

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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CARL ZEISS SMT GMBH  
Petitioner

v.

NIKON CORPORATION  
Patent Owner

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Case IPR2013-00363  
Patent 7,348,575

**NIKON CORPORATION**  
**MANDATORY NOTICES UNDER 37 C.F.R. 42.8(a)(2)**

***Mail Stop "PATENT BOARD"***  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Patent Owner, Nikon Corporation, hereby files mandatory notices pursuant to 37 C.F.R. 42.8(a)(2). These notices are being timely filed within 21 days of service of the Petition, which was on June 17, 2013.

**A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))**

Nikon Corporation is the owner of the entire interest in U.S. Patent No. 7,348,575 ("the 575 Patent"), and thus is a real-party-in-interest.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

The Patent Owner identifies the following judicial and/or administrative matters that may affect, or may be affected by, a decision in this *Inter Partes* Review:

- U.S. Patent No. 7,309,870
- U.S. Patent No. 7,312,463
- U.S. Patent Application No. 11/513,160
- U.S. Patent Application No. 11/882,208
- U.S. Patent Application No. 12/379,415
- U.S. Patent Application No. 12/884,332
- U.S. Patent Application No. 13/275,760

- U.S. Patent Application No. 13/889,780
- Patent Interference No. 105,834
- Patent Interference No. 105,749
- Patent Interference No. 105,753
- Patent Interference No. 105,678
- *Inter Partes* Review Case IPR2013-00362 – The 575 Patent (Claims 1-3, 8-12, 16-20, 23-26, and 29-33)

**C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))**

Patent Owner designates the following counsel:

Lead Counsel: John S. Kern (Reg. No. 42,719) d: 703-413-2797

Back-up Counsel: Robert C. Mattson (Reg. No. 42,850) d: 703-412-6466

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**D. Service Information (37 C.F.R. § 42.8(b)(4))**

Please direct all correspondence regarding this proceeding to the lead counsel at the address listed above. Patent Owner also consents to electronic service by email to CPdocketKern@oblon.com and CPdocketMattson@oblon.com.

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account 15-0030, (Customer ID No. 22850).

Respectfully Submitted,

Date: July 5, 2013

/John S. Kern/

John S. Kern (Reg. No. 42,719)

Attorney for Patent Owner

Nikon Corporation

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CERTIFICATE OF SERVICE

The undersigned certifies that a copy of the foregoing:

NIKON CORPORATION MANDATORY NOTICES UNDER

37 C.F.R. 42.8(a)(2)

was served via email (IPR24984-0056IP2@fr.com) on July 5, 2013, in its entirety,  
on the following:

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Respectfully Submitted,

/John S. Kern/

John S. Kern (Reg. No. 42,719)  
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Nikon Corporation