

# Digital karyotyping

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**Alterations in the genetic content of a cell are the underlying cause of many human diseases, including cancers. We have developed a method, called digital karyotyping, that provides quantitative analysis of DNA copy number at high resolution. This approach involves the isolation and enumeration of short sequence tags from specific genomic loci. Analysis of human cancer cells by using this method identified gross chromosomal changes as well as amplifications and deletions, including regions not previously known to be altered. Foreign DNA sequences not present in the normal human genome could also be readily identified. Digital karyotyping provides a broadly applicable means for systematic detection of DNA copy number changes on a genomic scale.**

Somatic and hereditary variations in gene copy number can lead to profound abnormalities at the cellular and organismal levels. In human cancer, chromosomal changes, including the deletion of tumor suppressor genes and the amplification of oncogenes, are hallmarks of neoplasia (1). Single copy changes in specific chromosomal or smaller regions can result in a number of developmental disorders, including Down, Prader Willi, Angelman, and cri du chat syndromes (2). Current methods for the analysis of cellular genetic content include comparative genomic hybridization (CGH) (3), representational difference analysis (4), spectral karyotyping/multiplex-fluorescence *in situ* hybridization (M-FISH) (5, 6), microarrays (7–10), and traditional cytogenetics. Such techniques have aided in the identification of genetic aberrations in human malignancies and other diseases (11–14). However, methods employing metaphase chromosomes have a limited mapping resolution ( $\approx 20$  Mb; ref. 15), and therefore cannot be used to detect smaller alterations. Recent implementation of CGH to microarrays containing genomic or transcript DNA sequences provides improved resolution, but is currently limited by the number of sequences that can be assessed (16) or by the difficulty of detecting certain alterations such as homozygous deletions (9).

To circumvent these limitations, we have developed a method that permits the comprehensive examination of cellular DNA content based on the quantitative analysis of short fragments of genomic DNA. This method is based on two concepts. First, short sequence tags (21 bp each) can be obtained from specific locations in the genome. These tags generally contain sufficient information to uniquely identify the genomic loci from which they were derived. Such tags are in principle related to those obtained in the serial analysis of gene expression (SAGE) approach (17, 18), but are obtained from genomic DNA, rather than from mRNA, and are isolated by using different methods. Second, populations of tags can be directly matched to the assembled genomic sequence, allowing observed tags to be sequentially ordered along each chromosome. Digital enumeration of tag observations along each chromosome can then be used to quantitatively evaluate DNA content with high resolution.

## Materials and Methods

**Digital Karyotyping Library Construction.** Digital karyotyping was performed on DNA from colorectal cancer cell lines DiFi and Hx48, and from the lymphoblastoid cells of a normal individual (GM12911, obtained from Coriell Cell Repositories, Camden, NJ). Genomic DNA was isolated by using DNeasy or QIAamp

DNA blood kits (Qiagen, Valencia, CA) and following the manufacturer's protocols. For each sample, 1  $\mu$ g of genomic DNA was sequentially digested with mapping enzyme *SacI*, ligated to 20–40 ng of biotinylated linker (5'-biotin-TTTG-CAGAGTTTCGTAATCGAGTTGGGTGAGC-3', 5'-phosphate-CACCCAACCTCGATTACGAACCTCTGC-3'); Integrated DNA Technologies, Coralville, IA) by using T4 ligase (Invitrogen), and then digested with the fragmenting enzyme *NlaIII*. DNA fragments containing biotinylated linkers were isolated by binding to streptavidin-coated magnetic beads (Dyna, Oslo). The remaining steps were similar to those described for LongSAGE of cDNA (18). In brief, linkers containing *MmeI* recognition sites were ligated to captured DNA fragments, and tags were released with *MmeI* (University of Gdansk Center for Technology Transfer, Gdansk, Poland, and New England Biolabs). The tags were ligated to form ditags, and the ditags were isolated, and then ligated to form concatemers, which were cloned into pZero (Invitrogen). The sequencing of concatemer clones was performed by using the Big Dye terminator v3.0 kit (Applied Biosystems) and analyzed with an SCE-9610 192-capillary electrophoresis system (SpectruMedix, State College, PA) or by contract sequencing at Agencourt (Beverly, MA). Digital karyotyping sequence files were trimmed by using PHRED sequence analysis software (CodonCode, Dedham, MA), and 21-bp genomic tags were extracted by using the SAGE2000 software package, which identifies the fragmenting enzyme site between ditags, extracts intervening tags, and records them in a database. Detailed protocols for performing digital karyotyping and software for the extraction and analysis of genomic tags are available at [www.digitalkaryotyping.org](http://www.digitalkaryotyping.org).

