) Appl. No.: 09/744

> PCT No.: PCT/U § 371 (c)(1), (2), (4) Date: Apr. 1

> > 345/763

Referen

Database Services 342 Advertiser Services

Web

U.S. PATENT 4,602,279 A 7/1986 5,347,632 A 9/1994 (List continued

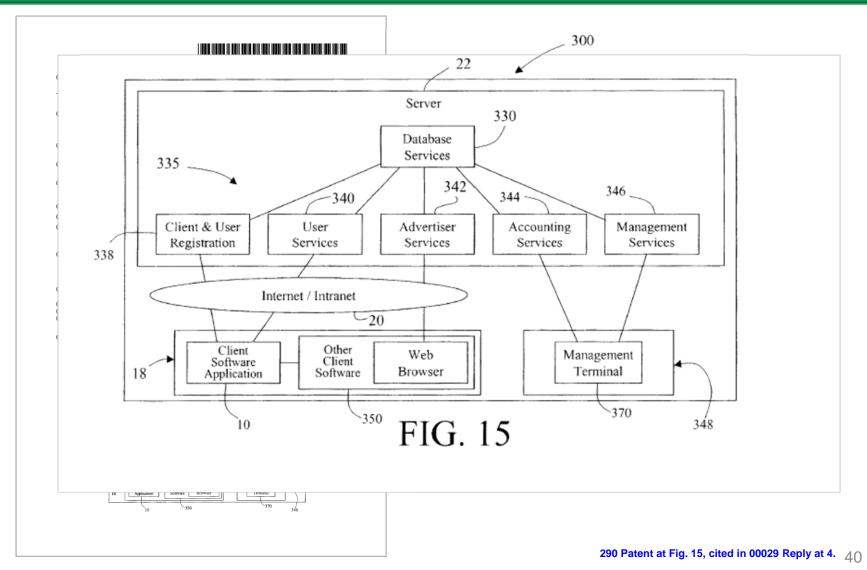
(*) Notice:

ORGANIZATION SY ADVERTISING (75) Inventor: Martin Dar (US) (10) Patent No.: US 6,771,290 B1 (45) Date of Patent: Aug. 3, 2004

2. A computer-readable memory for use by a client computer in conjunction with a server that is accessible by the client computer via a network, the server storing a user profile and user library for each of a number of different users, with the user library containing one or more files and the user profile containing at least one user link that provides a, link to one of the files in the user library, the computer-readable memory comprising:

290 Patent at claim 2, cited in 00029 Reply at 2; 00040 Reply at 5; 00044 Reply at 1.





Referring to FIG. 15, there is shown an overview of a computer system 300 including a client software application 10 and a server 22. The server includes a database services system 330 that comprises several other program modules 335. The database services system 330 possesses those

(63) Continuation-in-part of application No. 09/118.351, filed on Jul. 17, 1998, now Pat. No. 6,141,010.

(51) Int. CL⁷

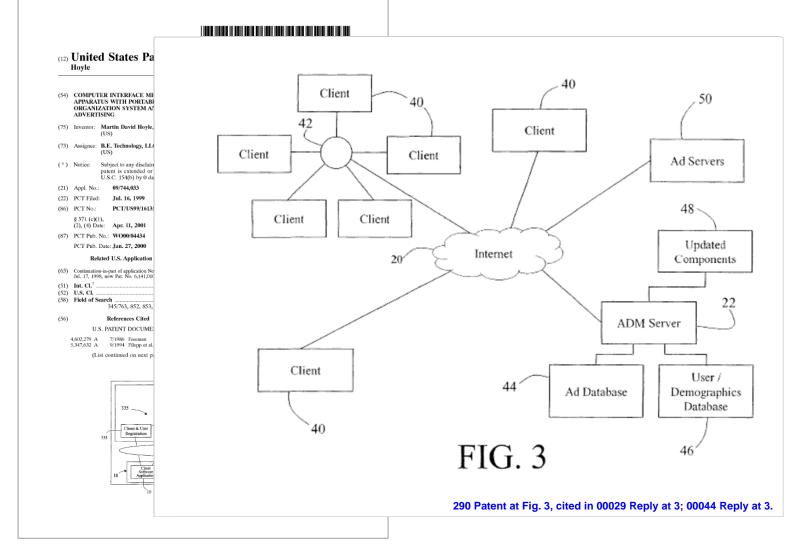
ware application includes programming that

