Filed on behalf of: Philips Electronics North America Corporation

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## UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ZOLL LIFECOR CORPORATION Petitioner

v.

KONINKLIJKE PHILIPS ELECTRONICS N.V. Patent Owner

> Case IPR2013-00606 Patent 5,593,427

# EXHIBIT LIST FOR PATENT OWNER

DOCKET

| Exhibit<br>No. | Description   | Previously<br>Submitted |
|----------------|---|-------------------------|
| 2001           | Complaint, Koninklijke Philips Elecs. N.V. v. Zoll Lifecor        |                         |
|                | Corp., Civ. A. No. 2:12-cv-01369 (W.D. Pa. Sept. 21,              |                         |
|                | 2012), ECF No. 1 ("Pennsylvania Action Complaint").               |                         |
| 2002           | Complaint, Koninklijke Philips Elecs. N.V. v. Zoll Med.           |                         |
|                | Corp., Civ. A. No. 1:10-cv-11041 (D. Mass. June 18,               |                         |
|                | 2010), ECF No. 1 ("Massachusetts Action Complaint").              |                         |
| 2003           | Memorandum & Order, Koninklijke Philips Elecs. N.V. v.            |                         |
|                | Zoll Med. Corp., Civ. A. No. 1:10-cv-11041 (D. Mass.              |                         |
| 2003           | Nov. 26, 2012), ECF No. 106 ("Massachusetts Action                |                         |
|                | Claim Construction Order").                                       |                         |
|                | Memorandum in Support of Zoll's Motion to Stay,                   |                         |
| 2004           | Koninklijke Philips Elecs. N.V. v. Zoll Lifecor Corp., Civ.       |                         |
| 2001           | A. No. 2:12-cv-01369 (W.D. Pa. Nov. 9, 2012), ECF No.             |                         |
|                | 27 ("Pennsylvania Action Stay Brief").                            |                         |
|                | Zoll's Supplemental Brief in Support of its Motion to             |                         |
| 2005           | Stay, Koninklijke Philips Elecs. N.V. v. Zoll Lifecor Corp.,      |                         |
| 2005           | Civ. A. No. 2:12-cv-01369 (W.D. Pa. Feb. 1, 2013), ECF            |                         |
|                | No. 44 ("Pennsylvania Action Supplemental Stay Brief").           |                         |
|                | Zoll Lifecor Corporation's Opposition to Philips's Motion         |                         |
|                | to Lift Stay, Koninklijke Philips Elecs. N.V. v. Zoll Lifecor     |                         |
| 2006           | <i>Corp.</i> , Civ. A. No. 2:12-cv-01369 (W.D. Pa. July 8, 2013), |                         |
|                | ECF No. 85 ("Pennsylvania Action Brief to Maintain                |                         |
|                | Stay").   |                         |
|                | Memorandum Order, Koninklijke Philips Elecs. N.V. v.              |                         |
| 2007           | Zoll Lifecor Corp., Civ. A. No. 2:12-cv-01369 (W.D. Pa.           |                         |
|                | Feb. 6, 2013), ECF No. 45.  |                         |
|                | Memorandum Order, Koninklijke Philips Elecs. N.V. v.              |                         |
| 2008           | Zoll Lifecor Corp., Civ. A. No. 2:12-cv-01369 (W.D. Pa.           |                         |
|                | July 24, 2013), ECF No. 90.                                       |                         |
|                | Zoll Lifecor Corporation's Disclosure Statement,                  |                         |
| 2009           | Koninklijke Philips Elecs. N.V. v. Zoll Lifecor Corp., Civ.       |                         |
|                | A. No. 2:12-cv-01369 (W.D. Pa. Nov. 9, 2012), ECF No.             |                         |
|                | 25.   |                         |