**Simulations.** The theoretical sensitivity and specificity of digital karyotyping for copy number alterations was evaluated by using Monte Carlo simulations. For each alteration type, 100 simulations were performed as follows: Either 100,000 or 1,000,000 experimental tags were randomly assigned to 730,862 equally spaced virtual tags in a genome containing a single randomly placed copy number alteration of a predefined size and copy number. Moving windows containing the same number of virtual tags as the simulated alteration were used to evaluate tag densities along the genome. Tag density values of  $>4.9$ ,  $<0.1$ ,  $<0.6$ , and  $>1.4$  located within the area of amplifications, homozygous deletions, heterozygous losses, and subchromosomal gains, respectively, were considered true positives. Tag densities of these values in areas outside the altered region were considered false positives.

**Data Analysis.** All tags adjacent to the *NlaIII* fragmenting enzyme (CATG) sites closest to *SacI* mapping enzyme sites were computationally extracted from the human genome sequence (University of California, Santa Cruz, June 28, 2002 Assembly, <http://genome.ucsc.edu/>). Of the 1,094,480 extracted tags, 730,862 were

Abbreviations: CGH, comparative genomic hybridization; EBV, Epstein-Barr virus; SAGE, serial analysis of gene expression.

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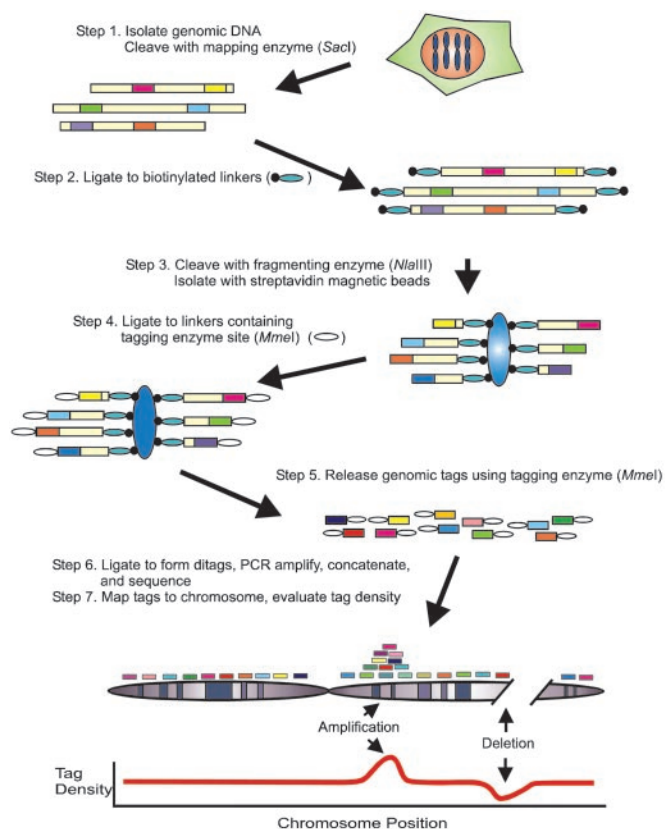
obtained from unique loci in the genome and were termed virtual tags. The experimentally derived genomic tags obtained from NLB, DiFi, and Hx48 cells were electronically matched to these virtual tags. The experimental tags with the same sequence as virtual tags were termed filtered tags and were used for subsequent analysis. The remaining tags corresponded to repeated regions, sequences not present in the current genome database release, polymorphisms at the tag site, or sequencing errors in the tags or in the genome sequence database. Tag densities for sliding windows containing  $N$  virtual tags were determined as the sum of experimental tags divided by the average number of experimental tags in similar sized windows throughout the genome. Tag densities were dynamically analyzed in windows ranging from 50 to 1,000 virtual tags. For windows of 1,000 virtual tags, DiFi tag densities were normalized to evaluated NLB tag densities in the same sliding windows to account for incomplete filtering of tags matching repetitive sequences, and visualized by using tag density maps. For windows  $<1,000$  virtual tags, a bitmap viewer was developed that specifically identified tag densities above or below defined thresholds.

