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<u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	NIYIKIZA Clet	
Title:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Introductory Comments

Please amend the accompanying application as follows:

Amendments to the Specification are reflected on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks/Arguments begin on page 6 of this paper.

Amendments to the Specification

At page 1, line 2, please insert the following replacement paragraph:

This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 05 December 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed 18 April 2001.

Please replace paragraph [0024], at page 6, lines 6-16, with the following amended paragraph:

[0024] The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include 5-fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium Disodium (ALIMTA), as manufactured by Eli Lilly & Co.

Amendments to the Claims

This listing of claims will replace all prior versions and listings of claims in the application.

Listing of Claims:

Claims 1-28. Cancelled

29. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between 350 μ g and 1000 μ g of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B_{12} , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between 350 μ g and 1000 μ g of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B₁₂, hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent administration is repeated from about every 6 weeks to about every 12 weeks, until administration of pemetrexed disodium is discontinued.

30. (New) The improved method of Claim 29 wherein the methylmalonic acid lowering agent is vitamin B_{12} .

31. (New) The improved method of Claim **30** wherein about 500 μ g to about 1500 μ g of vitamin B₁₂ is administered.

32. (New) The improved method of Claim 31 wherein about 1000 μ g of vitamin B₁₂ is administered.

- 3 -

33. (New) The improved method of **Claim 29** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection, orally, or as a parenteral.

34. (New) The improved method of **Claim 33** wherein the methylmalonic acid lowering agent is administered by an intramuscular injection.

35. (New) The improved method of **Claim 34** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

36. (New) The improved method of Claim 32 wherein vitamin B_{12} is administered by an intramuscular injection, orally, or as a parenteral.

37. (New) The improved method of **Claim 36** wherein vitamin B_{12} is administered by an intramuscular injection.

38. (New) The improved method of **Claim 37** wherein the methylmalonic acid lowering agent administration is repeated about every 9 weeks, until administration of pemetrexed disodium is discontinued.

39. (New) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between 350 µg and 1000 µg of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium;

b) administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B_{12} , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium in combination with between 350 μ g and 1000 μ g of folic acid, daily, until administration of pemetrexed disodium is discontinued, and a methylmalonic acid lowering agent selected from the group consisting of vitamin B₁₂,

Docket No. X-14173B

hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin, wherein the methylmalonic acid lowering agent is administered by an intramuscular injection and wherein administration is repeated from about every 24 hours to about every1680 hours, until administration of pemetrexed disodium is discontinued.

<u>Remarks</u>

Applicants submit this paper and request entry of the amendments herein.

The Specification has been amended to recite specific reference to earlier-filed applications from which this application claims priority. The Specification has also been amended to correct an obvious error in the name of the compound "Alimta," which is found on page 6, line 16. The name has been corrected to read "pemetrexed disodium." Support for the correction can be found at least on page 2, lines 6-7, where the correct name of the compound is recited.

Claims 1-28 have been cancelled, and new Claims 29-39 have been introduced. Support for new Claim 29-39 is generally found in the specification, at least on page 5, line 20 to page 6, line 5; page 6, line 19 to page 7, line 4; page 7, lines 5-8, and 18-27; page 12, lines 19-29; page 13, line 21 to page 14, line 6; as well as in the claims as originally filed. Support for the improved combination can be found at least on page 13, line 21 to page 14, line 6; as well as on page 16, lines 3-9, and Table 1. More specifically, support for each element of Claims 29-39 is listed in the table below.

Claim	Element	Basis at
29(a)	"administration of between 350µg and 1000µg of folic acid, daily beginning approximately 1 to 3 weeks before treatment with pemetrexed disodium"	Page 13, line 21 to 25.
29(b)	"administration of a methylmalonic acid lowering agent selected from the group consisting of vitamin B_{12} , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin"	Page 7, lines 5-8; Originally filed Claim 7.
29(b)	"wherein the methylmalonic acid lowering agent is administered from about 1 to about 3 weeks prior to the first administration of pemetrexed disodium"	Page 7, lines 25-26.
29(c)	"administration of pemetrexed disodium in combination with"	Page 5, lines 20-21; Originally filed Claim 4.
29(c)	"between 350 µg and 1000µg of folic acid, daily, until administration of pemetrexed disodium is discontinued"	Page 13, line 21 to 25; Page 14, line 3.
29(c)	"a methylmalonic acid lowering agent selected from the group consisting of vitamin B ₁₂ , hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin and cobalamin"	Page 7, lines 5-8; Originally filed Claim 7.
29(c)	"wherein the methylmalonic acid lowering agent administration is repeated from about every 6 weeks to	Page 7, lines 26-27.

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	about every 12 weeks, until administration of	
	pemetrexed disodium is discontinued"	
30	"methylmalonic acid lowering agent is vitamin B ₁₂	Page 6, lines 20-21.
31	"about 500µg to about 1500µg of vitamin B ₁₂ "	Page 7, lines 18-19.
32	"about 1000 μ g of vitamin B ₁₂ "	Page 7, lines 24-25;
		Page 12, lines 21-24;
		Page 13, lines 27-28;
		Page 14, lines 3-4.
33/36	"administered by an intramuscular injection, orally, or	Page 7, lines 9-13.
	as a parenteral"	
34/37	"administered by an intramuscular injection"	Page 7, lines 11-13, and
		18-25;
		Page 12, lines 21-24;
		Page 13, lines 27-30;
		Page 14, lines 3-6.
35/38	"methylmalonic acid lowering agent administration is	Page 7, lines 26-27;
	repeated about every 9 weeks, until administration of	Page 12, lines 23-24;
	pemetrexed disodium is discontinued"	Page 13, lines 29-30;
		Page 14, lines 5-6.
39		See basis for elements of
		Claim 29; and
		Page 7, lines 18-22.

Applicants respectfully assert that no new matter has been introduced as a result of amendment of the Claims. Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone her at the number provided.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

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DECLARATION

Additional Inventors are being named on supplement sheet(s) attached hereto.

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DECLARATION

Name	e of Ado	dition	al Joint Inventor, if ar	iy:			A Petition h	as been file	d for thi	s unsigned in	nventor
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Name	of A	Additior	nal Joint Inventor, if	f any:				Petitio	n has	s been filed	for this	s unsigned ir	ventor
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	CERTIFICATE UNDER 37 CFR 3.73(b)
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Docket No.: 2	X-14173B
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A. [X] An assi	gnment from the inventor(s) of the patent application identified above.
	e assignment was recorded in the Patent and Trademark Office at Reel 014132, Frame 0597. assignment is being submitted separately for recordation; a copy of this assignment is attached.
	OR
B. [] A chain o below:	f title from the inventor(s), of the patent application identified above, to the current assignee as shown
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The undersigned	(whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.
information and false statements,	that all statements made herein of my own knowledge are true, and that all statements made on belief are believed to be true; and further, that these statements are made with the knowledge that willful and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the de, and that such willful false statements may jeopardize the validity of the application or any patent
July 11, 2007	/Manisha A. Desai/
Date	Manisha A. Desai Patent Counsel

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name

Signature

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applica	ant: NIYIKIZA Clet	
Title:	NOVEL ANTIFOLATE COMBINATION THERAPIES	3
Docket No.:	X-14173B	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) for consideration by the Examiner. As permitted by 37 C.F.R. §1.98(d), Applicants refer to application Serial No. 11/288,807, filed November 29, 2005, for copies of the listed documents. Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

Sheet 1 of 2

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	AB	US 5,431,925	07/00/1995	Ohmor	ri, et al.			
	AC	US 5,563,126	10/8/1996	Allen,	et al.			
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	AK	US 2004/0005311 Al	01/2004	Pitmar	n, Bradford D.			
	AL	US 5,344,932	09/1994	Taylor,	Edward C.			
	AM	US 7,053,065	05/2006	Niyikiz	a, et al.			
	1	FOR	EIGN PATEN	L DOCUMENT	S	1		
Examiner Initials*	Cite	Foreign Patent Document		Name of Patentee or Applicant of Cited	Pages, Columns, Lir	nes Where T ⁶		
Intrais	No. ¹	Country Code ³ -Number ⁴⁻ Kind Code5 (if known)	Publication Date MM-DD-YYYY	Document	Relevant Passages o Figures App	r Relevant		
	BA	EP 0 546 870	6/16/1993	EPO				

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¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	т6
Initials*	No. 1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	
	CA	Calvert H.: "Folate status and the safety profile of antifolates", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 3-7, XP008005755	
	CB	Calvert H.: "Future directions in the development of pemetrexed", Seminars in Oncology, 2002, 29/2 Suppl. 5, pp. 54-61, XP008005744	
	CC	Westerhof, et al: "Carrier-and receptor-mediated transport of folate antagonists targeting folate-dependent enzymes: correlates of molecularstructure and biological activity", Mol. Pharmacology, 1995, 48(3), pp. 459- 71, XP008005762	
	CD	Worzalla, et a]: "Role of folic acid in modulating the toxicity and efficacy of the multitargeted antifolate, LY231514", Anticancer Research (1998), 18(5A), pp. 3235-3239, XP008005757	
	CE	Hanauske, et al: "Pemetrexed disodium: A novel antifolate clinically active against multiple solid tumors", Oncologist, Alphamed Press, US, Vol. 4, No. 6, 2001, pp. 363-373, XP008005751	
	CF	Bunn, et al: "Vitamin B 12 and folate reduce toxicity of Alimta (pemetrexed disodium, LY 231514, MTA), a novel antifolate/antimetabolite", Program/Proceedings - American Society of Clinical Oncology, the Society, US, Vol. 76A, No. 20, 2001, page 300, XPO08005885	
	CG	Dierkes, et al., Supplementation with Vitamin B12 Decreases Homocystein and Methylmalonic Acid but Also Serum Folate in Patients with End-Stage Renal Disease. Metabolism. May 1999. Vol. 48, No. 5, pages 631-635. See: abstract.	
	СН	Arsenyan et al. (Abstract: Onkol. Nauchn., (1978) 12(10):49-54	
	Cl	John, et al. (Cancer 2000, 88: 1807-13)	
	CJ	Poydock et al., "Growth-inhibiting effect of hydroxocobaltniin and L-ascorbic acid on two solid tumors in mce", IRCS Medical _Science, Vol. 12, No. 9, pp. 813 (1984).	
	СК	The Cecil Reference, TEXTBOOK of MEDICINE, 21st Edition (2000). Chapter 198. pps. 1060-1074.	

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Electronic Patent A	Application Fo	ee Transn	nittal		
Application Number:					
Filing Date:					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES				
First Named Inventor/Applicant Name:	Clet Niyikiza				
Filer:	Manisha Arvind De	sai/Lisa Capps			
Attorney Docket Number:	X-14173B				
Filed as Large Entity					
Utility Filing Fees					
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:					
Utility application filing	1011	1	300	300	
Utility Search Fee	1111	1	500	500	
Utility Examination Fee	1311	1	200	200	
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE	D (\$)	1000

Electronic Acl	knowledgement Receipt
EFS ID:	1962281
Application Number:	11776329
International Application Number:	
Confirmation Number:	6568
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES
First Named Inventor/Applicant Name:	Clet Niyikiza
Customer Number:	25885
Filer:	Manisha Arvind Desai/Lisa Capps
Filer Authorized By:	Manisha Arvind Desai
Attorney Docket Number:	X-14173B
Receipt Date:	11-JUL-2007
Filing Date:	
Time Stamp:	17:06:59
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes			
Payment was successfully received in RAM	\$1000			
RAM confirmation Number	1835			
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Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)				
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1	Transmittal of New Application	X14173BTransmittal.pdf	19a1005eee70a4910f01583eb9e90bba 92d1093c	no	1				
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8	Information Disclosure Statement	X14173BIDS.pdf	72699	no	1
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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Electronic Acknowledgement Receipt				
EFS ID:	1962281			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Manisha Arvind Desai/Lisa Capps			
Filer Authorized By:	Manisha Arvind Desai			
Attorney Docket Number:	X-14173B			
Receipt Date:	11-JUL-2007			
Filing Date:				
Time Stamp:	17:06:59			
Application Type: Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes		
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:			
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)		
	1 Transmittal of New Application	X14173BTransmittal.pdf	129154				
1			19a1005eee70a4910f01583eb9e90bba 92d1093c	no	1		
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2		X14173publishedAppl.pdf	1138024	yes	21		
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3		X14173BPreliminaryAmnmt. pdf	112177	yes	7		
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6	Assignee showing of ownership per 37 CFR 3.73(b).	X14173BCertificate373.pdf	86295	no	1
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8	Information Disclosure Statement	X14173BIDS.pdf	72699	no	1
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Please type a plus sign (+) inside thi		PTO/SB/05 (12/97)		
Under the Paperwork Reduction Act of 1995, no persons an UTILITY		Docket No. X14173B		
PATENT APPLICATION	First Named Inventor or Application Identifier			
TRANSMITTAL	NIYIKIZA Clet			
(Only) for new nonprovisional applications under	Express Mail Label No.			
37 CFR 1.53(b) Application Elements	ADDRESS TO: Comr	uissioner for Patents		
See MPEP chapter 600 concerning utility patent application contents. Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450				
1. X Fee Transmittal Form (Submit an 6. Microfiche Computer Program (Appendix) original, and a duplicate for fee processing) 6. Microfiche Computer Program (Appendix)				
2. X Specification [Total (preferred arrangement Pages set forth below)		and/or Amino Acid Sequence Submission <i>(if all necessary)</i>		
- Descriptive title of the Invention	a	Computer Readable Copy		
 Cross References to Related Applications Statement Regarding Fed sponsored R & D 	b c	Paper Copy (identical to computer copy) Statement verifying identity of above copies		
- Reference to Microfiche Appendix				
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the prior application, s 1.63(d)(2) and 1.33(b).	ee 37 CFR			
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is checked) The entire disclosure application, from which a copy of		ment and Petition to Correct Inventorship		
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	18. CORRESPONDENCE ADDRESS			
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(51) International Patent Classification7: A61K 31/00 PCT/US01/14860 (21) International Application Number: 15 June 2001 (15.06.2001) (22) International Filing Date: (25) Filing Language: English (26) Publication Language: English (30) Priority Data; 60/215,310 30 June 2000 (30.06.2000) US 60/235,859 27 September 2000 (27.09.2000) US 60/284,448 18 April 2001 (18.04.2001) US (71) Applicant (for all designated States except US): ELI LILLY AND COMPANY [US/US]; Lilly Corporate Center, Indianapolis, IN 46285 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): NIYIKIZA, Clet

[US/US]; 6802 Antietam Place, Indianapolis, IN 46278 (US). PAOLETTI, Paolo [IT/US]; 8015 Hayward Drive, Indianapolis, IN 46240 (US). RUSTHOVEN, James, Jacob [US/CA]; 15 Lovers Lane, Ancaster, Ontario L9G 1G4 (CA). (74) Agents: DAWALT, Elizabeth, A. et al.; Lilly Corporate Center, Indianapolis, IN 46285 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

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(54) Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

• (57) Abstract: A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount • of said antifolate in combination with a methylmalonic acid lowering agent.

NOVEL ANTIFOLATE COMBINATION THERAPIES

5 Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (<u>Antifolate</u>, pg 197.) Antifolates represent one of the most thoroughly studied classes of antineoplastic

agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer,

- 15 and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J
- 20 Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways, in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide
- ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998; 38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits
- 30 multiple folate-requiring enzymes. Cancer Res 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate

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-2-

synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI") characteristics is Lometrexol.

5 Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.

10 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of clinical

- 15 development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs 1996;14:325-335; and Maughan
- 20 TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ 1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly

30 people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the -3-

use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents.

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Surprisingly and unexpectedly, we have now discovered that certain toxic effects such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by

10 administering to the host undergoing treatment with a methylmalonic acid lowering agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with

15 the antifolate drugs.

Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of

antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of inhibiting tumor growth 30 in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

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Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth 10 in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the manufacture of a medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

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The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

15 patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>. Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

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As used herein, the term "in combination with" refers to the administration of the methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate

- 25 compositions or can be administered sequentially as separate compositions such that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent. Alternatively, the mammal may be administered the antifolate drug simultaneously with the
- 30 methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to

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be administered in addition to the methylmalonic acid lowering agent, the folic acid may be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with

5 the antifolate compound.

> The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced

10 folates for binding sites of these enzymes. Preferred examples of antifolates include 5fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al. in U.S. Pat. Nos. 4684653, 4833145, 4902796, 4871743, and 4882,334; derivatives described by Akimoto in U.S.

15 Pat. No. 4997838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium (ALIMTA), as manufactured by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers 20 the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA.

25 Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993; 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,

30 Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin

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deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

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The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin. Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation. Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are
effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every

20 1680 hours. Preferably, it is an intramuscular injection of about 1000 μg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 μg

25 administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances,

30 including the condition to be treated, the chosen route of administration, the actual agent

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administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am.

10 Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural

15 form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic

20 Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.

"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" 25 refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as C1-C4 alkyl esters, mixed anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is 30 converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a

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sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid

lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the

- 20 individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.
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In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

Methods

To assess the effect of a methylmalonic acid lowering agent, alone or in 30 combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated

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with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells ($5 \ge 10^6$) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm³.

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

20 Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22

25 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some

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weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

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A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then

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administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the

15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have 20 histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two

25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m²/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m², or may be attenuated to 4 mg/m².

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In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour

urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of

10 ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

Method of administration and dosing procedures:

15 1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. $350 600 \mu g$ folic acid.
- 2. A multivitamin containing folic acid in the range of 350 μ g to 600 μ g is acceptable if option #1 is not available.
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 A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option #2 is available.

For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin

25 alone and continuing daily until discontinuation from study therapy.

2. Vitamin B12

Vitamin B12 will be obtained and administered as a 1000 µg intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

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Folic acid supplementation, $350 - 600 \ \mu g$ or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12 injection, 1000 μg , must be given intramuscularly approximately 1 to 3 weeks prior to the first dose of ALIMTA and should be repeated approximately every 9 weeks until the

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Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and

10 compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

15 The data to be compared are:

patient discontinues from study therapy.

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.

3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.

4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below. ١.

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Fatigue Grading ---

Neuromotor

- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
 - Grade 3 objective weakness with impairment of function
 - Grade 4 paralysis

Rash Grading --

Grade 0 none or no change

Skin

Grade 1 scattered macular or papular eruption or erythema that is asymptomatic

Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption
 Grade 4 exfoliative dermatitis or ulcerating dermatitis

The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

20 Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974. Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related

25 toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.</p>

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	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Table 1

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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We Claim:

1. A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

2. A method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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3. A method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

4. A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

A method of reducing the toxicity associated with the administration of an
 antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

A method of inhibiting tumor growth in manimals comprising administering
 to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent and FBP binding agent.

 A method of any one of claims 1-6 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

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8. A method of any one of claims 4-6 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

9. A method of any one of claims 1-8 wherein the antifolate is ALIMTA.

10. A method of any one of claims 1-9 wherein the mammal is pretreated with10 methylmalonic acid lowering agent.

11. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

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12. The use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

20 13. The use any one of claims 11-12 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

25 14. The use of any one of claims 11-13 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

15. The use of any one of claims 11-14 wherein the antifolate is ALIMTA.

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16. The use of any one of claims 11-15 wherein the mammal is pretreated with methylmalonic acid lowering agent.

17. Use of a methylmalonic acid lowering agent in the manufacture of a
5 medicament for lowering the mammalian toxicity associated with administration of an antifolate wherein said methylmalonic acid lowering agent is administered in combination with said antifolate.

18. Use of a methylmalonic acid lowering agent in the manufacture of a
 medicament for use in a method of inhibiting tumor growth in mammals, which method comprises administering said methylmalonic acid lowering agent in combination with an antifolate.

19. Use according to claim 17 or 18 wherein a FBP binding agent is also
administered in combination with said methylmalonic acid lowering agent and antifolate.

20. Use according to any one of claims 17-19 wherein the methylmalonic acid lowering agent, antifolate and optionally FBP binding agent is administered simultaneously, separately or sequentially of one another.

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21. The use any one of claims 17-20 wherein the methylmalonic acid lowering agent is selected from the group consisting of hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

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22. The use of any one of claims 19-21 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

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23. The use of any one of claims 17-22 wherein the antifolate is ALIMTA.

24. The use of any one of claims 17-23 wherein the mammal is pretreated with the methylmalonic acid lowering agent.

- 5 25. A product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.
- 26. A product according to claim 25 wherein the methylmalonic acid lowering
 agent is selected from the group consisting of hydroxocobalamin, cyano-10 chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate,
 azidocobalamin, chlorocobalamin, and cobalamin.
- 15
- 27. A product according to claim 25 or 26 wherein the antifolate is ALIMTA.

28. A product according to anyone of claims 25-27 wherein the FBP binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof.

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AMENDMENT

PTO/SB/06 (12-04)

FEE (\$) 300 500 200

OTHER THAN

SMALL ENTITY

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

11/776,329

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	(0	Column 1)	(Column 2)			
FOR	NUN	BER FILED	NUMBER EXTRA			
BASIC FEE						
(37 CFR 1.16(a), (b), or (c)) SEARCH FEE						
(37 CFR 1.16(k), (i), or (m))						
(37 CFR 1.16(o), (p), or (q))						
TOTAL CLAIMS						
(37 CFR 1.16(i))	11					
INDEPENDENT CLAIMS	-					
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APPLICATION SIZE			cation size fee due is			
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APPLICATION AS AMENDED – PART II						
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AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA			
OME	Total (37 CFR 1.16(i))	*	Minus	**	=			
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If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/06 (12-04) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	· PRESENT EXTRA	RJ	ATE (\$)	ADDI- TIONAL FEE (\$)	•	RATE (S)	ADDI- TIONAL FEE (\$)
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USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gev

APPLIC	ATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO
11	/776,329	07/11/2007		1000	X14173B

CONFIRMATION NO. 6568

FILING RECEIPT

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed: 07/18/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

Foreign Applications

If Required, Foreign Filing License Granted:

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

Preliminary Class

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

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United States Patent and Trademark Office

		UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov			
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER		
11/776,329	07/11/2007	Clet Niyikiza	X14173B		
			CONFIRMATION NO. 6568		
25885 ELI LILLY & COMPANY			FORMALITIES LETTER		

PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

Date Mailed: 07/18/2007

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

A substitute specification excluding claims in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125 is
required. The substitute specification must be submitted with markings and be accompanied by a clean
version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification
contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing
date of the application and such amendment is part of the original disclosure of the application, the
substitute specification must include all of the desired changes made in the preliminary amendment. See
37 CFR 1.115 and 1.215.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <u>https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</u>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <u>http://www.uspto.gov/ebc.</u>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199 PART 3 - OFFICE COPY

<u>PATENT APPLICATION</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

First Applicant:	NIYIKIZA Clet			
Serial No.: 11	/776,329			
Application Date:	: 7/11/2007	Conf No.:	6568	
For: NOVEL ANTIFOLATE COMBINATION THERAPIES				
Docket No.:	X14173B			

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner for Patents Mail Stop Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a "Notice to File Corrected Application Papers," dated July 18, 2007, noting the absence of a marked up and clean copy of a substitute specification, excluding claims.

Enclosed herewith are: 1) a copy of the Notice; 2) a marked up copy of the specification, excluding claims, in compliance with 37 CFR 1.115 and 37 CFR 1.125; and 3) a clean copy of the specification, excluding claims, in compliance with 37 CFR 1.125(c). Applicants assert that the substitute specification contains no new matter.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Phone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

August 6, 2007

United Sta Address COM. PO B			ia, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
11/776,329	07/11/2007	Clet Niyikiza	X14173B
25885 ELI LILLY & COMPANY PATENT DIVISION P O. BOX 6288 INDIANAPOLIS, IN 46206-6288		ne Que 18 SEP 201	CONFIRMATION NO. 6568 FORMALITIES LETTER

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment

• A substitute specification excluding claims in compliance with 37 CFR 1 52, 1 121(b)(3), and 1 125 is required The substitute specification must be submitted with markings and be accompanied by a clean version (without markings) as set forth in 37 CFR 1.125(c) and a statement that the substitute specification contains no new matter (see 37 CFR 1.125(b)). Since a preliminary amendment was present on the filing date of the application and such amendment is part of the original disclosure of the application, the substitute specification must include all of the desired changes made in the preliminary amendment. See 37 CFR 1.125.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Replies should be mailed to: Mail Stop Missing Parts Commissioner for Patents P.O Box 1450 Alexandria VA 22313-1450

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For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <u>http://www.uspto.gov/ebc.</u>

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Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199 PART 1 - ATTORNEY/APPLICANT COPY

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NOVEL ANTIFOLATE COMBINATION THERAPIES

- 5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed
- 10 <u>18 April 2001.</u>

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (<u>Antifolate</u>, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

-2-

VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998;
38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.

15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of

- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI 30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ X14173B

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1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

- 5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents. Surprisingly and unexpectedly, we have now discovered that certain toxic effects
- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity
associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid
lowering agent in the manufacture of a medicament for use in a method of inhibiting
tumor growth in mammals, which method comprises administering said methylmalonic
acid lowering agent in combination with an antifolate.

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Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

- As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such
- 30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

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Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

- 5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.
- The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include 5-fluorouracil, as manufactured by Glaxo; Tomudex®, as manufactured by Zeneca;
- Methotrexate®, as manufactured by Lederle; Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Sodium-Disodium (ALIMTA), as manufactured
- 20 by Eli Lilly & Co.

The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a

- 25 substrate is vitamin B12. For methods of determining methylmalonic acid and substrates therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary
- methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent

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permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J, Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on

5 methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH. Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-

10 10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation.

15 Preferably the methylmalonic acid lowering agent is administered as an intramuscular injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the

- 20 methylmalonic lowering agent, the dosage of cobalamin may fall within the range of about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered
- 25 initially from about 1 to about 3 weeks prior to administration of the antifolate and repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to the first administration of the
- 30 antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and continued until the discontinuation of the antifolate administrations. However, it will be

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understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of

- 5 the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.
- The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding
- 15 the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et. al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic
- 20 techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
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"Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as C_1 - C_4 alkyl esters, mixed

30 anhydrides, and the like.

The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is

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converted to the parent acid in a biological system. The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

- 5 The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be
- 10 sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.
- In the especially preferred embodiment of this invention, about 0.1 mg to about 30 15 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the
- 20 relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than
- 25 adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

30 Methods

To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor -10-

xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and
sterilized water ad libitum. The human MX-1 tumor cells (5 x 10⁶) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or
vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm³

 15 mm^3 .

The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor

20 growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that

25 obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg) along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

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Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight

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decrease during the treatment times of days 7 through 11 and 14 through 18 with some weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained

5 weight over the course of the experiment better than the control animals. The animals treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along
with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are

20 inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by 25 measuring the length and width of the tumor growth using vernier calipers, and the

activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then

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administered during the treatment by intramuscular injection of 0.0003% vitamin B12 (weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing

5 results indicate, addition of the indicated level of vitamin B12 to the diet of a subject receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the

15 antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have histologically or cytologically confirmed diagnosis of cancer, an antifolate is

20 histologically or cytologically confirmed diagnosis of cancer, an antifolate is administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 µg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 µg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two

25 week period by rapid intravenous injection, followed by two weeks of non-therapy. Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m²/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m², or may be attenuated to 4 mg/m².

In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side

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effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by

- 5 Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.
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Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

- 1. 350 600 µg folic acid.
- A multivitamin containing folic acid in the range of 350 μg to 600 μg is acceptable if option #1 is not available.
- A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.

For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.

2. Vitamin B12

25 Vitamin B12 will be obtained and administered as a 1000 µg intramuscular injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation, 350 – 600 µg or equivalent should be taken orally
daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12

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injection, 1000 μ g, must be given intramuscularly approximately 1 to 3 weeks prior to the first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a)
the level immediately prior to the initial dose of study drug, and b) to the level immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber

10 et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.

3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.

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4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

25 Fatigue Grading --

Neuromotor

- Grade 0 none or no change
- Grade 1 subjective weakness; no objective findings
- Grade 2 mild objective weakness without significant impairment of function
- 30 Grade 3 objective weakness with impairment of function
 - Grade 4 paralysis

Rash Grading --

Skin

Grade 0 none or no change

- Grade 1 scattered macular or papular eruption or erythema that is asymptomatic
- 5 Grade 2 scattered macular or papular eruption or erythema with pruritus or other associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption

Grade 4 exfoliative dermatitis or ulcerating dermatitis

10 The vitamins (both folic acid and B12) to be used in the following studies may be obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic. Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in

- patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974.
 Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has
- 20 lowered the drug related grade 3/4 toxic events, see Table 1.

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Table 1

Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received

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treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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<u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

NOVEL ANTIFOLATE COMBINATION THERAPIES

5 This application is a divisional of Application No. 11/288,807, filed 29 November 2005, which is a divisional of Application No. 10/297,821 filed 12 May 2002, now Patent Number 7,053,065, which claims priority under 35 USC 371, for PCT/US01/14860, filed 15 June 2001, which claims the priority of U.S. provisional applications No. 60/215,310, filed 30 June 2000, No. 60/235,859, filed 27 September 2000, and No. 60/284,448, filed 18 April 2001.

Potentially, life-threatening toxicity remains a major limitation to the optimal administration of antifolates. (see, generally, <u>Antifolate Drugs in Cancer Therapy</u>, edited by Jackman, Ann L., Humana Press, Totowa, NJ, 1999.) In some cases, a supportive intervention is routinely used to permit safe, maximal dosing. For example, steroids, such

15 as dexamethone, can be used to prevent the formation of skin rashes caused by the antifolate. (<u>Antifolate</u>, pg 197.)

Antifolates represent one of the most thoroughly studied classes of antineoplastic agents, with aminopterin initially demonstrating clinical activity approximately 50 years ago. Methotrexate was developed shortly thereafter, and today is a standard component

- 20 of effective chemotherapeutic regimens for malignancies such as lymphoma, breast cancer, and head and neck cancer. (Bonnadonna G, Zambetti M, Valagussa P. Sequential or alternating doxorubicin and CMF regimens in breast cancer with more than three positive nodes: Ten year results. JAMA 1995;273(7):542-547; Bonnadonna G, Valagussa P, Moliterni A, Zambetti M, Brambilla C. Adjuvant cyclophosphamide, methotrexate, and
- 25 fluorouracil in node-positive breast cancer: The results of 20 years of follow-up. N Engl J Med 1995;332(14):901-906; and Hong WK, Schaefer S, Issell B, et al. A prospective randomized trial of methotrexate versus cisplatin in the treatment of recurrent squamous cell carcinoma of the head and neck. Cancer 1983;52:206-210.) Antifolates inhibit one or several key folate-requiring enzymes of the thymidine and purine biosynthetic pathways,
- 30 in particular, thymidylate synthase (TS), dihydrofolate reductase (DHFR), and glycinamide ribonucleotide formyltransferase (GARFT), by competing with reduced folates for binding sites of these enzymes. (Shih C, Habeck LL, Mendelsohn LG, Chen

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VJ, Schultz RM. Multiple folate enzyme inhibition: Mechanism of a novel pyrrolopyrimidine-based antifolate LY231514 (MTA). Advan Enzyme Regul, 1998;
38:135-152 and Shih C, Chen VJ, Gossett LS, et al. LY231514, a pyrrolo[2,3-d]pyrimidine-based antifolate that inhibits multiple folate-requiring enzymes. Cancer Res

- 5 1997;57:1116-1123.) Several antifolate drugs are currently in development. Examples of antifolates that have thymidylate synthase inhibiting ("TSI") characteristics include 5-fluorouracil and Tomudex®. An example of an antifolate that has dihydrofolate reductase inhibiting ("DHFRI") characteristic is Methotrexate®. An example of an antifolate that has glycinamide ribonucleotide formyltransferase inhibiting ("GARFTI")
- 10 characteristics is Lometrexol. Many of these antifolate drugs inhibit more than one biosynthetic pathway. For example Lometrexol is also an inhibitor of dihydrofolate reductase and pemetrexed disodium (Alimta®, Eli Lilly and Company, Indianapolis, IN) has demonstrated thymidylate synthase, dihydrofolate reductase, and glycinamide ribonucleotide formyltransferase inhibition.

15 A limitation to the development of these drugs is that the cytotoxic activity and subsequent effectiveness of antifolates may be associated with substantial toxicity for some patients. Additionally antifolates as a class are associated with sporadic severe mylosuppression with gastrointestinal toxicity which, though infrequent, carries a high risk of mortality. The inability to control these toxicities led to the abandonment of

- 20 clinical development of some antifolates and has complicated the clinical development of others, such as Lometrexol and raltitrexed. (Jackman AL, Calvert AH Folate-Based Thymidylate Synthase Inhibitors as Anticancer Drugs. Ann Oncol 1995;6(9):871-881; Laohavinij S, Wedge SR, Lind MJ, et al. A phase I clinical study of the antipurine antifolate Lometrexol (DDATHF) given with oral folic acid. Invest New Drugs
- 25 1996;14:325-335; and Maughan TS, James RD, Kerr D, et al., on behalf of the British MRC Colorectal Cancer Working Party. Preliminary results of a multicenter randomized trial comparing 3 chemotherapy regimens (deGramont, Lokich, and raltitrexed) in metastatic colorectal cancer. Proc ASCO 1999;18:Abst 1007.)

Initially, folic acid was used as a treatment for toxicities associated with GARFTI 30 see, e.g. U.S. Pat. No. 5,217,974. Folic acid has been shown to lower homocysteine levels (see e.g. Homocysteine Lowering Trialist's Collaboration. Lowering blood homocysteine with folic acid based supplements: meta-analysis of randomized trials. BMJ

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1998;316:894-898 and Naurath HJ, Joosten E, Riezler R, Stabler SP, Allen RH, Lindenbaum J. Effects of vitamin B12, folate and vitamin B6 supplements in elderly people with normal serum vitamin concentrations. Lancet 1995;346:85-89), and homocysteine levels have been shown to be a predictor of cytotoxic events related to the

- 5 use of GARFT inhibitors, see e.g. U.S. Pat. No. 5,217,974. However, even with this treatment, cytotoxic activity of GARFT inhibitors and antifolates as a class remains a serious concern in the development of antifolates as pharmaceutical drugs. The ability to lower cytotoxic activity would represent an important advance in the use of these agents. Surprisingly and unexpectedly, we have now discovered that certain toxic effects
- 10 such as mortality and nonhematologic events, such as skin rashes and fatigue, caused by antifolates, as a class, can be significantly reduced by the presence of a methylmalonic acid lowering agent, without adversely affecting therapeutic efficacy. The present invention thus provides a method for improving the therapeutic utility of antifolate drugs by administering to the host undergoing treatment with a methylmalonic acid lowering
- 15 agent. We have discovered that increased levels of methylmalonic acid is a predictor of toxic events in patients that receive an antifolate drug and that treatment for the increased methylmalonic acid, such as treatment with vitamin B12, reduces mortality and nonhematologic events, such as skin rashes and fatigue events previously associated with the antifolate drugs.
- 20 Additionally, we have discovered that the combination of a methylmalonic acid lowering agent and folic acid synergistically reduces the toxic events associated with the administration of antifolate drugs. Although, the treatment and prevention of cardiovascular disease with folic acid in combination with vitamin B12 is known, the use of the combination for the treatment of toxicity associated with the administration of
- antifolate drugs was unknown heretofore.

The present invention relates to a method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of reducing the toxicity
associated with the administration of an antifolate to a mammal comprising administering to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

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Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an antifolate in combination with a methylmalonic acid lowering agent.

Furthermore, the present invention relates to a method of administering an
antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of reducing the toxicity associated with the administration of an antifolate to a mammal comprising administering

10 to said mammal an effective amount of said antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to a method of inhibiting tumor growth in mammals comprising administering to said mammals an effective amount of an

15 antifolate in combination with a methylmalonic acid lowering agent and a FBP binding agent. A preferred FBP binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent, alone or in combination with a FBP binding agent, in the preparation of a medicament useful in lowering the mammalian toxicity of an antifolate. A preferred FBP

20 binding agent is folic acid.

Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate.

25 Furthermore, the present invention relates to the use of a methylmalonic acid lowering agent in the preparation of a medicament useful in lowering the mammalian toxicity associated with an antifolate, and the medicament is administered in combination with an antifolate and a FBP binding agent.

Furthermore, the present invention relates to the use of a methylmalonic acid
lowering agent in the manufacture of a medicament for use in a method of inhibiting
tumor growth in mammals, which method comprises administering said methylmalonic
acid lowering agent in combination with an antifolate.

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Furthermore, the present invention relates to a product containing a methylmalonic acid lowering agent, an antifolate and optionally a FBP binding agent as a combined preparation for the simultaneous, separate or sequential use in inhibiting tumour growth.

The current invention concerns the discovery that administration of a methylmalonic acid lowering agent in combination with an antifolate drug reduces the toxicity of the said antifolate drug.

The term "inhibit" as it relates to antifolate drugs refers to prohibiting, alleviating, ameliorating, halting, restraining, slowing or reversing the progression of, or reducing tumor growth.

As used herein, the term "effective amount" refers to an amount of a compound or drug, which is capable of performing the intended result. For example, an effective amount of an antifolate drug that is administered in an effort to reduce tumor growth is that amount which is required to reduce tumor growth.

