UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

Cyanotech Corporation Petitioner

v.

The Board of Trustees of the University of Illinois Patent Owner

Case: IPR2013-00404 Patent: 5,527,533 Filed: June 29, 2013 Administrative Patent Judge Scott E. Kamholz

RELATED JUDICIAL OR ADMINSTRATIVE MATTERS

Patent Owner, The Board of Trustees of the University of Illinois ("Patent Owner") identifies the judicial or administrative matters that would affect, or be affected by, a decision in this proceeding as follows:

An inter partes review of the '533 patent cannot be instituted because Petitioner Cyanotech Corporation ("Petitioner") filed a civil action challenging the validity of the '533 patent before the date on which the petition for inter partes review was filed. 35 U.S.C. § 315(a)(1). Specifically, on June 20, 2012 Petitioner filed a civil action challenging the validity of the '533 patent in the United States District Court for the District of Hawaii. <u>Cyanotech</u> <u>Corporation v. U.S. Nutraceuticals, LLC d/b/a Valensa International and The University of</u> <u>Illinois</u>, Civil Action No. 1:12-cv-00352-JMSRLP. Patent Owner will more fully address this issue in its Preliminary Response. Valensa and Patent Owner also filed a lawsuit on June 29, 2012, in the United States District Court for the Middle District of Florida entitled <u>U.S. Nutraceuticals LLC d/b/a Valensa</u> <u>International; and The Board of Trustees of the University of Illinois vs. Cyanotech Corporation,</u> <u>and Nutrex Hawaii, Inc.</u>, Civil Action No. 5:12-cv-366-oc-10PRL, alleging infringement of the '533 patent by Petitioner and its subsidiary, Nutrex Hawaii, Inc.