**Quantitative PCR.** Genome content differences between DiFi and normal cells were determined by quantitative real-time PCR performed on an iCycler apparatus (Bio-Rad). DNA content was normalized to that of Line-1, a repetitive element for which copy numbers per haploid genome are similar among all human cells (normal or neoplastic). Copy number changes per haploid genome were calculated by using the formula  $2^{(N_t - N_{line}) - (D_t - D_{line})}$  where  $N_t$  is the threshold cycle number observed for an experimental primer in the normal DNA sample,  $N_{line}$  is the threshold cycle number observed for a Line-1 primer in the normal DNA sample,  $D_t$  is the average threshold cycle number observed for the experimental primer in DiFi, and  $D_{line}$  is the average threshold cycle number observed for a Line-1 primer in DiFi. Conditions for amplification were as follows: one cycle of 94°C for 2 min, followed by 50 cycles of 94°C for 20 sec, 57°C for 20 sec, and 70°C for 20 sec. Threshold cycle numbers were obtained by using ICYCLER V 2.3 software. PCRs for each primer set were performed in triplicate and threshold cycle numbers were averaged. For analysis of homozygous deletions, the presence or absence of PCR products was evaluated by gel electrophoresis. PCR primers were designed by using Primer 3 ([www-genome.wi.mit.edu/cgi-bin/primer/primer3\\_www.cgi](http://www-genome.wi.mit.edu/cgi-bin/primer/primer3_www.cgi)) to span a 100- to 200-bp nonrepetitive region and were synthesized by GeneLink (Hawthorne, NY). Primer sequences for each region analyzed in this study are included in Table 4, which is published as supporting information on the PNAS web site, [www.pnas.org](http://www.pnas.org).

**Karyotyping and Comparative Genomic Hybridization.** CGH was performed as described (19), and hybridization data were analyzed with Leica Microsystems (Deerfield, IL) imaging software. Karyotyping was performed with conventional procedures.

## Results

**Principles of Digital Karyotyping.** The basic concepts of digital karyotyping have been implemented as described in Fig. 1. Genomic DNA is cleaved with a restriction endonuclease (mapping enzyme) that is predicted to cleave genomic DNA into several hundred thousand pieces, each, on average,  $<10$  kb in size (step 1). A variety of different endonucleases can be used for this purpose, depending on the resolution desired. In the current study, we used *SacI*, with a 6-bp recognition sequence. Biotinylated linkers are ligated to the DNA molecules (step 2) and then digested with a second endonuclease (fragmenting enzyme) that recognizes 4-bp sequences (step 3). As there are, on average, 16 fragmenting enzyme sites between every 2 mapping enzyme sites ( $4^6/4^4$ ), the majority of DNA molecules in the template are expected to be cleaved by both enzymes and, thereby, be available for subsequent



**Fig. 1.** Schematic of the digital karyotyping approach. Colored boxes represent genomic tags. Small ovals represent linkers. Large blue ovals represent streptavidin-coated magnetic beads. See text for details.

steps. DNA fragments containing biotinylated linkers are separated from the remaining fragments by using streptavidin-coated magnetic beads (step 3). New linkers, containing a 6-bp site recognized by *MmeI*, a type IIS restriction endonuclease (18), are ligated to the captured DNA (step 4). The captured fragments are cleaved by *MmeI*, releasing 21-bp tags (step 5). Each tag is thus derived from the sequence adjacent to the fragmenting enzyme site that is closest to the nearest mapping enzyme site. Isolated tags are self-ligated to form ditags, PCR-amplified *en masse*, concatenated, cloned, and sequenced (step 6). As described for SAGE (17), the formation of ditags provides a robust method to eliminate potential PCR-induced bias during the procedure. Current automated sequencing technologies identify up to 30 tags per concatemer clone, allowing for the analysis of  $\approx 100,000$  tags per day by using a single 384-capillary sequencing apparatus. Finally, tags are computationally extracted from sequence data and matched to precise chromosomal locations, and tag densities are evaluated over moving windows to detect abnormalities in DNA sequence content (step 7).