As used herein, the term "toxicity" refers to a toxic event associated with the administration on an antifolate. Such events include, but are not limited to, neutropenia, thrombopenia, toxic death, fatigue, anorexia, nausea, skin rash, infection, diarrhea, mucositis, and anemia. For further explanation of the types of toxicity experienced by patients receiving antifolates, see, generally, <u>Antifolate Drugs in Cancer Therapy</u>.

20 Preferably, toxicity refers to toxic death, fatigue, neutropenia, thrombopenia, and mucositis.

As used herein, the term "nonhematologic event" refers to the occurrence of skin rash or fatigue due to the administration of an antifolate.

- As used herein, the term "in combination with" refers to the administration of the 25 methylmalonic acid lowering agent, the antifolate drug, and optionally the folic acid; in any order such that sufficient levels of methylmalonic acid lowering agent and optionally folic acid are present to reduce the toxicity of an antifolate in a mammal. The administration of the compounds maybe simultaneous as a single composition or as two separate compositions or can be administered sequentially as separate compositions such
- 30 that an effective amount of the agent first administered is in the patient's body when the second and/or third agent is administered. The antifolate drug may be administered to the mammal first, followed by treatment with the methylmalonic acid lowering agent.

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Alternatively, the mammal may be administered the antifolate drug simultaneously with the methylmalonic acid lowering agent. Preferably, the mammal is pretreated with the methylmalonic acid lowering agent and then treated with the antifolate. If folic acid is to be administered in addition to the methylmalonic acid lowering agent, the folic acid may

- 5 be administered at any time prior, post, or simultaneously to the administration of either the methylmalonic acid lowering agent or the antifolate. Preferably, the mammal is pretreated with the methylmalonic acid, and then treated with folic acid, followed by treatment with the antifolate compound.
- The terms "antifolate" and "antifolate drug" refer to a chemical compound which inhibits at least one key folate-requiring enzyme of the thymidine or purine biosynthetic pathways, preferably thymidylate synthase ("TS"), dihydrofolate reductase ("DHFR"), or glycinamide ribonucleotide formyltransferase ("GARFT"), by competing with reduced folates for binding sites of these enzymes. Preferred examples of antifolates include Tomudex®, as manufactured by Zeneca; Methotrexate®, as manufactured by Lederle;
- 15 Lometrexol®, as manufactured by Tularik; pyrido[2,3-d]pyrimidine derivatives described by Taylor et al in U.S. Pat. Nos. 4,684,653, 4,833,145, 4,902,796, 4,871,743, and 4,882,334; derivatives described by Akimoto in U.S. Pat. No. 4,997,838; thymidylate synthase inhibitors as found in EPO application 239,362; and most preferred, Pemetrexed Disodium (ALIMTA), as manufactured by Eli Lilly & Co.
 - The terms "methylmalonic acid" and "MMA" refer to a structural isomer of succinic acid present in minute amounts in healthy human urine.

The term "methylmalonic acid lowering agent" refers to a substrate, which lowers the concentration of methylmalonic acid in a mammal. A preferred example of such a substrate is vitamin B12. For methods of determining methylmalonic acid and substrates

- 25 therefore, see, e.g., Matchar DB, Feussner JR, Millington DS, et al. Isotope dilution assay for urinary methylmalonic acid in the diagnosis of vitamin B12 deficiency. A prospective clinical evaluation. Ann Intern Med 1987; 106: 707-710; Norman EJ, Morrison JA. Screening elderly populations for cobalamin (vitamin B12) deficiency using the urinary methylmalonic acid assay by gas chromatography mass spectrometry. Am J Med 1993;
- 30 94: 589-594; Norman EJ. Gas Chromatography mass spectrometry screening of urinary methylmalonic acid: early detection of vitamin B12 (cobalamin) deficiency to prevent permanent neurologic disability. GC/MS News 1984; 12:120-129; Martin DC, Francis J,

Protetch J, Huff FJ. Time dependency of cognitive recovery with cobalamin replacement: report of a pilot study. JAGS 1992; 40: 168-172; Norman EJ, Cronin C. Cobalamin deficiency. Neurol 1996; 47: 310-311; Rasmussen K, Moelby I, Jensen MK. Studies on methylmalonic acid in humans; Savage DG, Lindenbaum J, Stabler SP, Allen RH.

5 Sensitivity of methylmalonic acid and total homocysteine determination for diagnosing cobalamin and folate deficiency. Am J Med 1994; 96: 239-246.

The term "vitamin B12" refers to vitamin B12 and its pharmaceutical derivatives, such as hydroxocobalamin, cyano-10-chlorocobalamin, aquocobalamin perchlorate, aquo-10-chlorocobalamin perchlorate, azidocobalamin, chlorocobalamin, and cobalamin.

10 Preferably the term refers to vitamin B12, cobalamin, and chlorocobalamin.

The dosage generally will be provided in the form of a vitamin supplement, namely as a tablet administered orally, such as a sustained release formulation, as an aqueous solution added to drinking water, or as an aqueous parenteral formulation. Preferably the methylmalonic acid lowering agent is administered as an intramuscular

15 injection formulation. Such formulations are known in the art and are commercially available.

The skilled artisan will appreciate that the methylmalonic lowering agents are effective over a wide dosage range. For example, when cobalamin is used as the methylmalonic lowering agent, the dosage of cobalamin may fall within the range of

- 20 about 0.2 µg to about 3000 µg of cobalamin from once daily for a month to once every nine weeks for a year. Preferably, cobalamin will be dosed as an intramuscular injection of about 500 µg to about 1500 µg administered from about every 24 hours to about every 1680 hours. Preferably, it is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to administration of the antifolate and
- 25 repeated from about every 24 hours to about every 1680 hours, regardless of when treatment with the antifolate is started and continued until the administration of the antifolate is discontinued. Most preferred is an intramuscular injection of about 1000 µg administered initially from about 1 to about 3 weeks prior to the first administration of the antifolate and repeated every 6 to 12 weeks, preferably about every 9 weeks, and
- 30 continued until the discontinuation of the antifolate administrations. However, it will be understood that the amount of the methylmalonic acid lowering agent actually

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administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit

5 the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effect.

The term "FBP binding agent" as used herein refers to a folic binding protein binding agent which includes folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid, and

- (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically-available salt or ester thereof. This latter compound is the (6R)-isomer of leucovorin as disclosed in J. Am. Chem. Soc., 74, 4215 (1952). Both of the tetrahydrofolic acid compounds are in the unnatural configuration at the 6-position. They are 10-20 fold more efficient in binding the folate binding protein compared with their respective (6S)—isomer, see Ratnam, et.
- 15 al., Folate and Antifolate Transport in Mammalian Cells Symposium, Mar. 21-22, 1991, Bethesda, MD. These compounds are usually prepared as a mixture with their natural form (6S) of diastereomers by non-stereoselective reduction from the corresponding dehydro precursors followed by separation through chromatographic or enzymatic techniques. See e.g. PCT Patent Application Publication WO 880844 (also Derwent
- Abstract 88-368464/51) and Canadian Patent 1093554. See, e.g. Dietary Reference
 Intakes for Thiamin, Riboflavin, Niacin, Vitamin B6, Folate, Vitamin B12, Pantothenic
 Acid, Biotin, and Choline (2000), 8 Folate, pp. 196-305.
- 25 "Physiologically-available salt" refers to potassium, sodium, lithium, magnesium, or preferably a calcium salt of the FBP binding agent. "Physiologically-available...ester" refers to esters which are easily hydrolyzed upon administration to a mammal to provide the corresponding FBP binding agent free acid, such as C₁-C₄ alkyl esters, mixed anhydrides, and the like.
 - The FBP binding agent to be utilized according to this invention can be in its free acid form, or can be in the form of a physiologically-acceptable salt or ester which is converted to the parent acid in a biological system. The dosage generally will be

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provided in the form of a vitamin supplement, namely as a tablet administered orally, preferably as a sustained release formulation, as an aqueous solution added to drinking water, an aqueous parenteral formulation, e.g., an intravenous formulation, or the like.

The FBP binding agent is usually administered to the subject mammal prior to treatment with the antifolate. Pretreatment with the suitable amount of FBP binding agent from about 1 to about 24 hours is usually sufficient to substantially bind to and block the folate binding protein prior to administration of the antifolate. Although one single dose of the FBP binding agent, preferably an oral administration of folic acid, should be sufficient to load the folate binding protein, multiple dosing of the FBP binding agent can

10 be employed for periods up to weeks before treatment with the active agent to ensure that the folate binding protein is sufficiently bound in order to maximize the benefit derived from such pretreatment.

In the especially preferred embodiment of this invention, about 0.1 mg to about 30 mg, most preferably about 0.3 mg to about 5 mg, of folic acid is administered orally to a

15 mammal about 1 to 3 weeks post administration of the methylmalonic acid lowering agent and about 1 to about 24 hours prior to the parenteral administration of the amount of an antifolate. However, it will be understood that the amount of the methylmalonic acid lowering agent actually administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of

20 administration, the actual agent administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms, and therefore the above dosage ranges are not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any

25 harmful side effect.

In general, the term "pharmaceutical" when used as an adjective means substantially non-toxic to living organisms.

<u>Methods</u>

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To assess the effect of a methylmalonic acid lowering agent, alone or in combination with folic acid on the antitumor efficacy of an antifolate in a human tumor xenograft model, female nude mice bearing human MX-1 breast carcinoma were treated -10-

with ALIMTA alone or along with super-physiologic doses of folic acid or vitamin B12 (cobalamin).

The animals were maintained on sterilized standard lab chow ad libitum and sterilized water ad libitum. The human MX-1 tumor cells (5 x 10⁶) obtained from donor tumors were implanted subcutaneously in a thigh of female nude mice 8- to 10-weeks old. Beginning on day 7 post tumor cell implantation, the animals were treated with ALIMTA (100 mg/kg or 150 mg/kg) once daily on days 7 through 11 and 14 through 18 by intraperitoneal injection alone or along with folic acid (6 mg/kg or 60 mg/kg) and/or vitamin B12 (165 mg/kg) by intraperitoneal injection on the same schedule.

10 Tumor response was monitored by tumor volume measurements twice weekly over the course of the experiment. Toxicity was monitored by body weight measurements made at the same time as the tumor volume measurements. Tumor growth delay was the difference in days for the treated and the controls tumors to reach 1000 mm³.

15 The human MX-1 breast carcinoma xenograft was responsive to treatment with ALIMTA with doses of 100 mg/kg and 150 mg/kg producing tumor growth delays of 17 days and 21 days, respectively. Folic acid was administered to the animals alone at two doses 6 mg/kg and 60 mg/kg on the same schedule as ALIMTA and produced tumor growth delays of 7 days and 12 days, respectively. Vitamin B12 administered alone at a

20 dose of 165 mg/kg resulted in a tumor growth delay of 12 days.

Combinations of ALIMTA at each of the two doses were administered along with each of the vitamins as simultaneous combination regimens. Administration of folic acid (6 mg/kg) along with ALIMTA did not alter the tumor growth delay produced from that obtained with ALIMTA alone. The addition of folic acid at the higher dose (60 mg/kg)

25 along with each dose of ALIMTA resulted in small increases in tumor growth delay to 22 days and 23 days at the ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively. The tumor growth delays with ALIMTA and vitamin B12 (165 mg/kg) treatment were 22 days and 24 days at ALIMTA doses of 100 mg/kg and 150 mg/kg, respectively.

30 Body weight was used as a general measure of toxicity for each of the treatment regimens. The body weight loss pattern reflected the treatment regimens with weight decrease during the treatment times of days 7 through 11 and 14 through 18 with some

weight recovery during the intervening two days. The weight loss due to ALITMA was dose dependent but overall minor (3%). Folic acid alone at either 6 mg/kg or 60 mg/kg did not cause weight loss, in fact folic acid treated animals maintained weight and gained weight over the course of the experiment better than the control animals. The animals

5 treated with ALIMTA (100 mg/kg) and folic acid (60 mg/kg) gained weight (about 20%) over the course of the experiment.

Administration of vitamin B12 did not prevent weight gain in the animals over the time course of the experiment. The animals treated with ALIMTA (100 mg/kg) along with vitamin B12 gained weight while those treated with ALIMTA (150 mg/kg) along with vitamin B12 maintained weight over the course of the experiment.

In conclusion, administration of super-physiologic but non-toxic doses of the vitamins, folic acid and vitamin B12, did not alter the antitumor activity of ALIMTA in the human MX-1 breast carcinoma xenograft tumor in nude mice and did not increase the toxicity of ALIMTA as determined by body weight measurements of the animals.

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The effect of vitamin B12, alone or in combination with folic acid, on antifolates can be demonstrated in standard tests commonly utilized to determine the antitumor activity and toxic effects of the antifolates themselves. In one such test, mice are inoculated with the C3H strain of mammary adenocarcinoma by inserting a 2 mm by 2

- 20 mm section of tumor into the axillary region of the mice by trocar. The timing of administering the methylmalonic acid lowering agent, alone or in combination with the folic acid, and the antifolate may be varied. Ten animals are used at each dosage level. Antitumor activity is assessed on day ten (when day one is first dosage of antifolate) by measuring the length and width of the tumor growth using vernier calipers, and the
- activity is expressed as a percent inhibition of tumor growth.

When the antifolate is administered to infected mice which are maintained on a diet totally free of vitamin B12 and optionally folic acid for two weeks prior to and during treatment, it exhibits moderated antitumor activity at very low doses, but also causes severe toxicity at a very low dose (measured as death of mice).

A test group of mice are maintained on a vitamin B12 and optionally folic acid free diet for two weeks before treatment. Vitamin B12 and optionally folic acid is then administered during the treatment by intramuscular injection of 0.0003% vitamin B12

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(weight/volume) and optionally providing the animals drinking water containing 0.0003% folic acid (weight/volume). This concentration translates to about 1.75 mg of vitamin B12 and optionally folic acid per square meter of body surface per day. As the foregoing results indicate, addition of the indicated level of vitamin B12 to the diet of a subject

5 receiving an antifolate results in excellent antitumor activity at low doses, with little or no toxic effects.

The foregoing tests establish that for tumor bearing mice maintained on a vitamin B12 and optionally folic acid free diet prior to and during treatment with an antifolate, the toxicity of the antifolate is very large, with 1 mg/kg/day being lethal to the majority of the mice, and lower antitumor activity is observed at non-toxic drug doses. Very low doses of vitamin B12 partially reverses drug toxicity and improved antitumor activity. Larger doses of vitamin B12 reduce antifolate toxicity even more significantly. Pretreatment of the mouse with vitamin B12 and then administering folic acid prior to administering the antifolate demonstrates a striking reduction in toxicity, almost eliminating the antifolate

15 toxicity completely. Thus, the use of vitamin B12 in combination with an antifolate reduces drug toxicity without adversely affecting antitumor activity, and the use of vitamin B12 in conjunction with folic acid synergistically reduces drug toxicity.

In a typical clinical evaluation involving cancer patients, all of whom have histologically or cytologically confirmed diagnosis of cancer, an antifolate is

20 administered in combination with vitamin B12. Vitamin B12 is administered as a 1000 μg intramuscular injection 1-3 weeks prior to treatment with the antifolate, and 1000 μg intramuscular injection of vitamin B12 is made approximately every 9 weeks until the patient discontinues from therapy. The antifolate is administered in four doses over a two week period by rapid intravenous injection, followed by two weeks of non-therapy.

25 Dosing is made on days 1, 4, 8 and 11 of any two week period. Patients will have an initial course of therapy at a dose of 5 mg/m²/dose, and depending upon the toxic effects observed in the initial course, their subsequent courses may be at the same dose, or may be escalated to 6 mg/m², or may be attenuated to 4 mg/m².

In preparation for the foregoing clinical study, pilot studies in humans have established that vitamin B12 given to patients receiving Alimta has effected reduced side effects due to the Alimta. One to two weeks prior to administration of ALIMTA urine is collected and blood is drawn from a human subject; and vitamin metabolite levels, methylmalonic acid and homocysteine, are determined. Homocysteine levels are determined in blood by a fluorescent polarization immunoassay kit manufactured by Abbot Laboratories. Methylmalonic acid levels are determined by urine levels using a 24

5 hour urine collection kit available from Biolab Medical Unit (a United Kingdom company). Additionally urine and blood may be collected one week prior to administration of ALIMTA (after at least 5 days of folic acid supplementation and at least 1 week vitamin B12 supplementation), and up to 4 days prior to every cycle.

10 Method of administration and dosing procedures:

1. Folic Acid:

Folic acid will be supplied as one of the following options, with preference in order from option #1 to option #3:

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1. 350 - 600 μg folic acid.

- A multivitamin containing folic acid in the range of 350 μg to 600 μg is acceptable if option #1 is not available.
- A dose of folic acid between 350 μg and 1000 μg is acceptable if neither option #1 or option # 2 is available.
- 20 For purposes of this study, patients should take oral folic acid daily beginning approximately 1 to 3 weeks before treatment with ALIMTA plus cisplatin or cisplatin alone and continuing daily until discontinuation from study therapy.
 - 2. Vitamin B12

Vitamin B12 will be obtained and administered as a 1000 µg intramuscular

25 injection. A vitamin B12 injection must be administered approximately 1 to 3 weeks before treatment with ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Folic acid supplementation, $350 - 600 \mu g$ or equivalent should be taken orally daily beginning approximately 1 to 3 weeks prior to the first dose of MTA plus cisplatin and continue daily until the patient discontinues from study therapy. A vitamin B12

injection, 1000 μ g, must be given intramuscularly approximately 1 to 3 weeks prior to the

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first dose of ALIMTA and should be repeated approximately every 9 weeks until the patient discontinues from study therapy.

Compare presupplementation homocysteine and methylmalonic acid levels to a) the level immediately prior to the initial dose of study drug, and b) to the level

5 immediately prior to the second dose of study drug (i.e., after a full cycle of supplementation), and compare the prevalence of specific toxicities experienced in up to the first seven cycles of therapy in patients who have been supplemented from baseline to the prevalence seen in the earlier patients (n = 246) who were not supplemented (Farber et al.)

Toxicity may be compared in specific patients in non-supplemented cycles versus supplemented cycles (cross-over patients).

The data to be compared are:

- 1) Patient numbers and baseline demographic data for those supplemented from baseline.
- 15

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 Homocysteine and methylmalonic acid levels, levels at baseline, prior to first dose, prior to second dose, and prior to each therapy cycle depending of the type of cancer under study.

3) Grade 3 and 4 hematologic toxicity in these fully supplemented patients.

4) Grade 3 and 4 nonhematologic toxicity in these fully supplemented patients.

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The grading of toxicities in chemotherapuetic clinical trials is well known to a person of skill in the art. Examples of fatigue and skin rash grading are provided below.

Fatigue Grading --

25		Neuromotor
	Grade 0	none or no change
	Grade 1	subjective weakness; no objective findings
	Grade 2	mild objective weakness without significant impairment of function
	Grade 3	objective weakness with impairment of function
30	Grade 4	paralysis

Rash Grading --

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Skin

Grade 0 none or no change

Grade 1 scattered macular or papular eruption or erythema that is asymptomatic

- Grade 2 scattered macular or papular eruption or erythema with pruritus or other
- 5 associated eruption symptoms

Grade 3 generalized symptomatic macular, papular, or vesicular eruption

Grade 4 exfoliative dermatitis or ulcerating dermatitis

The vitamins (both folic acid and B12) to be used in the following studies may be

10 obtained from Zenith Gold Line, Centrum, Folvite, or in Canada Apo-Folic.

Cyanocobalamin is used as the methylmalonic acid lowering agent in these studies.

Current and past clinical trials show a 4% drug-related death total, 50% grade 3/4 neutropenia, 7% grade 4 thrombocytopenia, and 10% grade 3/4 diarrheas and mucositis in patients administered ALIMTA and folic acid as described in U.S. Pat. No. 5,217,974.

15 Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%. The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated. The combination of vitamin B12 and folic acid has lowered the drug related grade 3/4 toxic events, see Table 1.</p>

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N=78)
Hematologic Toxicity/Non- Hematologic Toxicity	37%	6.4%
Neutropenia	32%	2.6%
Mucositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarrhea	3%	0%
Neutropenia and Infection	2%	0%

Table 1

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Additionally, sixty-two chemonaive patients requiring chemotherapeutic treatment were divided into two groups. Seventeen of these patients received ALIMTA, but did not receive vitamin B12 or folic acid, as described *supra*. The remaining patients received treatment with vitamin B12, folic acid, and ALIMTA, as described *supra*. Of patients

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who received the combination treatment, 8 out of 45 responded to the chemotherapy. Of patients who did not receive the combination treatment, but rather, received only treatment with ALIMTA, only 1 out of 17 patients responded.

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<u>Abstract</u>

A method of administering an antifolate to a mammal in need thereof, comprising administering an effective amount of said antifolate in combination with a methylmalonic acid lowering agent.

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet	
Serial No.: 11/776,329	
Application Date: July 11, 2007	Conf No.: 6568
For: NOVEL ANTIFOLATE COMBINATION THERAPIES	
Docket No.: X14173B	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant requests correction of the filing receipt for this application. A copy of the receipt, with the corrections noted, is enclosed.

With the transmittal of this application, an Amendment and Petition to Correct Inventorship under 37 CFR 1.48(b) was also submitted. The filing receipt does not reflect the corrected inventorship.

Applicant believes no fees are due; however, if any fees are due, please charge any fees that may be required by this or related papers, or credit any overpayment, to Deposit Account No. 05-0840 in the name of Eli Lilly and Company. Applicant therefore requests that the filing receipt be corrected.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney/Agent for Applicant Registration No. 43,585 Phone: (317) 433-5333 Serial No. 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 <u>August 7, 2007</u>

Enclosure: Copy of Filing Receipt with the changes noted thereon.

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APPL NO	FILING OR 371(c) DATE		FIL FEE REC'D	ATTY DOCKET NO	TOT CLMS	IND CLMS	
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25885 ELI LILLY & C PATENT DIVIS P.O. BOX 628 INDIANAPOLI	SION	288 EL	JUL 2320	CONI OTI FILING RECEIP OMPANY sion *0C000000248874		I NO. 6568	

Date Mailed: 07/18/2007

Receipt is acknowledged of this nonprovisional patent application The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885.

Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 ⁴ which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

NOVEL ANTIFOLATE COMBINATION THERAPIES

Preliminary Class

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www uspto.gov/web/offices/pac/doc/general/index html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

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Electronic Acknowledgement Receipt				
EFS ID:	2057405			
Application Number:	11776329			
International Application Number:				
Confirmation Number:	6568			
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza			
Customer Number:	25885			
Filer:	Manisha Arvind Desai/Lisa Capps			
Filer Authorized By:	Manisha Arvind Desai			
Attorney Docket Number:	X14173B			
Receipt Date:	07-AUG-2007			
Filing Date:	11-JUL-2007			
Time Stamp:	16:30:00			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam	X14173BResptoRequestforC	150572	no	2
	Formalities Notice	orrectedFiling.pdf	54fd6d75d68eb420aff19840ee863d0c5 f3aaf09		5
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2		X14173BAmendedSpecMark	162063	yes	17
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE



APP

	UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vrginia 22313-1450 www.uspfo.gov				E	
LICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/776,329	07/11/2007	1751	1000	X14173B	11	2
				CONFIRMA	TION NO. 6	568
				UPDATED FILI	NG RECEIP	г

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed: 08/31/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Clet Niyikiza, Indianapolis, IN; Paolo Paoletti, Indianapolis, IN; James Jacob Rusthoven, Ancaster, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 25885

Domestic Priority data as claimed by applicant

This application is a DIV of 11/288,807 11/29/2005 which is a DIV of 10/297,821 12/05/2002 PAT 7,053,065 which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of 60/215,310 06/30/2000 and claims benefit of 60/235,859 09/27/2000 ABN and claims benefit of 60/284,448 04/18/2001

Foreign Applications

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/776,329**

Projected Publication Date: 12/13/2007

Non-Publication Request: No

Early Publication Request: No

Title

NOVEL ANTIFOLATE COMBINATION THERAPIES

Preliminary Class

510

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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Title 35, United States Code, Section 184

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NOT GRANTED

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United States Patent and Trademark Office



APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

CONFIRMATION NO. 6568

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Date Mailed. 11/23/2007

NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 02/07/2008. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

More detailed publication information is available through the private side of Patent Application Information Retrieval (PAIR) System. The direct link to access PAIR is currently http://pair.uspto.gov. Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Questions relating to this Notice should be directed to the Office of Patent Publication at 1-888-786-0101.

PART 1 - ATTORNEY/APPLICANT COPY

United States Patent and Trademark Office



APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/776,329	07/11/2007	Clet Niyikiza	X14173B

CONFIRMATION NO. 6568

25885 ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN46206-6288

Title: NOVEL ANTIFOLATE COMBINATION THERAPIES

Publication No. US-2008-0032948-A1 Publication Date: 02/07/2008

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Pre-Grant Publication Division, 703-605-4283

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Conf No.: (5568
Serial No.:	11/776,329		
Application Date:	: July 11, 2007		
For:	NOVEL ANTIFOLATE COMBINATION THERAPIES		
Docket No.:	X-14173B		

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Introductory Comments

Please amend the accompanying application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

Serial No. 11/776,329

Listing of Claims:

Claims 1-39 (Cancelled)

40. (New) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic lowering agent is selected from the group consisting of vitamin B_{12} , hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10-cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (New) The method of claim 40, wherein the methylmalonic lowering agent is vitamin B_{12} .

42. (New) The method of claim 41, wherein the vitamin B_{12} is administered as an intramuscular injection of about 500 µg to about 1500 µg.

43. (New) The method of claim 42, wherein the vitamin B_{12} is administered as an intramuscular injection of about 1000 µg.

44. (New) The method of claim 41, 42 or 43, wherein the vitamin B_{12} administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (New) The method of claim 44, further comprising administering a folic-bindingprotein binding agent to the patient.

46. (New) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

47. (New) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (New) The method of claim 47wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (New) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (New) The method of claim 49 wherein about 350 μ g to about 1000 μ g of folic acid is administered.

51. (New) The method of claim 50 wherein 350 µg to 600 µg of folic acid is administered.

52. (New) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

Remarks

Applicants submit this paper and request entry of the amendments herein. Claims 1-39 are hereby cancelled and new Claims 40-52 are introduced. Support for new Claims 40-52 is found in the specification, as well as in the claims as originally filed. Applicants respectfully assert that no new matter has been introduced as a result of the amendments to the claims.

Applicants request prompt consideration and allowance of the claimed subject matter. If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

/John A. Cleveland, Jr./ John A. Cleveland, Jr., Ph.D. Attorney for Applicant Registration No. 50,697 Phone: (317) 276-0307

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, IN 46206-6288 December 8, 2008

Electronic Patent Application Fee Transmittal							
Application Number:	11776329						
Filing Date:	11-	Jul-2007					
Title of Invention:	NO	VEL ANTIFOLATE C	OMBINATION T	HERAPIES			
First Named Inventor/Applicant Name:	Clet Niyikiza						
Filer:	John A. Cleveland/Lisa Capps						
Attorney Docket Number: X14173B							
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
				Sub-Total in USD(\$)			
Basic Filing:							
Pages:							
Claims:							
Multiple dependent claims		1203	1	390	390		
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			390

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	4418432					
Application Number:	11776329					
International Application Number:						
Confirmation Number:	6568					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Clet Niyikiza					
Customer Number:	25885					
Filer:	John A. Cleveland/Lisa Capps					
Filer Authorized By:	John A. Cleveland					
Attorney Docket Number:	X14173B					
Receipt Date:	09-DEC-2008					
Filing Date:	11-JUL-2007					
Time Stamp:	10:37:54					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$390				
RAM confirmation Number	6258				
Deposit Account	050840				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F	R. Section 1.21 (Miscellaneous fees and charges)				

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.				
1		X14173BUSPreliminaryAmend	86772	yes	4				
		ment.pdf	7939711f9c3fb4f3ab7acf30c9f7c8c20351c 515	,					
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	Preliminary Am	endment	1		1				
	Claims		2	3					
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2	Fee Worksheet (PTO-06)	fee-info.pdf	30193	no	2				
2	ree worksheet (r 10-00)	62164f53fae261e03c8ca115834309e18a65 5863	110	2					
Warnings:									
Information:		Total Files Size (in bytes)		6965					
characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg <u>National Sta</u>	ledgement Receipt evidences receip d by the applicant, and including par described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application un bmission to enter the national stage of other applicable requirements a F	ge counts, where applicable. tion includes the necessary c R 1.54) will be issued in due g date of the application. <u>nder 35 U.S.C. 371</u>	It serves as evidence components for a filin course and the date s on is compliant with t	of receipt s g date (see hown on th the conditic	imilar to 37 CFR is				

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 LLC Detent and

P/	Under the Par		E DETI	ERMINATION		nd to	pplication or	of information unle Docket Number '6,329	Fil	plays a valid ing Date 11/2007	OMB control number.
	APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL		OR		HER THAN
	FOR		UMBER FIL	, ,	, MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
TOTAL CLAIMS (37 CFR 1.16(i)) minus 20 = *				X\$ =		OR	X \$ =				
	EPENDENT CLAIM CFR 1.16(h))	s	m	inus 3 = *			X \$ =			X \$ =	
(37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))											
" IT L	* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL TOTAL										
APPLICATION AS AMENDED – PART II (Column 1) (Column 2) (Column 3)					_	SMAL	L ENTITY	OR		ER THAN LL ENTITY	
AMENDMENT	12/09/2008	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 16	Minus	** 20	= 0		X \$ =		OR	X \$52=	0
I N I	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		X \$ =		OR	X \$220=	0
AMI	Application Si	ze Fee (37 CFR	1.16(s))								
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							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
AMENDMENT	Application Si	ze Fee (37 CFR	1.16(s))								
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* lf +	he entry in column [,]	1 is less than the	entry in col	umn 2 write "0" in	column 3	. 1	TOTAL ADD'L FEE		OR	total Add'l Fee	
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	ollection of informat	-			-			-		to file (and b	v the LISPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the q

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22. www.uspto.gov	FOR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568	
25885 ELI LILLY & (7590 02/02/2009 COMPANY	9	EXAMINER		
PATENT DIVI P.O. BOX 6288			WEDDINGTON, KEVIN E		
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER	
			1614		
			NOTIFICATION DATE	DELIVERY MODE	
			02/02/2009	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)				
Interview Summary	11/776,329	NIYIKIZA ET AL				
Interview Summary	Examiner	Art Unit				
	KEVIN WEDDINGTON	1614				
All participants (applicant, applicant's representative, PTO	personnel):					
(1) <u>KEVIN WEDDINGTON</u> .	(3) <u>MR. WILLIAM McMILLE</u>	<u>ΞN</u> .				
(2) <u>DR. JOHN A. CLEVELAND, JR.</u> .	(4)					
Date of Interview: <u>27 January 2009</u> .						
Type: a)☐ Telephonic b)☐ Video Conference c)⊠ Personal [copy given to: 1)∏ applicant 2)⊠ applicant's representative]						
Exhibit shown or demonstration conducted: d)⊠ Yes e)∏ No. If Yes, brief description: <u>Binder with related applications</u> .						
Claim(s) discussed: <u>The claims in general</u> .						
Identification of prior art discussed: <u>NONE</u> .						
Agreement with respect to the claims f) was reached. g) was not reached. h) \mathbb{X} N/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>The attorney of record, Dr. Cleveland, explained the importance of the present</u> <u>application and its related patent application.</u> Upon examination of the present application, the Examiner will inform <u>the attorney of any critical problems</u> .						
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)						
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						
/Kevin E Weddington/]			
Primary Examiner, Art Unit U.S. Patent and Trademark Office						

	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	OR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568	
25885 ELI LILLY & (7590 02/18/2009 COMPANY	9	EXAMINER		
PATENT DIVI P.O. BOX 6288			WEDDINGTON, KEVIN E		
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER	
			1614		
			NOTIFICATION DATE	DELIVERY MODE	
			02/18/2009	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)					
	11/776,329	NIYIKIZA ET AL.					
Office Action Summary	Examiner	Art Unit					
	Kevin E. Weddington	1614					
The MAILING DATE of this communication app	-						
Period for Reply							
 Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period v Failure to reply within the set or extended period for reply will, by statute, 	 WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). 						
Status							
1) Responsive to communication(s) filed on <u>09 De</u>	ecember 20 <u>08</u> .						
	action is non-final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 48	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>40-52</u> is/are pending in the application	n.						
4a) Of the above claim(s) is/are withdraw	wn from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>40-52</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by the I	Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct							
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a))-(d) or (f).					
a) All b) Some * c) None of:							
1. Certified copies of the priority documents							
2. Certified copies of the priority documents							
3. Copies of the certified copies of the prior	•	ed in this National Stage					
application from the International Bureau		4					
* See the attached detailed Office action for a list	of the certified copies not receive	ea.					
Attachment(s) 1) X Notice of References Cited (PTO-892)	4) 🔲 Interview Summary	(PTO_413)					
2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ate					
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>7-11-07</u> .	5) 🔛 Notice of Informal F 6) 🔲 Other:	Patent Application					
LIS Patent and Trademark Office							

Claim 40-52 are presented for examination.

Applicants' preliminary amendment filed December 9, 2008; and the information

disclosure statement filed July 11, 2007 have been received and entered.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 45 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This is a written description rejection.

A lack of adequate written description issue arises if the knowledge and level of skill in the art would not permit one skilled in the art to immediately envisage the product claimed from the disclosed process. See, e.g., Fujikawa v. Wattanasin, 93 F.3d 1559, 1571, 39 USPQ2d 1895, 1905 (Fed. Cir. 1996) (a "laundry list" disclosure of every possible moiety does not constitute a written description of every species in a genus because it would not "reasonably lead" those skilled in the art to any particular species); In re Ruschig, 379 F.2d 990, 995, 154 USPQ 118, 123 (CCPA 1967).

An applicant may also show that an invention is complete by disclosure of sufficiently detailed, relevant identifying characteristics which provide evidence that

applicant was in possession of the claimed invention, i.e., complete or partial structure, other physical and/or chemical properties, functional characteristics when coupled with a known or disclosed correlation between function and structure, or some combination of such characteristics.

In particular, the specification as original filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: **a folicbinding-protein agent**. The mere fact that Applicant may have discovered one type of folic-binding-protein agent is combined with the composition comprising pemetrexed disodium and a methylmalonic acid lowering agent is not sufficient to claim the entire genus.

The written description requirement for a claimed genus may be satisfied through sufficient description of a representative number of species by actual reduction to practice, reduction to drawings, or by disclosure of relevant, identifying characteristics, i.e., structure or other physical and/or chemical properties, by functional characteristics coupled with a known or disclosed correlation between function and structure, or by a combination of such identifying characteristics, sufficient to show the applicant was in possession of the claimed genus. See Eli Lilly, 119 F.3d at 1568, 43 USPQ2d at 1406.

A "representative number of species" means that the species which are adequately described are representative of the entire genus. Thus, when there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus. The disclosure of only one species encompassed within a genus adequately describes a claim directed to that genus only if

the disclosure "indicates that the patentee has invented species sufficient to constitute

the gen[us]."

Claim 45 is not allowed.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 40-52 are rejected under 35 U.S.C. 112, second paragraph, as being

indefinite for failing to particularly point out and distinctly claim the subject matter which

applicant regards as the invention.

Claim 40 is rendered indefinite because the phrase "methylmalonic acid", located

in line 9. The Examiner thinks the applicants left out some important words such as

"lowering agent". The remaining claims 41-52 are rendered indefinite to the extent that

they incorporate the above terminology.