290 Patent at 30:61-65, cited in 00029 Reply at 3.

344 and a management services module **346**. Working together, the program modules **335** act as a single software application that provides the computer user with a fully integrated interface to client software **350** (e.g., software applications) on the user's computer **18**, as well as to

290 Patent at 31:5-8, cited in 00029 Reply at 3.

Anticipation by Kikinis



1

IPR2014-00040 Exhibit 2003 - Page 1

CORY PLOCK August 19, 2014 UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

14 I understand that there are a lot of 0 15 different variations, but is it true that, at a 16 minimum, the web server, itself, must have some 17 software to handle some part of the processing of an 18 HTTP request? 19 Yes, that's a fair characterization. A Plock Dep. II at 53:14-19, cited in 00029 Reply at 5; 00044 Reply at 5. CSI GLOBAL DEPOSITION SERVICES B.E. Technology, L.L.C. 972-719-5000

August 19, 2014
UNITED STATES PATENT AND TRADEMARK OFFIC BEFORE THE PATENT TRIAL AND APPEAL BOAR

13 0 Sure. Is it correct that there is no 14 way for a web server to serve a file in response to 15 a request without having some software that resides 16 on that web server? 17 A Yes, yes. On a server, software would be 18 required in order to do that. Plock Dep. II at 54:13-18, cited in 00029 Reply at 5; 00031 Reply at 4; 00040 Reply at 4; 00044 Reply at 5. CSI GLOBAL DEPOSITION SERVICES B.E. Technology, L.L.C. 972-719-5000 IPR2014-00040 Exhibit 2003 - Page 1

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

THE '290 PATENT TEACHES A "ONE-CLICK" SYSTEM.

00029 Patent Owner Response at 15; 00031 Patent Owner Response at 16; 00040 Patent Owner Response at 17; 00044 Patent Owner Response at 15.

Patent 6,771,290

Before SALLY C. MEDLEY, KALYAN K. DESHPANDE, and LYNNE E. PETTIGREW, *Administrative Patent Judges*.

PETTIGREW, Administrative Patent Judge.

PATENT OWNER'S RESPONSE TO PETITION (37 C.F.R. § 42.120)

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

The '290 patent describes a "one-click" system whereby a user enjoys a

direct link from his or her user profile to the files stored in his or her user library.

00029 Patent Owner Response at 15; 00031 Patent Owner Response at 16; 00040 Patent Owner Response at 34; 00044 Patent Owner Response at 15.

PETTIGREW, Administrative Patent Judge.

PATENT OWNER'S RESPONSE TO PETITION (37 C.F.R. § 42.120)

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

Ex. 1001, Claim 2. The critical language is "to access *the file associated with the selected user link* from the user library." *Id.* (emphasis added). The claim plainly requires a specific file ("the file") to be associated with a specific user link ("the selected user link"). Claim 2, therefore, specifically claims an association between specific user-selectable links and specific files.

(37 C.F.R. § 42.120)

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Exhibit 1014 - Petitioner Samsung Electronics America, Inc.

citing 290 Patent at claim 2

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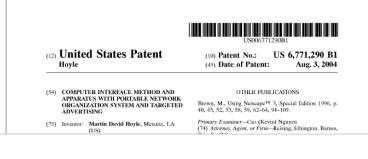
15, 16. The requirement of "one-click" direct file access does not appear in the

language of claim 2 or in the '290 Patent's specification. Instead, BET argues that the

00029 Reply at 6.

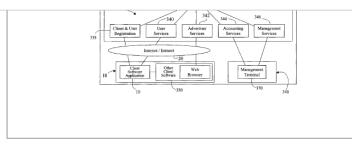
PETITIONER'S REPLY TO PATENT OWNER'S RESPONSE TO PETITION UNDER 37 C.F.R. § 42.23

No. IPR2014-00029



files all under the same channel category. The user has the ability to subscribe the channel by making a direct link to a file, or by combining various files under some category, or by providing a drop down list to a subscribed channel. In addition, the entire row of icons can be rotated to different

290 Patent at claim 15:12-15, cited in cited in 00029 Reply at 6; 00031 Reply at 7; 00040 Reply at 7-8; 00044 Reply at 8.