The sensitivity and specificity of digital karyotyping for detecting genome-wide changes were expected to depend on several factors. First, the combination of mapping and fragmenting enzymes determines the minimum size of the alterations that can be identified. For example, the use of *SacI* and *NlaIII* as mapping and fragmenting enzymes, respectively, was predicted to result in a total of 730,862 virtual tags (defined as all possible tags that could theoretically be obtained from the human genome). These virtual tags were spaced at an average of 3,864 bp, with 95% separated by 4 bp to 46 kb. Practically, this resolution is limited by the number of tags actually sampled in a given experiment and the type of alteration present (Table 1). Monte Carlo simulations confirmed the intuitive concept that

**Table 1. Theoretical detection of copy number alterations\* by using digital karyotyping**

Size of alteration <sup>†</sup>		Amplification, % (copy number = 10)		Homozygous deletion, % (copy number = 0)		Heterozygous loss, % (copy number = 1)		Subchromosomal gain, % (copy number = 3)	
No. of base pairs	No. of virtual tags	100,000	1,000,000	100,000	1,000,000	100,000	1,000,000	100,000	1,000,000
100,000	30	100	100	0.06	100	0.008	0.02	0.006	0.08
200,000	50	100	100	1	100	0.01	3	0.01	0.7
600,000	150	100	100	96	100	0.07	100	0.05	100
2,000,000	500	100	100	100	100	11	100	3	100
4,000,000	1,000	100	100	100	100	99	100	97	100

\*Copy number alteration refers to the gain or loss of chromosomal regions in the context of the normal diploid genome, where the normal copy number is 2. The limiting feature of these analyses was not sensitivity for detecting the alteration, as this was high in every case shown (>99% for amplifications and homozygous deletions and >92% for heterozygous losses or subchromosomal gains). What was of more concern was the positive predictive value (PPV), that is, the probability that a detected mutation represents a real mutation. PPVs were calculated from 100 simulated genomes, using 100,000 or 1,000,000 filtered tags, and are shown in the table as percentages.

<sup>†</sup>Size of alteration refers to the approximate size of the genomic alteration assuming an average of 3,864 bp between virtual tags.

fewer tags are needed to detect high-copy-number amplifications than are needed to detect homozygous deletions or low-copy-number changes in similar sized regions (Table 1). Such simulations were used to predict the size of alterations that could be reliably detected given a fixed number of experimentally sampled tags. For example, the analysis of 100,000 tags would be expected to reliably detect a 10-fold amplification  $\geq 100$  kb, homozygous deletions  $\geq 600$  kb, or a single gain or loss of regions  $\geq 4$  Mb in size in a diploid genome (Table 1).

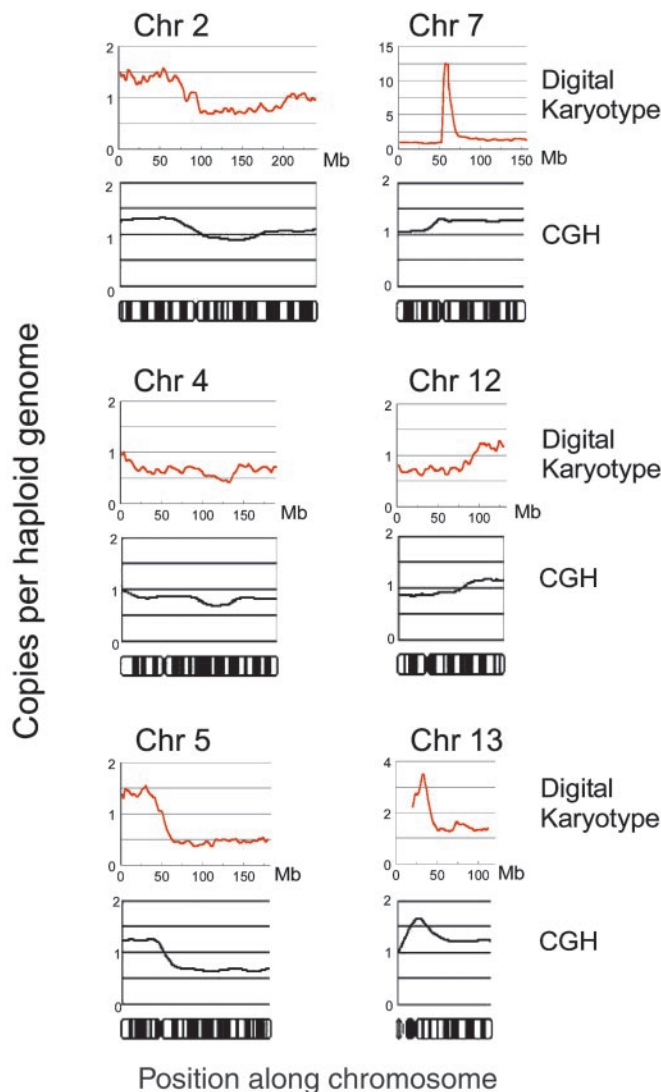
**Analysis of Whole Chromosomes.** We characterized 210,245 genomic tags from the lymphoblastoid cells of a normal individual (NLB) and 171,795 genomic tags from the colorectal cancer cell line (DiFi) by using the mapping and fragmenting enzymes described above. After filtering to remove tags that were within repeated sequences or were not present in the human genome (see *Materials and Methods*), we recovered a