| Exhibit<br>No. | Description  | Previously<br>Submitted |
|----------------|--|-------------------------|
| 2010           | Zoll Medical Corp., <i>Zoll Medical Completes Acquisition of</i><br><i>Business of LifeCor, Inc.</i> , http://www.zoll.com/news-<br>releases/2006/04-10-06-zoll-acquisition-lifecor/ (last<br>visited Dec. 9, 2013) ("Press Release"). |                         |
| 2011           | Zoll Medical Corp., Annual Report (Form 10-K) (Dec. 15, 2006), <i>available at</i><br>http://www.sec.gov/Archives/edgar/data/887568/0001193<br>12506254446/0001193125-06-254446-index.htm ("Zoll<br>Medical 2006 Form 10-K").          |                         |
| 2012           | Zoll Medical Corp., Annual Report (Form 10-K) (Nov. 23, 2011), available at<br>http://www.sec.gov/Archives/edgar/data/887568/0001193<br>12511321014/0001193125-11-321014-index.htm ("Zoll<br>Medical 2011 Form 10-K").                 |                         |
| 2013           | Zoll Medical Corp., Annual Report (Form 10-K) (Dec. 8, 2008), <i>available at</i><br>http://www.sec.gov/Archives/edgar/data/887568/0001193<br>12508249638/0001193125-08-249638-index.htm ("Zoll<br>Medical 2008 Form 10-K").           |                         |
| 2014           | Zoll LifeVest - Welcome to Zoll LifeVest,<br>http://lifecor.com (last visited December 9, 2013) ("Zoll<br>LifeVest - Welcome to Zoll LifeVest, Screenshot of<br>Webpage").   |                         |
| 2015           | Zoll LifeVest - Careers, http://lifecor.com/about-<br>us/careers.asp (last visited December 9, 2013) ("Zoll<br>LifeVest - Careers, Screenshot of Webpage").  |                         |
| 2016           | Zoll Corporate Information - Careers, https://zoll-<br>openhire.silkroad.com/<br>epostings/index.cfm?version=1&company_id=16547 (last<br>visited December 9, 2013) ("Zoll Corporate Information -<br>Careers, Screenshot of Webpage"). |                         |

| Exhibit<br>No. | Description  | Previously<br>Submitted |
|----------------|--|-------------------------|
| 2017           | Zoll Corporate Information -Embedded Software Engineer<br>Posting, https://zoll-<br>openhire.silkroad.com/epostings/index.cfm?fuseaction=<br>app.jobinfo&jobid=160&source=ONLINE&JobOwner=99<br>2379&company_id=16547&version=1&byBusinessUnit=<br>NULL&bycountry=0&bystate=0&bylocation=&keywords<br>=&byCat=&proximityCountry=&postalCode=&radiusDist<br>ance=&isKilometers=&tosearch=yes (last visited<br>December 9, 2013) ("Zoll Corporate Information -<br>Embedded Software Engineer Posting, Screenshot of<br>Webpage"). |                         |
| 2018           | Zoll's Brief in Support of its Motion for Sanctions for<br>Plaintiffs' Failure to Mediate in Good Faith, <i>Koninklijke</i><br><i>Philips Elecs. N.V. v. Zoll Lifecor Corp.</i> , Civ. A. No. 2:12-<br>cv-01369 (W.D. Pa. May 4, 2013), ECF No. 56<br>("Pennsylvania Action Sanctions Brief").   |                         |
| 2019           | Zoll Medical - Management Team,<br>http://www.zoll.com/about-zoll/management-team/ (last<br>visited December 10, 2013) ("Zoll Medical - Management<br>Team, Screenshot of Webpage").   |                         |
| 2020           | Zoll LifeVest - Management,<br>http://www.lifecor.com/about-us/management.asp (last<br>visited December 10, 2013) ("Zoll LifeVest -<br>Management, Screenshot of Webpage").  |                         |
| 2021           | Defendant's Answer to Plaintiffs' Amended Complaint,<br><i>Koninklijke Philips Elecs. N.V. v. Zoll Med. Corp.</i> , Civ. A.<br>No. 1:10-cv-11041 (D. Mass. July 18, 2011), ECF No. 15.   |                         |
| 2022           | Email from Proshanto Mukherji, Fish & Richardson, P.C., to Philips-Zoll listserv (June 4, 2012, 2:50 p.m. EDT).  |                         |
| 2023           | Order, <i>Koninklijke Philips Elecs. N.V. v. Defibtech LLC</i> ,<br>Civ. A. No. C03-1322 (W.D. Wash. Oct. 25, 2005), ECF<br>No. 119 ("Defibtech Claim Construction Order").  |                         |
| 2024           | Declaration of Daniel J. Powers under 37 C.F.R. § 1.132,<br>U.S. Patent Application Serial No. 08/946,843 (May 27,<br>1999).   |                         |
| 2025           | Examiner's Action, U.S. Patent Application Serial No. 08/103,837 (June 16, 1995).  |                         |

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### Case No. IPR2013-00606

|    | hibit<br>Io. | Description   | Previously<br>Submitted |
|----|--------------|---|-------------------------|
| 20 | )26          | Amendment under 37 C.F.R. §1.111, U.S. Patent<br>Application Serial No. 08/103,837 (June 26, 1995). |                         |

# DOCKET A L A R M



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