UNITED STATES PATENT AND TRADEMARK OFFICE

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[a] selected user link." Ex. 1001, Col. 40:8. Kikinis discloses, at most, user links

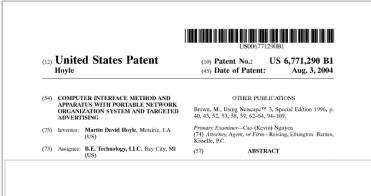
associated with databases. Ex. 1005, Col. 6:35-7:4 (A single data base of set 71)

00029 Ex. 2001 at ¶24, cited 00029 Reply at 7.

Case IPR2014-00040 Patent 6,771,290

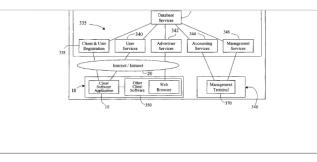
45. A POSITA would understand that "data bases" are not synonymous

with "files." A "file" is defined in the '290 patent as "[a]ny digital item, including 00029 Ex. 2001 at [125, cited in IPR2014-00029, Reply at 7.



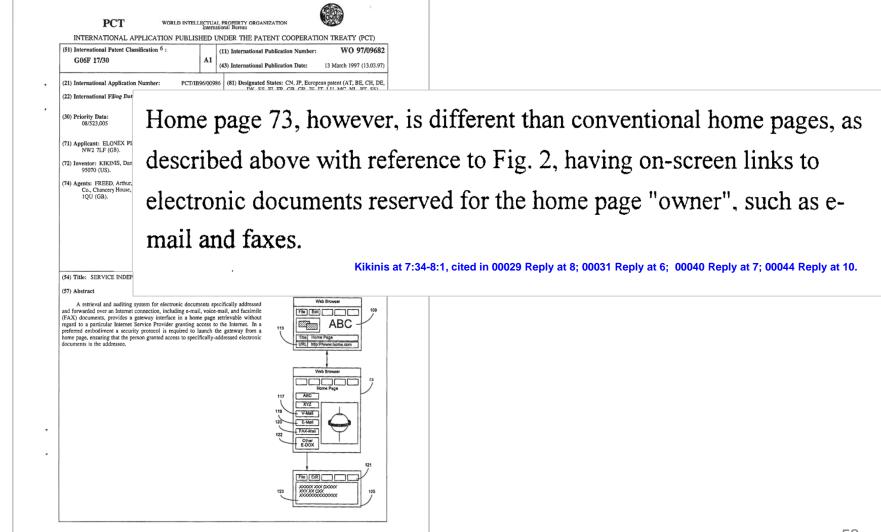
file—Any digital item, including information, documents, applications, audio/video components, and the like, that is stored in memory and is accessible via a file allocation table or other pointing or indexing structure.

290 Patent at claim 4:25-28, cited in 00029 Reply at 7; 00044 Reply at 9.



15 Q Fine. Let's put aside the actions that
16 can be taken with a database, and instead think
17 about what you just testified is stored in a memory.
18 Okay? That aspect of the database, what is actually
19 stored in the memory. That's digital, right?
20 A It's stored in digital form, yes.
Plock Dep. II at 63:15-20, cited in 00029 Reply at 7; 00044 Reply at 9-10.
SAMSUNG ELECTRONICS AMERICA, INC. Petitioner v.
21 Q Okay. I didn't mean to limit memory to
22 volatile memory.
23 A Oh, okay. So in that case, the data bases
24 would be stored in memory. Plock Dep. II at 62:21-24, cited in 00029 Reply at 7; 00044 Reply at 9-10.
CSI GLOBAL DEPOSITION SERVICES 972-719-5000 B.E. Technology, L.L.C. IPR2014-00040 Exhibit 2003 - Page 1

Exhibit 1014 - Petitioner Samsung Electronics America, Inc.



UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MICROSOFT CORPORATION Petitioner

v.

KIKINIS DOES NOT DISCLOSE A USER PROFILE.

00029 Patent Owner Response at 22; 00031 Patent Owner Response at 23; 00040 Patent Owner Response at 40; 00044 Patent Owner Response at 24.

PATENT OWNER'S RESPONSE TO PETITION (37 C.F.R. § 42.120)

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

First, there is no disclosure in Kikinis of "user-specific information relating

to an individual using a computer" contained within the home page. Despite the

fact that Kikinis states that the home is "individualized to a specific client," Ex.

1005, Col. 6:36, there is no mention of any "user-specific information" found

within the home page. In contrast, the user profile disclosed in the '290 patent

00029 Patent Owner Response at 22; 00031 Patent Owner Response at 23; 00040 Patent Owner Response at 41; 00044 Patent Owner Response at 24.

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Anticipation by Kikinis

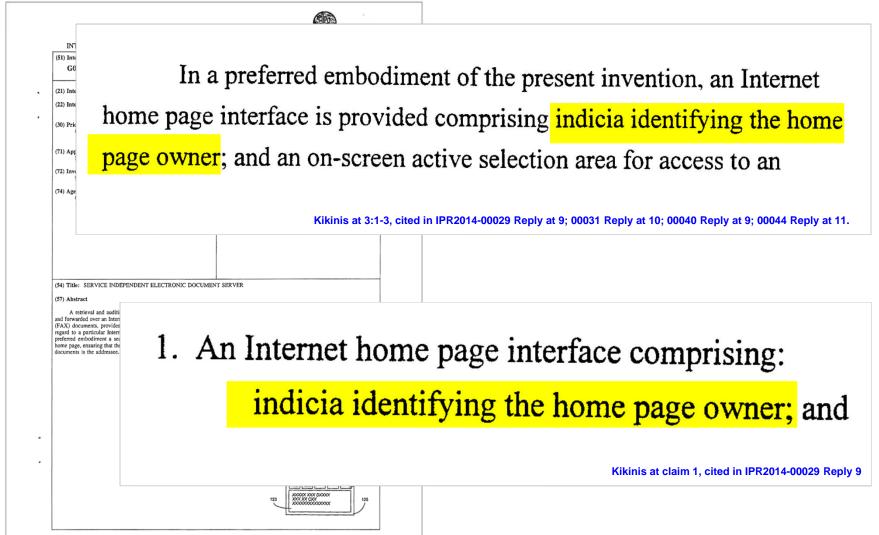
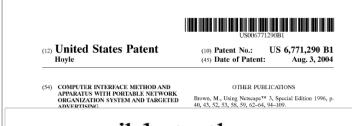
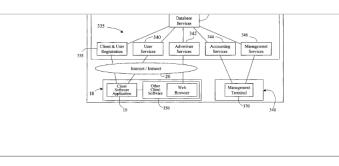


Exhibit 1014 - Petitioner Samsung Electronics America, Inc.



accessible to the user anywhere on the network For example, for a user profile that includes user identification data, user hyperlink bookmarks, user hyperlink categories, and user application shortcuts, those components of the profile are accessible from server 22, including resource names, icons, and the like. In addition to the ability to distribute and

290 Patent at claim 26:17-22, cited in 00029 Reply at 9.



	1 CORY PLOCK August 19, 2014
12	Q And the last one well, actually, I
13	guess, in the pack it's listed first, but I'm going
14	in reverse order.
15	So user identification data would also
16	be user-specific information relating to an
17	individual using a computer?
18	A In the context of the present embodiment,
19	yes. I need to amend my previous answers just to
20	clarify one thing. Plock Dep. I at 38:12-20, cited in 00029 Reply at 10.
	CSI GLOBAL DEPOSITION SERVICES 972-719-5000 B.E. Technology, I.L.C. IPR2014-00040 Exhibit 2003 - Page 1