total of 111,245 and 107,515 filtered tags from the NLB and DiFi libraries, respectively. Tags were ordered along each chromosome, and average chromosomal tag densities, defined as the number of detected tags divided by the number of virtual tags present in a given chromosome, were evaluated (Table 2). Analysis of the NLB data showed that the average tag density for each autosomal chromosome was similar,  $\approx 0.16 \pm 0.04$ . The small variations in tag densities were likely because of the incomplete filtering of tags matching repeated sequences that were not currently represented in the genome databases. The X and Y chromosomes had average densities about half this level, 0.073 and 0.068, respectively, consistent with the normal male karyotype of these cells. Analysis of the DiFi data revealed a much wider variation in tag density, ranging from 0.089 to 0.27 for autosomal chromosomes. In agreement with the origin of these tumor cells from a female patient (20), the tag density of the Y chromosome was 0.00. Estimates of chromosome number

**Table 2. Chromosome number analysis**

Chromosome	No. of virtual tags	NLB		DiFi		Chromosome content*
		No. of observed tags	Tag density	No. of observed tags	Tag density	
1	61,694	10,090	0.16	6,991	0.11	<u>1.4</u>
2	61,944	9,422	0.15	9,545	0.15	2.0
3	46,337	6,732	0.15	7,379	0.16	2.2
4	41,296	5,581	0.14	3,666	0.089	<u>1.3</u>
5	43,186	6,216	0.14	4,136	0.10	<u>1.3</u>
6	41,633	6,120	0.15	7,291	0.18	2.4
7	38,928	5,836	0.15	9,875	0.25	<b>3.4</b>
8	35,033	5,009	0.14	3,260	0.093	<u>1.3</u>
9	30,357	4,909	0.16	4,861	0.16	2.0
10	37,320	6,045	0.16	4,865	0.13	1.6
11	37,868	6,081	0.16	5,432	0.14	1.8
12	30,692	4,631	0.15	4,056	0.13	1.8
13	22,313	3,012	0.13	5,197	0.23	<b>3.5</b>
14	23,378	3,658	0.16	3,171	0.14	1.7
15	22,409	3,581	0.16	4,159	0.19	2.3
16	23,028	4,119	0.18	3,201	0.14	1.6
17	22,978	4,298	0.19	3,145	0.14	<u>1.5</u>
18	18,431	2,712	0.15	2,389	0.13	1.8
19	16,544	3,271	0.20	3,589	0.22	2.2
20	20,585	3,573	0.17	5,460	0.27	<b>3.1</b>
21	9,245	1,465	0.16	1,036	0.11	<u>1.4</u>
22	12,579	2,476	0.20	1,655	0.13	<u>1.3</u>
X	30,737	2,249	0.073	3,147	0.10	1.4
Y	2,347	159	0.068	9	0.00	0.06
Total	730,862	111,245	0.15	107,515	0.15	2.0

\*DiFi chromosomal content is calculated for autosomal chromosomes as 2 times the ratio of DiFi tag densities to corresponding NLB tag densities, and for the X chromosome as the ratio of DiFi tag density to NLB tag density. Underlined values represent autosomal chromosome content  $< 1.5$ , while boldface values represent autosomal chromosome content  $> 3$ .