Claims 40-52 are not allowed.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium, (pemetrexed disodium) are effective as antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent . However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231514 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differs from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body

surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin E. Weddington whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm-9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> Kevin E. Weddington Primary Examiner Art Unit 1614

/Kevin E. Weddington/ Primary Examiner, Art Unit 1614

Examiner Art Unit	Notice of References Cited	Application/Control No. 11/776,329	Applicant(s)/Patent Under Reexamination NIYIKIZA ET AL.	
		Examiner	Art Unit	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-4,140,707	02-1979	Cleare et al.	556/137
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 6568

SERIAL NUMI	BFR	FILING or 37	′1(c)	CLASS	GROUP AR		ΑΤΤΟ	RNEY DOCKET		
11/776,329		DATE 07/11/2007		510	1614			NO. X14173B		
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Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

	SEARCHED		
Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW

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Therapeutic Codes (THER): Antineoplastic. Therapeutic Codes (Veterinary) (VTHER): Antineoplastic. Other Sources (OS): CA 80:55897; CA 81:21172 Referenced Patent (RPN): DE2318020; DE2329485

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OREF 89:21617a,21620a Evaluation of single-agent therapy in human colorectal **tumor** xenografts ΤI AU Houghton, P. J.; Houghton, J. A. CS Dep. Radiopharmacol., Inst. Cancer Res., Sutton, UK SO British Journal of Cancer (1978), 37(5), 833-40 CODEN: BJCAAI; ISSN: 0007-0920 DT Journal English LA L5 ANSWER 14402 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 89:140186 CA AN OREF 89:21585a,21588a ΤI Distribution of a platinum anti-tumor drug in HeLa cells by analytical electron microscopy АIJ Khan, M. U. A.; Sadler, P. J. CS Chem. Dep., Birkbeck Coll., London, UK SO Chemico-Biological Interactions (1978), 21(2-3), 227-32 CODEN: CBINA8; ISSN: 0009-2797 DT Journal English LA L5 ANSWER 14403 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 89:99746 CA OREF 89:15115a,15118a ΤI A general mechanism for microsomal activation of quinone anticancer agents to free radicals Bachur, Nicholas R.; Gordon, Sandra L.; Gee, Malcolm V. Baltimore Cancer Res. Cent., Natl. Cancer Inst., Baltimore, MD, USA AU CS Cancer Research (1978), 38(6), 1745-50 SO CODEN: CNREA8; ISSN: 0008-5472 DT Journal LA English L5 ANSWER 14404 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 89:99480 CA OREF 89:15047a,15050a Variation in response of xenografts of colorectal carcinoma to ΤI chemotherapy AU Nowak, K.; Peckham, M. J.; Steel, G. G. CS Div. Radiotherap. Biophys., Inst. Cancer Res., Sutton, UK British Journal of Cancer (1978), 37(4), 576-84 SO CODEN: BJCAAI; ISSN: 0007-0920 DT Journal English LA ANSWER 14405 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 89:84661 CA AN OREF 89:12869a ΤI Chemotherapy of transplantable mouse tumors with cis-dichlorodiammineplatinum(II) alone and in combination with sarcolysin AU Presnov, M. A.; Konovalova, A. L.; Romanova, L. F.; Sofina, Z. P.; Stetsenko, A. I. CS Lab. Exp. Cancer Chemother., Cancer Res. Cent., Moscow, USSR Cancer Treatment Reports (1978), 62(5), 705-12 SO CODEN: CTRRDO; ISSN: 0361-5960 DT Journal English LA L5 ANSWER 14406 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 89:70802 CA AN OREF 89:10819a,10822a Evaluation of single agents and combinations of chemotherapeutic agents in ΤI mouse colon carcinomas Corbett, T. H.; Griswold, D. P., Jr.; Roberts, B. J.; Peckham, J. C.; AIJ Schabel, F. M., Jr. CS Southern Res. Inst., Birmingham, AL, USA Cancer (New York, NY, United States) (1977), 40(5, Suppl.), 2660-80 SO

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ΤI
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AN
     79:38643 CA
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ΤI
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    ANSWER 14459 OF 14478 CA COPYRIGHT 2009 ACS on STN
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Full Text
AN
     79:38642 CA
OREF 79:6255a,6258a
     Combination therapy of cis-dichlorodiammineplatinum(II) with cytoxan
ТΤ
     against the sarcoma 180 tumor in Swiss white mice
     VanCamp, Loretta; Rosenberg, B.
AU
CS
     Dep. Biophys., Michigan State Univ., East Lansing, MI, USA
SO
     Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr.
     Chemother., 7th (1972), Meeting Date 1971, Volume 2, 239-40. Editor(s):
     Hejzlar, Miroslav. Publisher: Univ. Park Press, Baltimore, Md.
     CODEN: 26QZAP
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AN
     79:38641 CA
OREF 79:6255a,6258a
     Role of host defenses in the regression of sarcoma-180 in mice treated
ТΤ
     with cis-dichlorodiammineplatinum(II)
     Conran, P. B.; Rosenberg, B.
AU
     Biophys. Dep., Michigan State Univ., East Lansing, MI, USA
CS
SO
     Advan. Antimicrob. Antineoplastic Chemother., Proc. Int. Congr.
     Chemother., 7th (1972), Meeting Date 1971, Volume 2, 235-6. Editor(s):
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     79:15069 CA
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OREF 79:2427a,2430a
     Antitumor agent cis-diamminedichloroplatinum. Distribution studies and
ΤI
     dose calculations for platinum-193m and platinum-195m
AU
     Lange, Robert C.; Spencer, Richard P.; Harder, Harold C.
     Sch. Med., Yale Univ., New Haven, CT, USA
Journal of Nuclear Medicine (1973), 14(4), 191-5
CS
SO
     CODEN: JNMEAQ; ISSN: 0161-5505
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     Journal
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     English
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ΤT Chemistry of complexes related to cis-dichlorodiamine platinum(II). Antitumor drug AU Thomson, A. J.; Williams, R. J. P.; Reslova, S. CS Sch. Chem. Sci., Univ. East Anglia, Norwich/Norfolk, UK Structure and Bonding (Berlin, Germany) (1972), 11, 1-46 CODEN: STBGAG; ISSN: 0081-5993 SO DT Journal; General Review LA English L5 ANSWER 14468 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 77:59271 CA AN OREF 77:9805a,9808a Synthesis and distribution of a radiolabeled antitumor agent: ΤI cis-diamminedichloroplatinum(II) Lange, Robert C.; Spencer, Richard P.; Harder, Harold C. AU CS Sch. Med., Yale Univ., New Haven, CT, USA Journal of Nuclear Medicine (1972), 13(5), 328-30 SO CODEN: JNMEAQ; ISSN: 0161-5505 DT Journal English LA L5 ANSWER 14469 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 76:148785 CA AN OREF 76:24163a,24166a Cross-linking of complementary strands of DNA in mammalian cells by ΤI antitumor platinum compounds Roberts, J. J.; Pascoe, J. M. AU Chester Beatty Res. Inst., R Cancer Hosp., London, UK CS Nature (London, United Kingdom) (1972), 235(5336), 282-4 SO CODEN: NATUAS; ISSN: 0028-0836 DT Journal English LA L5 ANSWER 14470 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text AN 76:108073 CA OREF 76:17385a,17388a Suppression of graft-versus-host reaction by cis-platinum(II) ΤI diaminodichloride AU Khan, Amanullah; Hill, Joseph M. Dep. Immunother., Wadley Inst. Mol. Med., Dallas, TX, USA Transplantation (1972), 13(1), 55-7 CS SO CODEN: TRPLAU; ISSN: 0041-1337 DT Journal English LA ANSWER 14471 OF 14478 CA COPYRIGHT 2009 ACS on STN L5 Full Text 76:94747 CA AN OREF 76:15213a,15216a Growth inhibition of rat mammary carcinoma induced by cis-platinum ΤI diamminodichloride-II AU Welsch, Clifford W. CS Dep. Anat., Michigan State Univ., East Lansing, MI, USA Journal of the National Cancer Institute (1940-1978) (1971), 47(5), 1071-8 SO CODEN: JNCIAM; ISSN: 0027-8874 DT Journal English LA L5 ANSWER 14472 OF 14478 CA COPYRIGHT 2009 ACS on STN Full Text 76:81035 CA AN OREF 76:12993a,12996a ΤI Effect of cis-diaminoplatinum chloride in viruses and virus-cell relations Popescu, M.; Pascaru, Adina; Nicolau, Cl. AU Inst. Virusol. "St. S. Nicolau", Bucharest, Rom. CS Studii si Cercetari de Inframicrobiologie (1971), 22(4), 383-9 SO CODEN: SCIBAJ; ISSN: 0039-3975 DT Journal LA Romanian

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OREF 73:14103a,14106a TI Successful regression of large solid sarcoma 180 tumors by platinum compounds AU Rosenberg, Barnett; VanCamp, Loretta Biophys. Dep., Michigan State Univ., East Lansing, MI, USA Cancer Research (1970), 30(6), 1799-802 CODEN: CNREA8; ISSN: 0008-5472 CS SO DT Journal LA English => log y COST IN U.S. DOLLARS SINCE FILE TOTAL ENTRY SESSION 105.09 117.03 FULL ESTIMATED COST

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<u>PATENT APPLICATION</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art	Unit: 1614
Serial No.:	11/776,329	Examiner:	Weddington, Kevin
Application Date:	July 11, 2007	Conf No.:	6568
For:	NOVEL ANTIFOLATE COMBI	NATION TI	HERAPIES
Docket No.:	X14173B		

COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated February 18, 2009, Applicants submit the following remarks in connection with the above-identified patent application:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

Amendments to the Claims

The following listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

Claims 1-39 (Cancelled)

40. (Currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic <u>acid</u> lowering agent is selected from the group consisting of vitamin B₁₂, hydroxycobolamin, cyano-10-chlorocobolamin, aquocobolamin perchlorate, aquo-10 cobolamin perchlorate, azidocobolamin or chlorocobolamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid <u>lowering agent</u> administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (previously presented) The method of claim 40, wherein the methylmalonic lowering agent is vitaminB₁₂.

42. (previously presented) The method of claim 41, wherein the vitamin B_{12} is administered as an intramuscular injection of about 500 µg to about 1500 µg.

43. (previously presented) The method of claim 42, wherein the vitamin B_{12} is administered as an intramuscular injection of about 1000 µg.

44. (previously presented) The method of claim 41, 42 or 43, wherein the vitamin B₁₂ administration is repeated about every 9 weeks until the administration of the pemetrexed disodium is discontinued.

45. (currently amended) The method of claim 44, further comprising administering a folicbinding protein binding agent to the patient, wherein the folic-binding protein binding agent is selected from the group consisting of folic acid, (6R)-5-methyl-5,6,7,8-tetrahydrofolic acid or (6R)-5-formyl-5,6,7,8-tetrahydrofolic acid, or a physiologically available salt or ester therof.

-2-

46. (previously presented) The method of claim 45 wherein the folic-binding-protein binding agent is folic acid and the folic acid is administered prior to the first administration of the pemetrexed disodium.

47. (previously presented) The method of claim 46 wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim 47 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (previously presented) The method according to any one of claims 46-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about 350μ g to about 1000μ g of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350 μ g to 600 μ g of folic acid is administered.

52. (previously presented) The method of claim 40 or 45 further comprising the administration of cisplatin to the patient.

Remarks

Claims 40-52 are pending in the application. No Claims are allowed. Claim 45 is rejected under 35 U.S.C. § 112, 1st paragraph. Claims 40-52 are rejected under 35 U.S.C. § 112, second paragraph and 35 U.S.C. 103(a).

In view of the present amendment and reasons set forth below, it is submitted that the rejections are improper and should be withdrawn. Reconsideration and reexamination of the present application is respectfully requested.

Rejection Under 35 USC §112, first paragraph

Claim 45 is stands rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The Office Action asserts that the specification as originally filed fails to provide sufficient written bases of any of the agents demonstrating wherein possession of use of the broad term: "folic-binding-protein binding agents." In response, Claim 45 has been amended to disclose specific folic-binding-protein binding agent species recited in the specification. In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

Rejection Under 35 USC §112, second paragraph

Claims 40-52 stand rejected under 35 U.S.C. 112, second paragraph, as being indefinite. The office action points out that the phrase "methylmalonic acid" appears to be missing the phrase "lowering agent" in one of the recitations of claim 40. In response, Claim 40 has been amended to add the inadvertently omitted phrase "lowering agent." In light of this amendment, reconsideration and withdrawal of the rejection is respectfully requested.

Rejection Under 35 USC §103(a)

Claims 40-52 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Poydock et al., IRCS Medical Science, Vol. 12, No. 9, pp. 813 (1984) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707). Specifically, the Office Action asserts that: "[t]he instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the secondary reference, Poydock et al., teaches a methylmalonic acid lowering agent such as hydroxocobalamin is effective by inhibiting tumors implanted in mice (see the abstract)."

Applicants note at the outset that independent Claim 40 comprises administration of pemetrexed disodium with a methylmalonic acid lowering agent (e.g., vitamin B12). Applicants assert that since Poydock et al. was discredited prior to the present application's priority date, it cannot even be used to support an assertion that methylmalonic acid lowering agent (e.g., hydroxocobalamin) is effective at inhibiting tumors implanted in mice.

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Application No.: 11/776329

Poydock et al. teaches that mice given a mixture containing L-ascorbic acid, hydroxocobalamin (a methylmalonic acid lowering agent), and Na ascorbate is effective at inhibiting tumors implanted in mice. Shortly after this abstract was published, however, it was discovered that the antitumor activity was <u>not</u> associated with the L-ascorbic acid, the hydroxocobalamin (a methylmalonic acid lowering agent), or the Na ascorbate. In fact, the researchers found that the Lascorbic acid which they had used had oxidized to dehydroascorbic acid (see, e.g., Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169). In subsequent research with authentic materials, it was discovered that it was in fact the dehydroascorbic acid which was the active factor in the mixture (see Poydock et al., Experimental Cell Biology (1982), 50(2), 88-91; Poydock et al., American Journal of Clinical Oncology 8 (1985) 266-269; and particularly Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S).

In addition, Poydock himself demonstrated that "[i]njections of ascorbic acid or of vitamin B_{12} alone had no effect on mitotic activity..." (see Poydock et al., American Journal of Clinical Nutrition 54 (1991) 1261S-1265S page 1262S 3rd paragraph) Moreover, in addition to reviewing the discovery of the antitumor activity of dehydroascorbic acid, Toohey, John I., Cancer Letters (Shannon, Ireland) (2008), 263(2), 164-169) also discusses the use of Vitamin B_{12} (a methylmalonic acid lowering agent) in studies by Poydock (see footnote page 164):

"It should be noted that Poydock continued to add Vitamin B_{12} to most treatment protocols although her own data showed that it was not needed and there was no good rationale for adding it....To this day there is no rationale for giving B_{12} and no known reaction between B_{12} and ascorbic acid or dehydroascorbic acid which could explain her result."

These clarification studies (at least those published prior to Applicant's priority date) demonstrate that vitamin B12 does, in fact, <u>not</u> possess anti-tumor activity, contrary to the teaching of Poydock et al. Therefore, Poydock et al. cannot be used to support the assertion in the Office Action that one skilled in the art would have combined pemetrexed disodium with vitamin B12 because both are anti-neoplastic agents. For the same reason, since Claims 41-52 depend from Claim 40, which contains the methylmalonic acid lowering agent limitation, the combination with folic-binding protein binding agent and/or cisplatin would not be obvious.

Application No.: 11/776329

In view of the foregoing remarks, Applicants respectfully assert that the rejection is improper and should be withdrawn. Reconsideration is, therefore, kindly solicited. For at least the reasons set forth above, it is respectfully submitted that the above identified application is in condition for allowance. Favorable reconsideration and prompt allowance of the claims are respectfully requested.

Respectfully submitted,

/John A. Cleveland, Jr/ John A. Cleveland, Jr. Attorney for Applicants Registration No. 50,697 Phone: 317-276-0307

Eli Lilly and Company Patent Division/JAC P.O. Box 6288 Indianapolis, Indiana 46206-6288

May 4, 2009

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	Clet Niyikiza	Group Art Unit: 1614	
Serial No.:	11/776,329	Examiner: Weddington	n, Kevin
Application Date	: July 11, 2007	Conf No.: 6568	
For:	NOVEL ANTIFOLATE COMBI THERAPIES	NATION	
Docket No.:	X14173B		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Under the guidelines of 37 C.F.R. 1.97, Applicant submits a copy of each of the documents listed on the attached Form PTO-1449 (modified) for consideration by the Examiner.

Since this Statement is being filed after the period specified in §1.97(b), but before the mailing date of a final action or a notice of allowance, please charge the fee under 37 C.F.R. 1.17(p), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840.

Applicant requests consideration of this information.

Respectfully submitted,

/ John A Cleveland, Jr./ John A. Cleveland, Jr. Attorney for Applicant Registration No. 50,697 Phone: 317-276-0307 Application No.: 11/776329

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

<u>May 4, 2009</u>

NOT A USPTO FORM INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Atty. Docket No. X14173B	-		Serial No 11/776329		
		First Applicant Clet Niyikiza					
			Application Date July 11, 2007		Group Art U	Jnit	
			US Nat'l Entry (if a	US Nat'l Entry (if applicable) 161		1614	
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	110.	Country Code ³ -Number ⁴⁻ Kind Code5 (if known)	Publication Date MM-DD-YYYY	Document	Relevant Passages or Relevant Figures Appear	
	BA	WO 95/27723	10-19-1995			
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Initials*	No. ¹		erial, symposium, catalog		ne-issue number(s) publisher,	1 -
	CA	POYDOCK M. Effect implanted Ehrlich car 1261S-5S,				
	СВ	POYDOCK M, et al. L1210 leukemia using Am J Clin Oncol 1985	a combination of de		al of mice bearing and hydroxycobalamin.	
	CC	POYDOCK M, et al. Bearing Ascites Tumo	Influence of Vitamin		Survival Rate of Mice	
	CD	TOOHEY J. Dehydro 263:164-169.	ascorbic acid as an a	nti-cancer agent. Car	ncer Letters 2008;	
	CE	SALLAH S, et al. Intr with acute leukemia. 774-777.				
	CF	NISHIZAWA Y, et al sensitive or estrogen-s Journal for Vitamin a	sensitive malignant c	ells in culture and in	vivo. International	
	CG	TSAO Č, et al. Influer Pathobiology 1993; 6	nce of cobalamin on			
	СН	KAMEI T, et al. Expe and vitamin B12 on so 71(8): 2477-83.	quamous metaplasia	of the bronchial epith	nelium. Cancer 1993;	
	CI	SHIMIZU N, et al. Ex 1987; 44(3): 169-73.	perimental study of	antitumor effect of m	hethyl-B12. Oncology	
	CJ	HERBERT, V. The ro Experimental Medicin				
	СК	KROES A, et al. Effection of cobalation of c			emia with concomitant	

NOT A USPTO FORM INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Atty. Docket No. X14173B	Serial No 11/776329
			First Applicant Clet Niyikiza	
			Application Date July 11, 2007 US Nat'l Entry (if applicable)	Group Art Unit 1614
	CL	KROES A, et al. Enhanced therapeutic effect of methotrexate in experimental rat leukemia after inactivation of cobalamin (vitamin B12) by nitrous oxide. <i>Cancer</i> <i>Chemotherapy and Pharmacology</i> 1986; 17(2): 114-20.		
	CM	BARAK A. Vitamin B12 as a possible adjunct in prevention of methotrexate hepatotoxicity. <i>Biochemical Archives</i> 1985; 1(3): 139-42.		
	CN	HERBERT V. The inhibition and promotion of cancers by folic acid, vitamin B12, and their antagonists. ACS Symposium Series (1985); 277(Xenobiot. Metab.: Nutr. Eff.), 31-6.		
	СО			
Examiner Signature			Date Considered	

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		(11) International Publication Number: WO 95/2772
C07H 23/00, G01N 33/82, A61K 31/6	8 A1	(43) International Publication Date: 19 October 1995 (19.10.9
	CT/US95/0440 1995 (07.04.95	(AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, M
(30) Priority Data: 08/224,831 8 April 1994 (08.04.9 08/406,191 16 March 1995 (16.03 08/406,192 16 March 1995 (16.03 08/406,194 16 March 1995 (16.03	8.95) U 8.95) U	S Before the expiration of the time limit for amending to S claims and to be republished in the event of the receipt
 (71)(72) Applicants and Inventors: MORGAN, [US/US]; 803 Driftwood Place, Edmond (US). WILBUR, D., Scott [US/US]; 601 S.W., Edmonds, WA 98026 (US). PATHAJ [IN/US]; 13407 Greenwood Avenue N. 4 WA 98133 (US). (74) Agents: HERMANNS, Karl, R. et al.; Seed an Columbia Center, 701 Fifth Avenue, Seattl 7092 (US). 	5 137th Plac RE, Pradip, M #301C, Seattle nd Berry, 630	0 e I. c, 0
 (54) Title: RECEPTOR MODULATING AGENTS (57) Abstract Receptor modulating agents capable of modulations affecting the cell surface receptor trafficking produlating agents are comprised of a covalently bout targeting model. 	ing cell surfac	e receptors e receptor CH ₃ CONH ₂ CONH ₂
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TG	Togo
TJ	Tajikistan
TT	Trinidad and Tobago
UA	Ukraine
US	United States of America
UŻ	Uzbekistan
VN	Viet Nam

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Description

RECEPTOR MODULATING AGENTS AND METHODS RELATING THERETO

Technical Field

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The present invention is generally directed to receptor modulating agents which modulate cell surface receptors and, more specifically, to receptor modulating agents which bind to cell surface receptors and affect the receptor trafficking pathway and methods related thereto.

Background of the Invention

Cell surface receptors constitute a class of proteins which are responsible for receptor-mediated endocytosis of specific ligands. Basically, the receptors serve as escorts for ligand delivery to intracellular destinations.

Ligand delivery is generally achieved through coated regions on the plasma membrane called "coated pits." These pits continually invaginate and pinch off, forming "coated vesicles" in the cytoplasm. Coated pits and vesicles provide a pathway for receptor mediated endocytosis of specific ligands. The ligands that bind to specific

- 20 cell surface receptors are internalized via coated pits, enabling cells to ingest large numbers of specific ligands without taking in correspondingly large volume of extracellular fluid. The internalized coated vesicles may or may not lose their coats and bind with other vesicles to form larger vesicles called "endosomes." In the endosome the ligand and the receptor are separated or "sorted." Endosomes which sort ligands
- 25 and receptors are known as "compartment of uncoupling of receptor and ligand" or "CURL."

Endosomes may fuse with primary lysosomes, where their contents are digested, or they may be delivered to other intracellular destinations. The receptor proteins are generally not digested, but are rather recycled to the cell membrane surface

30 through a process called "exocytosis," or transferred to early or late endosomes via multivesicular bodies. The entire pathway is referred to as the "receptor trafficking pathway."

Some receptors deliver their ligand directly to the cytoplasm or other specific intracellular locations. Perhaps one of the most studied receptor trafficking pathways is that of iron transport. In this pathway, a serum carrier protein, transferrin, binds iron and transports it to transferrin receptors on the plasma membrane surface.

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After binding and internalization, via coated pits, the resulting vesicle combines first with early endosomes and then with late endosomes. This process results in the gradual drop in pH in the vesicle. The drop in pH causes the transferrin carrier protein to lose its affinity to iron. When this occurs, the iron translocates through the membrane of the vesicle and joins the intracellular pool of enzymes. The transferrin receptor may then recycle to the cell surface where it may repeat the process.

Other receptors may deliver their ligand directly to the lysosomes for digestion. For example, the epidermal growth factor ("EGF") receptor delivers its ligand directly to a lysosome for degradation (Prog. Histochem. Cytochem. 26:39-48,1992). The EGF receptor may recycle to the cell surface depending on its state of phosphorylation (Cancer Treat. Rep. 61:139-160, 1992; J. Cell. Biol. 116:321-330, 1992).

A single receptor may utilize more than one receptor trafficking pathway within the same cell. For example in polarized cells, such as specialized transport epithelia cells, membrane trafficking is distinct between apical and basal sides of the cell (Sem. Cell. Biol. 2:387-396, 1991). Moreover, non-polarized epithelia cells may simultaneously follow two separate sorting pathways.

The control or regulation of cell surface receptors may be achieved by a variety of techniques. Regulation of cell surface receptors may be accomplished, at a very basic level, by the binding of naturally occurring ligands. As discussed above, receptor binding of a ligand will generally trigger the internalization of the ligand-receptor complex. Such internalization may desensitize the cell to further ligand binding. (J. Immunol. 150:3161-9, 1993; Mol. Endocrinol. 6:2090-102, 1992; J. Cell. Physiol. 154:281-8, 1993; Receptor 1:13-32, 1990-91; Biochem. J. 288:55-61, 1992; J.

25 <u>Immunol. 148</u>:2709-11, 1992; <u>J. Cell. Physiol. 148</u>:24-34, 1991). This type of regulation, however, is transient in nature and does not result in diminution of biologic response.

Regulation of cell surface receptors may also be accomplished by administration of receptor antagonists or agonists. Receptor antagonists are organic protein or peptide ligands generally derived through empirical structure-function studies, or through the use of detailed knowledge of ligand and receptor interaction. Essentially, an antagonist may constitute any molecule with similar binding activity to a natural ligand, but incapable of producing the biological response normally induced by the natural ligand. Thus, the antagonist competitively blocks receptor activity. With a

35 competitive antagonist, the regulation of receptor activity is dependent upon both the antagonist's affinity for the receptor, as well as its extracellular concentration over time.

Receptor agonists are protein or peptide ligands derived in a similar manner as antagonists. Essentially, an agonist may constitute any molecule which binds to the receptor in a manner superior to that of the natural ligand.

One receptor of particular interest is the vitamin B₁₂ receptor. As has been demonstrated in experimental in vitro data, pre-clinical animal models, and patient studies, vitamin B₁₂ is a co-enzyme necessary in cell division, as well as cellular metabolism, in proliferating normal and neoplastic cells. Insufficient vitamin B₁₂ causes cellular division to be held in abeyance and ultimately may result in apoptosis. The nutrient is generally derived from dietary intake and is transported throughout the body complexed to transport proteins. The complex of transport protein and vitamin B₁₂ is recognized by a cellular receptor which internalizes the complex and releases the vitamin intracellularly. The overall process has been reviewed in <u>GUT 31</u>:59, 1991. Vitamin B₁₂ is taken in through the diet. Binding proteins in the saliva (R-binder) and

gut (intrinsic factor-(IF)) complex vitamin B_{12} after release from endogenous binding 15 proteins by action of enzymes and low pH in the stomach. Vitamin B_{12} is transferred across the intestinal epithelium in a receptor specific fashion to transcobalamin II (TcII). The vitamin B_{12} /transcobalamin II complex is then transported throughout the body and recognized by receptors present on dividing cells, internalized and released within the cell where it is utilized by certain enzymes as a co-factor.

20 The high affinity receptor in dividing tissues or cells responsible for internalization of vitamin B₁₂ recognizes transcobalamin II complexed with vitamin B₁₂. The vitamin B₁₂/TcII receptor recognizes only the vitamin B₁₂/TcII complex and not the serum transport protein or the vitamin alone. The receptor is undetectable on non-dividing cells; the mechanism for supplying non-dividing cells with vitamin B₁₂ is poorly understood. However, it is known that more vitamin B₁₂ is required during cell division than during metabolism, and that the vitamin B₁₂/TcII receptor is the only high

- affinity means for cellular uptake of vitamin B_{12} during cell division. When stimulated to divide, cells demonstrate transient expression of this receptor leading to vitamin B_{12} uptake which precedes actual DNA synthesis (J. Lab. Clin. Med. 103:70, 1984).
- 30 Vitamin B_{12} receptor levels may be measured by binding of ⁵⁷Co-vitamin B_{12} complexed to transcobalamin II (present in serum) on replicate cultures grown in chemically defined medium without serum. No receptor mediated uptake occurs in the absence of carrier protein.
- Dividing cells, induced to differentiate, lose receptor expression and no longer take up vitamin B₁₂. More importantly, leukemic cells, deprived of vitamin B₁₂, will stop dividing and die (<u>Acta Haemat. 81</u>:61, 1989). In a typical experiment,

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leukemic cell cultures were deprived of serum for 3 days, and then supplemented either with serum (a source of vitamin B_{12}) or a non-metabolizable analogue of vitamin B_{12} . and cultured up to five days. Cell cultures supplemented with vitamin B₁₂ continued to grow, whereas those deprived of the active nutrient stopped growing and die.

- Based on these observations, it has been suggested that whole body deprivation of vitamin B₁₂ may be useful in the treatment of cancer or other disorders characterized by uncontrolled growth of cells. Moreover, because of the critical role played by vitamin B₁₂-containing enzymes in cell division, it is believed that vitamin B_{12} deprivation may be used in combination with chemotherapeutic drugs which inhibit
- 10 cellular replication. For example, when vitamin B_{12} depletion was combined with methotrexate, the two modalities together were more efficient in depleting folate levels in leukemic cells than either alone (FASEB J. 4:1450, 1990; Arch. Biochem. Biophys. 270:729, 1989; Leukemia Research 15:165, 1991). Folates are precursors in the production of DNA and proteins. In typical experiments, cultures of leukemic cells
- 15 were exposed to nitrous oxide for several hours to convert the active form of endogenous vitamin B₁₂ to an inactive form. Replicate cultures were then left without further treatment, or additionally treated with methotrexate. Cellular folate levels were measured three days later. Cells treated with the combination (*i.e.*, both methotrexate and inactive vitamin B₁₂) showed a more striking decrease in cellular folate levels than
- 20 with either of the two approaches alone. This combination also results in a higher cell kill in vitro. When this approach was applied to the treatment of highly aggressive leukemia/lymphoma in animal models (Am. J. Haematol, 34:128,1990; Anticancer Res. <u>6:737, 1986; Cancer Chemother. Pharmacol. 17</u>:114, 1986; <u>Br. J. Cancer 50</u>:793, 1984), additive or synergy of anti-tumor action was observed, resulting in prolonged
- 25 remissions and cures.

A key finding in the experiments described above was that short-term (hours to days), whole body depletion of vitamin B_{12} can act synergistically with chemotherapeutic drugs (such as methotrexate and 5-FU) to inhibit tumor growth and treat animals with leukemia/lymphoma. Despite synergistic anti-tumor activity, there

- 30 was no toxicity attributable to the short-term vitamin B_{12} depletion for proliferating normal cells. This combination therapy was demonstrated in multiple animal models. Observations in patients have indicated that long-term (months to years) vitamin B_{12} depletion is required to produce significant normal tissue toxicity. Even in those cases, subsequent infusion of vitamin B₁₂ can readily reverse symptomology (Br. J. Cancer
- 35 <u>5:810, 1989).</u>

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Because of the promise of this therapeutic approach, various methods have been sought to efficiently and controllably perform a temporary depletion of vitamin B_{12} . Such methods, however, affect all of the body's stores of vitamin B_{12} . They include dietary restriction, high doses of vitamin B_{12} analogues (nonmetabolizable-competitive antagonists which act as enzyme inhibitors), and nitrous oxide (transformation of vitamin B_{12} to inactivate form). These different methods have been used in culture systems and in animals to deplete vitamin B_{12} . The most efficient and the most utilized method has been the inhalation of nitrous oxide (laughing gas). Animals are maintained typically under an atmosphere of 50% to 70% of nitrous oxide

- 10 for periods from a few hours to a few days, causing the conversion of endogenous vitamin B_{12} into an inactive form. This methodology has been utilized in combination with drugs for therapy of leukemia/lymphoma. A further method for vitamin B_{12} depletion involves infusion of a non-metabolizable analogue of vitamin B_{12} which essentially dilutes out the active form. This form of therapy is not specific for dividing
- 15 cells but affects liver dependent metabolic processes. Another approach includes restricting the dietary intake of vitamin B₁₂. This method, however, requires very long periods of dietary restriction and is offset by hepatic storage of vitamin B₁₂. All of these methods suffer from problems of specificity, since they affect both vitamin B₁₂-dependent growth as well as basal metabolism, and therefore are not particularly suited to the development of anti-proliferative pharmaceutical products.

In view of the biological importance of cell surface receptors, receptorcontrolling agents have emerged as a class of pharmaceutical drugs. Moreover, with the advent of genetic engineering for the isolation and amplification of genes for cell surface receptors, as well as computer programs to model the interactions between ligands and receptors (*i.e.*, "rational" drug design), the production of receptorcontrolling drugs has been significantly enhanced.

To date, many months or even years of scientific research, as well as significant financial resources, are required to produce new receptor antagonists or agonists. To speed up this process, new screening technologies have been developed which utilize peptide or antibody recombinant libraries (*see, e.g., Gene 73*:305, 1988; Proc. Nat. Acad. Sci. (USA) 87:6378, 1990; Biochromatography 5:22, 1990; Protein Engineering 3:641, 1989). While library screening does not require the same degree of knowledge of a specific receptor/ligand system, it does involve an intensive screening effort utilizing functional receptor-specific assays. Moreover, the initial compounds

35 identified by such screening programs are generally only precursors to the development of therapeutic products through more typical structure-functional assessments.

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While antagonists and agonists are generally capable of regulating a biological response, the surface receptors which bind such ligands are continually being re-expressed on the cell surface. Thus, effective regulation by antagonists or agonists must rely on a relatively high and sustained serum concentration in order to bind the new surface receptors continually being expressed on the cell surface.

Accordingly, there is a need in the art for agents which bind cell surface receptors and thus regulate biological responses associated therewith, and which further effect normal cellular trafficking of the bound receptor. There is also a need in the art for agents which, when bound by a cell surface receptor and internalized, promote retention of the receptor within the cell. Moreover, there exists a need for methods relating to the administration of such agents to regulate a biological response. The

present invention fulfills these needs and provides further related advantages.

Summary of the Invention

Briefly stated, the present invention provides receptor modulating agents which are capable of affecting a receptor trafficking pathway of the cell. Receptor modulating agents of the present invention are comprised of a rerouting moiety coupled to a targeting moiety.

Suitable targeting moieties include, by way of example, a vitamin B₁₂ 20 molecule or any one of several proteins and peptides.

Suitable rerouting moieties include, by way of example, lysosomotropic moieties, such as gentamycin, kanamycin, neomycin, and streptomycin; intracellular polymerizing moieties, such as dipeptide esters and leucine zippers; peptide sorting sequences, such as endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding

- peptides; conditional membrane binding peptides, such as charged glutamate, aspartate, and histidine; and bi- or multi-valent receptor cross-linking moieties.
- In a preferred embodiment of the present invention, a receptor modulating agent, is comprised of a vitamin B_{12} molecule coupled to a rerouting moiety by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 6 to 20 atoms in length and preferably, the linker is 12 atoms in length. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is -NH(CH₂)_xNH- wherein x = 2-20 or -NH(CH₂)_yCO-, wherein
- y = 3-12. In one embodiment the linker is a trifunctional linker.

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In a preferred embodiment of this aspect of the present invention, a B_{12} molecule is coupled to a rerouting moiety at a *b*-, *d*- or *e*- coupling site. In a particularly preferred embodiment of the present invention, a B_{12} molecule is coupled to a rerouting moiety at a *d*- or *e*- coupling site. In another embodiment, the B_{12} molecule is coupled to a rerouting moiety at a ribose coupling site. In yet another embodiment, the receptor modulating agent is bound to transcobalamin.

Receptor modulating agents of the present invention may act by affecting a receptor trafficking pathway in any one of several ways, including, by redirecting an agent/receptor complex; by cross-linking one or more cell surface receptors; by anchoring a cell surface receptor in the membrane; and by retaining a receptor in an endosome.

Another aspect of the present invention includes a vitamin B_{12} dimer comprising a first and a second vitamin B_{12} molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a-g*, coupling sites *h*, and coupling sites *i*. In a preferred embodiment, the B_{12} molecule coupled through an *e-* or *d-* coupling site.

In another embodiment, B_{12} molecules are coupled by a linker. Generally, the linker is at least 4 atoms in length, typically, the linker is about 10 to 55 atoms in length and preferably, the linker is 35 to 45 atoms in length. In a preferred

- 20 embodiment, the linker is a trifunctional linker. Suitable linkers include linkers which include an amino group, such as diaminoalkyl, diaminoalkylaryl, diaminoheteroalkyl, diaminoheteroalkylaryl and diaminoalkanes. Preferably, the linker is $-NH(CH_2)_xNH$ -wherein x = 2-20 or $-NH(CH_2)_yCO$ -, wherein y = 3-12.
- In another aspect of this embodiment, a vitamin B₁₂ dimer is coupled to at least one transcobalamin II molecule. In yet another aspect of this embodiment, at least one of said first and said second vitamin B₁₂ molecules of the dimer is a vitamin B₁₂ derivative.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition, various references set forth below which describe certain procedures or compositions in more detail are incorporated by reference in their entirety.

Brief Description of the Drawings

Figure 1 is a schematic illustrating a mechanism of action of a receptor modulating agent of the present invention. A healthy receptor will internalize when bound by the appropriate ligand, release the ligand within the cell and then recycle to

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the cell surface. Receptor modulating agents of the present invention impede the receptor trafficking pathway by inhibiting the recycling of receptors to the cell surface. Essentially, the targeting moiety on receptor modulating agents bind the receptor and the rerouting moiety redirects the receptor/receptor modulating agent complex to other

5 points within the cell, where it may be retained or degraded. (Not shown in this schematic are receptors synthesized <u>de novo</u>).

Figures 2-5 are formulae representing families of antibiotics which act as rerouting moieties. The preferred reactive groups for coupling with a targeting moiety are indicated. These rerouting moieties facilitate retention of the receptor/receptor

10 modulating agent complex through protonation of the complex, eventually delivering it to lysosomes for degradation.

Figure 2 illustrates formulae representing the gentamycin, sisomicin, and netilmicin families of antibiotics.

Figure 3 illustrates formulae representing the kanomycin, tobramycin, 15 and amikacin families of antibiotics.

Figure 4 illustrates formulae representing the neomycin, paromomycin, ribostamycin, and butirosin families of antibiotics.

Figure 5 illustrates formulae representing the streptomycin family of antibiotics.

Figure 6 illustrates formulae representing substituted aminoquinolines (e.g., chloroquine) substituted aminoacridines (e.g., quinacrine), and substituted aminonapthalines (e.g., dansyl cadaverine), all of which are representative rerouting moieties of the present invention. These rerouting moieties impede the receptor trafficking pathway through protonation and intracellular retention.