		1 DRY PLOCK gust 19, 2014
GC ₽€ ♥. ₿. ₽a	19	Q So in the case that the user of the
Pa Ca Pa	20	home page was the home page owner, then would
MI Pe V.	21	indicia identifying the home page owner constitute
MI Pe Pa Pa Pa	22	user-specific information relating to an individual
	23	using a computer?
V. B. Pa Ca	24	A Under the assumption that the user and home
SF Pe B. Pa Ca Pa SQ Pa SQ Pa	25	page owner are the same entity, then in that case I
V. B. Da Ca Pa	1	would agree.
		Plock Dep. I at 41:19-42:1, cited in 00029 Reply at 10-11; 00031 Reply at 10; 00040 Reply at 10.
		DEPOSITION SERVICES 72-719-5000 B.E. Technology, L.L.C. IPR2014-00040 Exhibit 2003 - Page 1

Exhibit 1014 - Petitioner Samsung Electronics America, Inc.

A subscriber may use the facilities of the Web to access his or her home page on ISP 57 from anywhere on Earth, and then use the link features in the home page to access the electronic document data bases.

Kikinis at 7:17-18, cited in 00029 Reply at 10.

an embodiment of the present invention. A user invokes browser 109 and enters a URL for his or her home page 73 in field 113 of browser 109. An initiation signal then causes control to retrieve home page 73 and display it as an interface to data and other Web destinations.



Co., Chancery House, 53-64 Chancery Lane, London WC2

1QU (GB).

Similarly, in the embodiment of the present invention described with reference to Fig. 3, subscribers, using the link buttons 118, 120, and 122 access their e-mail, fax, and other electronic documents.

(74) Agents: FREED, Arthur, Woolf et al.; Reginald W. Barker & Co., Chancery House, 53-64 Chancery Lane, London WC2A

10UL (GR)

Kikinis at 8:13-15, cited in 00029 Reply at 10; see also 00044 Reply at 12.

from control juncture 130 in one of several ways. For example, the user may choose to browse the Internet at step 143, enter a URL for his or her home page and jump at step 131, or exit at step 145.

If the user launches his home page, he may, at step 133, launch a desired electronic document access system, which establishes CGI. A

Kikinis at 9:13-17, cited in 00029 Reply at 10; see also 00044 Reply at 12.

The '290 Patent, Claim 1

US006771290B1

1. A computer-readable memory for use by a client computer to provide a user of the computer with an integrated, customized, graphical user interface to a plurality of computer resources, the computer-readable memory comprising:

- a non-volatile data storage device;
- a program stored on said non-volatile data storage device in a computer-readable format;
- said program being operable upon execution to display a graphical user interface comprising an application window separated into a number of regions,
- a first one of said regions including a number of graphical objects, at least some of which are each representative of a different software application and are selectable by the user via an input device, wherein said program is operable upon selection of one of said graphical objects to initiate execution of the software application associated therewith;

- a second one of said regions including a number of user-selectable items, at least some of which are each associated with a different data set, said data sets each comprising a number of links to different information resources, wherein said program is operable in response to selection of at least one of said items to provide the user with access to its associated data set;
- said program including a login module that is operable upon execution to identify the user of the computer; and
- said program being operable following execution of said login module to provide an identification of the user to the server and to receive from the server a user profile containing one or more user data sets and user links to information resources, with said program further being operable to display in one of said regions a userselectable item for each of said user data sets and each of said user links.

PCT WORLD INTE	LLECTU/
INTERNATIONAL APPLICATION PUBL	ISHED
(51) International Patent Classification 6 :	
G06F 17/30	A1
(21) International Application Number: PCT/	1B96/009
(22) International Filing Date: 30 August 1996	5 (30.08.5
(30) Priority Data: 08/523,005 1 September 1995 (01.09)	.95) 1
(71) Applicant: ELONEX PLC [GB/GB]; 2 Apsley W NW2 7LF (GB).	'ay, Lond
(72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, St 95070 (US).	aratoga, C
(74) Agents: FREED, Arthur, Woolf et al.; Reginald W Co., Chancery House, 53-64 Chancery Lane, Lon 1QU (GB).	/. Barker idon WC
(54) Title: SERVICE INDEPENDENT ELECTRONIC	DOCUM
(57) Abstract	
A retrieval and auditing system for electronic doc and forwarded over an internet connection, including e- (FAX) documents, provides a gateway interface in a ha regard to a particular internet Service Provider granting preferred embodiment a security protocol is required to home page, ensuring that the person granted access to sp documents in the addresse.	nail, voice ome page g access t o launch