**Fig. 2.** Low-resolution tag density maps reveal many subchromosomal changes. The upper graph in each set corresponds to the digital karyotype, while the lower graph represents CGH analysis. An ideogram of each normal chromosome is present under each set of graphs. For all graphs, values on the y axis indicate genome copies per haploid genome, and values on the x axis represent positions along the chromosome (Mb for the digital karyotype; chromosome bands for CGH). Digital karyotype values represent exponentially smoothed ratios of DiFi tag densities, using a sliding window of 1,000 virtual tags normalized to the NLB genome. Chromosomal areas lacking digital karyotype values correspond to unsequenced portions of the genome, including heterochromatic regions. Note that using a window of 1,000 virtual tags does not permit accurate identification of alterations less than  $\approx 4$  Mb, such as amplifications and homozygous deletions, and smaller windows need to be used to accurately identify these lesions (see Fig. 3 for an example).

by using observed tag densities normalized to densities from lymphoblastoid cells suggested a highly aneuploid genetic content, with  $\leq 1.5$  copies of chromosomes 1, 4, 5, 8, 17, 21, and 22, and  $\geq 3$  copies of chromosomes 7, 13, and 20 per diploid genome. These observations were consistent with CGH analyses (see below) and the previously reported karyotype of DiFi cells (20).

**Analysis of Chromosomal Arms.** We next evaluated the ability of digital karyotyping to detect subchromosomal changes, particularly gains and losses of chromosomal arms. Tag densities were analyzed along each chromosome by using sliding windows

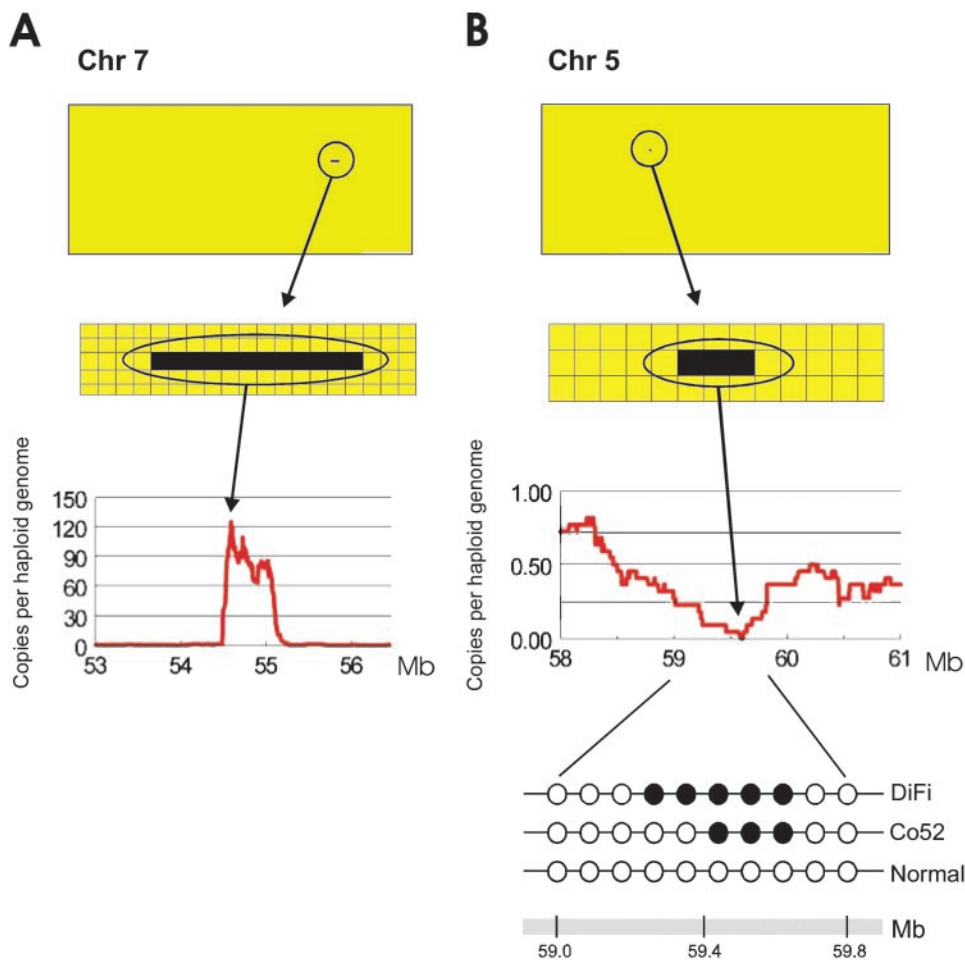
**Table 3. Quantitative analysis of amplifications and deletions**

