UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD RAYMARINE, INC. Petitioner v.

NAVICO HOLDING AS

Patent Owner

Case IPR2013-00497

Patent 8,305,840

Navico Holding AS EXHIBIT: NAV-2001

Raymarine v. Navico Holding AS

IPR2013-00497



DECLARATION BY ALAN PROCTOR TO ESTABLISH CONCEPTION AND REDUCTION TO PRACTICE PRIOR TO AUGUST 28, 2008

- I, Alan Proctor, hereby declare and state that:
- 1. I am an employee of Navico, Inc., which is an affiliate of Navico Holding AS. Navico Holding AS is the owner of U.S. Patent No. 8,305,840 ("the '840 patent") and Navico, Inc. has a license to the '840 patent. I understand that this declaration is to be submitted in an *Inter Partes* Review proceeding on behalf of Navico Holding AS.
- 2. The '840 patent relates to a sonar assembly for imaging an underwater environment beneath a watercraft traveling on the surface of a body of water. At the time of the events and evidence presented herein it is my understanding that Brian T. Maguire (hereinafter, "Mr. Maguire"), who is the inventor of the claimed subject matter of the '840 patent, was under an obligation to assign the underlying invention to Navico, Inc. Mr. Maguire assigned his rights in the invention to



Navico, Inc. on August 20, 2009, as recorded in the Patent Office at Reel 023181, Frame 0828. Navico, Inc. remained the assignee of record for the '840 patent until June 24, 2013, when Navico, Inc. assigned the '840 patent to Navico Holding AS, as recorded in the Patent Office at Reel 030706, Frame 0152.

- 3. I worked with and supervised Mr. Maguire at the time of the events and evidence presented herein. In addition to having reviewed all of the evidence presented herein and discussed the invention with Mr. Maguire many times during our work together, I also took part in some of the events described herein.
- 4. I have over 12 years of experience as an Engineer working in the field of sonar technology, and have been employed continuously by Navico in the sonar technology field since 2008. I was the Project Manager for the Imaging Sonar Project that became Navico's downscan sonar. I am currently the Manager of R&D Technology, which includes having lead responsibility for Navico's Sonar Technology Program. I received a Masters of Science in Electrical Engineering and a Bachelor of Science in Electrical Engineering from Kansas State University.
- 5. U.S. Patent No. 7,961,552 to Boucher et al. ("Boucher '552") issued on June 14, 2011, from U.S. Patent Application No. 12/231,054 ("the '054



application") filed on August 28, 2008. Petitioner in the *Inter Partes* Review proceeding of the '840 patent relies on Boucher '552 for purposes of an argument under 35 U.S.C. § 103(a). In particular, the Petitioner alleges that Claims 1-2, 23, 30, and 73 of the '840 patent are obvious under 35 U.S.C. § 103(a) over Jong, C.D. et al., *Hyrdography*, (1st ed. 2002) ("Hydrography"), an introductory-level textbook published in 2002 (See, RAY-1003), in view of Boucher '552.

- 6. Independent Claim 1 of the '840 patent recites:
 - 1. A sonar assembly for imaging an underwater environment beneath a watercraft traveling on a surface of a body of water, the sonar assembly comprising:
 - a housing mountable to the watercraft;
 - a single linear downscan transducer element positioned within the housing, the linear downscan transducer element having a substantially rectangular shape configured to produce a fan-shaped sonar beam having a relatively narrow beamwidth in a direction parallel to a longitudinal length of the linear downscan transducer element and a relatively wide beamwidth in a direction perpendicular to the longitudinal length of the transducer element, the linear



downscan transducer element being positioned with the longitudinal length thereof extending in a fore-to-aft direction of the housing;

wherein the linear downscan transducer element is positioned within the housing to project fan-shaped sonar beams in a direction substantially perpendicular to a plane corresponding to the surface of the body of water, said sonar beams being repeatedly emitted so as to sequentially insonify different fan-shaped regions of the underwater environment as the watercraft travels; and

a sonar signal processor receiving signals representative of sonar returns resulting from each of the fan-shaped sonar beams and processing the signals to produce sonar image data for each fan-shaped region and to create an image of the underwater environment as a composite of images of the fan-shaped regions arranged in a progressive order corresponding to the travel of the watercraft.

- 7. Dependent Claim 2 of the '840 patent recites:
 - 2. The sonar assembly of claim 1, wherein the linear downscan transducer element is configured to operate at a selected one of at least two selectable operating frequencies.



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