As described above, Ex. 1005 (<u>Kikinis</u>) discloses an individualized home page of a user that includes a number of "active selection areas" that provide a link to various computer resources, such as the "V-mail 117," "E-Mail 118," and "FAX-Mail 120" software applications depicted in Figure 3. Ex. 1003 at ¶¶ 119-121, 125-126. Upon the user's selection of one of the "active selection areas" representing a software application, the application will execute in order to provide the user with access to the software application's associated data—such as the user's voicemail, e-mail, or faxes. Ex. 1003 at ¶¶ 119-121, 125-126.

Ex. 1005 (Kikinis) also discloses that a user's individualized home page can

provide links to other data bases, such as "a personal multi-lingual dictionary

featuring pronunciation, a spelling checker, or a thesaurus; or indeed, almost any

other sort of digital data or control routines." Ex. 1003 at ¶¶ 128, 146; Ex. 1005 00040 Petition at 11

Institution Decision

Next, Petitioner submits that user-specific home page 73 in Kikinis is Trials@uspto.gov 571-272-7822 a graphical user interface comprising an application window separated into a UNITED ST. number of regions, as recited in claim 1. Pet. 9-10 (citing Ex. 1003 ¶¶ 122, BEFORE TI 124-28, 138-39); see Ex. 1005, Fig. 3. According to Petitioner, a section of the home page with user-selectable links, shown in Figure 3 of Kikinis, 43). Claim 1 also requires a second region with user-selectable items associated with different data sets (which may be links, according to our claim construction), each of which comprises a number of links to different information resources. Furthermore, Petitioner submits that additional links not shown in Figure 3, such as links for a dictionary, spelling checker, and thesaurus, are user-selectable items in a second region. Pet. 11 (citing Before SALLY C. M LYNNEE PETTIGE Ex. 1005, 8:14-18; Ex. 1003 ¶¶ 144-47). Institution Decision at 14-15 PETTIGREW, Admin

> Institution of Inter Partes Review 37 C.F.R. § 42.108

Patent Owner Assertion

where each region must contain a number of buttons. The "active selection area"

of the Kikinis home page is a single region of the home page, and consequently,

Kikinis does not anticipate claim 1 of the '290 patent.

PO Resp. at 34

B.E. TECHNOLOGY, L.L.C. Patent Owner

> Case IPR2014-00040 Patent 6,771,290

 Before SALLY C. MED LYNNE E. PETTIGREY
 requirements of the '290 patent. The Kikinis Reference fails to state that links to

 PETTIGREW, Administ
 these other data bases are associated with different buttons in any specific region of

 PATENI
 the home page or that they are accessed in a way other than the initiation to a CGI

 Mail Stop Patent Board
 and related application program. Accordingly, there is no basis to conclude that

 PO Resp. at 35
 PO Resp. at 35

Patent Owner Assertion

UNIT	1. Claim Construction: "Region."			
BEF	Consideration of this issue starts with claim construction. Despite an			
	affirmative obligation to provide a claim construction, 37 C.F.R. § 42.104(b)(3)			
	("[T]he petition must set forth: (3) [h]ow the challenged claim is to be			
	construed."), Microsoft failed to construe "region." See Petition at 5-7, 8-12.			
	"Region" is not explicitly defined in the '290 patent, but it should be construed as			
Before SALLY (LYNNE E. PET.	"a non-overlapping part of an application window that is distinct or separate from			
PETTIGREW, A	other parts of the application window wherein each part is characterized by the			
1.	presence of related functions or features that are different from the functions or			
Mail Stop Patent Patent Trial and United States Pat P.O. Box 1450	features of another part." Ex. 2002 \P 24. This construction is well supported in the			
Alexandria, VA	PO Resp. at 20			