Type of alteration	Location	Copy number*	
		Digital karyotyping	Quantitative PCR
Amplifications	Chromosome 7: 54.54–55.09 Mb	125	139
	Chromosome 13: 30.36–32.72 Mb	6.4	5.7
	Chromosome 20: 60.54–60.83 Mb	5.4	2.8
Deletions	Chromosome 18: 49.34–51.67 Mb	0	0
	Chromosome 5: 59.18–59.92 Mb	0	0
	Chromosome X: 106.44–107.25 Mb	0	0.4

\*Copy number values are calculated per haploid genome as described in *Materials and Methods*.

containing 1,000 virtual tags ( $\approx 4$  Mb), as windows of this size were predicted to reliably detect such alterations (Table 1). For the NLB sample, tag density maps showed uniform content along each chromosome, with small variations ( $< 1.5$ -fold) present over localized regions, presumably because of the overrepresentation of tags matching repeated sequences (data not shown). In contrast, the DiFi tag density map (normalized to the NLB data) revealed widespread changes, including apparent losses in large regions of 5q, 8p, and 10q, and gains of 2p, 7q, 9p, 12q, 13q, and 19q (Fig. 2 and Fig. 5, which is published as supporting information on the PNAS web site). These changes included regions of known tumor suppressor genes (21) and other areas commonly altered in colorectal cancer (11, 12, 22). These alterations were confirmed by chromosomal CGH analyses, which revealed aberrations that were largely consistent with digital karyotype analyses in both location and amplitude (Fig. 2 and Fig. 5).

**Analysis of Amplifications.** To identify amplifications, which typically involve regions much smaller than a chromosomal arm, average tag densities were dynamically calculated and visualized over sliding windows of different sizes. Although some relatively small alterations could be detected by using a 1,000 virtual tag window (Fig. 2), a window size of 50 virtual tags ( $\approx 200$  kb) was used for the detailed analyses of amplifications because it would be expected to provide a relatively high resolution and sensitivity for experimental data consisting of  $\approx 100,000$  filtered tags (Table 1). To visualize small alterations, we designed a bitmap-based viewer that allowed much higher resolution views than were possible with the standard chromosome maps such as commonly used for CGH. By using this strategy, three amplification events were observed in the DiFi genome, whereas none were observed in the lymphoblastoid DNA (Table 3). The most striking was a 125-fold amplification located at position 54.54–55.09 Mb on chromosome 7p (Fig. 3A). Analysis of tags in this area resolved the boundaries of the amplified region to within 10 kb. Three genes were harbored within the amplicon, a predicted gene with no known function (DKFZP564K0822), the bacterial lantibiotic synthetase component C-like 2 (LANCL2) gene, and the epidermal growth factor receptor (EGFR) gene, an oncogenic tyrosine kinase receptor known to be amplified in DiFi cells (23). The second-highest amplification was a 6-fold change at position 30.36–32.72 Mb on chromosome 13 (Fig. 2). This area, containing eight genes, represents the apex of a broad region on 13q that is coamplified. Finally, a  $< 300$ -kb region within 2 Mb of the telomere of chromosome 20q appeared to be increased  $> 5$ -fold. Independent evaluation of the 7p, 13q, and 20q amplified regions by using quantitative PCR analyses of genomic DNA from DiFi