Figure 7 illustrates formulae representing glycosylation inhibitors, all of which are representative rerouting moieties of the present invention. These sugars may be conjugated to targeting moieties using linkages typical of oligomeric carbohydrate chains. The resulting receptor modulating agent is recognized by internal glycosyl transferases, subject to intracellular retention, and, ultimately, degradation in the lysosomes.

Figure 8 illustrates a formula representing a vitamin B_{12} (cyanocobalamin) molecule and identifies a preferred coupling site suitable for use in the present invention for derivatization and conjugation.

Figure 9 is a schematic depicting a representative reaction scheme for 35 the synthesis of a vitamin B₁₂-GABA adduct.

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Figure 10a is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B_{12} derivative comprising a vitamin B_{12} molecule with a diaminododecane linker arm coupled to any one of coupling sites *d*-, *e*-, or *b*-.

Figure 10b is a schematic depicting a representative reaction scheme for coupling a succinic anhydride to a vitamin B₁₂ diaminododecane adduct in preparation for coupling the adduct to a rerouting moiety, or other molecule, with an amino reaction site.

Figure 11 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B₁₂ derivative comprising a vitamin B₁₂ molecule and a diaminododecane linker arm coupled to a ribose coupling site.

Figure 12 is a schematic depicting a representative reaction scheme for coupling vitamin B_{12} or a vitamin B_{12} -GABA adduct to amikacin.

Figure 13 is a schematic depicting a representative reaction scheme for coupling vitamin B_{12} or a vitamin B_{12} -GABA adduct to streptomycin.

Figure 14 is a schematic depicting a representative reaction scheme for coupling a vitamin B_{12} carboxylate derivative or a vitamin B_{12} -GABA adduct to acridine.

Figure 15 is a schematic depicting a representative reaction scheme for the synthesis of a bivalent receptor modulating agent, a vitamin B₁₂ dimer, using a
trifunctional linker. The trifunctional linker allows for coupling with additional compounds (*e.g.*, R-NH₂) such as, by way of example, aminoglucosides (Figures 2-5), aminoacridines (Figure 6), glycosylation inhibitors (Figure 7), and biotin.

Figure 16 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B_{12} dimer using a homobifunctional or homotrifunctional cross-linking reagent.

Figure 17 is a schematic depicting a representative reaction scheme for the synthesis of a vitamin B_{12} dimer using a heterobifunctional cross-linker.

Figures 18-21 are schematics depicting representative reaction schemes for the synthesis of various receptor modulating agents generally comprised of a rerouting moiety, designated by the reactive group and R, selected from those represented in Figures 2-7, and a vitamin B₁₂ molecule or derivative thereof as a targeting moiety.

Figure 22 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD = Cyanocobalamin (1); AL = Cyanocobalamin b-monocarboxylic acid (2); AM = Cyanocobala

Cyanocobalamin e-monocarboxylic acid (3); and AN= Cyanocobalamin d-monocarboxylic acid (4).

Figure 23 is a graph illustrating the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts produced in Example 3 and 4. AH = Cyanocobalamin *b*-monocarboxylic acid conjugate diaminododecane (7); AI = Cyanocobalamin *e*-monocarboxylic acid conjugate diaminododecane (8); AJ = Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane (9); AK = Cobalamin *e*-monocarboxylic acid conjugate diaminododecane, and AE = Cyanocobalamin *ribose*-succinate (11).

Figure 24 is a graph illustrating the binding curve of Transcobalamin II to a series of vitamin B₁₂ dimers. Dimer X = b-acid dimer with isophthaloyl dichloride (36); Dimer Y = e-acid dimer with isophthaloyl dichloride (37); dimer Z = d-acid dimer with isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (58); Dimer B = e-acid Dimer with p-iodo benzoyl isophthaloyl dichloride (59); and Dimer C = d-acid Dimer with p-iodo benzoyl isophthaloyl

dichloride (60). These dimers were prepared as set forth in the Examples below. (see Examples 13 and 16.)

Figure 25 is a graph illustrating the binding curve of Transcobalamin II to a series of biotinylated vitamin B_{12} molecules. AA = Cyanocobalamin *b*monocarboxylic acid conjugate diaminododecane and biotin (17); AB = Cyanocobalamin *e*-monocarboxylic acid conjugate diaminododecane and biotin (18); AC = Cyanocobalamin *d*-monocarboxylic acid conjugate diaminododecane and biotin (19); AF = Cyanocobalamin ribose-succinate conjugate diaminododecane (13); and AG = Cyanocobalamin ribose-succinate conjugate diaminododecane and biotin (20). These

25 biotinylated molecules were prepared as set forth in Examples below. (see Example 8.)

Detailed Description of the Invention

The present invention is generally directed to a receptor modulating agent which is capable of binding to a cell surface receptor to form a receptor modulating agent/receptor complex ("agent/receptor complex"). The binding of a suitable receptor modulating agent to a cell surface receptor generally results in invagination of the agent/receptor complex into the cell into the vesicular system in the same manner as the natural ligand. However, once internalized, or as part of the internalization process, a receptor modulating agent of the present invention affects the receptor trafficking pathway by effectively impeding, preventing, or delaying the

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receptor from recycling to the surface, thus depriving the cell of receptors able to engage in binding its natural ligand and triggering related biological responses.

Within the context of the present invention, "affecting the receptor trafficking pathway" refers to impeding the receptor trafficking pathway in such a manner so as to affect biological response. This would include trapping, delaying, retaining, re-directing, or degrading the cell surface receptor. A "receptor modulating agent" is comprised of at least one targeting moiety covalently attached to at least one rerouting moiety. A "targeting moiety," as described in detail below, is a moiety capable of specifically binding to a cell surface receptor to yield an agent/receptor complex and, in a preferred embodiment, has an affinity for the cell surface receptor of within 100-fold, and more preferably, within 10-fold, of the affinity of the natural ligand for the receptor. A preferred targeting moiety is a vitamin B_{12} molecule. In contrast, a "rerouting moiety" is a moiety which redirects an agent/receptor complex, resulting in prolonged retention, degradation, and/or modulation of the receptor within

15 the interior of a cell or on the cell surface, including, by way of example, retaining the receptor in the cell membrane or directing the receptor to a lysosome within the cell. Suitable rerouting moieties are described in detail below.

A targeting moiety is coupled to a rerouting moiety to yield the receptor modulating agent by any suitable means known in the art, including direct covalent linkage of an appropriate chemical linker or through a very tight association in noncovalent attachment. By way of example for the latter, in one embodiment, coupling is accomplished through the combination of an avidin or streptavidin conjugate with a vitamin B₁₂/biotin conjugate. Coupling of the targeting moiety and the rerouting moiety should be of a nature which resists cleavage by the enzymatic and low pH conditions normally encountered within the internal portion of the cell, including endosomes and lysosomes. Suitable linkers are noted below. The ability to resist cleavage may be detected by any means known in the art, including exposing the receptor modulating agent to enzymes at low pH and measuring release of the targeting or rerouting moiety using techniques known in the art.

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Coupling of a targeting moiety and a rerouting moiety should not significantly hinder the ability of the targeting moiety to specifically bind the cell surface receptor. The receptor modulating agent may also include additional moieties, so long as they do not interfere with either the targeting or the rerouting moieties. For example, such moieties may be coupled to the receptor modulating agent through the

35 use of a trifunctional linker or they may be coupled to a rerouting or targeting moiety. Optimal attachment of the two moieties may be determined by comparing the affinity of

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binding of the receptor modulating agent with free targeting moiety in assays of inhibition of binding.

These, and other suitable techniques, are described in detail in Sambrook et al., <u>Molecular Cloning: A Laboratory Manual</u>, Cold Spring Harbor, 1989.

Coupling of a targeting molety and a rerouting molety should also not significantly affect the ability of the rerouting molety to retain or delay the agent/receptor complex within the cell. This may be empirically determined by any one of several methods known in the art, including using labeling techniques to compare intracellular retention of the targeting molety versus that of the receptor modulating agent as exemplified below.

As noted above, targeting moieties of a receptor modulating agent include any moiety which specifically binds to a cell surface receptor. Suitable targeting moieties include proteins and peptides. Representative examples of suitable targeting moieties include peptides such as bombesin, gastrin-releasing peptide, cell adhesion peptides, substance P, neuromedin-B, neuromedin-C and metenkephalin; hormones, including EGF, alpha- and beta-TGF, estradiol, neurotensin, melanocyte

- stimulating hormone, follicle stimulating hormone, luteinizing hormone, and human growth hormone; proteins corresponding to ligands for known cell surface receptors, including low density lipoproteins, transferrin and insulin; fibrinolytic enzymes; and
- 20 biological response modifiers, including interleukin, interferon, erythropoietin and colony stimulating factor also constitute targeting moieties of this invention. Moreover, analogs of the above targeting moieties that retain the ability to specifically bind to a cell surface receptor are suitable targeting moieties. Essentially, any analog having about the same affinity as a targeting moiety, herein specified, could be used in synthesis of receptor modulating agents.

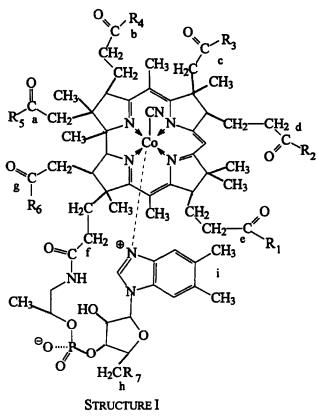
In a preferred embodiment, a targeting moiety is a vitamin B_{12} molecule. Vitamin B_{12} is an essential nutrient for dividing cells. By inhibiting its uptake, the growth of dividing cells can be halted. The cell surface receptor for vitamin B_{12} is the transcobalamin II/vitamin B_{12} ("TcII/ B_{12} ") receptor, which is characterized by a high

- 30 affinity for the carrier protein, transcobalamin II (TcII), when complexed with vitamin B_{12} ("TcII/B₁₂ complex"). The TcII/B₁₂ receptor does not recognize vitamin B_{12} alone, but does recognize the carrier protein TcII with reduced affinity when not complexed with vitamin B_{12} . In many respects, this receptor system is similar to that for transferrin/iron in that the goal of the receptor system is to deliver vitamin B_{12} into
- 35 cells such that it can be utilized by enzymes involved in DNA synthesis. Within the context of the present invention, the term "vitamin B_{12} " refers to the class of

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compounds known as cobalamins and derivatives thereof, including, by way of example, cyanocobalamin. The term "vitamin B_{12} " is used interchangeably with the term cyanocobalamin.

Suitable vitamin B₁₂ molecules includes any vitamin B₁₂ capable of
coupling to another molecule while maintaining its ability to form a TcII/B₁₂ complex. A preferred vitamin B₁₂ targeting moiety is generally comprised of a vitamin B₁₂ molecule, such as a cyanocobalamin, and a linker, described in detail below. The linker may be coupled to any one of several sites on a vitamin B₁₂ molecule, including potential carboxyl coupling sites *a*- through *g*-, an alcohol (ribose) coupling site
("coupling site *h*") or a benzimidazole coupling site ("coupling site *i*.") (See structure I below.) Preferably, a linker is coupled to coupling sites *b*-, *d*- or *e*- on a vitamin B₁₂ molecule. Even more preferably, a linker is coupled to coupling site *d*- or *e*-. This embodiment of the present invention includes compounds represented by the following formula:



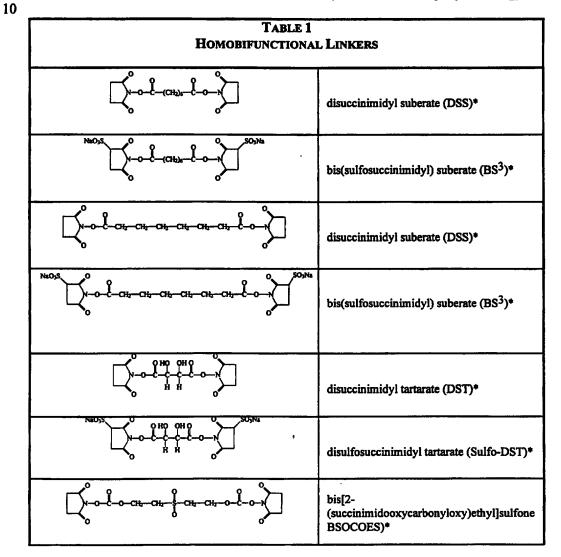
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wherein at least one of R_1 , R_2 , R_3 , R_4 , R_5 , R_6 , and R_7 is a linker. One of ordinary skill in the art will appreciate that a number of other coupling sites on the vitamin B_{12}

molecule may be chemically altered without affecting coupling of the molecule with a linker or TcII. Coupling sites which are not occupied by a linker may have a variety of chemical moieties attached thereto, including an amino, secondary amino, tertiary amino, hydroxy, lower alkyl, lower alkoxy, alkoxyalkyl, alkoxyalkoxy, cycloalkylalkoxy, and thioalkyl groups.

In a preferred embodiment, R_1 , R_2 or R_4 is a linker and the remaining R groups are -NH₂, with the exception of R_7 , which is preferably -OH. In an especially preferred embodiment, R_2 is a linker, R_1 , R_3 - R_6 are -NH₂ and R_7 is -OH.

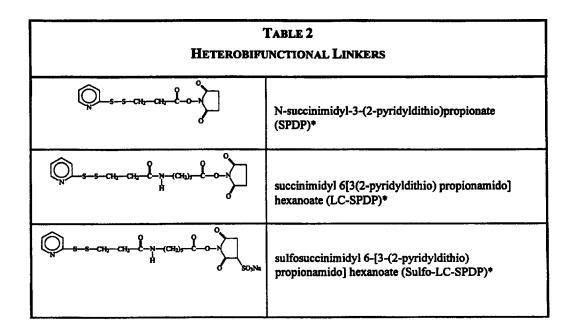
In another preferred embodiment, R7 is a linker and R1-R6 are -NH2.



	bis[2- (sulfosuccinimidooxycarbonyloxy)ethyl]su lfone (Sulfo-BSOCOES)*
	bismaleimidohexane (BMH)*
F NO2	1,5-Difluoro-2,4-dinitrobenzene (DFDNB)*
Са, реку С-СийСийСийСийСийСийСийСи	dimethyl adipimidate-2 HCl (DMA)*
CITHEN C-CH2-CH2-CH2-CH2-CH2-CH2-CH2-CH2-CH2-CH	dimethyl pimelimidate-2 HCl (DMP)*
.са,нем .са,нем .са,нем .са,нем .са,нем .са,нем .са,нем .са,нем .са,	dimethyl subevimidate-2 HCl (DMS)*
a tota	isophthaloyl dichloride**

*Pierce Chemical, Co., Rockford, Illinois

**Aldrich Chemical Co., Milwaukee, Wisconsin



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	succinimidyl 4-(N-maleimidomethyl)cyclohexane-1- carboxylate (SMCC)*
Neos	sulfosuccinimidyl 4-(N- maleimidomethyl)cyclohexane-1-carboxylate (Sulfo- SMCC)*
C	m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS)*
NEDSS	m-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (Sulfo-MBS)*
	N-succinimidyl(4-iodoacetyl)aminobenzoate (SIAB)*
1-0%-U-N-O-U-0-X	sulfosuccinimidyl(4-iodoacetyl)aminobenzoate (Sulfo-SIAB)*
	succinimidyl-4-(p-maleimidophenyl)butyrate (SMPB)*
	sulfosuccinimidyl-4-(p-maleimidophenyl)butyrate (Sulfo-SMPB)*

*Pierce Chemical, Co., Rockford, Illinois

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TABLE 3 TRIFUNCTIONAL I	linkers
TFPO2C CO2TFP	Derived from 5-amino isophthalic* acid - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)
H ₂ N VH ₂ CO ₃ Me	Derived from 3,5-diaminovbenzoic acid* - unreported synthesis
	5-(p-iodobenzoyl)amino-1,3-isophthaloyl ditetra-fluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)
	5(p-tri-N-butylisomylbenzoyl)-amino-1,3- isophthaloyl ditchtrafluorophenyl ester - unreported synthesis (D.S. Wilbur, D.K. Hamlin, University of Washington)
	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.
Basto	D.S. Wilbur et al., <u>Bioconjugate Chem.</u> 5(3):220-235, 1994.

*Aldrich Chemical Co., Milwaukee, Wisconsin

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Suitable linkers include any one of several linkers, preferably containing at least two coupling or reactive groups, allowing the linker to bind to both vitamin B_{12} and a rerouting moiety. In the context of the present invention, the terms "coupling group" and "reactive group" are used interchangeably. By way of example, a linker may be homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional. Homobifunctional agents may facilitate cross-linking, or dimerization of vitamin B_{12} molecules in a single step, hence a coupling reaction using these agents should be performed with an excess of homobifunctional agents, unless dimerization is the desired result, as in the synthesis of dimers described in detail below.

- Suitable homobifunctional agents include those listed in Table 1, as well as those described in detail below. Heterobifunctional agents facilitate cross-linking in a stepwise method, allowing more than one linker to be incorporated and a variety of targeting agents such as vitamin B₁₂ molecules to be linked. Suitable heterobifunctional agents include those listed in Table 2 as well as those described in detail below. Homo- and hetero- trifunctional linkers are coupled to a rerouting moiety
- 10 and a vitamin B_{12} molecule as described above, with the additional advantage of a third coupling site on the linker. One of ordinary skill in the art will appreciate that this allows for any number of different molecules to couple with the rerouting moiety, including, by way of example, markers, such as radiolabeled and fluorescent molecules; proteins and peptides, such as antibodies; and conjugating molecules, such as biotin.
- 15 Suitable trifunctional linkers are listed in Table 3. Homobifunctional, heterobifunctional, homotrifunctional, and heterotrifunctional linkers are commercially available.

Suitable linkers are generally relatively linear molecules greater than 4 atoms in length, typically between 6 and 30 atoms in length, and preferably are 8 to 20 atoms in length. In a particularly preferred embodiment, the linker is a linear molecule of 12 atoms in length. In the context of the present invention, the term "atom" refers to a chemical element such as, by way of example, C, N, O, or S. The ranges provided above are based on the relatively linear accounting of the linker. One of ordinary skill in the art will appreciate that a linker may be linear, branched, and even contain cyclical elements.

Coupling or reactive groups include any functional group capable of coupling a linker to a vitamin B_{12} molecule. Suitable coupling groups include, nucleophilic and electrophilic functional groups. Suitable nucleophilic groups include hydroxy groups, amino groups, and thio groups. Suitable electrophilic groups include carboxylic acid groups and carboxylic acid derivatives including acid halides, acid anhydrides, and active esters such as NHS esters.

Suitable homobifunctional linkers include, by way of example, diaminoalkanes, such as those represented by the formula $NH_2(CH_2)_xNH_2$, wherein x = 2-20. A preferred linker is a diaminododecane. Suitable heterobifunctional linkers include those represented by the formula $NH_2(CH_2)_yCOOH$, wherein y = 3-12. Those

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of ordinary skill in the art will appreciate that a protecting group may be necessary when utilizing a heterobifunctional group.

A linker may be coupled to the preferred b-, d- or e- coupling sites (see Structure I above) by any one of several suitable means, including, by way of example, activating a vitamin B₁₂ molecule by hydrolyzing its propionamide groups to produce monocarboxylates, purifying the resulting monocarboxylates, and coupling a linker to a selected coupling site. Hydrolysis of the coupling sites may be accomplished by exposing vitamin B₁₂ to aqueous acid for a period of time and under suitable conditions to hydrolyze the desired propionamide groups. Preferably, hydrolysis is performed by exposure of the amide to dilute aqueous acid for a period of about 6 to 12 days, typically about 9 to 11 days, and most preferably about 10 days at room temperature. Suitable aqueous acids include, by way of example, 0.1N hydrochloric acid, 0.5N phosphoric acid or 0.5N sulfuric acid.

Purification of b-, d- and e- monocarboxylates can be accomplished by 15 any one of several means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column chromatography is preparative reverse phase liquid chromatography. These techniques are described in detail in Lim, <u>HPLC of Small Molecules</u>, IRL Press, Washington,

20 D.C., 1986. Purification of monocarboxylates by preparative liquid chromatography (LC) should be accomplished at a very slow flow rate. For example, LC purification may be conducted at a flow rate of 0.15 mL/min. on a 5 μm, 4.6 X 250 mm propylamine column (RAININ microsorb-MV amino column) eluting with 58 μM pyridine acetate, pH 4.4 in H₂O : THF (96 : 4) solution. Even more preferably, the

25 coupling reaction is monitored using analytical high pressure liquid chromatography (HPLC). Reverse-phase HPLC chromatography is preferably carried out using an analytical version of above-noted propylamine column using a gradient solvent system at a flow rate of 1 mL/min. Within the context of the present invention, the d- isomer is identified as the longest retained peak (third), the e- isomer is identified as the second retained peak, and the b- isomer is identified as the shortest retained peak (first) eluted

from the LC column. The d- isomer may also be identified as that vitamin B_{12} derivative demonstrating the greatest biological activity as noted below.

A ribose coupling site (coupling site h, see structure I) may be activated by any one of several suitable means including, activating a hydroxyl group at coupling site h by reaction with a suitable reagent (e.g., succinic anhydride), to yield a ribose derivative which bears a reactive group (e.g., a carboxylate group). This technique is

described in detail in Toraya, <u>Bioinorg. Chem.</u> 4:245-255, 1975. Separation and purification of the activated molecule may be accomplished on a C18 column as noted below. Once coupling site h has been activated, a linker may be coupled to this site in the same manner as described below.

- After activating the vitamin B₁₂ molecule at a selected coupling site, linkers may be coupled to a vitamin B₁₂ molecule to form a vitamin B₁₂ linker adduct using any one of several means, including, by way of example, an amide forming reaction, employing an amine group on the linker and a carboxylate coupling site on a vitamin B₁₂ molecule. Alternatively, a linker may be coupled to a vitamin B₁₂ molecule through an amide forming reaction, employing a carboxylate group on the linker and an amino group on a B₁₂ molecule. The amide forming reaction may include the use of a coupling agent. Suitable coupling agents include carbodiimide coupling agents, such as, by way of example, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC), 1-benzyl-3-(3-dimethylaminopropyl), carbodiimide (BDC), 1-
- 15 cyclohexyl-3-(2-morpholinyl-4-ethyl)carbodiimide (CMC), and 1,3dicyclohexylcarbodiimide (DCC). Preferably, the coupling agent is water soluble. Even more preferably, the coupling agent is EDC.

Alternatively, the amide forming reaction coupling the linker to a B₁₂ molecule may employ a reactive carboxylic acid group and an amine. Suitable reactive carboxylic acid groups include carboxylic acid derivatives which yield an amide upon reaction with an amine. Such reactive groups include, by way of example, any reactive carboxylic acid derivative, including, by way of example, carboxylic acid halides, such as acid chlorides and bromides; carboxylic acid anhydrides, such as acetic anhydrides and trifluoroacetic anhydrides; esters, such as p-nitrophenyl esters and Nhydroxysuccinimide esters. Such techniques are described in detail in Bodanszky, Principles of Peptide Synthesis, Springer Verlag, Berlin, 1984.

Although coupling of a linker through a cyano coupling site is possible it is not preferred, due to the instability of linkers coupled to this site. Dolphin, D., [205] <u>Methods Enzymol. 18C</u>:34-52, 1971. Additionally, a linker may be coupled to a benzimidazole (coupling site *i*, see Structure I) using techniques described in detail in

Jacobsen, Anal. Biochem, 113:164-171, 1981.

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Vitamin B_{12} linker adducts may be separated and purified using any suitable means, including column chromatography, such as gel permeation chromatography, adsorption chromatography, partition chromatography, ion exchange chromatography, and reverse phase chromatography. Preferably, column

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chromatography is preparative LC. These techniques are described in detail in Lim, <u>HPLC of Small Molecules</u>, IRL Press, Washington, D.C., 1986.

As noted above, the vitamin B_{12} receptor modulating agents of the present invention must be capable of binding transcobalamin II. The ability of a receptor modulating agent to bind TcII may be ascertained using any one of several means known in the art, including competitive binding assays with the receptor modulating agent competing with native vitamin B_{12} .

Rerouting moieties of the present invention include any moiety which is capable of affecting the receptor trafficking pathway. This characteristic can be assessed by employing a receptor modulating agent having a radiolabeled targeting moiety and following its path through the cell. This is accomplished using techniques known in the art, including using radiolabeled, biotinylated, or FITC labeled targeting moiety followed by binding assays, ELISA, or flow cytometry. A preferred receptor modulating agent is one which results in the removal of the highest percent of receptor for the longest period of time.

Suitable rerouting moieties of this invention do not significantly detract from the selectivity of the targeting moiety. Whether a rerouting moiety detracts from the selectivity of a targeting moiety may be determined by any one of several methods known in the art, including comparing binding of the receptor modulating agent on receptor positive and receptor negative cells, as assessed by ELISA, flow cytometry, or

other binding assays.

Rerouting moieties cause the retention/degradation of an agent/receptor complex within at least one cell type, but not necessarily in all cells. In like fashion, a rerouting moiety causes retention of an agent/receptor complex in some cells, but not necessarily other agent/receptor complexes in other cells. Different rerouting moieties may also distinguish between receptor species, for example, as in polarized epithelium where the same receptor may independently traffic on the apical, basal, or basolateral sides of the cell. To determine if a particular rerouting moiety is suitable, a rerouting moiety is covalently attached to the targeting moiety, and the resulting receptor 30 modulating agent is compared for receptor modulation on different receptor-bearing cells using binding or functional assays known in the art.

Suitable rerouting moieties of this invention may be categorized into five different functional classes: (1) lysosmotropic moieties; (2) intracellular polymerizing moieties; (3) protein sorting signals or sequences; (4) conditional membrane binding peptides; and (5) bi- or multi-valent receptor cross linking moieties. While such rerouting moieties may have different functional mechanisms of action, all promote retention of the agent/receptor complex within the intracellular vesicular system. All of these classes of rerouting moieties will impart the ability to affect the receptor trafficking pathway.

In one aspect of the present invention, a first functional class of rerouting moieties, lysosomotropic moieties, are disclosed. Within the context of the present invention, the term "lysosomotropic moieties" refers to moieties which route the agent/receptor complex to the lysosomes. Numerous suitable lysosomotropic moieties are known, and are reviewed in <u>Biochem. Pharmacol. 23</u>:2495-2531, 1974.

A preferred lysosomotropic moiety includes an aminoglycoside antibiotic marked by the characteristic ability to accumulate in lysosomes after intracellular protonation. Intracellular protonation occurs in the increasingly acidic conditions which occur during the transfer from early to late endosomes and, finally, to the lysosome. Strong positive charges prohibit the lysosomotropic moiety from leaving the membrane-enclosed vesicles, thus trapping the agent/receptor complex in the vessel.

Aminoglycoside antibiotics are similar in structure, but are divided into structurally related families of compounds based upon the sugar units. Each of the families of aminoglycoside antibiotics, as well as representative members thereof, are set forth in Figures 2-5. These families include gentamycin, kanamycin, neomycin and streptomycin. The gentamycin family includes gentamycin C₁, gentamycin C₂, gentamycin C_{1a}, sisomicin and netilmicin; the kanamycin family includes kanamycin A, tobramycin and amikacin; the neomycin family includes neomycin B, paromomycin, ribostamycin and bytirosin B; and the streptomycin family includes streptomycin A and

streptomycin B.

In a particularly preferred embodiment of the present invention, the rerouting moiety is gentamycin, which accumulates in lysosomes in concentration as much as 300 fold that of the extracellular concentration (<u>J. Pharmacol. Exp. Ther.</u> <u>255</u>:867-74, 1990; <u>Ren. Fail. 14</u>:351-7, 1992).

Suitable aminoglycosides have reactive amine groups capable of being coupled through peptide or other chemical linkers. Thus, a targeting moiety may be 30 readily attached via covalent linkage to these rerouting moieties using any one of several techniques known in the art to form covalent bonds, for example, using thioether, disulfide, ether, ester and peptide bonds. Since many of the aminoglycoside antibiotics have several amines which could be derivatized in a conjugation procedure, a primary amine contained in these compounds can be selectively reacted to favor covalently attachment to the targeting moiety through this amine (*see* amine indicated

with arrow in Figures 2-4). With regard to streptomycin, covalent attachment to the

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targeting moiety may be accomplished by converting the aldehyde moiety indicated in Figure 5 to an amine, and attaching to the targeting moiety using carbodiimide or other suitable activated carboxylic acid. Aminoglycosides are water soluble and do not readily bind to other proteins, and thus do not impart non-specific binding to a receptor modulating agent.

Particularly preferred aminoglycosides include those which allow for preferential derivation of a selected amine. Specifically, preferred aminoglycosides include those compounds to which protective groups can be added to various nitrogen atoms thereof and, subsequently, selectively deprotected to yield a single free amine.

- 10 The free amine can be further derivatized, for example, by addition of a peptide linker or covalently attached directly to the targeting moiety. These rerouting moieties include ribostamycin (see Figure 4), kanamycin (see Figure 3), amikacin, and streptomycin. Ribostamycin is particularly preferred, due to its relative low toxicity and its derivatization chemistry, allowing an acyl migration reaction to be effected on a
- hydroxyl protected ribostamycin to yield a single amine adduct. Kanamycin may also 15 be used in a selective protection/acylation reaction; Amikacin is commercially available in a form which allows attachment without deprotecting its amines or alcohol groups; and streptomycin can also be readily derivatized by protonating guanidinium groups under physiologic conditions to provide the polycations necessary for cellular or
- lysosomal retention. 20

In another aspect of the present invention, non-aminoglycoside lysosomotropic compounds which may accumulate after intracellular protonation are also suitable rerouting moieties (see Figure 6). Suitable non-aminoglycoside compounds exhibiting this characteristic are known in the art, a series of aminoacridine 25 and amino quinoline dyes, typified by cholquinine and quinacrine; a group of amino naphthalenes, typified by dansyl cadaverine; and derivatives thereof. Such dyes are characterized by cellular retention and low toxicity. All of these compounds have characteristic sites for covalent attachment to a targeting moiety via the nitrogen indicated in Figure 6 and may be attached thereto as described above.

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Another aspect of the present invention utilizes a lysosomotropic peptide subject to charge modification under intracellular conditions is employed as a rerouting moiety. Once charge-modified, the rerouting peptide acts to retain an agent/receptor complex in the intracellular vesicular system until membrane flow delivers it to the lysosome for degradation. Preferably, these peptides are capable of being 35 phosphorylated by intracellular protein kinases. When phosphorylated by the intracellular enzymes, such peptides would be highly anionic.

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Charge-based retention can be an inherent property of the rerouting peptide or can be imparted by intracellular modification. Intracellular modification may be accomplished by any of several means known in the art, including phosphorylation of certain residues of some receptors (*e.g.*, the EGF receptor) may cause intracellular rerouting (Cancer Treat. Res. 61:139-160, 1992; J. Cell. Biol, 116:321-30, 1992).

The rerouting peptides may be covalently attached to a targeting moiety by any means, including, for example, covalently linking the peptide directly to the targeting moiety, or by use of an appropriate linker moiety, such as G-G-G, which may be derivatized and covalently attached to the targeting moiety.

10 Preferred rerouting peptides include protein kinase-substrate peptides that incorporate serine. These peptides are particularly preferred for enhancement of receptor rerouting in tumor target cells, which have increased levels of protein kinase activity for serines or tyrosines. Increased levels of kinase activity within tumor cells may be attributed to the presence of oncogene products, such as H-ras, on the cytoplasmic side of tumor cell plasma membranes (C.I.B.A. Found. Symp. 164:208-18, 1992).

Suitable rerouting peptides also include protein kinase substrates and peptides that possess a single positive charge. The latter type of rerouting peptide may form an ion pair with a "glutamate-like" residue of an attached or closely associated residue(s) of the receptor. Particularly preferred rerouting peptides may be derived, using technologies known in the art, from the proteins and the amino acid sequences

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Ref	TABLE 4 ROUTING PEPTIDES
Peptide Source	AMINO ACID SEQUENCE
EGF receptor	DVVDADEYLIPQ
EGF fragment	CMHIESLDSYTC ·
Phosphorylase kinase	RTKRSGSVYEPLKI
Protein kinase C pseudosubstrate	RFARK-GALRQKNV
Myelin basic protein	S/T-XAA-K/R (where XAA is an uncharged residue)
Kemptide	RGYALG or RGYSLG
Glycogen synthetase	PLSRTLSVAA

identified in Table 4.

Transferrin receptor	FSLAR
III histone	ASGSFKL
Casein kinase II substrate	AAAAAASEEE or AAAAAASDDD
Insulin receptor auto-phosphorylation substrate	DIYETDYYR
calmodulin-dependent protein kinase II	Waxman and Arenowski Biochem. 32(11):2923-30, 1993
Neurogranin	Chen et al., Biochem. 32(4):1032-9, 1993
MARCKS	Heemskerk et al., Biochem, Biophys, Res. Commun, 190(1):236-41, 1993
Glycogen synthase	Marais et al., FEBS Letters 277:151-5, 1990
Ribosomal protein S6	Munro et al., <u>Biochem. Biophys. Acta</u> <u>1054</u> :225-30, 1990
Co-polymers which serve as substrates for protein kinase A, C, P	Abdel-Ghony et al., <u>Proc. Nat'l. Acad. Sci.</u> <u>86</u> :1761-5, 1989; Abdel-Ghony et al., <u>Proc.</u> <u>Nat'l. Acad. Sci. 85</u> :1408-11, 1988
Serine-threonine kinases	Abdel-Ghony et al., <u>Proc. Nat'l. Acad. Sci.</u> <u>86</u> :1761-5, 1989; Abdel-Ghony et al., <u>Proc.</u> <u>Nat'l. Acad. Sci. 85</u> :1408-11, 1988

In another aspect of the present invention, the rerouting moiety is a lysosomotropic amino acid ester which, in high concentration, can cause the lysis of granule containing cells, such as NK cells, cytolytic T cells and monocytes. The concentration must generally be maintained below 100 mM to avoid lysis. Suitable lysosomotropic amino acid esters and their sources are presented in Table 5.

	TABLE 5 Lysosomotropic Amino Acid Esters
Leu-O-Me	Res. Immunol. 143:893-901, 1992
	Eur. J. Immunol. 23:562-5, 1993
	Intl. Arch. Aller. & Immunol. 100:56-59, 1993
	Cell. Immunol. 139:281-91, 1992
	Exp. Pathol. 42:121-7, 1991

Iso-leu-O-Me	Res. Immunol. 143:893-901, 1992
L-Val-O-Me	<u>J. Immunol. 134</u> :786-93, 1985
Phe-O-Me	<u>J. Immunol. 148</u> :3950-7, 1992 <u>Blood 79</u> :964-71, 1992
Phe-, Ala-, Met-, Trp-, Cys-, Try-, Asp-, & Glu-O-Me	Int. J. Immunopharmacol. 13:401-9, 1991

The lysosomotropic amino acid esters identified in Table 5 can be used to retain the agent/receptor complex in lysosomes after intracellular cleavage of the ester. In one embodiment, such amino acid esters may be utilized as the C-terminal portion of a larger peptide containing a linker sequence and/or a phosphorylation substrate sequence, and with suitable residues, such as cysteine, for covalent attachment to a targeting moiety, such as a sequence encoding a peptide or protein ligand for a given cell surface receptor.

In another embodiment of the present invention, a second functional class of rerouting moieties is disclosed. This class includes peptides which undergo polymerization within endosomes or lysosomes, inhibiting their passage through intracellular membranes.

Intracellular polymerizing compounds can be incorporated into a larger peptide containing the targeting moiety and a linker. Suitable peptides include the dipeptide ester referenced in Table 5 (*i.e.*, L-Leucyl-L-Leucine-O-Me). When transported into cells, these dipeptide esters preferentially accumulate in lysosomes and secondary granules of cytotoxic cells. These dipeptides also undergo self-association and polymerization, which results in trapping at low concentrations, and membrane rupture at higher concentrations.

	TABLE 6
	rizing Di-peptide Ester: icyl-L-Leucine-O-Me
J. Invest. Dermat.	<u>99</u> :805-825, 1992
J. Clin. Invest. 84	:1947-56, 1989
Transpl. 53:1334-	40, 1992
J. Immunol. 138:5	51-7, 1987
J. Immunol. 148:3	950-7, 1992

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<u>J. Immunol. 136</u> :1038-48, 1986
<u>Cryobiology 29</u> :165-74, 1992
Acta. Biochem Biophys. Hung 24:299-311,1989
<u>Blood 79</u> :964-71, 1992
Blood 78:2131-8, 1991
J. Immunol. 139:2137-42, 1987
J. Exp. Med. 172:183-194, 1990
J. Clin. Invest. 78:1415-20, 1986
<u>PNAS 87</u> :83-7, 1990
J. Immunol. 137:1399-406, 1986
PNAS 82:2468-72, 1985

Suitable intracellular polymerizing compounds also include peptides that can self-associate into alpha-helical structures termed "leucine zippers". In the context of this invention, such structures may be used to form intracellular polymers that are incapable of exiting intracellular vesicles. Such sequences can be selected by observing self association of the compounds in solution, and the formation of polymers capable of binding to DNA. Suitable peptide sequences that can self-associate into alpha helical

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structures are presented in Table 7.