PCT WORLD INTELLE	UAL PROPERTY ORGANIZATION Training Stress	
INTERNATIONAL APPLICATION PUBLISH (51) International Patent Classification ⁶ :	UNDER THE PATENT COOPERATION TREATY (PCT) (11) International Publication Number: WO 97/09682	
G06F 17/30	(43) International Publication Date: 13 March 1997 (13.03.97)	
(21) International Application Number: PCT/IB9 (22) International Filing Date: 30 August 1996 (3)		
(30) Priority Data: 08/523,005 1 September 1995 (01.09.95)	Kikinis also discloses a third "region" within Patent Owner's narrow	
 (71) Applicant: ELONEX PLC [GB/GB]; 2 Apsley Way, NW2 7LF (GB). (72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, Sarato 95070 (US). 	construction of that term. Specifically, Vikinia shows a year's in dividualize	d
(74) Agents: FREED, Arthur, Woolf et al.; Reginald W. B Co., Chancery House, 53-64 Chancery Lane, London 1QU (GB).		
	dictionary featuring pronunciation, a spelling checker, or a thesaurus, or ind	lee
(54) Title: SERVICE INDEPENDENT ELECTRONIC DO	almost any other sort of digital data or control routines." Ex. 1005 (Kikinis)	at
(57) Abstract A retrieval and auditing system for electronic documents spe and forwards over an Internet connection, including e-mail, voice (FAX) documents, provides a gateway interface in a home page, regard to a particular Internet Service Provider granting access to preferred embodiment a security protocol is required to launch th home page, ensuring that the person granted access to specifically-a- documents is the addressee.	$\frac{1}{100}$ = 0.14-10, 1 entitient at 11, EX. 1005 at 125-128. <u>Kinins</u> explaints that a person to $\frac{1}{100}$	o
	00040 Reply at 12-	13

PCT WORLD INTELLECTUAL INTERNATIONAL APPLICATION PUBLISHED U	It will be apparent to those with skill in the art that ther
(51) International Patent Classification ⁶ : G06F 17/30 A1	many alterations that might be made in the embodiments of the
(21) International Application Number: PCT/IB96/0098 (22) International Filing Date: 30 August 1996 (30.08.96	invention described herein without departing from the spirit an
(30) Priority Data: 08/523,005 1 September 1995 (01.09.95) U	the invention. There are, for example, many designs one migh
 (71) Applicant: ELONEX PLC [GB/GB]: 2 Apsley Way, Londo NW2 7LF (GB). (72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, Saratoga, C/ 95070 (US). 	home page interface, and many known methods of affording a
(74) Agents: FREED, Arthur, Woolf et al.; Reginald W. Barker & Co., Chancery House, 53-64 Chancery Lane, London WC2, 1QU (GB).	selection to alternative control pathways. Programming, too, i
	Kikinis at 9:25-30 (cited in 00040 Petitione
(54) Title: SERVICE INDEPENDENT ELECTRONIC DOCUMENT (57) Abstract	l'SERVER
A retrieval and auditing system for electronic documents_specifi and forwarded over an Internet connection, including (FAX) documents, provides a gaveway interface in regard to a panticular Internet Service Provider gran preferred embodiment a security protocol is require home page, ensuring that the person granted access to documents is the addressee.	Iternative control pathways."). Patent Owner's expert agreed this passage in
<u>K</u>	<u>likinis</u> indicates it is "simple to add the links" to the home page to the "other data
ba	ases, such as a personal multi-lingual dictionary featuring pronunciation, a
sr	pelling checker, or a thesaurus" disclosed in <u>Kikinis</u> . Ex. 2004 at 85:17-87:14. A
	00040 Reply at 13

apparent to those with skill in the art that there will be that might be made in the embodiments of the ed herein without departing from the spirit and scope of here are, for example, many designs one might use for a ace, and many known methods of affording a user native control pathways. Programming, too, is highly

Kikinis at 9:25-30 (cited in 00040 Petitioner Reply at 13)