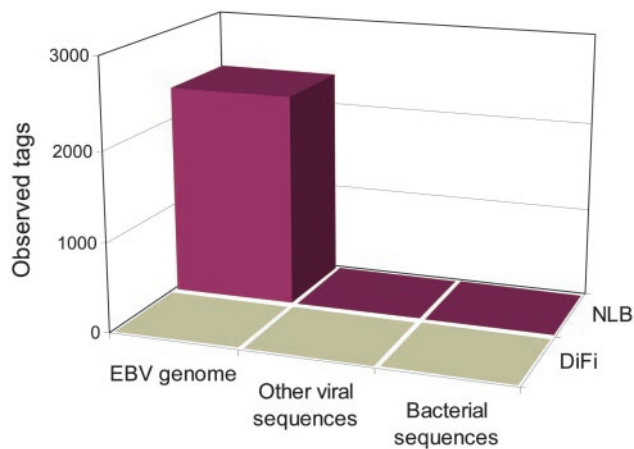
**Fig. 3.** High-resolution tag density maps identify amplifications and deletions. (A) Amplification on chromosome 7. (Top) A bitmap viewer with the region containing the alteration encircled. The bitmap viewer is comprised of  $\approx 39,000$  pixels representing tag density values at the chromosomal position of each virtual tag on chromosome 7, determined from sliding windows of 50 virtual tags. Yellow pixels indicate tag densities corresponding to copy numbers of  $< 110$ , while black pixels correspond to copy numbers  $\geq 110$ . (Middle) An enlarged view of the region of alteration. (Bottom) A graphical representation of the amplified region with values on the y axis indicating genome copies per haploid genome and values on the x axis representing positions along the chromosome in Mb. (B) Homozygous deletion on chromosome 5. Top, Middle, and Bottom are similar to those for A except that the bitmap viewer for chromosome 5 contains  $\approx 43,000$  pixels, tag density values were calculated in sliding windows of 150 virtual tags, and yellow pixels indicate copy numbers  $> 0.1$  while black pixels indicate copy numbers  $\leq 0.1$ . (Bottom) Below the graph is a detailed analysis of the region containing the homozygous deletion in DiFi and Co52. For each sample, white dots indicate markers that were retained, while black dots indicate markers that were homozygously deleted. PCR primers for each marker are listed in Table 4.

cells revealed copy number gains similar to those observed by digital karyotyping (Table 3). CGH underestimated the fold amplification on 13q (Fig. 2). More importantly, CGH completely failed to identify the amplification of chromosome 7p and 20q because the  $< 0.5$ -Mb amplicons were below the level of resolution achievable with this technique.

**Analysis of Deletions.** When a homozygous deletion occurs in a cancer cell, there are zero copies of the deleted sequences, compared with two copies in normal cells. This difference is far less than that observed with amplifications, wherein 10–200 copies of the involved sequences are present in cancer cells compared with two copies in normal cells. Detection of homozygous deletions was therefore expected to be more difficult than the detection of amplifications. To assess the potential for detecting deletions, we first performed digital karyotyping on DNA from a cancer cell line (Hx48) known to have a homozygous deletion encompassing the *SMAD4* and *DCC* genes on chromosome 18q (24). From a library of  $\approx 116,000$  filtered tags, we were able to clearly identify this deletion on chromosome 18 (Table 3). The size of this deletion was estimated to be 2.33 Mb from digital karyotyping and 2.48 Mb from PCR-based analysis of markers in the region.

We next attempted to determine whether any deletions were present in DiFi cells. Using a window size of 150 virtual tags (600 kb), we found evidence for four homozygous deletions in the DiFi genome but none in the NLB cells. These apparent deletions were on chromosomes 4p, 5q, 16q, and Xq, and were 782, 743, 487, and 814 kb in size, respectively. Assessment of the regions on 4p and 16q by quantitative PCR did not confirm the deletions, either because

they were located between the markers used for PCR analyses or because there were no genuine homozygous deletions. This latter possibility was not unexpected, given the positive predicted value (PPV) estimated for a window size of 150 virtual tags (Table 1) and the expectation that the PPV would be even lower in an aneuploid



**Fig. 4.** Identification of EBV DNA in NLB cells. NLB, genomic tags derived from NLB cells after the removal of tags matching human genome sequences or tags matching DiFi cells. DiFi, genomic tags derived from DiFi cells after the removal of tags matching human genome sequences, or tags matching NLB cells. The number of observed tags matching EBV, other viral, or bacterial sequences is indicated on the vertical axis.

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