TABLE 7	
LEUCINE ZIPPERS	
Boc(t-butoxycarbonyl)-Aib(alpha-aminoisobutyryl)	
Glu(OB _n l)-(benzoyl ester)-Leu-Aib-Ala-Leu-Aib-Ala-	
Boc-Aib-Leu-Aib-Leu-Aib-Leu-Aib-O-Me	
Proteins 12:324-30, 1992	
Lys(Z)(benzyloxy-carbonyl)-Aib-O-Me	
<u>PNAS 87</u> :7921-5, 1990	
GELEELLKHLKELLKGER	
<u>Biochem. 31</u> :1579-84, 1992	

In another embodiment of the present invention, a third functional class of rerouting moieties is disclosed. This class includes moieties that can be recognized by intracellular receptors. Such sequences are identified by their ability to stop movement of endogenously synthesized proteins to the cell surface. Suitable peptides include certain peptide sequences (such as sorting or signal sequences) associated with the trafficking of endogenously synthesized proteins (Cur. Opin. Cell. Biol. 3:634-41, 1991). Such peptide sequences, when covalently attached to the C-terminus of an exogenously added targeting moiety, result in the retention of the agent/receptor complexes in the endoplasmic reticulum ("ER"), Golgi apparatus, or lysosomes.

10 Such peptide sequences are recognized by intracellular receptors, examples of which include both mammalian and bacterial versions of ER receptors described in detail in J. Cell. Biol. 120:325-8, 1993; Embo. J. 11:4187-95, 1992; Nature 348:162-3, 1990. Further exemplary peptide sequences and variants thereof (shown in parentheses) that can be recognized by intracellular receptors are set forth in Table 8,

15 Sections A and B.

> Certain signal sequences may be preferred for retention by one type of organism versus another type. For example, REDLK is a preferred sequence recognized by prokaryotic cells and to a lesser degree by eukaryotic cells (see Table 8, section C). Thus, employing this sequence as the rerouting moiety, receptor modulating agents can be constructed to selectively inhibit a receptor-mediated process in bacteria,

20 while having little effect on mammalian cells.

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Table 8 Peptide Sequences Which Bind Intracellular Receptors					
A. Endoplasmic Reticulum or Golgi Retention Peptides					
1. KDEL (DKEL, RDEL, KNEL, SDEL, KEEL, QDEL, KEDL, KDEL)	I. Biol. Chem. 265:5952-5, 1990 Biochem. Biophys. Res. Commun. 172:1384-91, 1990 I. Virol. 65:3938-42, 1991 Exp. Cell Res. 197:119-24, 1991 Growth Factors 5:243-53, 1991 J. Biol. Chem. 267(10):7022-6, 1992 J. Biol. Chem. 267:10631-7, 1992 J. Cell. Biol. 118:795-811, 1992 J. Cell. Biol. 118:795-811, 1992 Exp. Cell. Res. 203:1-4, 1992 P.N.A.S. 90:2695-9, 1993 Mol. Biochem Parasitol 48:47-58, 1991 Embo J. 4:2345-55, 1992 J. Biol. Chem. 266:14277-82, 1991 Mol. Cell Biol. 11:4036-44, 1991				
2. HDEL (HVEL, HNEL, HTEL, TEHT, DDEL, HIEL)	J. Biol. Chem. 268:7728-32, 1993 Mol. Biochem Parasitol 57:193-202, 1993 J. Cell SCI 102:261-71, 1992 Eur J. Biochem. 206:801-6, 1992 J. Biol. Chem. 266:20498-503, 1991				
3. ADEL	<u>Embo J. 11</u> :1583-91, 1992				
4. REDLK	<u>I. Biol. Chem. 266</u> :17376-81, 1991				
5. SEKDEL	Growth Factors 5:243-53, 1991				
6. KTEL	<u>J. Virol. 66</u> :4951-6, 1992				
B. Lysosomal Retention Peptides					
1. KFERQ	Trends Biochem SCI 15:305-9, 1990				
2. Tyrosine-containing polypeptides	<u>J. Cell Biol. 111</u> :955-66, 1990				
C. ORGANISM-SPECIFIC RETENTION PEPTIDES					
1. REDLK	<u>J. Biol. Chem. 266</u> :17376-17381, 1991				

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	D. CLATHRIN-BINDING PEPTIDES (INTERNALIZATION SIGNALS)				
1.	LLAV	J. Cell. Biol. 199:249-57, 1992			
2.	YKYSKV	<u>J. Cell. Biol. 199</u> :249-57, 1992 Embo. J. 7:3331-6, 1988			
3.	PPGYE	<u>Cell 67</u> :1203-9, 1991 <u>Curr. Opin. Cell Biol. 3</u> :1062, 1991			

A further class of peptide sequences of this invention, termed "internalization signals," function by binding to clathrin, both in the coated pits, as well as those intracellular vesicles which maintain a clathrin coat. Representative examples of such clathrin-binding peptides (CBP) are disclosed in Table 8, section D. The CBP binds clathrin in the coated pits initially located on the cell surface causing retention of the targeting moiety to which it is conjugated.

A further class of moieties capable of recognizing intracellular receptors includes carbohydrates. Suitable carbohydrates include any carbohydrate which is capable of binding to intracellular carbohydrate (CHO) receptors but not cell surface CHO receptors. Such carbohydrates include: mannose-6-phosphate and glucose-6phosphate. Suitable carbohydrate moieties include those which bind to the insulin-like growth factor II/mannose-6-phosphate (IGF II/M6P) receptor, include analogs of mannose-6-phosphate, as well as other phosphorylated saccharides (<u>Carbohydrate Res.</u> 213:37-46, 1991; <u>FEBS Lett. 262</u>:142-4, 1990).

The affinity of the rerouting moiety can be varied by changes in the chemical nature of the phosphorylated saccharides (J. Biol. Chem. 264:7970-5, 1989; J. Biol. Chem. 264:7962-9, 1989) (monosaccharides bind with the lowest affinity, while di- or tri-saccharides bind with increasingly higher affinity). Clustering of phosphorylated saccharides on protein carriers can dramatically increase affinity to the intracellular receptor.

Synthesis of various oligosaccharides are reviewed in <u>Sem. Cell. Biol.</u> 2:319-326, 1991. Although, mannose-6-phosphate receptor expression is primarily intracellular, expression also occurs on cell surfaces. Thus, in the context of the present invention, covalent attachment of a targeting moiety with a carbohydrate which binds the mannose-6-phosphate receptor should be constructed so as to give at least 100-fold difference in binding affinity between the targeting moiety and the rerouting moiety. For example, a vitamin B₁₂/transcobalamin II receptor targeting moiety, in this case vitamin B₁₂, would have a binding affinity for the carrier protein, transcobalamin II (TcII), of $\geq 10^{-10}$ M and an affinity for the IGF II/M-6-P receptor of 10^{-8} M or less. This will maintain the specificity of the vitamin B₁₂ binding (via TcII), while allowing transfer of the receptor modulating agent from serum M-6-P soluble receptor to cell surface receptor.

- In addition to IGF II/M-6-P receptor moieties, other carbohydrate-based rerouting moieties also promote retention of the modulating agent/receptor complex in the ER or Golgi complex. Such moieties are based on the recognition by various glycosyl transferases of carbohydrate moieties, either as a natural substrate or as an inhibitor. Such moieties are reviewed in <u>Sem. Cell. Biol</u>. 2:289-308, 1991. For example, saccharide recognition moieties include penultimate sugars, such as glucose and N-acetyl glucosamine (which are natural substrates). More preferred, however, are glycosylation inhibitors which are recognized by glycosyl transferases, but cannot serve to append further carbohydrate residues on growing chains (<u>Sem. Cell. Biol</u>. 2:309-318, 1991) (*see* Figure 7).
- 15 In yet another embodiment of the present invention, a fourth functional class of rerouting moieties is disclosed. This class is generally comprised of rerouting moieties which anchor the receptor to the cell membrane. By way of example, this class includes membrane-binding peptides that exhibit conditional pH-dependent membrane binding. Such peptides exhibit α -helical character in acid but not neutral pH 20 solutions. When a conditional membrane-binding peptide assumes a helical conformation at an acidic pH, it acquires the property of amphiphilicity, (e.g., it has both hydrophobic and hydrophilic interfaces). More specifically, within a pH range of approximately 5.0-5.5, such a peptide forms an alpha-helical, amphiphilic structure that facilitates insertion of the peptide into a target membrane. An alpha helix-induced 25 acidic pH environment may be found, for example, in the low pH environment present within cellular endosomes or lysosomes. In aqueous solution at physiological pH, a conditional, membrane-binding peptide is unfolded (due to strong charge repulsion among charged amino acid side chains) and is unable to interact with membranes.
- Suitable conditional membrane-binding peptide sequences include the 30 charged amino acids glutamate, aspartate, and histidine. A preferred conditional membrane-binding peptide includes those with a high percentage of helix-forming residues, such as glutamate, methionine, alanine, and leucine. Further, conditional membrane-binding peptide sequences include ionizable residues having pKas within the range of pH 5-7, so that a sufficiently uncharged membrane-binding domain will be
- 35 present within the peptide at pH 5 to allow insertion into the target cell membrane. Conditional membrane-binding peptides can be incorporated through covalent bonds to

a chemical or peptide targeting moiety or synthesized as an entire peptide sequence including a linker and peptide targeting moiety.

A particularly preferred conditional membrane-binding peptide is aalaa2-aa3-EAALA(EALA)₄-EALEALAA-amide, which represents a modification of a published peptide sequence (<u>Biochemistry 26</u>:2964, 1987). Within this peptide sequence, the first amino acid residue (aa1) is preferably a unique residue such as cysteine or lysine, that facilitates chemical conjugation of the conditional membranebinding peptide to a targeting protein. The peptide can also be incorporated into a fusion protein with a protein or peptide targeting moiety (*see* Example 7). Amino acid

- 10 residues 2-3 (*i.e.*, aa2-aa3) may be selected to modulate the affinity of the translocating peptide for different membranes. For instance, if both residues 2 and 3 are lysine or arginine, the peptide will have the capacity to bind to membranes or patches of lipids having a negative surface charge. If residues 2-3 are neutral amino acids, the peptide will insert into neutral membranes.
- 15 Yet another preferred conditional membrane-binding peptide can be derived from sequences of apo-lipoprotein A-1 and B; peptide toxins such as melittin, bombolittin, delta hemolysin and the pardaxins; antibiotic peptides, such as alamethicin; peptide hormones, such as calcitonin, corticotrophin releasing factor, beta endorphin, glucagon, parathyroid hormone, and pancreatic polypeptide. Such peptides normally bind membranes at physiologic pH but through attachment of substituents the peptides can be enhanced in their ability to form alpha-helices at acidic pH and reduced in their membrane-binding at physiologic pH. An example of such a modified peptide
- having pH-dependent membrane binding at acidic pH is fully succinylated melittin. In this example, a peptide (melittin) that normally binds to membranes at physiological pH
 is converted to a pH-dependent peptide through succinylation of lysines. Upon succinylation, the peptide displays an amphipathic character only at acidic pHs.

Insertion of a conditional membrane-binding peptide into a target cell membrane is enhanced through stabilization of the amphiphilic alpha helix. Helix stabilization may be achieved: (1) by adding repeating "EALA" units to form a longer

- 30 peptide; (2) by placing an amide at the C-terminus of the peptide, in order to counteract the helical dipole; (3) by polymerizing the peptide; (4) by substituting a natural helixformer for one or more of the stacked glutamates; or (5) by attaching the peptide to a targeting moiety through use of a longer linker, in order to provide sufficient distance between the membrane binding peptide and the targeting moiety for the peptide to
- 35 contact and interact with the target cell intracellular membranes.

In yet another embodiment of the present invention, a fifth functional class of rerouting moieties is disclosed. In this context, the rerouting moiety merely functions as a modulating agent in that the moiety disables the receptors by crosslinking the same. This class includes bi- or multi-valent receptor crosslinking moieties formed from monovalent binding targeting moieties. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling. The synthesis of a bivalent receptor modulating agent is exemplified in greater detail in the examples below.

A preferred cross-linking receptor modulating agent is a vitamin B_{12} dimer. In this embodiment, each vitamin B_{12} molecule acts as a targeting agent and a rerouting agent; cross-linking the B_{12} dimer will cross-link the vitamin B_{12} receptors, thus impeding the receptor trafficking pathway. A preferred vitamin B_{12} dimer is generally comprised of two vitamin B₁₂ molecules, such as cyanocobalamin, coupled 15 by one or more linkers through coupling sites independently selected from a-g, h (ribose), and i (benzimidazole). Preferably, cross-linking occurs between d- or ecoupling sites on both molecules. The dimer must be capable of forming a $B_{12}/TcII$ complex. As noted above, this characteristic may be assayed using any one of several techniques known in the art, including competitive binding assays.

20 A vitamin B_{12} may be coupled to a second vitamin B_{12} molecule in the same manner as described in detail for conjugation of rerouting moieties to vitamin B_{12} targeting moieties. As noted above, dimers may be synthesized using one or more linkers of various lengths and any combination of homobifunctional, heterobifunctional, homotrifunctional, or heterotrifunctional linkers. As noted above, the use of a trifunctional linker allows for coupling with any number of additional moieties. 25

In selecting a linker for dimer synthesis, it should be noted that the total number of atoms comprising the linker between the vitamin B₁₂ molecules should generally be greater than 10 atoms, typically be in the range of 30 to 55 atoms and, preferably be 45. As noted above, one of ordinary skill in the art will appreciate that although the number of atoms is calculated relative to a linear chain of atoms, linear 30 chain, branched chain, and cyclical chain linkers or combinations thereof would be suitable. Hence, the structure of the atom chain in a linker would include, by way of example, alkyl, heteroalky, alkylaryl, and heteroalkyl aryl.

By way of example, a dimer may be synthesized by combining two different vitamin B₁₂ linker adducts in the presence of a coupling agent. The linkers 35

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couple and dimers may then be separated and purified using the same methods outlined above.

Alternatively, activated vitamin B₁₂ may simply be combined with a homobifunctional or homotrifunctional linker (Tables 1 and 3). Preferably, in this embodiment, the ratio of vitamin B₁₂ to linker should be in the range of 2:1. Preferably, a 1:1 ratio is used in preparation of mixed dimers (*e.g.*, *b*- and *e*-acid derivatives) or mixed ligands (*e.g.*, B₁₂ and hormone). Dimers may be separated and purified as noted above.

In still another alternative, vitamin B₁₂ linker adducts, synthesized as described, above may be coupled by a third linker. The third linker, a "cross-linker," serves to bridge the linkers on the vitamin B₁₂ linker adducts. Suitable cross-linkers include those noted in Tables 1, 2, and 3.

Polymerization of peptides may be accomplished by placing a cysteine residue at each end of a peptide, followed by oxidation using dissolved oxygen or other 15 mild oxidizing agent, such as oxidized glutathione. The average length of a polymerized peptide may be controlled by varying the polymerization reaction conditions.

The amino acid sequence of any of the peptides of this invention may be selected to include all L-amino acids or all D-amino acids having a side chain pK_a from 5.0 to 9.0. D-amino acids may be advantageously used to form non-proteolyzable peptides, since the D-amino acids are not metabolized within the cell. Further, the peptides of the present invention may include a combination of L- and D-amino acids, wherein D-amino acids are substituted for L-amino acids on either side of a proteolytic cleavage site. Yet another preferred noncleavable peptide incorporates peptide bond analogs that are not susceptible to proteolytic cleavage by cellular enzymes.

As discussed above, the receptor modulating agents of this invention comprise a targeting moiety coupled to the rerouting moiety. The rerouting moieties identified above may be covalently attached to the targeting moiety by any one of several techniques known in the art, including (a) by chemical modifications such as a

- 30 disulfide formation, thioether formation, amide formation or a reduced or non-reduced Schiff's base, (b) by direct peptide bond formation as in a fusion protein, or (c) by use of a chemical and peptide linker. Suitable peptide linkers in this regard correspond to two or more amino acid residues that allow the rerouting peptide to assume its active conformation independent of its interaction with the targeting moiety, and which allows
- 35 sufficient distance for rerouting moiety access to, for example, intracellular membranes from the peptide attachment site on the targeting moiety.

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In one embodiment, a rerouting moiety may be conjugated to a vitamin B_{12} targeting moiety by any one of several means, including, by way of example, coupling a rerouting moiety to a reactive group on a vitamin B_{12} linker adduct; coupling a vitamin B_{12} to a reactive group on a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin B_{12} linker adduct to a rerouting moiety linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a vitamin B_{12} linker adduct to a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a rerouting moiety linker adduct or an appropriate side chain thereof; coupling a rerouting moiety/biotin binding protein conjugate to a vitamin B_{12} /biotin conjugate; or coupling a rerouting moiety biotin conjugate to a vitamin B_{12} /biotin binding protein conjugate.

Coupling of a rerouting moiety to a vitamin B_{12} linker adduct, or a 10 vitamin B_{12} to a rerouting moiety linker adduct, may be accomplished using the same techniques noted above for coupling a vitamin B_{12} molecule with a linker. The only critical consideration of this aspect of the invention is that the total linker length must be sufficient to avoid steric hindrance. Preferably, the total linker length is at least 6 atoms.

15 Coupling of a rerouting moiety/biotin binding protein conjugate to a vitamin B₁₂/biotin conjugate may be accomplished using any one of several means described in detail in <u>Avidin-Biotin Chemistry: A Handbook</u>, ed. D. Savage, Pierce Chemical Co., 1992. Briefly, a biotin binding protein conjugate is prepared using a rerouting moiety or, as in a second embodiment, a vitamin B₁₂ molecule. Suitable biotin binding proteins include avidin or streptavidin. In some circumstances, a linker may be utilized to distance the molecules. For example, when coupling a vitamin B₁₂ to an avidin, a linker of at least 6 atoms is preferred.

A biotin conjugate is prepared using a vitamin B₁₂ molecule or, as in a second embodiment, a rerouting moiety. By way of example, a vitamin B₁₂ molecule is combined with an NHS ester of biotin. Preferably, the vitamin B₁₂ molecule is a vitamin B₁₂ linker adduct as described above. Even more preferably, the vitamin B₁₂ molecule is a vitamin B₁₂ linker adduct characterized by a 12 atom linear linker coupled to the *d*- or *e*- coupling site.

Once formulated, coupling between the biotin conjugates and biotin 30 binding protein conjugates is easily accomplished by combining the complementing conjugates, *i.e.*, a vitamin B₁₂/biotin conjugate with a rerouting moiety/avidin conjugate.

In another aspect of the present invention, a B_{12} /biotin conjugate is utilized to couple a vitamin B_{12} to any number of compounds through biotin binding protein conjugates. Using a vitamin B_{12} /biotin conjugate, any compound which is capable of coupling a biotin binding protein may be coupled to a vitamin B_{12} and

thereby internalized into cells expressing the vitamin B_{12} receptor. Such compounds include, in addition to the rerouting moieties described in detail below, hormones, enzymes, antibodies or fragments thereof, markers, or therapeutics. Coupling any of these compounds to a biotin binding protein, such as avidin or streptavidin, may be accomplished using techniques described in detail in <u>Avidin-Biotin Chemistry:</u> A

Handbook, ed. D. Savage, Pierce Chemical Co., 1992.

In one aspect of this embodiment, a vitamin B_{12} /biotin conjugate is coupled to a therapeutic/avidin conjugate directed at neoplastic disorders. Neoplastic disorder therapeutics which may be coupled to a vitamin B_{12} /biotin conjugate through avidin include doxorubicin, daunorubicin, etoposide, teniposide, vinblastine, vincristin,

10 avidin include doxorubicin, daunorubicin, etoposide, teniposide, vinblastine, vincristin, cyclophophamide, cisplatin and nucleoside antimetabolites such as arabinosylcytosine, arabinosyladenine and fludarabine.

In another aspect of this embodiment, a vitamin B_{12} /biotin conjugate is coupled to a marker conjugated with a biotin binding protein. Suitable markers include,

15 by way of example, fluorescent molecules or radiolabeled molecules. This combination may be utilized as a detection system incorporated into a screening device to identify patients with low receptor bearing cells or in the evaluation of receptor up-regulation, for example, following treatment of patients for any one of a wide variety of receptor modulation disorders.

20 In another aspect of this embodiment, a vitamin B_{12} /biotin conjugate is coupled to a radioisotope conjugated to a biotin binding protein. Suitable radioisotopes include, any high energy emitting radioisotopes capable of conjugating a biotin binding protein. This combination may be utilized as a targeted radiodiagnostic or radiotherapeutic.

25 In yet another aspect of this embodiment, a vitamin B_{12} /biotin conjugate is used to immobilize vitamin B_{12} to a solid matrix or avidin-coated substrate. By way of example, this would enable one to isolate TcII, TcII receptors, and evaluate coupling sites on the Vitamin B_{12} .

The receptor modulating agents of this invention regulate receptor-30 dependent biological responses through alterations in the receptor trafficking pathway. As illustrated in Figure 1, with specific reference to the receptor for vitamin B₁₂, cell surface receptors are often associated with clathrin-coated pits. When bound by the receptor modulating agent of the present invention, the coated pits invaginate to form vesicles. The vesicles are then directed by the rerouting agent to lysosomes for receptor 35 degradation or delivered to endosomes where the rerouting agent securely binds or WO 95/27723

delays the agent/receptor complex. Thus, the receptor modulating agents can incapacitate the receptors normally undergoing recycling.

Newly synthesized receptors will eventually replace the internalized receptor on the cell surface. However, this process is far more time consuming than recycling-many cells require hours or days to achieve maximal receptor re-expression. Continued exposure of the cell to the receptor modulating agents will exhaust the intracellular receptor pools. Thus, by modulating a plasma membrane receptor, reexpression of the receptor can be substantially delayed, thereby regulating a biological response associated with that receptor for a prolonged period of time.

10 Biological activity of receptor modulating agents of the present invention may be ascertained <u>in vitro</u> by any one of several means known in the art including, competition binding assays or cell proliferation studies. These techniques are described in detail in <u>Laboratory Techniques</u> in <u>Biochemistry and Molecular</u> <u>Biology: An Introduction to Radioimmunoassay and Related Techniques</u>, 3rd Edition,

- 15 ed. Burdon and van Knippenberg, Elsevier, 1987. By way of example, a receptor modulating agent may be cultured with a suitable cell line, such as K562 cells (ATCC CCL 243), under conditions representing in vivo conditions. Such conditions would include the provision of a human source of TcII (such as human serum), vitamin B₁₂, and, preferably by careful removal by chromatography, of all TcII from other medium
- 20 supplements such that proliferation is solely dependent on a known amount of exogenous TcII. Cell cultures deprived of vitamin B₁₂ gradually lose their proliferative capacity, eventually resulting in cell death. Biological activity may be evaluated in vivo using techniques described in detail in Shieh et al., J. Immunol. 152(2):859-866, 1994 in which human tumor cell lines are injected into nude mice, followed by therapy with mean the lating activity may be evaluated as a solution.
- 25 with receptor modulating agents. Next, tumor cells are removed, single cell suspensions prepared and TcII cell surface receptor density may be evaluated by flow cytometry and biotinylated vitamin B₁₂ and avidin FITC.

The receptor modulating agent of the present invention may be administered in a therapeutically effective amount to treat a variety of disorders characterized in which control of the disease process or symptoms can be achieved by modulation of one or more receptor systems and the associated biological responses. Such disorders include neoplastic disorders, autoimmune diseases, rheumatic arthritis, cardiovascular disease, and neurodegenerative diseases.

Common to many non-neoplastic disease processes is a stage in which 35 the disease process itself, or its symptoms, can be halted or ameliorated by the use of an anti-proliferative agent such as vitamin B₁₂/TcII receptor modulating agents. These commonly recognized stages include a sensitization or elicitation phase in which immune cells responsible for the disease become turned on by antigen specific or nonspecific means, followed by a proliferative phase in which the immune cells expand in number, and finally a symptomatic phase in which the expanded immune cells create

- 5 tissue damage directly or indirectly. Neoplastic disorders include, by way of example, leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the breast, lung, liver, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma. Because of this, anti-proliferative chemotherapeutic drugs are commonly utilized in the treatment of many diseases other than cancer, but are limited in use to life
- 10 threatening situations due to their associated toxicity. Anti-proliferative agents, such as the ones of the present invention (with little of the direct toxicity of chemotherapeutic drugs), may be used more widely. More specifically, the vitamin B_{12} receptor modulating agents of the present invention are not destructive to plasma membrane processes (*e.g.*, ion transport). In addition, the anti-proliferative activity is reversible by
- 15 administration of vitamin B_{12} . Furthermore, the agents of this invention may not be mutagenic, teratogenic, or carcinogenic since they act at the level of the plasma membrane, and not at the level of the nucleus, and DNA by intercalation or crosslinking (as many chemotherapeutic drugs act).

An understanding of the pharmaceutical applications for B₁₂/TcII 20 receptor modulating agents requires a knowledge of the cell types targeted by such therapy. To this end, various pharmaceutical applications are disclosed in Table 9 below.

	TABLE 9				
25	TARGET CELLS FOR VITAMIN B_{12} Receptor modulating agents				
	TARGET CELL	OTHER PROLIFERATION ASSOCIATED MARKERS	POTENTIAL PHARMACEUTICAL APPLICATIONS		
30	Activated T-Cell	IL-2 receptor Transferrin Receptor Insulin Receptor Class II Histocompatibility Antigens	Graft versus Host Disease Organ Transplants Auto-Immune Diseases Asthma Crohn's Disease		
35	Tumor Cells	Tumor Assoc. Ags. Ki67 Transferrin Receptor	Tumor Therapy (alone and in combination with chemotherapeutic drugs)		

Bone Marrow	CD-34	Allogeneic Bone Marrow	
Stem Cells	Transferrin Receptor	Transplants	
	Class II Histocompatibility Antigens	Reduction in Toxicity of Chemotherapy	
	IL-1, IL-3 Receptors		
Proliferating	Thy 1.1	Inhibition of Adhesions,	
Fibroblasts	Transferrin Receptor	Scarring	
	Insulin & Insulin-like Growth-Factor Receptors	Scleroderma	
	Fibroblast Growth-Factor Receptor		
Proliferating	EGF Receptor	Psoriasis	
Epithelium or Epidermal	Proto-Oncogenes		
(Keratinocytes)			

Proliferating and activated T-cells can cause a wide variety of diseases ranging from the chronic inflammation of Crohn's disease to more acute organ graft rejection. In all of these diseases, the T-cell may serve a central pathogenic role or a Anti-proliferative chemotherapeutic drugs serve to reduce more accessory role. symptomotology and in some cases lead to long-term remission. Similarly, 25 proliferating fibroblasts and epithelial cells may give rise to diseases characterized by cell overgrowth. Vitamin B₁₂ receptor modulating agents may be used to replace or used in combination with existing chemotherapeutic regimens in these diseases. An important aspect of the use of anti-proliferative vitamin B₁₂ receptor modulating agents in these diseases is not to apply it so aggressively or with improper timing such that 30 normal healing (adhesions, scarring) or cell renewal (psoriasis) processes are also inhibited. As such, low doses of receptor modulating agents may be used during healing and higher doses once healing is completed. Alternatively, receptor modulating agents may not be administered at all until after healing is completed.

As previously mentioned, B₁₂/TcII receptor modulating agents can be 35 used to deprive neoplastic cells of vitamin B_{12} . It has already been shown that sufficient deprivation leads to the death of rapidly proliferating lymphoid neoplasms such as leukemia and lymphoma. Moreover, short term treatment to reduce cellular availability of this nutrient, combined with existing chemotherapeutic agents, markedly improves therapeutic efficacy.

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For solid tumors, vitamin B_{12} depletion may induce cytostasis and differentiation as well as cell death. Thus, B_{12} /TcII receptor modulating agents may be used to induce differentiation in hormonally responsive solid tumors. An increase in the number of cells expressing a differentiated phenotype should translate into an

- 5 increase in expression of hormone receptors. The hormone receptor status of tumors, such as breast and prostrate cancer, are directly correlated with their response to hormonal therapy. Accordingly, B₁₂/TcII receptor modulating agents can be used to increase the number of receptor positive tumor cells or increase receptor density in order to enhance efficacy of subsequent hormonal therapy.
- 10 Vitamin B_{12} receptor modulating agents may affect both replicating neoplastic and normal cells. However, bone marrow progenitors demonstrate differential sensitivity or response. Thus, B_{12} receptor modulating agents can be used to modulate sensitivity of bone marrow progenitors so as to enhance their resistance to the toxic effects of chemotherapeutic agents. Such chemotherapeutic drugs act
- 15 primarily on replicating cells, with non-replicating cells being much less sensitive. Decreasing the sensitivity of progenitors to toxic drugs would increase the bone marrow reserves and enhance subsequent response to colony stimulating factors, and enable higher doses of chemotherapy or reduce the interval to reconstitution. It should also be recognized that such positive effects on bone marrow progenitors, as a natural
- 20 consequence of B_{12} receptor therapy for cancer, is an additional mechanism by which the therapeutic index of chemotherapeutic drugs other than 5-FU and methotrexate can be improved.

In a variety of autoimmune diseases, graft versus host disease, ectopic allergy, and organ transplantation, an initial 'induction' phase, in which the patient becomes sensitized to self or allo-antigens, is followed by a "proliferative" phase in which forbidden or unregulated clones of B- or T-cells are expanded. It has long been known that treatment with anti-proliferative, chemotherapeutic drugs following induction can inhibit expansion of forbidden clones, inhibit progression of disease, and restore a stable state of tolerance.

- 30 Inflammation is an application for which antibodies are already being utilized in clinical trials. The primary emphasis has been on inhibiting the early manifestations of inflammation by inhibiting recruitment or binding of inflammatory cells to vascular endothelium of injured tissue. It also well recognized that proliferation of cells at the site of inflammation contributes to the pathology and tissue destruction of 35 both acute as well as chronic inflammation. To this end, anti-proliferative,
- chemotherapeutic drugs have been widely used to inhibit sequelae of inflammation.

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Methotrexate is one such drug commonly used to treat symptoms associated with rheumatoid arthritis. The drug acts to reduce both localized (e.g., synovium) and generalized inflammation associated with disease progression. Methotrexate acts synergistically with vitamin B_{12} depletion in therapy of leukemia. B_{12} receptor modulating agents can therefore be combined with methotrexate to enhance efficacy in rheumatoid arthritis. Other methotrexate applications include treating destructive inflammation associated with chronic heart disease and colitis.

Surgery, radiation or chemotherapy to the abdomen is often complicated by the development of tissue adhesions. These represent a considerable clinical problem because they lead to bowel blockage and require surgical intervention. Peritoneal adhesions arise as a result of proliferation of the cells of the peritoneal membrane lining the abdomen. A non-toxic means of interfering with such proliferation could lead to restoration of these normal cells to homeostatic control mechanisms and thereby inhibition of adhesion formation. A similar process of benign proliferation and subsequent scarring is a complication of retinal surgery. Direct

15 proliferation and subsequent scarring is a complication of retinal surgery. Direct instillation of a small molecule analog of an antibody receptor antagonist could prevent such disabling complications.

The term "treatment" as used within the context of the present invention, refers to reducing or alleviating symptoms in a subject, preventing symptoms from worsening or progressing, inhibition or elimination of the causative agent, or prevention of the infection or disorder in a subject who is free therefrom. Thus, for example, treatment of infection includes destruction of the infecting agent, inhibition of or interference with its growth or maturation, neutralization of its pathological effects and the like. A disorder is "treated" by partially or wholly remedying the deficiency which causes the deficiency or which makes it more severe.

The receptor modulating agents of the present invention are administered in a therapeutically effective dose. A therapeutically effective dose may be determined by in <u>vitro</u> experiment followed by in <u>vivo</u> studies.

- Pharmaceutical compositions containing the receptor modulating agents in an admixture with a pharmaceutical carrier or diluent can be prepared according to conventional pharmaceutical compounding techniques. The carrier may take a wide variety of forms depending on the form of preparation desired for administration (*e.g.*, intravenous, oral topical, aerosol, suppository, parenteral or spinal injection). Preferably, administration is via stereotactical injection.
 - The following examples are offered by way of illustration, not limitation.

EXAMPLES

In summary, the examples which follow disclose the synthesis of several receptor modulating agents of this invention utilizing different functional classes of rerouting moieties. More specifically, a series of examples are presented which employ vitamin B_{12} as a targeting moiety in a receptor modulating agent.

All chemicals purchased from commercial sources were analytical grade or better and were used without further purification unless noted. Isophthaloyl dichloride was purchased from Lancaster Synthesis Inc. (Windham, NH). All other
reagents were obtained from Aldrich Chemical Co. (Milwaukee, WI). Solvents for HPLC analysis were obtained as HPLC grade and were filtered (0.2 µm) prior to use. Ion exchange chromatography was conducted with 200-400 mesh strongly basic anion 2% cross-linking Dowex-1-chloride (Aldrich Chemical Co). Amberlite XAD-2 nonionic polymeric adsorbent and octadecyl functionalized silica gel for column chromatography were obtained from Aldrich Chemical Co.

¹H NMR were obtained on Bruker AC-500 (500 MHz) instrument. The chemical shifts are expressed as ppm (δ) using tetramethylsilane as internal reference. IR data were obtained on a Perkin-Elmer 1420 infrared spectrophotometer. UV data were obtained on a Perkin-Elmer Lambda 2 UV/V is spectrophotometer. Mass spectral data were obtained on a VG 7070H mass spectrometer using fast atom bombardment

(FAB).

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HPLC separations of compounds were obtained on Hewlett-Packard quaternary 1050 gradient pumping system with a UV detector. Analysis of the HPLC data were obtained on a Hewlett-Packard HPLC Chemstation software.

- HPLC for Monomers: HPLC separations were conducted at a flow rate of 1 mL/min. on a 5 mm, 4.6 250 mm NH₂ column (RAININ microsorb-MV amino column) eluting with 58 mM pyridine acetate, pH 4.4 in H₂O : THF (96 : 4) solution. Retention times were: 1= 4.3 min; 2 = 6.5 min; 3 = 8.0 min; 4 = 8.8 min; 5 = 10.9 min; 6 = 2.3 min; 7 = 2.3 min; 8 = 3.0 min; 9 = 2.9 min; 10 = 2.9 min; 13 = 3.4 min.
- 30 Reverse-phase HPLC chromatography was carried out using a Hewlett-Packard Lichrospher 100 RP-18 (5 mm, 125 X 4 mm) C-18 column using a gradient solvent system at a flow rate of 1 mL/min. Solvent A in the gradient was methanol. Solvent B was H₂O. Starting from an 40% A, the gradient was increased to 100% A over 10 min. The gradient was then brought back to 40% A over a 5 min period. Retention times
- 35 under these conditions for biotin conjugates were: 17 = 7.1 min; 18 = 7.2 min; 19 = 6.9 min; 20 = 6.4 min.

Preparative LC was conducted to separate the mixture of monocarboxylic acids using RAININ Rabbit-plus peristaltic pumping system with a DYNAMAX (model UV-1) UV-visible absorbance detector at a flow rate of 0.15 mL/min. ID column (Alltech, 150 psi), (1000 mm X 25 mm) packed with aminopropyl silica (40-63 mm) was used.

HPLC for Dimers: For dimers 36, 37, and 38 solvent A in the gradient was methanol. Solvent B was H_2O . The gradient was held at the starting mixture of 70% A for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. The gradient was held at 100% A for 20 min. Retention times under these conditions for dimers were: 36 = 8.7 min; 37 = 9.0 min; 38 = 8.9 min. For dimers 58-60 and 64-66 Solvent A in the gradient was methanol. Solvent B was aqueous 1% acetic acid. The gradient was begun at 40% A and was held at that composition for 2 min, then the percentage of A was linearly increased to 100% over the next 10 min. Retention times for the compounds examined under these conditions were: 58 = 14.0min; 59 = 14.1 min; 60 = 13.9 min; 64 = 8.7 min; 65 = 8.6 min; 66 = 9.0 min.

EXAMPLE 1

PREPARATION AND PURIFICATION OF CYANOCOBALAMIN **MONOCARBOXYLATES: MODIFICATION ON THE CORRIN RING**

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This example serves to demonstrate the hydrolysis of b-, d- and epropionamide sites on a vitamin B₁₂ molecule using dilute acid in preparation for coupling of a linker to the sites. Importantly, the hydrolysis of the b-, d- and epropionamides is selective over the hydrolysis of a-, c- and g-acetamides, or the f-amide in the heterocyclic chain connecting the benzimidazole. An optimal yield of monocarboxylate to di- and tri-carboxylate derivatives was obtained at room temperature in 0.1 N HCl over a 10 day period. The non-hydrolyzed vitamin B_{12} and the di- and tri-carboxylates produced were readily isolated from the desired monocarboxylates by preparative liquid chromatography.

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Specifically, cyanocobalamin (1) (3.7 mmol, 5 g) was dissolved in 500 mL of 0.1 N HCl and stirred at room temperature for 10 days under argon atmosphere. The solution was then neutralized with 6 N NaOH and the cobamides were desalted by extraction into phenol and applied to a 200 g (60 x 4 cm, 200-400 mesh) Dowex Cl⁻ x 2 column (acetate form; prepared by washing with saturated sodium acetate until it was 35 free from Cl-, then washing with 200 mL water). The column was eluted with water to remove unreacted cyanocobalamin and then eluted with 0.04 M sodium acetate (pH 4.67).

