

### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
90/011,014	05/26/2010	5,832,494	23373-0010RX1	6009
7590 08/06/2010			EXAMINER	
ALDO NOTO				
DORSEY & WHITNEY			107.007	DADED MINIDED
1330 CONNECTICUT AVE. NW, SUITE 200 WASHINGTON, DC 20036			ART UNIT	PAPER NUMBER
WASHINGTO	1, DC 20030			
			DATE MAILED: 08/06/2010	0

Please find below and/or attached an Office communication concerning this application or proceeding.



### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patents and Trademark Office P.O.Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS FISH & RICHARDSON, PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022 Date:

AUG 0 6 2010

**CENTRAL REEXAMINATION UNIT** 

### EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO.: 90011014

PATENT NO.: 5832494

**ART UNIT: 3992** 

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified ex parte reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the ex parte reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).



Order Granting / Denying Request For Ex Parte Reexamination  90/011,014  5,832,494  Examiner COLIN M. LAROSE 3992				
Ex Parte Reexamination Examiner Art Unit				
COLIN M. LAROSE 3992				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address				
The request for ex parte reexamination filed <u>26 May 2010</u> has been considered and a determination has been made. An identification of the claims, the references relied upon, and the rationale supporting the determination are attached.				
Attachments: a) PTO-892, b) PTO/SB/08, c) Other: PTO-1449				
1.   The request for ex parte reexamination is GRANTED.				
RESPONSE TIMES ARE SET AS FOLLOWS:				
For Patent Owner's Statement (Optional): TWO MONTHS from the mailing date of this communication (37 CFR 1.530 (b)). EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c).				
For Requester's Reply (optional): TWO MONTHS from the <b>date of service</b> of any timely filed Patent Owner's Statement (37 CFR 1.535). <b>NO EXTENSION OF THIS TIME PERIOD IS PERMITTED.</b> If Patent Owner does not file a timely statement under 37 CFR 1.530(b), then no reply by requester is permitted.				
2. The request for ex parte reexamination is DENIED.				
This decision is not appealable (35 U.S.C. 303(c)). Requester may seek review by petition to the Commissioner under 37 CFR 1.181 within ONE MONTH from the mailing date of this communication (37 CFR 1.515(c)). EXTENSION OF TIME TO FILE SUCH A PETITION UNDER 37 CFR 1.181 ARE AVAILABLE ONLY BY PETITION TO SUSPEND OR WAIVE THE REGULATIONS UNDER 37 CFR 1.183.				
In due course, a refund under 37 CFR 1.26 ( c ) will be made to requester:				
a) Dy Treasury check or,				
b) Dy credit to Deposit Account No, or				
c) Tby credit to a credit card account, unless otherwise notified (35 U.S.C. 303(c)).				
c: Penuester / if third party requester \				

cc:Requester ( if third U.S. Patent and Trademark Office PTOL-471 (Rev. 08-06)

Office Action in Ex Parte Reexamination

Part of Paper No. 20100803



Application/Control Number: 90/011,014

Art Unit: 3992

### ORDER GRANTING REEXAMINATION OF U.S. PATENT 5,832,494

Page 2

### Request for Reexamination

1. A third-party Request for Reexamination of claims 1-3, 5, 7-16, 18-21, 23-25, and 31-33 was received on 5/26/2010 based on the following prior art patents and/or publications:

APPENDIX C	R.A. Botafogo and B. Shneiderman, "Identifying Aggregates in Hypertext Structures," Hypertext '91 Proceedings, December 1991, pp.63-74
	("Botafogo 1991")
	( Dougogo 1221 )

APPENDIX D	R.A. Botafogo, "Cluster Analysis for Hypertext Systems," ACM-		
	SIGIR'93, Vol. 6, pp. 116-125, 1993 ("Botafogo 1993")		

- APPENDIX E H.P. Frei and D. Stieger, "Making Use of Hypertext Links when Retrieving Information," ACM, 1992 ("Frei & Stieger 1992")
- APPENDIX F S. Baase, Computer Algorithms: Introduction to Design and Analysis, 2nd Edition, Addison-Wesley Publishing Co., 1988 ("Baase 1988")
- APPENDIX G

  D. Lucarella, "A Model for Hypertext-Based Information Retrieval,"
  Proceedings of the ECHT'90, Cambridge University Press, N. Streitz, A.
  Rizk and J. Andre, eds., pp. 81-94, November 1990 ("Lucarella 1990")
- APPENDIX H E. Fox, "Extending the Boolean and Vector Space Models of Information Retrieval with P-Norm Queries and Multiple Concept Types," Cornell University, 1983 ("Fox 1983")
- APPENDIX I

  B.R. Schatz and J.B. Hardin, "NCSA Mosaic and the World Wide Web: Global Hypermedia Protocols for the Internet," Science, Vol. 265, Aug. 12, 1994 ("Schatz & Hardin 1994")
- APPENDIX J U.S. Patent No. 5,838,906 to Michael D. Doyle, et al., Issued November 17, 1998 ("Doyle")



Application/Control Number: 90/011,014

Art Unit: 3992

### Priority and Effective Date of the '494 Patent

Page 3

2. The '494 patent has a U.S. non-provisional filing date of 5/17/1996 and is a continuation-in-part of application serial no. 08/076,658, filed 6/14/1993.

Upon issuing a Notice of Allowability for the '494 patent, the Examiner indicated that the claims were not entitled to benefit of the 6/14/1993 filing date:

3. A word search of the parent, USP 5,544,352, reveals that the words link(s) and node(s) do not appear, and the term cluster appears once in passing. Therefore this group of claims is considered to have 5/17/96 as their priority date for purposes of examination in terms of prior art.

Independent claims 1, 12, 14, 23, and 33 each recite limitations related to link(s), node(s), and/or cluster(s). Accordingly, these claims and all claims that depend therefrom have an effective date of 5/17/1996.

Claims 18-21 do not recite such limitations and are assumed to be supported by the parent '658 application. Accordingly, claims 18-21 are given an effective date of 6/14/1993.

### Prosecution History of the '494 Patent

- On 9/9/1997, the Examiner issued a Restriction Requirement that broke all pending claims 1-98 into five groups.
- On 1/9/1998, the Applicant elected Group I (claims 1-38), with traverse.



# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

