IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Gliner, et al. U.S. Patent No.: 5,836,978 Attorney Docket No.: 38855-0004IP1 Issue Date: November 17, 1998 Appl. Serial No.: 08/909,656 Filing Date: August 12, 1997 Title: ELECTROTHERAPY METHOD FOR PRODUCING A MULTIPHASIC DISCHARGE BASED UPON A PATIENT-DEPENDENT ELECTRICAL PARAMETER AND TIME

Mail Stop Patent Board

Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

PETITION FOR INTER PARTES REVIEW OF UNITED STATES PATENT NO. 5,836,978 PURSUANT TO 35 U.S.C. §§ 311–319, 37 C.F.R. § 42

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

TABLE OF CONTENTS

I.	INTRODUCTION	1	
II. A. B. C. D.	MANDATORY NOTICES UNDER 37 C.F.R § 42.8(a)(1) Real Party-In-Interest Under 37 C.F.R. § 42.8(b)(1) Related Matters Under 37 C.F.R. § 42.8(b)(2) Lead And Back-Up Counsel Under 37 C.F.R. § 42.8(b)(3) Service Information	22	
III.	PAYMENT OF FEES – 37 C.F.R. § 42.103	3	
IV. A. B. C.			
V. A. B. C.	SUMMARY OF THE '978 PATENT Description	9 13	
VI.	THERE IS A REASONABLE LIKELIHOOD THAT AT LEAST ONE IPR CLAIM OF THE '978 PATENT IS UNPATENTABLE		
VII.	MANNER OF APPLYING CITED PRIOR ART TO EVERY CLAIM FOR WHICH INTER PARTES REVIEW IS REQUESTED		
Α.	 Rejections Based on Bell as Primary Reference. 1. [GROUND 1] – Claims 1, 3, 4, 5, 6, 7 are obvious under 35 U.S.C. § 103 over Bell in view of Schuder 1984. 2. [GROUND 2] – Claim 2 is obvious under 35 U.S.C. § 103 over Bell and Schuder 1984 in view of Kroll. 	19	
В.	Rejections Based on Bach as Primary Reference		
	 [GROUND 3] – Claims 1 and 3-7 is anticipated under 35 U.S.C. § 102 by Bach [GROUND 4] – Claim 2 is obvious under 35 U.S.C. § 103 over Bach in view of Kroll 	27 32	
C.	Obviousness-type Double Patenting. 3 1. [GROUND 5] – Nonstatutory obviousness-type double patenting of claim over claim 6 of U.S. Patent No.5,735,879 (Gliner). 3	34 1	
VIII.	CONCLUSION	37	

EXHIBITS

LIFECOR978 -1001	U.S. Patent No. 5,836,978 to Bradford E. Gliner, et al. ("'978 Patent")
LIFECOR978 -1002	Prosecution History of the '978 Patent
LIFECOR978 -1003	Declaration of Wayne C. McDaniel, Ph.D.
LIFECOR978 -1004	U.S. Patent No. 3,782,389 (Bell)
LIFECOR978 -1005	Schuder 1984 et al., <i>Transthoracic Defibrillation of 100 Kg</i> <i>Calves with Bidirectional Truncated Exponential Shocks,</i> Transactions – American Society for Artificial Internal Organs, Vol. 30, Issue 1 (1984).
LIFECOR978 -1006	U.S. Patent No. 5,431,686 (Kroll)
LIFECOR978 -1007	U.S. Patent No. 4,850,357 (Bach)
LIFECOR978 -1008	Koninklijke Philips Electronics NV v. Defibtech LLC, Case No. C03-1322JLR, Order dated Dec. 21, 2005
LIFECOR978 -1009	U.S. Patent No. 5,735,879 (Gliner)
LIFECOR978 -1010	American Heritage Dictionary (3d ed. 1992), page 1167

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

I. INTRODUCTION

ZOLL Lifecor Corporation ("Petitioner" or "Lifecor") petitions for *Inter Partes* Review ("IPR") under 35 U.S.C. §§ 311–319 and 37 C.F.R. § 42 of claims 1-7 ("the IPR Claims") of U.S. Patent No. 5,836,978 ("978 Patent") of Bradford E. Gliner, et al. ("Patentee" or "Gliner, et al."). As explained in this petition, there exists a reasonable likelihood that Lifecor will prevail with respect to at least one claim challenged in this petition.

The '978 Patent claims methods for delivering electrotherapy to a patient using a simple technique in which delivery of energy to the patient's heart is based on a monitored electrical parameter (e.g., voltage or energy), and a measured period of time. But the patent was improvidently granted without full consideration to the wide body of applicable prior art, such as that relied on in this petition. For example, both U.S. Patent 3,782,389 ("Bell"; LIFECOR978-1004) and U.S. Patent 4,850,357 ("Bach"; LIFECOR978-1007) expressly disclose a limitation that was asserted during prosecution to distinguish over the cited art, namely, a method in which "the electrical parameter and time are monitored simultaneously." (Amendment filed 4/28/97 at 3). And the other claim limitations are taught either by Bell, Bach, and/or Schuder 1984, the other reference presented in this petition. Moreover, Patent Owner has obtained multiple patents in the same family without submitting terminal disclaimers, and at least one of the claims in the patent is invalid for obviousness-type double patenting over one or more of such related patents. Petitioner respectfully submits that Inter Partes Review should be instituted, and the challenged claims be canceled as unpatentable.

II. MANDATORY NOTICES UNDER 37 C.F.R § 42.8(a)(1)

A. Real Party-In-Interest Under 37 C.F.R. § 42.8(b)(1)

Petitioner, ZOLL Lifecor Corporation, is the real party-in-interest.

B. Related Matters Under 37 C.F.R. § 42.8(b)(2)

Petitioner is not aware of any disclaimers or reexamination certificates for the '978 Patent. Petitioner has been named as a defendant in a recently-filed litigation concerning the '978 Patent, *Koninklijke Philips N.V. and Philips Electronics North America Corp. v. ZOLL Lifecor Corp*, Civil No. 12-1369 (W.D. Pa.). Lifecor has also petitioned—on this same day—for *Inter Partes* Review of other patents at issue in that litigation: U.S. Patent No. 5,735,879 ("the '879 Patent"), U.S. Patent No. 5,749,905 ("the '905 Patent"), U.S. Patent No. 6,047,212 ("the '212 Patent"), U.S. Patent No. 5,607,454 ("the '454 Patent"), U.S. Patent No. 5,749,904 ("the '904 Patent"), U.S. Patent No. 5,803,927 ("the '927 Patent"), and U.S. Patent No. 5,593,427 ("the '427 Patent") (collectively, "the Philips Waveform Patents," all of which are owned by Koninklijke Philips N.V. and/or Philips Electronics North America Corp. ("Patent Owner" or "Philips")).

C. Lead And Back-Up Counsel Under 37 C.F.R. § 42.8(b)(3)

Petitioner provides the following designation of counsel:

LEAD COUNSEL	BACKUP COUNSEL
John C. Phillips, Reg. No. 35,322	Dorothy P. Whelan, Reg. No. 33,814
3200 RBC Plaza	3200 RBC Plaza
60 South Sixth Street	60 South Sixth Street

Find authenticated court documents without watermarks at docketalarm.com.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.