The first fraction of the elution contained three monocarboxylic acids. These were desalted by extraction into 100 mL of 90% (w/w) phenol, twice with 25 mL and once with 10 mL of phenol. Three volumes of ethyl ether (3 x 160 mL) and 1 volume of acetone (160 mL) were added to the combined phenol extracts. Monocarboxylic acids were removed from the organic phase by extraction with water (2 x 100 mL). The combined aqueous phases were extracted twice with 20 mL of ether to remove residual phenol. The aqueous solution of monocarboxylic acids was evaporated to dryness. Yield: 2.5 g (50%).

The mixture of three acids (0.350 g) was then applied to a 200 g (1000 mm x 25 mm) column of aminopropyl coated silica (40-63 mm) and was eluted with 58 mM pyridine acetate pH 4.4 in H₂O : THF (96 : 4); the elute was collected with an automatic fraction collector. The first eluted acid was found to be *b*-monocarboxylic

acid (2), the second eluted acid was e-monocarboxylic acid (3) and the third eluted acid was d-monocarboxylic acid (4). The acid fractions were desalted by phenol extraction.
The solids obtained were crystallized from aqueous acetone.

b-acid (2): yield 0.122 g (35%), mp 267-270°C with decomposition, ¹H NMR (MeOH-d₄, δ) 0.43 (s, 3H, C-20 CH₃); 1.00 (m, 2H); 1.18 (s, 3H, C-46 CH₃); 1.24 (d, 3H, Pr. CH₄); 1.36 (br c, 0H, C, 47 CH, C, 54 CH); 1.4 (c, 3H, C, 25 CH);

1.24 (d, 3H, Pr₃ CH₃); 1.36 (br s, 9H, C-47 CH₃, C-54 CH₃); 1.4 (s, 3H, C-25 CH₃);
 1.9 (d, 7H, C-36 CH₃, C-30 CH₂, C-48 CH₂); 2.26 (d, 6H, B10 & B11, CH₃); 2.36 (d, 2H, C-26 CH₂); 2.57 (s, 10H, C-35 CH₃, C-31 CH₂, C-37 CH₂, C-53 CH₃); 2.8 (m, 2H, C-60 CH₂); 3.3 (m, 3H, C-8H, C-13H); 3.6 (m, 2H, Pr₁ CH₂); 3.7 (d, 1H, R₅);
 3.9 (d, 1H, R₅); 4.0 (m, 1H, R₄); 4.12 (d, 1H, C-19); 4.17 (s, 1H, C-3); 4.3 (m, 1H, R₂);
 R₂); 4.5 (m, 1H); 4.7 (m, 1H, R₃); 6.0 (s, 1H, C-10); 6.2 (s,1H, R₁); 6.5 (s,1H, B4);
 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB⁺): m/e 1357 (M⁺ +1). IR (KBr): 3400,

3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ360 (ε23441) *e-acid* (3): yield 0.168 g (48%), mp 245-250° C with decomposition, ¹H
NMR (MeOH-d₄, δ) 0.43 (s, 3H, C-20 CH₃); 1.01 (m, 2H); 1.15 (s, 3H, C-46 CH₃);
1.23 (d, 3H, Pr₃ CH₃); 1.36 (br s, 9H, C-47 CH₃, C-54 CH₃); 1.4 (s, 3H, C-25 CH₃);

- 1.83 (s, 4H, C-55 CH₂); 1.93 (m, 6H, C-36 CH₃, C-30 CH₂, C-48 CH₂); 2.22 (d, 6H, B10 & B11 CH₃); 2.35 (s, 3H,C-26 CH₂); 2.5 (d, 13H, C-35 CH₃, C-31 CH₂, C-37 CH₂, C-53 CH₃); 2.9 (m, 1H, C-60 H); 3.2 (m, 1H, C-13H); 3.4 (m, 1H, C-8 H); 3.6 (d, 1H, Pr1 CH); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 2H); 4.1 (d, 1H); 4.2 (m, 2H); 4.6
- 35 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s,

1H, B7). MS (FAB⁺): m/e 1357 (M⁺ +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360 (ϵ 21 842)]

 $d\text{-acid (4): yield 0.060 g (17\%), mp > 300° C, ^{1}H NMR (MeOH-d_4, \delta)} \\ 0.43 (s, 3H, C-20 CH_3); 1.04 (m, 2H); 1.15 (s, 3H, C-46 CH_3); 1.25 (d, 3H, Pr_3 CH_3); \\ 1.36 (br s, 9H, C-47 CH_3, C-54 CH_3); 1.4 (s, 3H, C-25 CH_3); 1.85 (s, 4H); 2.01 (s, 6H); 2.23 (d, 8H, B10 & B11 CH_3); 2.38 (d, 3H, C-26 CH_2); 2.53 (d, 13H, C-36 CH_3, C-30 CH_2, C-48 CH_2); 2.6 (m, 5H); 2.9 (m, 1H, C-60 H); 3.3 (d, 1H, C-13H); 3.4 (m, 1H, C-8 H); 3.6 (d, 1H, Pr_1 CH); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 2H); 4.1 (d, 1H); 4.3 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); \\ 1.36 (br s, 2H) = 0.26 (a, 2D) + 0.26 (a,$

10 7.2 (s, 1H, B7); UV (MeOH): $\lambda 360$ ($\epsilon 22$ 127). MS (FAB⁺): m/e 1357 (M⁺+1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹.

EXAMPLE 2

CYANOCOBALAMIN MODIFIED ON RIBOSE: SUCCINATE CONJUGATE (5)

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This example serves to demonstrate the activation of the ribose coupling site coupling site h (see structure I) with succinic anhydide. Cyanocobalamin (1) (0.15 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h at room temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction and applied to a 100 g of Dowex Cl⁻ (60 x 2.5 cm) column (acetate form, 200-400 mesh). The cyanocobalamin was eluted with water. Succinate conjugate (5) was eluted with NaOAc (0.04 M, pH 4.67) which yielded 180 mg (85 %) after isolation. The O2',O5'-disuccinyl derivative remained absorbed on the column under these conditions. mp 208-210° C with decomposition.

¹H NMR (D₂O-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 0.95 (m, 2H); 1.15 (s, 30 3H); 1.2 (d, 3H); 1.35 (d, 7H); 1.4 (s, 3H); 1.8 (s, 3H); 1.9 (s, 12H); 2.2 (d, 6H); 2.36 (d, 2H); 2.5 (d, 10H); 2.6-2.7 (m, 7H); 3.0 (m, 1H); 3.3 (d, 1H); 3.37 (m, 1H); 3.5 (d, 1H); 4.0 (d. 1H); 4.18 (m, 2H); 4.25 (m, 3H); 4.54 (d, 1H); 6.0 (s, 1H); 6.3 (d, 1H); 6.4 (s, 1H); 7.0 (s, 1H); 7.2 (s, 1H). MS (FAB⁺): m/e 1455 (M⁺ +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ 360 (ε 35 26041).

EXAMPLE 3

COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION WITHOUT SODIUM CYANIDE

This example serves to demonstrate the coupling of a linker to a cyanocobalamin monocarboxylate. Coupling of the monocarboxylates (2, 3, 4) with diaminododecane was first attempted using N-ethyl-N'-dimethylamino-propylcarbodiimide hydrochloride (EDC) in H₂O according to Yamada and Hogenkamp, <u>J.</u> <u>Biol. Chem. 247</u>, 6266-6270, 1972. However, the products obtained did not have a reactive amino group. Alteration of the reaction conditions by changing the reaction mixture to DMF/H₂O and adding NaCN/N-hydroxysuccinimide (*see* Example 4) to the reaction mixture gave the desired diaminododecane adducts.

A mixture of cyanocobalamin monocarboxylic acid (0.370 mmoL, 500 15 mg) and 1,12-diaminododecane (3.6 g) in 100 mL H₂O was adjusted to pH 6 with 1 N HCl. The solution was then treated with N-ethyl-N'-dimethylamino-propylcarbodiimide-hydrochloride (EDC) (726 mg) and stirred at room temperature for 22 h. In 5 intervals of 6 to 14 h, 650 mg of EDC was added to the reaction mixture. After a total reaction time of 4 days (HPLC monitoring) the solution was evaporated to

- 20 dryness, the residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of water and applied to an 175 g Amberlite XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1L water, then the crude product was eluted with 500 mL of methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a
- 25 100g Dowex Cl⁻ (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL of water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.67. The fraction containing the final product was evaporated to dryness.
- The mass spectral value obtained indicated that HCN was lost from the 30 desired product. Further, ¹H NMR data suggested that some protons were being affected by the cobalt. Thus, this reaction was conducted with NaCN (Example 4) to drive the equilibrium towards retention of Co-CN. N-hydroxy succinimide was also added to facilitate the coupling reaction.

e-acid adduct (6): Yield: 222 mg (40%). mp 172-174° C with 35 decomposition. ¹H NMR (MeOH-d₄, δ): 0.43 (m, 3H, C-20 CH₃); 1.06 (t, 4H, C-46 CH₃); 1.16 (m, 5H); 1.2 (m, 5H); 1.33 (m, 7H); 1.43 (s, 3H); 1.68 (m, 4H); 1.86 (m,

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5H); 2.2 (m, 8H); 2.3 (m, 6H); 2.4 (m, 10H); 2.55 (m, 10H); 2.8 (m, 4H); 3.1 (m, 6H); 3.3 (m, 5H); 3.6 (m, 2H); 3.7 (m, 2H); 3.8 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.2 (m, 1H, R1); 6.5 (m, 1H, B4); 7.1 (m, 1H, B2); 7.2 (m, 1H, B7). MS (FAB⁺): m/e 1512. IR (KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360 (ϵ 21 877). *d-acid adduct* (7): yield: 225 mg (45%), mp 195-198° C with decomposition. ¹H NMR (MeOH-d₄, δ): 0.43 (m, 3H, C-20 CH₃); 1.09 (m, 7H); 1.14 (m, 6H); 1.2 (m, 10H); 1.27 (m, 10H); 1.33 (m, 6H); 1.5 (m, 3H); 1.77 (s, 3H); 2.2 (m, 8H); 2.26 (s, 2H); 2.5 (m, 10H); 2.7 (m, 5H); 3.0 (m, 2H); 3.1 (m, 2H); 3.2 (m, 3H); 3.5 (m, 2H); 3.6 (m, 1H); 3.8 (m, 1H); 3.9 (m, 1H); 4.0 (m, 1H); 4.1 (m, 1H); 4.2 (m, 1H); 4.4 (m, 1H); 4.6 (m, 1H); 6.0 (d 1H, C-10); 6.1 (m, 1H, R₁); 6.4 (m, 1H, B4); 7.0 (m, 1H, B2); 7.1 (m, 1H, B7); MS (FAB⁺): m/e 1512, IR (KBr): 3400, 3200, 2950, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ 360 (ϵ 22 680).

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EXAMPLE 4

COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH 1,12-DIAMINODODECANE: REACTION CONTAINING SODIUM CYANIDE

Cyanocobalamin monocarboxylic acid (2, 3, 4) (0.370 mmoL, 500 mg) and N-hydroxysuccinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of 20 DMF: H₂O (1:1) (18.4 mL) and 363 mg of NaCN was added. 1,12-Diaminododecane was dissolved in a mixture of DMF : H₂O (1:1) (18.4 mL) and the pH was adjusted to 6 with 1 N HCl. The diaminododecane solution was then added in one portion to the cyanocobalamin solution. EDC (285 mg) was added and the pH of the solution was 25 readjusted to 5.5. The reaction mixture was then stirred overnight in the dark at room temperature. In 5 intervals of 6-14 h, 170 mg of N-hydroxysuccinimide and 285 mg of EDC were added to the solution, readjusting the pH value 5.5 each time. After a total reaction time of 4 days (reaction followed by HPLC), the solution was evaporated to The residue was digested with 100 mL of acetone and the solvent was drvness. 30 decanted. The solid residue was dissolved in 50 mL of H₂O and applied to an 200 g Amberlite XAD-2 (60 x 4 cm) column. The column was eluted with 1 L water to remove undesired materials, then the desired product was eluted with 500 mL methanol. The solution was evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl⁻ (60 x 2.5 cm) column (acetate form, 200-400 mesh). The desired product was eluted from the column with 250 mL water, leaving 35 any non-reacted acid bound to the column. This was followed by elution with 0.04 mol/L sodium acetate buffer pH 4.7. The fractions containing the final product were evaporated to dryness.

b-isomer (8): yield 410 mg (82%), mp 172-174° C with decomposition.
¹H NMR (MeOH-d₄, δ) 0.43 (s, 3H, C-20 CH₃); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.25 (d, 6H, B10 & B11 CH₃); 2.35 (m, 5H); 2.56 (m, 5H); 2.8-3.0 (m, 8H); 3.15 (m, 4H); 3.3 (m, 2H); 3.4 (m, 2H); 3.6 (m, 1H); 3.68 (m, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (br s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d,1H, R₁); 6.5 (s,1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB⁺): m/e 1539 (M⁺ +1). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV

(MeOH): λ360 (ε15409).

e-isomer (9): yield: 430 mg (86%), mp 175-180° C with decomposition, ¹H NMR (MeOH-d₄, δ) 0.43 (s, 3H, C-20 CH₃); 1.17 (s, 4H, C-46 CH₃); 1.22 (d, 4H, Pr₃ CH₃); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 3H);

- 1.87 (s, 8H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH₃); 2.36 (m, 3H); 2.55 (d, 10H); 2.8 (s, 4H); 3.06 (t, 2H); 3.1 (m, 3H); 3.3 (s, 1H); 3.34 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB⁺): m/e 1539 (M⁺ +1).
 20 IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ360 (
 - ε16 720)

d-isomer (10): yield: 400 mg (80%), mp 174-178° C with decomposition, ¹H NMR (MeOH-d₄, δ) 0.43 (s, 3H, C-20 CH₃); 1.07 (m, 3H, C-46 CH₃); 1.2 (d, 4H, Pr₃ CH₃); 1.27 (m, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m, 2H); 1.6 (m, 4H); 1.86 (s, 4H); 2.25 (d, 6H, B10 & B11 CH₃); 2.5 (d, 10H); 2.8 (s, 3H); 2.9 (m, 6H); 3.15 (m, 3H); 3.2 (m, 4H); 3.4 (m, 3H); 3.6 (d, 1H); 3.75 (d, 1H); 3.96 (d, 1H); 4.08 (m, 2H); 4.19 (m, 1H); 4.3 (m, 2H); 4.65 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R₁); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); UV (MeOH): λ 360 (ϵ 17 665). MS (FAB⁺): m/e 1539 (M⁺ +1). IR (KBr): 3400, 3200, 2950,

³⁰ 2060, 1660, 1570, 1490, 1060 cm⁻¹.

EXAMPLE 5

COUPLING OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS WITH GAMMA-AMINOBUTYRIC ACID (GABA)

This example serves to demonstrate the coupling of a gammaaminobutyric acid (GABA) linker to a vitamin B_{12} molecule. This reaction scheme is represented in Figure 9.

Gamma-aminobutyric acid (GABA) tert-butyl ester (11) (1 mmol) and cyanocobalamin monocarboxylates (2, 3, 4) (0.1 mmol.) are mixed in 20 mL H₂O and sufficient 0.1 N HCl is added to adjust to pH to 6.0. N-ethyl-N¹-dimethylaminopropylcarbodiimide hydrochloride (EDC) (0.5 mmol) is added to the solution. The reaction mixture is stirred at room temperature for 24 hours and then the mixture is dried under vacuum. This reaction mixture is treated with TFA to remove the *tert*-butyl ester. A cyanocobalamin-GABA adduct (12) was purified. Reverse-phase HPLC chromatography is carried out as described above. A cyanocobalamin-GABA adduct (12) can be further activated with a carbodiimide and coupled to a moiety as described below.

Example 6 Cyanocobalamin Modified on Ribose: Succinate-diaminododecane Conjugate (13)

Cyanocobalamin-Ribose-Succinate (5) (0.370 mmoL, 538 mg) and Nhydroxylsuccinimide (1.48 mmoL, 170 mg) were dissolved in a mixture of DMF : H₂O 25 (1:1) (18.4 mL) and 363 mg of NaCN was added. This reaction scheme is represented in Figure 11. 1,12-Diaminododecane was taken in a mixture of DMF : H₂O (1:1) (18.4 mL), pH was adjusted to 6 with 1N HCl. The diaminododecane solution was then added in a portion to the cyanocobalamin solution. EDC (285 mg) was added, the pH of the solution was readjusted to 5.5 and the reaction mix. was stirred overnight in the 30 dark at room temperature. In 5 intervals of 6 to 14 h 170 mg of N-hydroxysuccinimide and 285 mg of EDC was added to the solution, readjusting the pH 5.5 each time. After a total reaction time of 4 days (HPLC monitored) the solution was evaporated to dryness, the residue was digested with 100 mL of acetone and the solvent was decanted. The solid residue was dissolved in 50 mL of H_2O and applied to an 200 g Amberlite 35 XAD-2 (60 x 4 cm) column. Contaminates were washed from the column with 1 L water and then the crude product was eluted with 500 mL methanol. The solution was

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evaporated to dryness, the residue was dissolved in 25 mL of water and was applied to a 100 g Dowex Cl⁻ (60 x 2.5 cm) column (acetate form, 200-400 mesh). The final product was eluted using 250 mL water, thereby leaving non-converted acid bound to the column, which was later eluted with 0.04 mol/L sodium acetate buffer pH 4.7. The

5 fraction containing the final product (13) was evaporated to dryness. Yield : 425 mg (70%), mp 185-187° C with decomposition.

¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.4 (m, 3H); 1.55 (m, 6H); 1.85 (m, 12H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.8 (m, 10H); 3.0 (t, 3H); 3.1 (t, 3H); 3.2 (s, 6H); 3.3 (m, 4H); 3.58 (m, 2H); 3.6 (d, 1H); 4.1 (d. 1H); 4.2 (m, 2H); 4.3 (m, 1H); 4.4 (d, 1H); 6.0 (s, 1H); 6.2 (d, 1H); 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB⁺): m/e 1638 (M⁺).

IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ360.

EXAMPLE 7

15 MODIFICATION OF CYANOCOBALAMIN MONOCARBOXYLIC ACIDS CONJUGATED WITH 1,12-DIAMINODODECANE: REACTION WITH SUCCINIC ANHYDRIDE

This example serves to demonstrate modification of an amino terminus linking moiety to a carboxylate terminus. Such a modification may be necessary for conjugating amino containing rerouting agents (*e.g.*, aminosugars) to cyanocobalamin derivatives containing a linker.

Cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.138 mmoL, 200 mg) was dissolved in 40 mL of dimethylsulfoxide (DMSO) containing 8 g (80 mmoL) of succinic anhydride and 6.4 mL of pyridine. After 14-16 h

- 25 at room temperature, the excess of succinic anhydride was destroyed by adding 500 mL of water and keeping the pH of the reaction mixture at 6 with 10% KOH. KCN was then added at a final concentration of 0.01 M and the pH of the solution was readjusted to 6 with 3 N HCl. After 1 h the cyanocobalamin components were desalted by phenol extraction. The residue was digested with 100 mL of acetone and the solvent was
- 30 decanted. It was dissolved in 40 mL of H_2O . 1N NaOH (2 mL) was added to it and the reaction was stirred at room temperature for 15-20 min. It was then neutralized with 1N HCl and the cyanocobalamin components (14, 15, 16) were desalted by phenol extraction. Yield: 80 mg (40%); mp 190-198° C with decomposition.

¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.17 (s, 4H, C-46
CH₃); 1.23 (d, 4H, Pr₃ CH₃); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.87 (s, 4H);
2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH₃); 2.35 (m, 3H); 2.4 (m, 5H); 2.55 (d,

10H); 2.7 (s, 5H); 2.8 (m, 2H); 3.1 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R_1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7). MS (FAB⁺): m/e 1639 (M⁺). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360 (ϵ 22 564).

Example 8

CYANOCOBALAMIN MODIFIED ON MONOCARBOXYLIC ACID: DIAMINODODECANE-BIOTIN CONJUGATES

This example serves to demonstrate coupling a vitamin B_{12} derivative and biotin. Biotin conjugates (17, 18, 19) were obtained by reaction of activated cyanocobalamin monocarboxylic acid diaminododecane (14), (15), and (16) with the NHS ester of biotin (Sigma Chemical Co.).

To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (14, 15, 16) (300 mg, 0.195 mmoL) in DMF (35 mL), was added triethylamine (0.027 mL, 0.195 mmoL). N-Hydroxysuccinimidobiotin (100 mg, 0.295 mmoL) was then added over a period of 10-15 min and evaporated to dryness. The solid residue was dissolved in 20 mL of water and applied to an 75 g of Dowex Cl⁻ (40 x 2 cm) (acetate form, 200-400 mesh) column. The product was eluted using 250 mL

- 20 x 2 cm) (acetate form, 200-400 mesh) column. The product was eluted using 250 mL of water. It was then evaporated to dryness, the residue was dissolved in a 10 mL of methanol water (7:3 v/v) and the solution was applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model)
- 25 UV-1) UV visible absorbance detector. The eluate was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

b-isomer (17): yield 159 mg (53%), mp 210-212° C with decomposition, ¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.18 (s, 4H); 1.3 (m, 13H); 1.39 (m, 13H); 1.45 (s, 5H); 1.6 (m, 4H); 1.72 (m, 2H); 1.9 (s, 6H); 2.2 (d, 8H, B10 & B11 CH₃); 2.6 (d, 12H); 2.7 (m, 3H); 2.8-3.0 (m, 8H); 3.1 (m, 3H); 3.2 (m, 2H); 3.4 (s, 1H); 3.6 (m, 2H); 3.68 (d, 1H); 3.75 (m, 1H); 3.9 (d, 1H); 4.07 (m, 1H); 4.12 (d, 1H); 4.2 (s, 1H); 4.3 (m, 1H); 4.47 (m, 1H); 4.7 (m, 1H); 6.0 (s, 1H, C-10); 6.2 (d,1H, R1); 6.5 (s,1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB⁺): m/e 1764 (M⁺). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV

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(MeOH): λ360 (ε23 746).

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Anal. Calcd. for $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 11H_2O$: C, 51.98; H, 7.59; N, 12.13. Found: C, 51.91; H, 7.81; N, 12.31.

- e-isomer (18): yield 174 mg (58%), mp 222-224° C with decomposition, ¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.17 (s, 4H, C-46
 5 CH₃); 1.22 (d, 4H, Pr₃ CH₃); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.6 (m, 4H); 1.72 (m, 2H); 1.87 (s, 4H); 2.17 (m, 3H); 2.25 (s, 6H, B10 & B11 CH₃); 2.36 (m, 3H); 2.55 (d, 10H); 2.64 (m, 2H); 2.8 (s, 4H); 2.97 (s, 4H); 3.1 (m, 3H); 3.3 (m, 1H); 3.4 (m, 1H); 3.58 (m, 1H); 3.65 (m, 1H); 3.75 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 2H); 4.48 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.0 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB⁺):
- m/e 1764 (M⁺). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360 (ε24 441).

Anal. Calcd. for $C_{85}H_{127}N_{17}O_{16}CoPS \cdot 9H_2O$ (13): C, 52.96; H, 7.53; N, 12.35. Found: C, 52.85; H, 7.55; N, 12.30.

- d-isomer (19): yield 165 mg (55%), mp 216-218° C with decomposition,
 ¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.16 (s, 3H, C-46 CH₃); 1.2 (d, 4H,
 Pr₃ CH₃); 1.28 (s, 15H); 1.35 (br s, 9H); 1.42 (s, 3H); 1.53 (m, 2H); 1.6 (m, 4H);
 1.72 (m, 2H); 1.86 (s, 6H); 2.16 (m, 3H); 2.02 (m, 4H); 2.25 (d, 6H, B10 & B11 CH₃); 2.5 (d, 10H); 2.7 (d, 1H); 2.8 (m, 5H); 3.1 (m, 6H); 3.2 (m, 3H); 3.4 (m, 1H);
- 3.57 (m, 1H); 3.6 (d, 1H); 3.7 (d, 1H); 3.9 (d, 1H); 4.0 (m, 1H); 4.11 (d, 1H); 4.17 (m, 1H); 4.3 (m, 2H); 4.4 (m, 2H); 4.6 (m, 1H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); MS (FAB⁺): m/e 1764 (M⁺); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ360 (ε29 824).
- 25 Anal. Calcd for C₈₅H₁₂₇N₁₇O₁₆CoPS•10H₂O: C, 52.46; H, 7.56; N, 12.24. Found: C, 52.27; H, 7.56; N, 12.34.

EXAMPLE 9

CYANOCOBALAMIN MODIFIED ON RIBOSE:

SUCCINATE-DIAMINODODECANE-BIOTIN CONJUGATE (20)

This example serves to demonstrate the conjugation of the ribose-linked diaminododecane adduct (13) with biotin to produce a cyanocobalamin biotin conjugate (20).

To a solution of (11) (300 mg, 0.183 mmoL) in DMF (35 mL), triethylamine (0.025 mL, 0.183 mmoL) was added. N-hydroxysuccinimidobiotin (100

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mg, 0.295 mmoL) was added over a period of 10-15 min. and then evaporated to dryness. The solid residue was dissolved in 20 mL of water and adjusted to pH 10 with 1N NaOH and applied to an 75 g Dowex Cl⁻ (40 x 2 cm) (200-400 mesh) column. The water fraction was discarded. The product was then eluted with 0.1N NH₄OAc and was desalted by phenol extraction. The residue was dissolved in a 10 mL of methanol - water (7:3 v/v) and the solution was applied to a reverse phase column (octadecyl) which was developed with the same solvent. The fractions containing the final product (20) (HPLC monitored) were evaporated to dryness. Yield 135 mg (45 %), mp 198-205 ° C with decomposition.

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¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.15 (s, 3H); 1.2 (d, 3H); 1.3 (s, 27H); 1.36 (m, 6H); 1.4 (m, 3H); 1.6 (m, 4H); 1.7 (m, 2H); 1.85 (m, 12H); 2.0 (d, 3H); 2.17 (m, 3H); 2.2 (d, 6H); 2.3 (d, 6H); 2.5 (d, 10H); 2.64 (m, 2H); 2.8 (m, 10H); 3.1 (m, 6H); 3.25 (m, 6H); 3.58 (m, 2H); 4.0 (m, 1H); 4.1 (m, 1H); 4.16 (m, 1H); 4.4 (m, 1H); 4.6 (s, 2H); 4.7 (m, 1H); 6.0 (s, 1H); 6.2 (d, 1H); 6.5 (s, 1H); 7.1 (s, 1H); 7.2 (s, 1H). MS (FAB⁺): m/e 1866 (M⁺). IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360 (ϵ 28 434).

EXAMPLE 10

SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (STREPTOMYCIN) RECEPTOR MODULATING AGENT

This example demonstrates coupling of streptomycin to a cyanocobalamin or cobalamin derivative. Streptomycin (21) is conjugated with cyanocobalamin monocarboxylate (2, 3, 4) or a diaminoalkylsuccinate derivative (14, 25 15, 16) through the use of an oxime coupled linking moiety (Figure 13). The linking group, ((3-aminopropyl)aminoxy)acetamide (22) is prepared by reaction of the Nhydroxysuccinimidyl ester of 1,1-dimethylethoxycarbonyl-aminooxyacetic acid (23) (1. Med. Chem. 36:1255-126, 1993) with an excess of diaminopropane in anhydrous THF. The linking group is separated from other compounds in the reaction mixture by 30 preparative chromatography. The linker (1 g) is then mixed with streptomycin (0.5g) in 10 mL of H_2O containing sodium acetate. The aqueous solution is warmed in a H_2O bath for 10 minutes to yield a crude streptomycin-linker adduct (25) which may be purified by chromatography on acid washed alumina (J. Am. Chem. Soc. 68:1460, 1946). The aqueous solution containing the streptomycin linker adduct (0.15 mmol) is mixed with an aqueous solution of activated cyanocobalamin (2, 3, 4) (01. mmol) and 35 EDC (0.5 mmol) is added. The reaction mixture is stirred at room temperature for 24

hours, then run over a reversed-phase preparative chromatography column for purification of the cyanocobalamin-streptomycin receptor modulating agent (26).

EXAMPLE 11

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SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (ACRIDINE) RECEPTOR MODULATING AGENT

This example demonstrates the coupling of the vitamin B_{12} to acridine. Chloroquine, quinacrine and acridine are lysosomotropic dyes which are relatively non-

- 10 toxic and concentrated as much as several hundred fold in lysosomes. Acridine derivatives may be covalently attached to a targeting moiety (such as cyanocobalamin) by the reaction scheme illustrated in Figure 14, method A, or similarly as described in method B. Both reaction schemes produce a cyanocobalamin-acridine conjugate.
- Method A: A diamine side chain is first synthesized in a manner analogous to the side chain of quinacrine. Specifically, mono-phthaloyl protected 1,4diaminobutane (27) is reacted with 6,9-dichloro-2-methoxyacridine (28) in phenol (J. Am. Chem. Soc. <u>66:1921-1924</u>, 1944). The reaction mixture is then poured into an excess of 2 N NaOH and extracted with ether. The ether extract is washed with 1 M NaHCO₃, then H₂O, and dried over MgSO₄. The crude product is recrystallized from
- 20 H₂O-alcohol. The phthaloyl protecting group is removed using anhydrous hydrazine in MeOH (<u>Bioconjugate Chem.</u> 2:435-440, 1991) to yield the aminoacridine, (29). Aminoacridine (29) is then conjugated with vitamin B₁₂ monocarboxylic acid (2, 3, 4) to yield a cyanocobalamin-acridine conjugate (30).

Method. B: Acridine derivative (31) (0.098 mmol, 0.045 g) was 25 dissolved in 0.5 mL of trifluoroacetic acid. This solution was stirred at room temperature for 0.5 h. TFA was removed by aspirator vacuum. The residue was dissolved in 5 mL of acetonitrile and was neutralized by few drops of triethylamine. Acetonitrile was then removed by aspirator vacuum. The residue was dissolved in DMSO (10 mL) and cyanocobalamin carboxylic acid-diaminododecane-succinyl

- 30 derivative (15, 16, 17) (0.098 mmol, 134 mg) was added followed by triethylamine (12 μL). The reaction mixture was then stirred at room temperature for 24 h. (HPLC monitored), and evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted yielding a cyanocobalamin-acridine conjugate (32). Yield: 120 mg (62%). mp 182-188 °C.
 - ¹H NMR (MeOH-d₄, δ): 0.43 (s, 3H, C-20 CH₃); 1.17 (s, 4H, C-46 CH₃); 1.23 (d, 4H, Pr₃ CH₃); 1.29 (s, 24H); 1.36 (br s, 6H); 1.4 (s, 6H); 1.65 (m,

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2H); 1.87 (s, 4H); 2.05 (m, 2H); 2.25 (s, 6H, B10 & B11 CH₃); 2.35 (m, 3H); 2.4 (d, 5H); 2.44 (d, 2H); 2.55 (d, 10H); 2.64 (s, 5H); 2.8-2.9 (m, 8H); 3.1-3.15 (m, 6H); 3.3 (s, 6H); 3.4 (m, 1H); 3.65 (m, 2H); 3.75 (d, 1H); 3.9 (d, 1H); 3.98 (s, 2H); 4.0 (m, 2H); 4.1 (d, 1H); 4.16 (m, 1H); 4.3 (m, 1H); 4.48 (m, 1H); 4.6 (m, 2H); 6.0 (s, 1H, C-10); 6.3 (d, 1H, R_1); 6.5 (s, 1H, B4); 7.1 (s, 1H, B2); 7.2 (s, 1H, B7); 7.3 (t, 1H); 7.4 (dd, 1H); 7.6 (dd, 1H); 7.7 (2dd, 2H); 7.8 (d, 1H); 7.9 (d, 1H); 8.4 (d, 1H).

EXAMPLE 12

SYNTHESIS OF A CYANOCOBALAMIN/LYSOSOMOTROPIC COMPOUND (AMIKACIN) RECEPTOR MODULATING AGENT

This example demonstrates conjugation of amikacin to a cyanocobalamin molecule to form a cyanocobalamin-amikacin conjugate. A reaction scheme for the conjugation is depicted in Figure 12. As noted above, chemical moieties that are retained subcellularly within lysosomes are termed lysosomotropic. Aminoglycosides are lysosomotropic compounds, and thus may be used as rerouting moieties of this invention. The primary long chain amine on the hydroxyaminobutyric acid side chain of the aminoglycoside, amikacin (*see* Figure 3), is preferentially reactive. Specifically, amikacin (33) (Sigma Chemical Co., St. Louis), is reacted with a

20 vitamin B_{12} monocarboxylate (2, 3, 4) in the presence of EDC. A cyanocobalaminamikacin conjugate (34) is then separated and purified by reverse-phase LC chromatography under conditions noted above.

EXAMPLE 13

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CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophthaloyl dichloride Cross-Linking

This example demonstrates the production of a cyanocobalamin dimer suitable for use as a cross-linking receptor modulating agent. Cross-linking of receptors in some receptor systems is sufficient to cause a rerouting of cell surface receptors to lysosomes for degradation, rather than their normal pathway of receptor recycling.

To a solution of cyanocobalamin monocarboxylic acid diaminododecane conjugate (8, 9, 10) (0.192 mmol, 0.300 g) in DMF (30 mL), was added triethylamine (18 μ L). Isophthaloyl dichloride (35) (0.096 mmol, 0.0195 g) was added over a period of 10-15 min. The reaction mixture was stirred at 55-60°C for 48 h (HPLC monitored) and evaporated to dryness. The solid residue was dissolved in 20 mL of methanol :

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 H_2O (7:3) and applied to a reverse phase C-18 column (500 mm x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1) UV visible absorbance detector; the elute was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

b-acid dimer (36): yield 96 mg (30%), mp 217-220° C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.18 (s, 8H); 1.3 (m, 36H); 1.37 (m, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (d, 12H); 2.05 (m, 10H); 2.2 (d, 16H, B10 & B11 CH₃); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-3.0 (m, 16H); 3.15 (m, 6H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.95 (d, 2H); 8.25 (s, 1H); MS (FAB⁺): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV: λ360 (ε42 380).

e-acid dimer (37): yield 121 mg (38%), mp 220-222° C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (s, 8H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH₃); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H); 3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (s, 2H); 4.66 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB⁺): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ360 (ε

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d-acid dimer (38): yield 96 mg (30%), mp 225-228° C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.16 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.85 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH₃); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 12H); 3.35 (m, 12H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.64 (m, 2H); 4.7 (s, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.54 (t, 1H); 7.93 (d, 2H); 8.25 (s, 1H); MS (FAB⁺): m/e 3208. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹ UV (MeOH): λ360 (ε 31 747).

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EXAMPLE 14

CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ETAC CROSS-LINKING

5 This example serves to illustrate synthesis of a bivalent receptor modulating agent using a heterotrifunctional cross-linker. The reaction scheme for this synthesis is depicted in Figure 15. The heterotrifunctional cross-linker is formed an ETAC reagent (Bioconjugate Chem. 1:36-50, 1990; Bioconjugate Chem. 1:51-59, 1990; J. Am. Chem. Soc. 101:3097-3110, 1979). Bivalency, in addition to enhancing affinity of binding, also imparts the ability to cross-link neighboring receptors and 10 trigger endocytosis. The bivalent "arms" of the agent may be lengthened with peptide or other linking molecules to enable simultaneous binding of both "arms". In the case of vitamin B_{12} this may be assessed by gel filtration. If the linkers allow simultaneous interaction, there will be 2 moles of TcII for every mole of ETAC dimer present in a 15 single peak of 80,000 m.w. (versus 40,000 m.w. of monomeric TcII). Simultaneous binding of 2 moles of TcII will then have the potential for bivalent binding to cell surface receptor. This can be tested by comparing the affinity of monomer and dimer binding to receptor. While the bivalent agent can be synthesized to include any rerouting moiety of this invention which enhances lysosomal targeting and retention, 20 the compound tyramine, useful for radio-labeling is disclosed for the purpose of illustration.

Referring to Figure 15, carboxy-ETAC (39) is prepared by the method of Liberatore et al. (Bioconjugate Chem. 1:1990). The carboxy-ETAC is converted to its acid chloride by reaction in thionyl chloride. Addition of amine (40) gives the amine-ETAC adduct (41). Reaction of amine-ETAC (1 mmol) in CH_3CN with 1 M aqueous cysteamine (10 mmol) is conducted by stirring at room temperature for 24 h. This

compound is reduced with NaCNBH₃ under acidic conditions. The crude amine-ETAC-cysteamine adduct (42) is purified by reverse-phase LC, using conditions noted above. A vitamin B_{12} monocarboxylate (2, 3, 4) is conjugated with tyramine-ETAC-

30 cysteamine compound by reaction with EDC in H_2O . The resultant vitamin B_{12} -ETAC-tyramine dimer (43) is purified by reverse phase LC, using conditions described above.

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EXAMPLE 15

CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE Conjugate Dimer: Isophthlate Cross-Linking with Biotin Moiety

This example illustrates the synthesis of a bivalent receptor modulating agent which is additionally coupled to a biotin moiety (44). Further modification can be obtained by coupling of this molecule with an avidin or streptavidin moiety.

