

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

Carl Zeiss SMT GMBH
Petitioner

v.

Nikon Corporation
Patent Owner

Case IPR2013-00362
Patent 7,348,575

PETITIONER'S UPDATED EXHIBIT LIST

LIST OF EXHIBITS

| | |
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| ZEISS 1001 | U.S. Patent No. 7,348,575 (“the Omura Patent”) |
| ZEISS 1002 | U.S. Patent No. 7,309,870 (“the Omura ’870 Patent”) |
| ZEISS 1003 | Judgment, Paper No. 49, Interference No. 105, 678 (“the ’678 Judgment”) |
| ZEISS 1004 | Judgment, Paper No. 157, Interference No. 105, 749 (“the ’749 Judgment”) |
| ZEISS 1005 | Judgment, Paper No. 41, Interference No. 105, 753 (“the ’753 Judgment”) |
| ZEISS 1006 | Judgment, Paper No. 291, Interference No. 105, 834 (“the ’834 Judgment”) |
| ZEISS 1007 | PCT Patent Publication WO 02/035273 (“Takahashi PCT”) |
| ZEISS 1008 | US Patent Application Publication No. US 2002/0024741 A1 (“Terasawa”) |
| ZEISS 1009 | US Patent No. 5,825,043 (“Suwa”) |
| ZEISS 1010 | M. Switkes and M. Rothschild, “Resolution Enhancement of 157 nm Lithography by Liquid Immersion,” Proc. SPIE Vol. 4691, pp. 460-465 (2002) (“Switkes”) |
| ZEISS 1011 | Willi Ulrich et al., “The Development of Dioptric Projection Lenses for DUV Lithography,” Proc. SPIE Vol. 4832, pp. 158-169 (2002) (“Ulrich”) |
| ZEISS 1012 | PCT Patent Publication WO 99/49504 (“Fukami JP”) |
| ZEISS 1013 | Satori Asai et al., “Resolution Limit for Optical Lithography Using Polarized Light Illumination,” Jpn. J. Appl. Phys. Vol. 32, pp. 5863-5866 (1993) (“Asai”) |

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| ZEISS 1014 | European Patent Application Publication No. EP 1 336 887 A1 (“Takahashi”) |
| ZEISS 1015 | Certified English translation of PCT Patent Publication WO 99/49504 (“Fukami”) |
| ZEISS 1016 | Expert Declaration of Richard C. Juergens |
| ZEISS 1017 | Wikipedia, “Optical Power,” https://en.wikipedia.org/wiki/Optical_power (downloaded May 20, 2013) |
| ZEISS 1018 | Willi Ulrich et al., “Trends in Optical Design of Projection Lenses for UV- and EUV-Lithography,” Proc. SPIE Vol. 4146, pp. 13-24 (2000) (“Ulrich 2000”) |
| ZEISS 1019 | Eugene Hecht, <u>Optics</u> (4 th ed.), Addison Wesley (2002), pp. 171-173. |
| ZEISS 1020 | Wikipedia, “Optical Axis,” https://en.wikipedia.org/wiki/Optical_axis (downloaded May 20, 2013) |
| ZEISS 1021 | File History Excerpts from U.S. Serial No. 11/266,288 (“the Omura Application”) |
| ZEISS 1022 | File History Excerpts from U.S. Serial No. 11/513,160 (“the Omura Continuation Application”) |
| ZEISS 1023 | Decision, Paper No. 40, Interference No. 105,753 (the “753 Decision”) |
| ZEISS 1024 | Wikipedia, “Refractive Index,” http://en.wikipedia.org/wiki/Refractive_index (downloaded May 20, 2013) |
| ZEISS 1025 | U.S. Patent No. 4,346,164 (“Tabarelli”) |
| ZEISS 1026 | Omura Reply 1, Paper No. 200, Interference No. 105,834 |

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| ZEISS 1027 | European Patent Application Publication No. EP 1 069 448 B1 (“Suenaga”) |
| ZEISS 1028 | <i>Curriculum Vitae</i> of Richard C. Juergens |
| ZEISS 1029 | CODE V sequence data |
| ZEISS 1030 | US Patent Publication 2013/0329283 (cited as exhibit 1030 during May 7, 2014 cross-examination of Dr. Sasian) |
| ZEISS 1031 | US Patent No. 5,650,877 (cited as exhibit 1031 during May 7, 2014 cross-examination of Dr. Sasian) |
| ZEISS 1032 | United States Court of Appeals for the Federal Circuit decision in GE Lighting Solutions, LLC v. Agilent, Inc., Appeal No. 2013-1267 (May 1, 2014) |
| ZEISS 1033 | Cross-examination Transcript of Dr. Sasian for IPR2013-00362 on May 7, 2014 |
| ZEISS 1034 | U.S. Patent No. 7,450,300 (“Arriola Patent”) |
| ZEISS 1035 | File History for U.S. Provisional Patent Application 60/431,370 (“Arriola Provisional”) |
| ZEISS 1036 | May 25, 2014 Declaration of Mr. Richard Juergens |
| ZEISS 1037 | Cross-examination Transcript of Dr. Sasian for IPR2013-00363 on May 8, 2014 |
| ZEISS 1038 | Code V Sequence cited in ZEISS 1036 |
| ZEISS 1039 | Code V Sequence cited in ZEISS 1036 |
| ZEISS 1040 | Code V Sequence cited in ZEISS 1036 |
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| ZEISS 1049 | Code V Sequence cited in ZEISS 1036 |
| ZEISS 1050 | Email Permission from the Board to Modify Due Dates 4-6 |
| ZEISS 1051 | SYNOPSYS® Invoice No. 70264518, dated March 25, 2014 |
| ZEISS 1052 | Synopsys Key Site 28260 |
| ZEISS 1053 | “Welcome to Code V PC Version 10.6 SR1 Build 292581,” June 17, 2014, Synopsys, Inc. |
| ZEISS 1054 | Second Declaration of Dr. Jose Sasian |

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