Reaction Step A: Biotin (12.3 mmol, 3 g) was dissolved in warm (bath temperature 70°C) DMF (60 mL) under argon atmosphere. It was then cool to ambient temperature and DCC (13.5 mmol, 2.79 g) was added, followed by tetrafluorophenol (24.6 mmol, 4.08g). The reaction mixture was then cooled to 0°C and stirred for 0.5 h. It was then brought back to ambient temperature and stirred for another 4-5 h. The reaction mixture was filtered and the filtrate was evaporated to dryness. The precipitate was washed with acetonitrile (50 mL) and was filtered to yield 5 g (98%) of white solid (45)

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¹H NMR (DMSO, δ): 1.4 (m, 2H); 1.7 (m, 2H); 2.5 (t, 2H); 2.8 (t, 2H); 3.1 (m, 1H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.9 (m, 1H).

Reaction Step B: 6-Aminocaproic acid (46) (7.5 mmol, 0.99g) was dissolved in H₂O (75 mL). Triethylamine (0.5 mL) was added followed by a solution of TFP ester of Biotin (5 mmol, 1.96 g) in warm acetonitrile (300 mL). The reaction was stirred overnight at room temperature. It was then filtered, washed with H₂O (50 mL) and dried on high vacuum. Yield: 0.870 g (47%). The filtrate was evaporated to dryness. The residue was taken in boiling acetonitrile (75 mL) and was filtered, washed with hot acetonitrile. The solid (47) was dried on high vacuum to give 0.6 g, for a total yield of 1.47 g (79%).

¹H NMR (DMSO-d₆, δ): 1.2-1.6 (m, 8H); 2.0 (t, 2H); 2.2 (t, 2H); 2.5 (dd, 2H); 2.8 (dd, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.7 (m, 1H).

Reaction Step C: Biotin conjugated caproic acid (47) (2.68 mmol, 1 g) 30 was dissolved in DMSO (50 mL). Triethylamine (0.4 mL) was added followed by TFP acetate (4.02 mmol, 1.05 g). The reaction mixture was then stirred at room temperature for 15-20 min (HPLC monitored). It was then evaporated to dryness. The residue was washed with ether and dichloromethane and dried on high vacuum (48). Yield: 1.24 g (89%).

¹H NMR (DMSO-d₆, δ): 1.2 (t, 2H); 1.3-1.7 (m, 5H); 2.1 (t, 2H); 2.6 (dd, 2H); 2.8 (m, 4H); 3.1 (m, 4H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.0 (m, 1H).

Reaction Step D: TFP ester of Biotin-caproic acid (48) (0.67 mmol, 0.35
g) was dissolved in DMF (40 mL). Triethylamine (80 μL) was added followed by aminoisophthalic acid (1.005 mmol, 0.182 g). The reaction was stirred at room temp. for 8 days (HPLC monitored) while adding triethylamine (80 μL) every after 24 h. It was then evaporated to dryness. The residue was then applied to a column of silica and was initially eluted with acetonitrile (450 mL). It was then eluted with methanol, 20
mL of fractions were collected, at the fraction 2 the solvent was changed to DMF. The fractions containing the final product (HPLC monitored) were evaporated to dryness (49) to yield 230 mg (65%).

¹H NMR (DMSO-d₆, δ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.1 (m, 1H); 4.3 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 1H); 8.46 (s, 2H).

Reaction Step E: Biotin-caproic acid-isophthalic acid (49) (0.376 mmol, 200 mg) was dissolved in DMF (30 mL) under argon atmosphere. TFP acetate (0.94 mmol, 241 mg) was added by double ended needle, followed by triethylamine (112 μ L). The reaction was then stirred at room temp. for 24 h (HPLC monitored). It was then evaporated to dryness. The light brownish oil was taken in ether, solid was filtered

20 then evaporated to dryness. The light brownish oil was taken in ether, solid was filtered and was washed with ether (50 mL) (50) to yield 250 mg (86%).

¹H NMR (DMSO-d₆, δ): 1.3-1.7 (m, 8H); 2.1 (t, 2H); 2.3 (t, 2H); 2.6 (m, 2H); 2.8 (m, 2H); 3.1 (m, 3H); 4.2 (m, 1H); 4.4 (m, 1H); 6.4 (d, 2H); 7.8 (t, 1H); 8.1 (m, 2H); 8.57 (s, 1H); 8.9 (s, 2H).

- Reaction Step F: In a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (8, 9, 10) (0.130 mmol, 0.2 g) in a mixture of DMF : H₂O (3:1) (40 mL) triethylamine (12 μL) was added. DiTFP ester of biotin-caproic acid-isophthalic acid (50) (0.065 mmol, 0.050 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 3 h (HPLC monitored). It was then evaporated to dryness. The residue was digested with 100 mL of acetone and the
 - solvent was decanted to yield 230 mg (62%) (51). mp 195-198°C with decomposition.

EXAMPLE 16

CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ISOPHTHALATE CROSS-LINKING WITH PARA-IODOBENZOYL MOIETY

This is an example of a bivalent receptor modulating agent which is also conjugated to a *para*-iodobenzoyl moiety.

Reaction Step A: A 5g (28 mmol) quantity of 5-aminoisophthalic acid (52) was dissolved in 30 mL 1N NaOH and placed in an ice/water bath. To the cold solution was added 7.5g (28 mmol) 4-iodobenzoyl chloride (52) in 60 mL of acetonitrile, dropwise. The thick white precipitate was then stirred for 10 minutes before removing the ice/water bath and allowing the mixture to stir an additional 10 minutes. The reaction mixture was adjusted to pH 4 with acetic acid and the resulting solid collected. This solid was then dissolved in 30 mL 1N NaOH and washed with ether (2 x 50 mL). The resulting aqueous solution was filtered and acidified to pH 4

- 15 with acetic acid. The white precipitate was the collected and dried on high vacuum to yield .6 g (99+%) of (54). mp >300 °C; IR (Nujol, cm⁻¹) 3570(m), 3300(m), 1645, 1580(m), 1525(m), 760(m); ¹H NMR (DMSO-d₆, δ), 8.51 (2H, d, J = 0.7 Hz), 8.27 (1H, s), 7.94 (2H, d, J = 4.2 Hz), 7.84 (2H, d, J = 4.1 Hz).
- Reaction_Step_B: A 5g (12.2 mmol) quantity of 5-[Niodobenzoyl)amino]-isophthalic acid (54) was suspended in 100 mL anhydrous ethyl acetate. To this was added 12.5g (73 mmol) 2,3,5,6-tetrafluorophenol (55) followed by 5g (24.2 mmol) 1,3-dicyclohexylcarbodiimide. This suspension was then stirred at room temperature for 3 days before filtering off the solid and washing with an additional 20 mL of ethyl acetate. The filtrate was then evaporated to dryness. The
 resulting sticky white solid was suspended in 50 mL acetonitrile and stirred for 30 minutes. Filtering yielded 3.75g of white solid (43%) (56). mp 250-251 °C; IR (Nujol, cm-1) 3220(m), 3060(m), 1750, 1655, 1520, 1485, 1330, 1195, 1110, 1085, 955(m), 945(m); ¹H NMR (DMSO-d₆, δ), 9.06 (2H, d, J = 0.7 Hz), 8.57 (1H, t, J = 1.4 Hz), 8.04 (2H, m), 7.94 (2H, d, J = 4.2 Hz), 7.81 (2H, d, J = 4.3 Hz).
- 30 <u>Reaction Step C</u>: To a solution of cyanocobalamin carboxylic acid diaminododecane conjugate (56) (0.192 mmol, 0.3 g) in a mixture of DMF : H₂O (3:1) (40 mL) was added triethylamine (0.018 mL). To this solution, DiTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57)(0.096 mmol, 0.068 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 4-5 h
- 35 (HPLC monitored). It was then evaporated to dryness. The solid residue was dissolved in 20 mL of methanol : H₂O (8:2) and applied to a reverse phase C-18 column (500 mm

x 25 mm, Alltech, 150 psi) which was developed with the same solvent. RAININ Rabbit-plus peristaltic pumping system was used with a DYNAMAX (model UV-1). UV visible absorbance detector; the elute was collected with an automatic fraction collector. The fractions containing the final product (HPLC monitored) were evaporated to dryness.

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b-acid dimer (58): yield: 280 mg (76%), mp 230-233 °C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.63 (m, 8H); 1.87 (s, 12H); 2.05 (m, 10H); 2.27 (d, 16H, B10 & B11 CH₃); 2.35 (m, 8H); 2.6 (d, 18H); 2.8 (s, 8H); 3.0 (s, 10H); 3.15 (m, 8H); 3.3 (d, 8H); 3.37 (m, 14H); 3.6 (m, 2H); 3.68 (d, 2H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.64 (m, 4H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R₁); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.9 (d, 2H); 7.99 (d, 1H); 8.28 (s, 2H); MS (FAB⁺): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹. UV (MeOH): λ 360.6 (ϵ 48 871)

e-acid dimer (59): yield: 258 mg (70%), mp 285-290 °C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.17 (s, 8H); 1.22 (d, 13H); 1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.86 (s, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH₃); 2.36 (m, 8H); 2.55 (d, 20H); 2.83 (m, 8H);
3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.75 (m, 2H); 3.9 (d, 2H); 4.06 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.57 (s, 2H); 4.65 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB⁺): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ360 (ε41 481).

d-acid dimer (60): yield 265 mg (72%), mp 253-255 °C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 1.16 (s, 8H); 1.22 (d, 12H); 1.33 (m, 36H); 1.43 (s, 10H); 1.53 (m, 6H); 1.6 (m, 8H); 1.86 (s, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH₃); 2.33 (m, 8H); 2.54 (d, 20H); 2.8 (s, 4H); 3.0 (s, 4H); 3.28 (s, 10H); 3.35 (m, 8H); 3.58 (m, 2H); 3.65 (m, 2H); 3.73 (m, 2H); 3.88 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.57 (s, 2H); 4.63 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R₁); 6.5 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.7 (d, 2H); 7.89 (d, 2H); 7.98 (s, 1H); 8.26 (s, 2H); MS (FAB⁺): m/e 3453. IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹; UV (MeOH): λ 360 (ϵ 48 245).

EXAMPLE 17

CYANOCOBALAMIN MONOCARBOXYLIC ACID DIAMINODODECANE CONJUGATE DIMER: ISOPHTAHATE CROSS-LINKING WITH PARA-(TRI-BUTYLSTANNYL)BENZOYL MOIETY

This is an example of a bivalent receptor modulating agent coupled to a *para*-tri-N-butyl stannyl moiety.

Reaction Step A: A 2 g (2.8 mmol) quantity of the diTFP ester of 5-[N-(p-Iodobenzoyl)amino]-Isophthalic acid (57) (as prepared above) was dissolved in 20 mL dry toluene under argon. To this was added 2.8 mL (5.5 mmol) of *bis*(tributyltin) (61) followed by 40 mg (0.04 mmol) tetrakis(triphenylphosphine)palladium (62). The mixture was stirred at room temperature for 15 minutes before heating to 80°C for 2 h. Since the mixture only darkened slightly over the 2 h period, an additional 40 mg of palladium catalyst was added. Within 1 hour the mixture had turned black. After cooling to room temperature, the toluene was removed by rotary evaporation. The resulting black oil (containing solids), was then taken into 20 mL ethyl acetate and

dried onto 10 g silica gel (via rotoevaporation). This solid was then added to a 250 g (40 x 3.5 cm) silica gel column and eluted initially with hexanes containing 5% acetic acid. After 600 mL, the solvent was changed to 90/10 hexanes/ethyl acetate (containing 5% acetic acid). Fractions 14-16 were combined and dried to yield 1.5 g (62%) of

white solid (62). mp 120-123 °C;

¹H NMR (CDCl₃, δ), 8.87 (2H, d, J = 0.7 Hz), 8.76 (1H, t, J = 1.6 Hz), 8.38 (1H, s), 7.84 (2H, d, J = 4.1 Hz), 7.62 (2H, d, J = 4.1 Hz), 7.07 (2H, m), 1.55 (6H, m), 1.36 (15H,m), 1.11 (6H,m), 0.89 (9H, t, J = 7.3 Hz); MS (FAB⁺) M+H patterns calculated 870 (75.1%), 871 (52.9%), 872 (100%), 873 (41.0%), 874 (21.4%), found

870 (82.1%), 871 (55.1%), 872 (100%), 873 (42.1%), 874 (25.2%).

IR (Nujol, cm⁻¹) 1750, 1645, 1520, 1480(m), 1185, 1100, 1085.

<u>Reaction Step B</u>: In a solution of cyanocobalamin carboxylic acid - diaminododecane conjugate (8, 9, 10) (0.065 mmol, 0.1 g) in a mixture of DMF : H₂O
30 (3:1) (40 mL) triethylamine (0.006 mL) was added. DiTFP ester of 5-[N-(p-tributyltin benzoyl) amino]-Isophthalic acid (63)(0.0325 mmol, 0.028 g) was added over a period of 5-10 min. The reaction mixture was stirred at room temperature for 12-14 h (HPLC monitored). It was then evaporated to dryness. The residue was digested with 100 mL of acetone and the solvent was decanted.

b-acid dimer (64): yield: 90 mg (70%), mp 208-212 °C with decomposition, ¹H NMR (D_2O , δ) 0.43 (s, 6H, C-20 CH₃); 0.88 (t, 9H); 1.15 (t,

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12H); 1.19 (s, 8H); 1.3 (m, 36H); 1.37 (d, 12H); 1.46 (s, 10H); 1.6 (m, 8H); 1.9 (s, 12H); 2.05 (m, 10H); 2.28 (d, 16H, B10 & B11 CH₃); 2.35 (m, 8H); 2.6 (d, 18H); 2.8-2.9 (m, 16H); 3.15 (m, 8H); 3.3 (s, 8H); 3.37 (m, 14H); 3.6 (m, 4H); 3.76 (m, 2H); 3.9 (d, 2H); 4.07 (m, 2H); 4.12 (m, 2H); 4.18 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.68 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R₁); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (d, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.99 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹.

e-acid dimer (65): yield: 93 mg (72%), mp >300 °C, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 0.88 (t, 9H); 1.12 (t, 12H); 1.17 (d, 8H); 1.22 (d, 13H);
1.29 (s, 45H); 1.36 (d, 22H); 1.44 (s, 10H); 1.6 (m, 8H); 1.87 (d, 12H); 2.04 (m, 10H); 2.25 (s, 12H, B10 & B11 CH₃); 2.36 (m, 8H); 2.55 (d, 20H); 2.8 (m, 8H);
3.15 (m, 8H); 3.29 (s, 10H); 3.36 (m, 14H); 3.6 (m, 4H); 3.73 (m, 2H); 3.9 (d, 2H);
4.07 (m, 2H); 4.12 (m, 2H); 4.16 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.66 (m, 2H);
6.0 (s, 2H, 2C-10); 6.26 (d,2H, 2R₁); 6.6 (s,2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200,

2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹.

d-acid dimer (66): yield: 100 mg (78%), mp 202-205 °C with decomposition, ¹H NMR (D₂O, δ) 0.43 (s, 6H, C-20 CH₃); 0.88 (t, 9H); 1.12 (t, 12H); 1.15 (s, 8H); 1.29 (m, 36H); 1.35 (d, 12H); 1.44 (s, 10H); 1.53 (m, 6H); 1.6

20 (m, 8H); 1.86 (d, 12H); 2.03 (m, 8H); 2.25 (d, 12H, B10 & B11 CH₃); 2.33 (m, 8H);
2.54 (d, 20H); 2.8 (m, 8H); 3.13 (m, 8H); 3.28 (s, 10H); 3.35 (m, 10H); 3.6 (m, 4H);
3.73 (m, 2H); 3.9 (d, 2H); 4.05 (m, 2H); 4.1 (m, 2H); 4.17 (m, 2H); 4.3 (m, 2H); 4.5 (m, 2H); 4.6 (m, 2H); 6.0 (s, 2H, 2C-10); 6.26 (d, 2H, 2R1); 6.6 (s, 2H, 2B4); 7.1 (s, 2H, 2B2); 7.25 (s, 2H, 2B7); 7.6 (d, 2H); 7.9 (d, 2H); 7.98 (br s, 1H); 8.28 (br s, 2H); IR (KBr): 3400, 3200, 2950, 2060, 1660, 1570, 1490, 1060 cm⁻¹.

EXAMPLE 18

EVALUATION OF THE ABILITY OF VITAMIN B_{12} Receptor Modulating Agents to Bind to TCH

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This example serves to demonstrate a competitive binding assay suitable for evaluating the ability of vitamin B_{12} receptor modulating agents to bind TcII. Binding of the vitamin B_{12} derivatives to recombinant transcobalamin II was conducted in picomolar concentrations and the percent bound ascertained.

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In this competitive binding assay, various B_{12} derivatives, including vitamin B_{12} receptor modulating agents, were evaluated for their ability to bind to TcII

relative to radiolabeled B_{12} . Varying concentrations of each derivative were incubated with immobilized TcII in the presence of a constant amount of radiolabeled B_{12} . After incubation for 20 minutes at 37° C, the free radiolabeled B_{12} was separated from the TcII bound tracer by removal of the supernatant. The radioactivity of the supernatant

- 5 solution was then measured to determine the amount of free radiolabeled B₁₂ present at the end of each competition. By measuring the amount of free radiolabeled B₁₂ for each competition, the ability of each derivative to inhibit radiolabeled B₁₂ binding was determined. A binding curve was then be constructed for each B₁₂ derivative where the amount of radiolabeled B₁₂ bound (% radiolabel bound) was correlated with the concentration of derivative present in the original mixture. The more effective the
- derivative is in binding to TcII, the lower the percent bound radiolabeled vitamin B_{12} .

Figure 22 illustrates the binding curve of Transcobalamin II to the cyanocobalamin monocarboxylic acids produced in Example 1. AD = Cyanocobalamin (1); AL = Cyanocobalamin *b*-monocarboxylic acid (2); AM = Cyanocobalamin *e*-monocarboxylic acid (3); and AN= Cyanocobalamin *d*-monocarboxylic acid (4). The *d*-carboxylate (3) appears to bind nearly as well as cyanocobalamin. Two samples of

vitamin B_{12} were used, one as a known standard and the other as an unknown.

Figure 23 illustrates the binding curve of Transcobalamin II to the cyanocobalamin diaminododecane adducts (8, 9, 10) and succinate adduct (13) 20 produced in Example 3 and 4 above. AH = Cyanocobalamin b-monocarboxylic acid conj Diaminododecane (7); AI = Cyanocobalamin e-monocarboxylic acid conj Diaminododecane (8); AJ = Cyanocobalamin d-monocarboxylic acid conj Diaminododecane (9); AK = Cobalamin e-monocarboxylic acid conj Diaminododecane, and AE = Cyanocobalamin Ribose-Succinate (11). The *b*-conjugate (17) has the least 25 binding, whereas the e-conjugate (18) has intermediate binding, and the d-conjugate (19) binds quite well. The biotin conjugate attached to the ribose site (13) appears to bind very well, as does its precursor amino derivative (12). The additional compound studied is of unknown structure, but may have the amine group coordinated with the cobalt atom as the mass spectrum indicates that it has the appropriate mass for (7) minus HCN. It is clear that this unknown compound is not likely to bind TcII. 30

Figure 24 illustrates the binding curve of Transcobalamin II to a series of vitamin B_{12} dimers. Dimer X = b-acid dimer with Isophthaloyl dichloride (36); Dimer Y = e-acid dimer with Isophthaloyl dichloride (37); dimer Z = d-acid dimer with Isophthaloyl dichloride (38); Dimer A= b-acid Dimer with p-Iodo benzoyl Isophthaloyl

dichloride (58); Dimer B = e-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride
 (59); and Dimer C = d-acid Dimer with p-Iodo benzoyl Isophthaloyl dichloride (60).

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Figure 25 illustrates the binding curve of Transcobalamin II to a series of biotinylated vitamin B_{12} molecules. AA = Cyanocobalamin b-monocarboxylic acid conj Diaminododecane and Biotin (17); AB = Cyanocobalamin e-monocarboxylic acid conj Diaminododecane and Biotin (18); AC = Cyanocobalamin d-monocarboxylic acid conj Diaminododecane and Biotin (19); AF = Cyanocobalamin Ribose-Succinate conj Diaminododecane (13); and AG = Cyanocobalamin Ribose-Succinate conj. Diaminododecane and Biotin (20).

EXAMPLE 19 ASSAY FOR BIOLOGICAL ACTIVITY OF VITAMIN B12 **RECEPTOR MODULATING AGENTS**

This example serves to demonstrate the use of an assay to ascertain biological activity of the receptor modulating agents of the present invention.

target cell line such as K562, each sample is treated with vitamin B_{12} or a vitamin B_{12} receptor modulating agent at 4°C for 24 hours. Following this period, cells of each sample are separated from a vitamin B_{12} or a vitamin B_{12} receptor modulating agent by centrifugation. The cells are then washed and resuspended in phosphate buffered saline

Receptor down-modulation involves a comparison of treatment of a

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containing 2 mM EDTA for a brief period of time not to exceed 15 minutes at 4°C. Then, the cells are washed again and returned to a tissue culture medium at 4°C. The tissue culture medium containing TcII and a radiolabeled TcII/B₁₂ complex. The time course of TcII/B₁₂ binding to the cell receptor is determined by measuring the percent radiolabel bound to the cell at 0, 15, 30, 60, 120, and 240 minutes. Those samples 25 exposed to the vitamin B_{12} receptor modulating agents of the present invention show significantly reduced TcII/B₁₂ complex binding compared to cells cultured in vitamin B12. Trypsin treated cells reveal any nonspecific binding or uptake of the labeled vitamin B_{12} on or within the cell.

EXAMPLE 20

METHOD FOR ASSESSING BIOLOGICAL ACTIVITY **OF A RECEPTOR MODULATING AGENT**

This example serves to demonstrate a method suitable for assessing the 35 biological activity of a receptor modulating agent of the present invention.

0.2x10⁶ cells/ml K562 cells were cultured in RPMI medium modified by

addition of 10 μ M MeTHF, 2.7 nM vitamin B₁₂ and 1% human serum. No folate was added. 10 μ M *d*-diamimododecane adduct (7) was added and cultured over 9 days at 37°C. 10 μ M vitamin B₁₂ cultured under identical conditions as (7) was utilized as a control. The cultures were then independently assessed for proliferation and cell death by Trypan blue exclusion. The results are described in Table 10, below, in terms of the percent cell death.

Table 10

	Control	d-diaminododecane adduct (7)
Proliferation	98%	9%
Cell Death	8%	85 %

The receptor modulating agent, in this case *d*-diaminododecane adduct (7), clearly demonstrates the marked biological activity of the receptor modulating agent.

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Example 21 Synthesis Of An Anti-Inflammatory Receptor Modulating Agent

The synthetic peptide f-met-leu-phe is equivalent to a bacterial cell wall constituent (Biochem. Soc. Trans. 19:1127-9, 1991; Agents Actions Suppl. 35:3-8, 1991; Agents Actions Suppl. 35:11-6, 1991; J Immunol. 146:975-80, 1991). This peptide is recognized by receptors on PMN which can respond by chemotaxis to sites of local inflammation along a gradient of the peptide. During inflammation, receptor expression can be dramatically increased by mobilizing receptor from intracellular pools. Non-specific methods used to abrogate this up-regulation also inhibit chemotaxis and presumably the anti-inflammatory reaction associated with local inflammation (J. Immunol. 145:2633-8, 1990). The synthesis of a receptor modulation agent useful as an inhibitor of early inflammation is described below.

The peptide f-met-leu-phe-(gly)₃-leu-O-Me is synthesized using tea-bag 30 methodology or solid phase peptide synthesis procedures described by Merrifield et al. (Biochemistry 21:5020-31, 1982) and Houghten (Proc. Nat'l. Acad. Sci. (USA) 82:5131-35, 1985), or using a commercially available automated synthesizer, such as the Applied Biosystems 430 A peptide synthesizer. The peptide-amide is deprotected

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in 45% trifluoroacetic acid-51% methylene chloride-2% ethanedithiol-2% anisole for 20 minutes, and cleaved from the 4-methylbenzhydrylamine resin using the Tam-Merrifield low-high HF procedure (J. P. Tam et al., J. Am. Chem. Soc. 105:6442-55, 1983). The peptide is then extracted from the resin using 0.1 M ammonium acetate

- 5 buffer, pH 8, and is lyophilized. The crude peptide is purified using reverse phase HPLC on a Vydac C-4 analytical column (The Separations Group, Hesperia, Calif.), and a linear gradient of 0.5-1.0%/min. from 100% acetonitrile + 0.1%v/v trifluoroacetate to 100% acetonitrile + 0.1% trifluoroacetate. The HPLC-purified peptide is analyzed by amino acid analysis (R. L. Heinriksen and S. C. Meredith, Anal.
- 10 Biochem. 160:65-74, 1984) after gas phase hydrolysis (N. M. Meltzer et al., Anal. Biochem. 160:356-61, 1987). The sequence of the purified peptide may be confirmed by Edman degradation on a commercially available sequencer (R. M. Hewick et al., J. Biol. Chem. 15:7990-8005, 1981). The peptide amide is converted to an O-methyl ester (*i.e.*, f-met-leu-phe-(gly)₃-leu-O-Me) by treatment with dimethylformamide (5g/60 mL
- 15 with 1.3 equivalents of NaHCO₃ in excess methyl iodide (4 equivalents). The mixture is stirred under argon gas at room temperature for 40 hours. If required, the peptide is extracted to dryness with 150 mL of ethyl acetate. The receptor for modulating agent is used to treat PMN, activated with GM-CSF (to increase expression of fMLP receptors). Loss of binding of biotinylated fMLP is compared on fMLP versus f-MLP receptor
- 20 modulating agent treated cells.

EXAMPLE 22

SYNTHESIS OF A FUSION PROTEIN RECEPTOR MODULATING AGENT

- An EGF receptor modulating agent containing a genetically engineered fusion protein is hereby described. Briefly, the C-terminus of a DNA sequence encoding EGF, or its receptor binding domain, is ligated by conventional procedures (e.g., using T₄DNA ligase) to a DNA sequence corresponding to a GGG spacer. The C-terminus of the EGF-GGG DNA sequence is then fused to the N-terminus of a DNA sequence encoding the conditional, membrane binding peptide KGEAALA(EALA)₄-EALEALAA. Alternately, peptide-spacer DNA sequences may be synthesized *in vitro* using standard oligonucleotide synthesis procedures (*see, e.g.*, U.S. Pat. Nos. 4,500,707 and 4, 668,777). The recombinant EGF peptide DNA sequence is cloned in an *E. coli* expression vector using conventional procedures. *E. coli* strain HB101 is transformed with the fused recombinant DNA sequence and cultured to produce the EGF peptide.
 - The fusion protein is purified form the transformed E. coli culture by standard methods,

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including anti-EGF affinity chromatography. The fusion protein may be eluted from the affinity matrix using standard techniques, such as high salt, chaotropic agents, or high or low pH. Loss of EGF receptor is measured by flow cytometry and mouse monoclonal antibody to EGF receptor.

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From the foregoing, it will be appreciated that, although specific embodiments of this invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except by the appended claims.

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Claims

1. A receptor modulating agent, comprising a vitamin B_{12} molecule coupled to a rerouting moiety.

2. The receptor modulating agent of claim 1 wherein said B_{12} molecule is coupled to said rerouting molety by a linker.

3. The receptor modulating agent of claim 2 wherein said linker is at least 4 atoms in length.

4. The receptor modulating agent of claim 3 wherein said linker is 6 to 20 atoms in length.

5. The receptor modulating agent of claim 4 wherein said linker is 12 atoms in length.

6. The receptor modulating agent of claim 2 wherein said linker includes at least one amino group.

7. The receptor modulating agent of claim 6 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

8. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

9. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of $-NH(CH_2)_xNH$ - wherein x = 2-20.

10. The receptor modulating agent of claim 6 wherein said linker is selected from the group consisting of $-NH(CH_2)_vCO$, wherein y = 3-12.

11. The receptor modulating agent of claim 2 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin B_{12} derivative selected from the group consisting of *b*-, *d*- and *e*-.

12. The receptor modulating agent of claim 11 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites.

13. The receptor modulating agent of claim 2 wherein said linker is coupled to a ribose coupling site on said vitamin B_{12} molecule.

14. The receptor modulating agent of claim 2 wherein said linker is a trifunctional linker.

15. The receptor modulating agent of claim 14 wherein a biotin molecule is coupled through a reactive site on said trifunctional linker.

16. The receptor modulating agent of claim 1 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors.

17. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

18. The receptor modulating agent of claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

19. The receptor modulating agent of claim 18 wherein said receptor modulating agent is a vitamin B_{12} dimer.

20. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

21. The receptor modulating agent as in claim 1 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

22. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

23. The receptor modulating agent as in claim 1 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

24. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

25. The receptor modulating agent as in claim 1 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

26. A vitamin B_{12} dimer comprising a first and a second vitamin B_{12} molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a-g*, coupling site h, and coupling site i.

27. The dimer of claim 26 wherein said first and second vitamin B_{12} molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin B_{12} molecule.

28. The dimer of claim 26 wherein at least one of said first and said second vitamin B_{12} molecules is a vitamin B_{12} derivative.

29. The dimer of claim 26 wherein said first and second B_{12} molecules are coupled through at least one linker.

30. The dimer of claim 29 wherein said linker is at least 4 atoms in length.

31. The dimer of claim 30 wherein said linker is about 10 to 55 atoms in length.

32. The dimer of claim 31 wherein said linker is 35 to 45 atoms in length.

33. The dimer of claim 29 wherein said linker includes at least one amino group.

34. The dimer of claim 33 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

35. The dimer of claim 33 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

36. The dimer of claim 33 wherein said linker is selected from the group consisting of $-NH(CH_2)_xNH$ - wherein x = 2-20.

37. The dimer of claim 33 wherein said linker is selected from the group consisting of $-NH(CH_2)_yCO$, wherein y = 3-12.

38. The dimer of claim 29 wherein said linker is a trifunctional linker.

39. A method for modulating a vitamin B_{12} receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a vitamin B_{12} receptor is modulated, said receptor modulating agent comprising a vitamin B_{12} molecule coupled to a rerouting moiety.

40. The method of claim 39 wherein said B_{12} molecule is coupled to said rerouting moiety by a linker.

41. The method of claim 40 wherein said linker is at least 4 atoms in length.

42. The method of claim 41 wherein said linker is 6 to 20 atoms in length.

43. The method of claim 42 wherein said linker is 12 atoms in length.

44. The method of claim 40 wherein said linker includes at least one amino group.

45. The method of claim 44 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

46. The method of claim 44 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

47. The method of claim 44 wherein said linker is selected from the group consisting of $-NH(CH_2)_xNH$ - wherein x = 2-20.

48. The method of claim 44 wherein said linker is selected from the group consisting of $-NH(CH_2)_vCO$, wherein y = 3-12.

49. The method of claim 40 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin B_{12} derivative selected from the group consisting of *b*-, *d*- and *e*-.

50. The method of claim 49 wherein said linker is coupled through a coupling site selected from the group consisting of d- and e- coupling sites.

51. The method of claim 40 wherein said linker is coupled to a ribose coupling site on said vitamin B_{12} molecule.

52. The method of claim 40 wherein said linker is a trifunctional linker.

53. The method of claim 39 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties membrane anchors. 54. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

55. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more receptors.

56. The method of claim 55 wherein said receptor modulating agent is a vitamin B_{12} dimer.

57. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a receptor in a cell membrane.

58. The method of claim 39 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining an agent/receptor complex in an endosome.

59. The method of claim 39 wherein said rerouting moiety is a lysosomotropic moiety selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

60. The method of claim 39 wherein said rerouting moiety is an intracellular polymerizing moiety selected from the group consisting of dipeptide esters and leucine zippers.

61. The method of claim 39 wherein said rerouting moiety is a peptide sorting sequence selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

62. The method of claim 52 wherein said rerouting moiety is a conditional membrane binding peptide selected from the group consisting of charged glutamate, aspartate, and histidine.

63. The method of claim 56 wherein said vitamin B_{12} dimer is comprised of a first and a second vitamin B_{12} molecule coupled through a coupling site independently selected from the group consisting of coupling sites *a*-*g*, coupling site h, and coupling site i.

64. The method of claim 63 wherein said first and second vitamin B_{12} molecules are coupled through a coupling site independently selected from the group consisting of *d*- and *e*- coupling sites on said first and said second vitamin B_{12} molecule.

65. The method of claim 63 wherein at least one of said first and said second vitamin B_{12} molecules is a vitamin B_{12} derivative.

66. The method of claim 65 wherein said first and second B_{12} molecules are coupled through at least one linker.

67. The method of claim 66 wherein said linker is at least 4 atoms in length.

68. The method of claim 67 wherein said linker is about 10 to 55 atoms in length.

69. The method of claim 68 wherein said linker is 35 to 45 atoms in length.

70. The dimer of claim 66 wherein said linker includes at least one amino group.

71. The dimer of claim 70 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

72. The dimer of claim 70 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkylaryls, and diaminoalkanes.

73. The dimer of claim 70 wherein said linker is selected from the group consisting of $-NH(CH_2)_xNH$ - wherein x = 2-20.

74. The dimer of claim 70 wherein said linker is selected from the group consisting of $-NH(CH_2)_yCO$ -, wherein y = 3-12.

75. The dimer of claim 66 wherein said linker is a trifunctional linker.

76. The method of claim 75 wherein a reactive site on said trifunctional linker is coupled to a biotin molecule.

77. The method of claim 39 wherein said vitamin B_{12} receptor modulation is sufficient to treat a neoplastic disorder.

78. The method of claim 77 wherein said neoplastic disorder is selected from the group consisting of leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, brain, colon, cervix, prostrate, Hodgkin's disease, and non-Hodgkin's lymphoma.

79. A method for regulating a biological response associated with a cell surface receptor, comprising administering an effective amount of a receptor modulating agent to a warm-blooded animal such that a biological response is regulated.

80. A vitamin B_{12} derivative comprising a vitamin B_{12} molecule coupled to a biotin molecule.

81. The vitamin B_{12} derivative of claim 80 wherein said vitamin B_{12} molecule is cyanocobalamin.

82. The vitamin B_{12} derivative of claim 80 wherein said vitamin B_{12} molecule is coupled to said biotin molecule by a linker.

83. The vitamin B_{12} derivative of claim 82 wherein said linker is at least 4 atoms in length.

84. The vitamin B_{12} derivative of claim 83 wherein said linker is 6 to 20 atoms in length.

85. The vitamin B_{12} derivative of claim 84 wherein said linker is 12 atoms in length.

86. The vitamin B_{12} derivative of claim 82 wherein said linker includes at least one amino group.

87. The vitamin B_{12} derivative of claim 86 wherein said linker additionally includes a group selected from the group consisting of sulfhydryls and carboxyls.

88. The vitamin B_{12} derivative of claim 86 wherein said linker is selected from the group consisting of a diaminoalkyls, diaminoalkylaryls, diaminoheteroalkyls, diaminoheteroalkylaryls, and diaminoalkanes.

89. The vitamin B_{12} derivative of claim 86 wherein said linker is selected from the group consisting of -NH(CH₂)_xNH- wherein x = 2-20.

90. The vitamin B_{12} derivative of claim 87 wherein said linker is selected from the group consisting of -NH(CH₂)_yCO-, wherein y = 3-12.

91. The vitamin B_{12} derivative of claim 82 wherein said linker is coupled to said rerouting moiety through a coupling site on said vitamin B_{12} derivative selected from the group consisting of *b*-, *d*- and *e*-.

92. The vitamin B_{12} derivative of claim 91 wherein said linker is coupled through a coupling site selected from the group consisting of *d*- and *e*- coupling sites on said vitamin B_{12} molecule.

93. The vitamin B_{12} derivative of claim 82 wherein said linker is coupled to a ribose coupling site on said vitamin B_{12} molecule.

94. The receptor modulating agent of claim 82 wherein said linker is a trifunctional linker.

95. The vitamin B_{12} derivative of claim 80 wherein said biotin is additionally coupled to a rerouting moiety.

96. The vitamin B_{12} derivative of claim 95 wherein said biotin is coupled to said rerouting moiety by a biotin binding protein.

97. The vitamin B_{12} derivative of claim 96 wherein said biotin binding protein is selected from the group consisting of avidin and streptavidin.

98. A complex comprising a vitamin B_{12} derivative according any one of claims 80 to 97 bound to a transcobalamin II.

99. A kit for determining the presence or amount of transcobalamin in a sample using a vitamin B_{12} derivative according to any one of claims 80 to 97.

100. A pharmaceutical composition, comprising a vitamin B_{12} derivative according to any one of claims 80 to 97 and a suitable pharmaceutical carrier or diluent.

101. A receptor modulating agent, comprising a targeting moiety coupled to a rerouting moiety.

102. The receptor modulating agent as in claim 101 wherein said rerouting moiety is selected from the group consisting of lysosomotropic moieties, intracellular polymerizing moieties, peptide sorting sequences, conditional membrane binding peptides and bi- or multi-valent receptor cross-linking moieties.

103. The receptor modulating agent as in claim 101 wherein said targeting moiety is selected from the group consisting of proteins, peptides, and nonproteinacious molecules.

104. The receptor modulating agent as in claim 101 wherein the receptor modulating agent affects a receptor trafficking pathway by redirecting an agent/receptor complex.

105. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by cross-linking one or more cell surface receptors.

106. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by anchoring a cell surface receptor in a cell membrane.

• • •

107. The receptor modulating agent as in claim 101 wherein said receptor modulating agent affects a receptor trafficking pathway by retaining a receptor in an endosome.

108. The receptor modulating agent as in claim 102 wherein said lysosomotropic moiety is selected from the group consisting of gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin ribostamycin butirosin, and streptomycin.

109. The receptor modulating agent as in claim 102 wherein said intracellular polymerizing moiety is selected from the group consisting of dipeptide esters and leucine zippers.

110. The receptor modulating agent as in claim 102 wherein said peptide sorting sequence is selected from the group consisting of endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides and clathrin-binding peptides.

111. The receptor modulating agent as in claim 102 wherein said conditional membrane binding peptide is selected from the group consisting of charged glutamate, aspartate, and histidine.

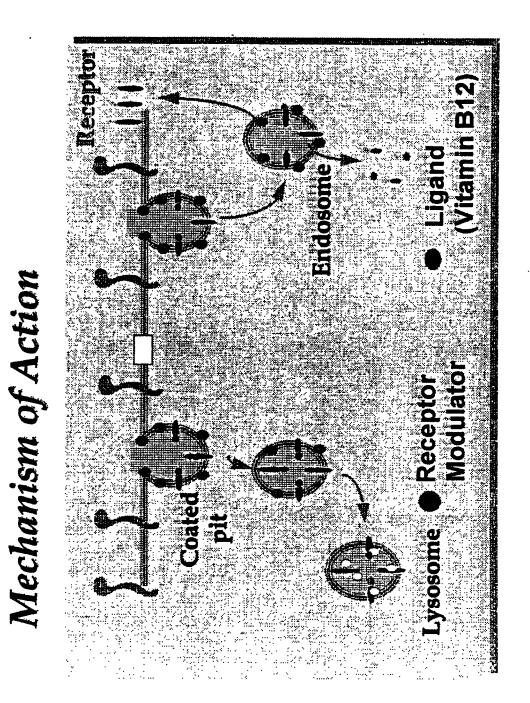
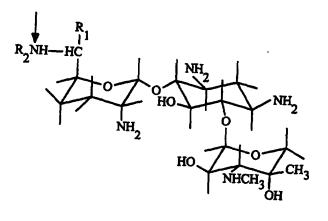
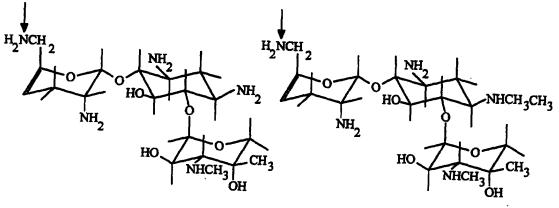


FIGURE .



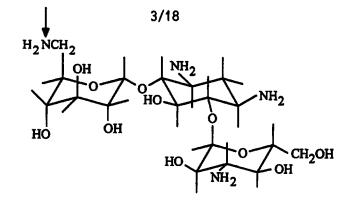
Gentamicin C_1 : $R_1 = R_2 = CH_3$ Gentamicin C_2 : $R_1 = CH_3$; $R_2 = H$ Gentamicin C_{1a} : $R_1 = R_2 = H$



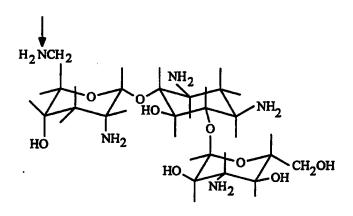
Sisomicin

Netilmicin

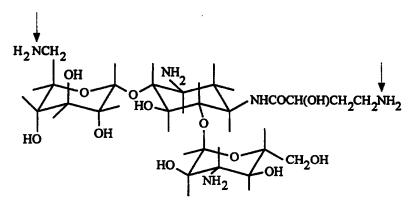
Fig. 2



Kanamycin A



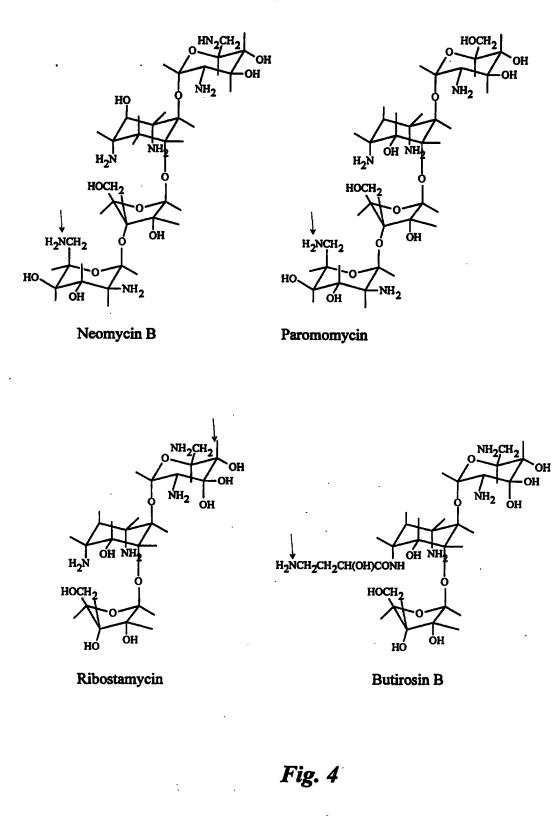
Tobramycin



Amikacin

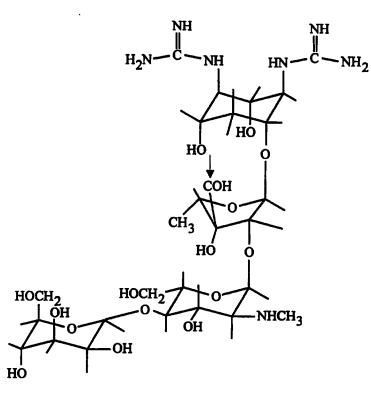
Fig. 3

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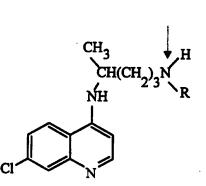
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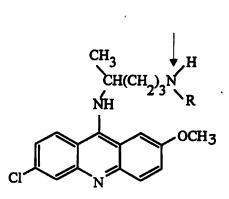
Streptomycin A



Streptomycin B

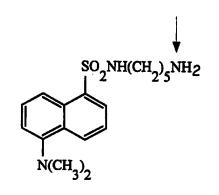
Fig. 5





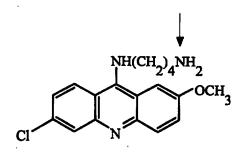
Chloroquine Derivatives

Quinacrine Derivatives



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Dansyl Cadaverine



Amino Acridine

Fig. 6

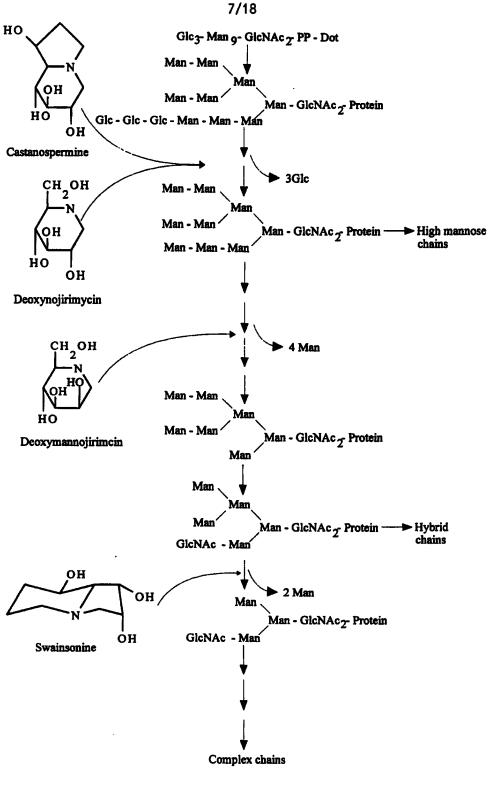
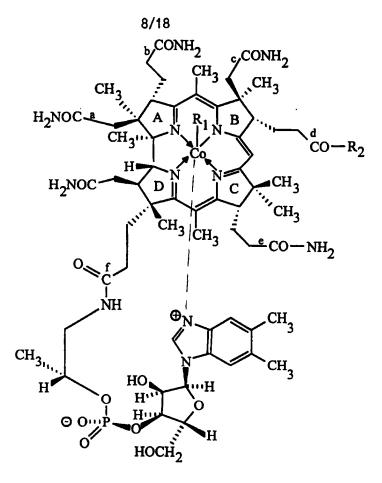
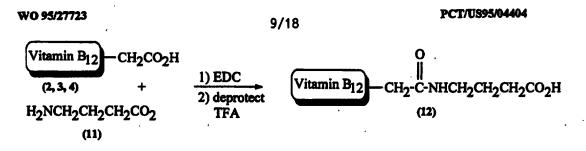


Fig. 7

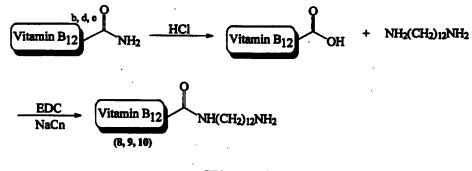


 $R_1 = CN ; R_2 = NH_2 (Cyanocobalamin)$ $R_1 = CN ; R_2 = OH (Cyanocobalamin -(3)-free acid)$ $R_1 = CN ; R_2 = HN-CH_2-CH_2-CO_2H (GABA adduct)$ $R_1 = CN ; R_2 = GABA - Peptide (where GABA = linker)$ $R_1 = CN ; R_2 = Peptide$ $R_1 = CN ; R_2 = HN-(linker)-tyramine^{-125}I$ $R_1 = CN ; R_2 = HN-(linker)-lysosomotropic agent$ $R_1 = CN ; R_2 = HN-(linker)-X-linking agent$ $R_1 = CN ; R_2 = HN-(linker)-biotin$ $R_1 = CN ; R_2 = NH-(linker)-biotin$ $R_1 = CN ; R_2 = NH-(CH_2)_{12}NH_2$

Fig. 8









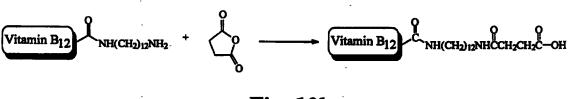


Fig. 10b

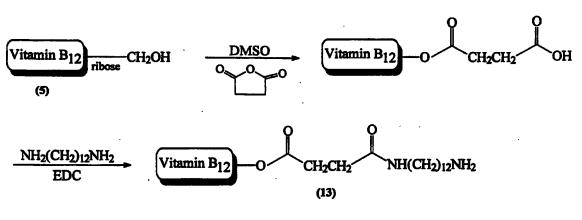
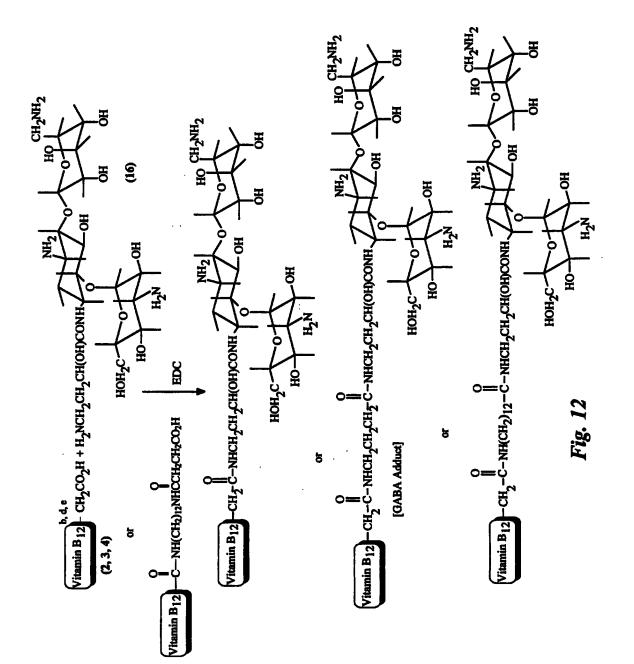


Fig. 11

WO 95/27723



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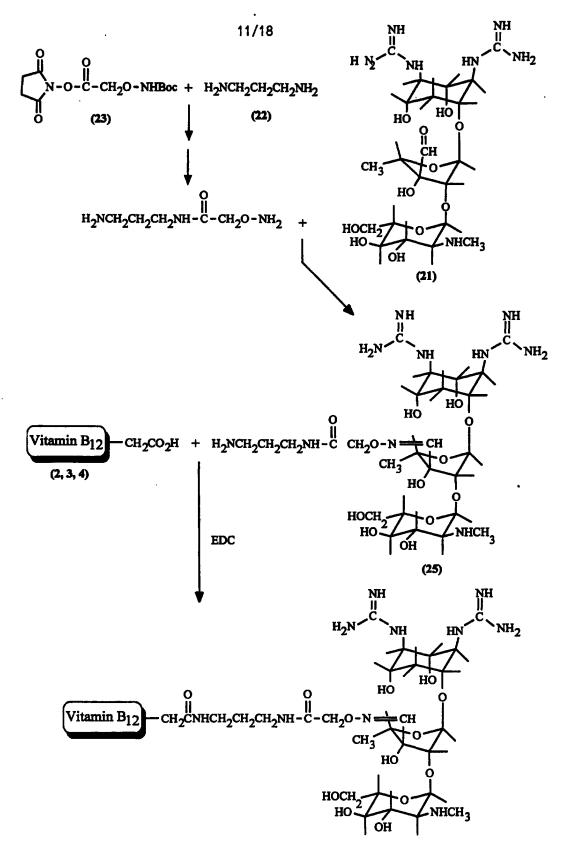


Fig. 13

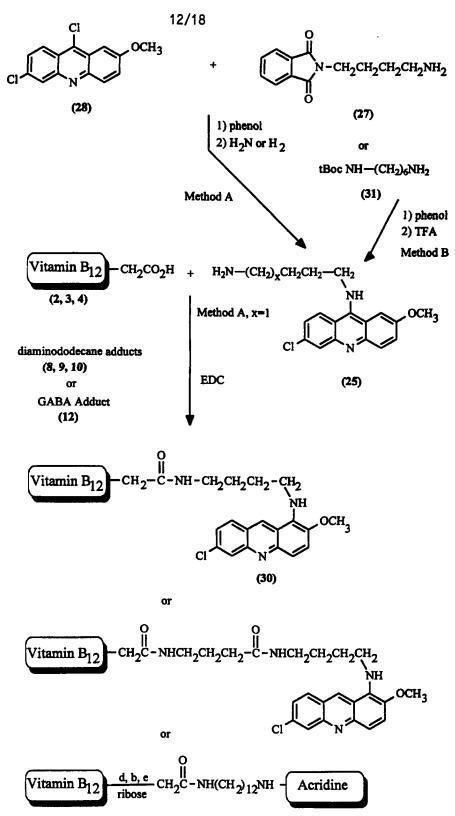


Fig. 14

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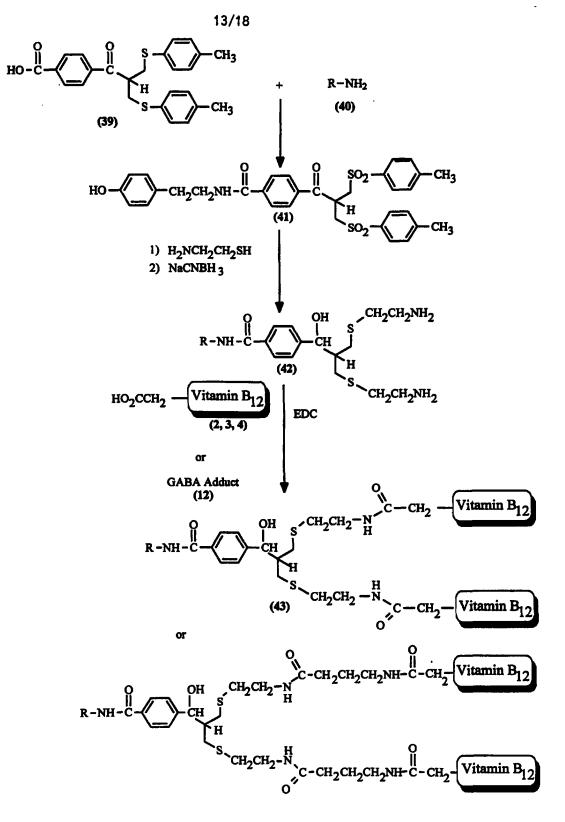


Fig. 15

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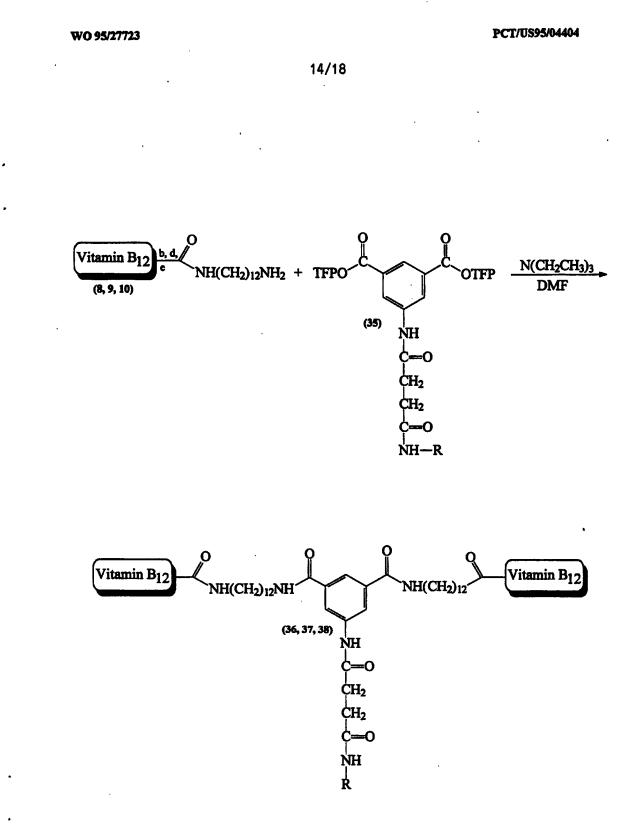
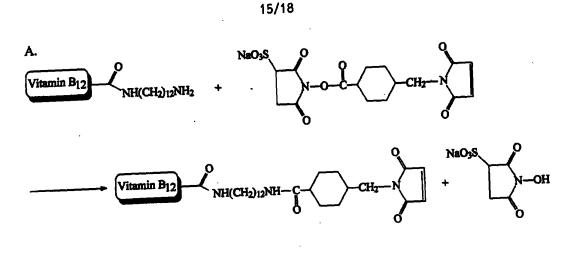
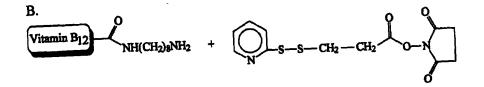
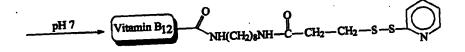


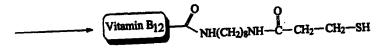
Fig. 16

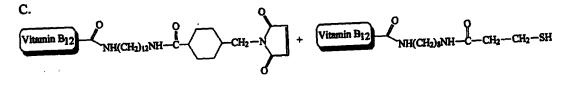












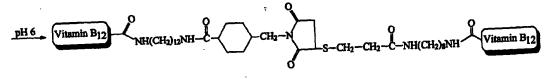
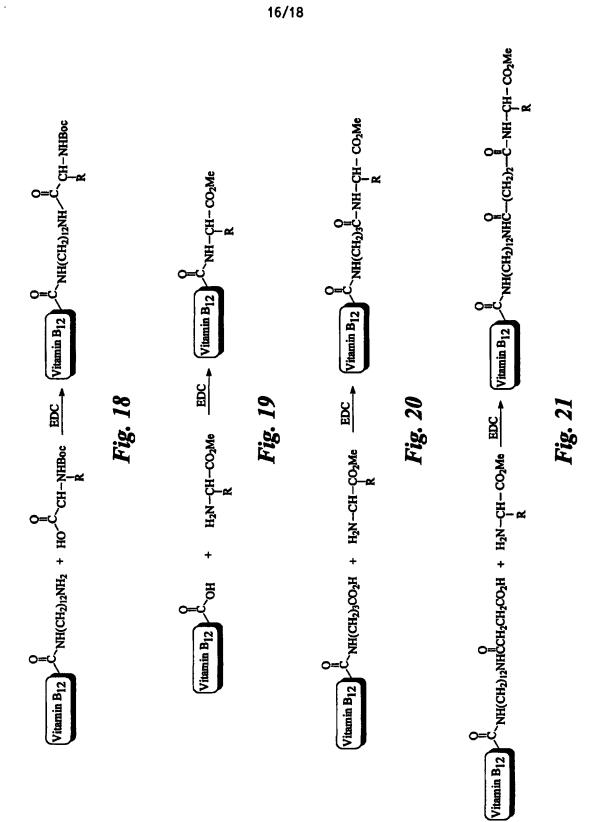
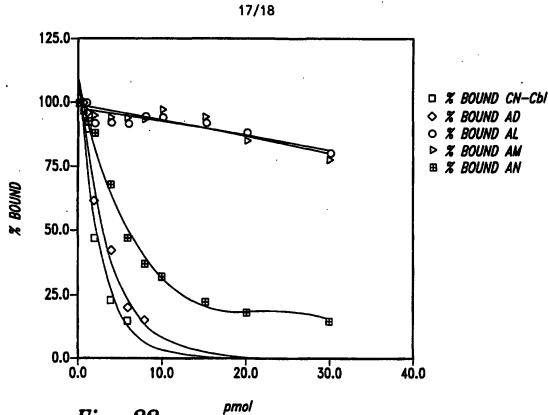
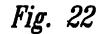
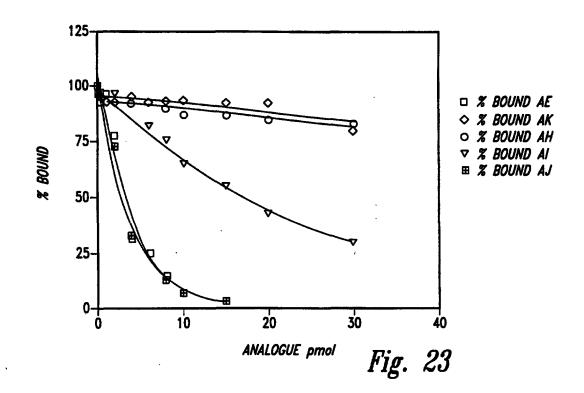


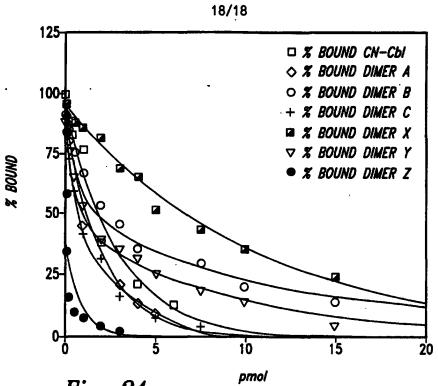
Fig. 17



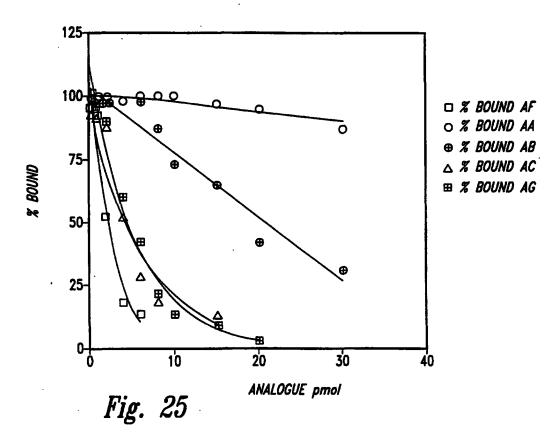












	INTERNATIONAL SEARCH	REPORT	Interr al Application No PCT/US 95/04404
A. CLAS	SIFICATION OF SUBJECT MATTER C07H23/00 G01N33/82 A61K31/		
IPC 6	C07H23/00 GU1N33/82 A61K31/	58	
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	to International Patent Classification (IPC) or to both national class S SEARCHED	fication and IPC	
Minimum	focumentation surched (classification system followed by classification	tion symbols)	
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Electronic	iata base consulted during the international search (name of data ba	re and, where practic	al, search terms used)
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C. DOCUN	AENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the r	elevant passages	Relevant to claim No.
٨	EP,A,O 425 680 (TEIJIN LTD) 8 Mag	/ 1991	1,26,39,
			79,80, 101
	see page 3 - page 5		
٨	EP,A,O 069 450 (TECHNICON INSTR)	12	1,26,39,
	January 1983		79,80,
	see example		101
A	US,A,4 167 556 (SELHUB JACOB ET / September 1979	L) 11	1,26,39, 79,80,
			101
	see the whole document		
		-	
Purt	her documents are listed in the continuation of box C.	X Patent fami	ly members are listed in annez.
* Special ca	tegories of cited documents :	"T" later document	published after the international filing date
	ent defining the general state of the art which is not level to be of particular relevance	cited to underst invention	and not in conflict with the application but and the principle or theory underlying the
"E" carijer filing	document but published on or after the international date	"X" document of pa	rticular relevance; the claimed invention dered novel or cannot be considered to
which	eat which may throw doubts on priority claim(s) or is cited to establish the publication date of another	involve an inve	ntive step when the document is taken alons rticular relevance; the claimed invention
"O" docum	a or other special reason (as specified) ant referring to an oral disclosure, use, athibition or	cannot be consi document is co	dered to involve an inventive step when the mbined with one or more other such dogu-
	ent published prior to the international filing date but	in the art.	nbination being obvious to a person skilled ber of the same patent family
	actual completion of the international search		of the international search report
8	August 1995	18.0	R 95
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	Buropean Patent Office, P.B. 5818 Patentiaan 2 NL - 2220 HV Rijswijk		,
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fan: (+31-70) 340-3016	Moren	o, C

Form PCT/ISA/218 (second sheet) (July 1992)

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		·	national application No.						
INTERNATIONAL SEARCH REPORT				T/US 95/04404					
Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)									
This international search rep	oort has not been establis!	hed in respect of certain claims u	nder Article 1	7(2)(a) for the following reasons:					
Remark: Alt of treatmen carried out	I. X Claims Nos.: 39-69,77-79 because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although claims 39-69,77-79 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/ composition.								
an extent that no n	to parts of the internation reaningful international se	nal application that do not compl earch can be carried out, specifica	y with the pr lly:	escribed requirements to such					
3. Claims Nos.: because they are de	pendent claims and are no	ot drafted in accordance with the	second and ti	hird sentences of Rule 6.4(a).					
Box II Observations whe	re unity of invention is	lacking (Continuation of item	2 of first sh	leet)					
This International Searching	Authority found multiple	e inventions in this international a	pplication, a	: follows:					
1. As all required addi searchable claims.	itional search fees were tir	mely paid by the applicant, this in	ternational s	earch report covers all					
2. As all searchable cl of any additional fe		ithout effort justifying an addition	al fee, this A	uthority did not invite payment					
		ch fees were timely paid by the ap paid, specifically claims Nos.:	oplicant, this	International search report					
		ly paid by the applicant. Conseque the claims; it is covered by claims		ernational search report is	•				
Remark on Protest		The additional search fees	were accomp	panied by the applicant's protest.					
		No protest accompanied t	he payment o	f additional search fees.					

at a start and s	connation on patent family me	nbers		Application No 95/04404
Patent document cited in search report	Publication date		t family lber(s)	Publication date
EP-A-0425680	08-05-91	JP-A- WO-A- US-A-	2289597 9010014 5405839	29-11-90 07-09-90 11-04-95
EP-A-0069450	12-01-83	CA-A- JP-C- JP-A- US-A-	1180273 1848006 58000997 4465775	01-01-85 07-06-94 06-01-83 14-08-84
 US-A-4167556	11-09-79	US-A-	4273757	16-06-81

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Form PCT/ISA/210 (patent family annex) (July 1992)

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Electronic Patent Application Fee Transmittal							
Application Number:	11	776329					
Filing Date:	11.	-Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Cle	et Niyikiza					
Filer:	Jol	nn A. Cleveland/Lisa	a Capps				
Attorney Docket Number:	X1-	4173B					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Total in USD (\$)			180

Electronic Ac	Electronic Acknowledgement Receipt						
EFS ID:	5267473						
Application Number:	11776329						
International Application Number:							
Confirmation Number:	6568						
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Clet Niyikiza						
Customer Number:	25885						
Filer:	John A. Cleveland/Lisa Capps						
Filer Authorized By:	John A. Cleveland						
Attorney Docket Number:	X14173B						
Receipt Date:	04-MAY-2009						
Filing Date:	11-JUL-2007						
Time Stamp:	13:51:11						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$180				
RAM confirmation Number	8339				
Deposit Account	050840				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)					
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)					

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1		X14173BResponsetoOfficeActio	107974	yes	6
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_	Multip	art Description/PDF files in .	zip description		
-	Document Des	scription	Start	Eı	nd
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	Claims	2	:	3	
	Applicant Arguments/Remarks	Made in an Amendment	4	,	5
Warnings:			· · ·		
Information:					
2	Transmittal Letter	X14173BIDS.pdf	63433	no	2
2		XIII / SDIDS.par	42c011576465e495e84cf4c5df64867c6d18 50fc	110	2
Warnings:					
Information:					
3	Information Disclosure Statement (IDS)	X14173BForm1449.pdf	94780	no	2
	Filed (SB/08)		3d372e423f79d7a9748d724bad969515d7a 1e065		
Warnings:					
Information:					
This is not an US	SPTO supplied IDS fillable form				
4	Foreign Reference	X14173B_BA.pdf	4670342	no	102
			0a1d5705afaa4d5ec80d0b2a46103efa7f97 8318		102
Warnings:					
Information:					
5	NPL Documents	X14173B_CA.pdf	489414	no	5
5	Nr E Documents	X141735_CA.pdf	5067a69ab4fa754dbf578136f1ee3b9ce319 7b78	110	J
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6	NPL Documents	X14173B_CB.pdf	343042	n 0	4
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7	NPL Documents	X14173B_CC.pdf	263102	no	4	
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8	NPL Documents	X14173B_CD.pdf	412088	no	6	
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9	NPL Documents	X14173B_CE.pdf	986409	no	4	
			473d33dd66f29168002f99923352a7b2296 3e83b			
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10	NPL Documents	X14173B_CF.pdf	492089	no	7	
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Warnings:						
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11	NPL Documents	X14173B_CG.pdf	474729	no	5	
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12	NPL Documents	X14173B_CH.pdf	805699	no	7	
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15	NPL Documents	V14173D CV-J4	613239		6	
15	INFL DOCUMENTS	X14173B_CK.pdf	6b77230bd42df74c4255275da308fce5e6d d49ec	no	6	
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16	NPL Documents	X14173B_CL.pdf	741163	no	7
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17	NPL Documents	X14173B_CM.pdf	266022	no	4
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18	NPL Documents	X14173B_CN.pdf	292186	no	6
10	NFL Documents	X141735_CN.put	61f17904afd519c8fde11fe379f6a474756c4 e06	no	
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19	Fee Worksheet (PTO-875)	fee-info.pdf	30593	50	2
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Warnings:			·		•
Information:					
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characterized Post Card, as <u>New Applicat</u> If a new appli 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely sub U.S.C. 371 an national stag <u>New Internat</u> If a new internat	ledgement Receipt evidences receip d by the applicant, and including pag described in MPEP 503. tions Under 35 U.S.C. 111 ication is being filed and the applica ind MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application un bmission to enter the national stage d other applicable requirements a F e submission under 35 U.S.C. 371 wi ional Application Filed with the USP national application is being filed an nal filing date (see PCT Article 11 an	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicat orm PCT/DO/EO/903 indicat ill be issued in addition to th <u>PTO as a Receiving Office</u> and the international applicat	It serves as evidence components for a filir course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du tion includes the nece of the International	e of receipt : ng date (see shown on th the condition application e course.	similar to a 37 CFR nis ons of 35 n as a
national secu the applicatio	ternational Filing Date (Form PCT/R(irity, and the date shown on this Ack on.	0/105) will be issued in due c			oncerning

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 LLC Detent and

Under the Paperwork Reduction Act of 1995, no persons are required to respond PATENT APPLICATION FEE DETERMINATION RECORD							to a collection of information unle Application or Docket Number 11/776,329			ing Date	
Substitute for Form PTO-875							11/77	76,329	07/1	11/2007	To be Mailed
	AF	PPLICATION A	AS FILE (Column 1			SMALL		OR		HER THAN	
FOR NUMBER FILED NUMBER EXTRA							RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
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	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =			X \$ =	
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	MULTIPLE DEPEN		,								
^ If t	he difference in colu		,				TOTAL			TOTAL	
	APPI	(Column 1)	AMENC	ED – PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
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OME	Total (37 CFR 1.16(i))	* 23	Minus	** 20	= 3		X \$ =		OR	X \$52=	156
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AMI	Application Si	ze Fee (37 CFR 1	.16(s))								
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							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	156
		(Column 1)		(Column 2)	(Column 3)				_		
_		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X\$ =		OR	X \$ =	
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AN	FIRST PRESEN	ITATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
	he entry in column [,]					- '	TOTAL ADD'L FEE Legal I	nstrument Ex	or amin	TOTAL ADD'L FEE er:	
*** li	the "Highest Numbe the "Highest Numb	er Previously Paic	For" IN T	HIS SPACE is less	than 3, enter "3".		/BREN	DA MURPHY/			
	"Highest Number P	-		Independent) is th 16. The informatio	-			-		to filo (and b	y the LISPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the q

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568		
25885 ELI LILLY & (7590 09/08/2009 COMPANY		EXAMINER			
PATENT DIVI P.O. BOX 6288	SION		WEDDINGTON, KEVIN E			
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER		
			1614			
			NOTIFICATION DATE	DELIVERY MODE		
			09/08/2009	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Office Action Summary	Examiner	Art Unit
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the e	correspondence address
 A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period w Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). 	ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be the vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. mely filed n the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
1)⊠ Responsive to communication(s) filed on <u>04 M</u>	av 2009	
	action is non-final.	
3) Since this application is in condition for allowar		osecution as to the merits is
closed in accordance with the practice under E	• • •	
Disposition of Claims		
4)⊠ Claim(s) <u>40-52</u> is/are pending in the application	1	
4a) Of the above claim(s) is/are withdrav		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>40-52</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/or	r election requirement.	
Application Papers		
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) acce		Evaminar
Applicant may not request that any objection to the one of the Replacement drawing sheet(s) including the correction		
11) The oath or declaration is objected to by the Ex.		
		A CION ON IONNEE TO 2.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)-(d) or (f).
a) All b) Some * c) None of:		
1. Certified copies of the priority documents		
2. Certified copies of the priority documents		
3. Copies of the certified copies of the prior	•	ed in this National Stage
application from the International Bureau		
* See the attached detailed Office action for a list of	of the certified copies not receive	ed.
Attachment(s) 1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summary	(PTO-413)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D	oate
3) X Information Disclosure Statement(s) (PTO/SB/08)	5) 🛄 Notice of Informal F 6) 🛄 Other:	Patent Application
Paper No(s)/Mail Date <u>5-4-09</u> .	6) 🛄 Other:	

Claims 40-52 are presented for examination.

Applicants' amendment, response and information disclosure statement filed May

4, 2009 have been received and entered.

Accordingly, the rejection made under 35 USC 112, first paragraph (Written

Description) as set forth in the previous Office action dated February 18, 2009 at pages

2-4 as applied to claim 45 is hereby withdrawn because the applicants amended claim

45 to recite the preferred folic-binding protein agent.

Accordingly, the rejection made under 35 USC 112, second paragraph as set

forth in the previous Office action dated February 18, 2009 at page 4 as applied to

claims 40-52 is hereby withdrawn because the applicants amended claim 40 by the

insertion of -lowering agent--.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 40-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (5,344,932) of PTO-1449 in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor", Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al. (4,149,707).

Taylor teaches N-(pyrrolo(2,3-D)pyrimidin-3-ylacyl)-glutamic acid derivatives which includes LY 2315 (pemetrexe) and LY 231514-disodium (pemetrexed disodium) are effective an antineoplastic agents to inhibit the growth of tumors (see column 8, lines 57-63). Note particularly column 8, lines 64-68 states that other antineoplastic agents can be combined with LY 231514. Note particularly column 9, line 1 shows the various modes of administration such as parenteral routes (intramuscular) and oral.

The instant invention differs from the cited reference in that the cited reference does not teach the addition of a methylmalonic acid lowering agent. However, the

secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin B₁₂) is effective as having antitumor activity (see the abstract).

The instant invention differs from the cited references in that the cited references do not teach the addition of a folic-binding-protein agent. However, the tertiary reference, Worzalla et al., teaches the supplementation of folic acid with LY 231513 to enhance LY 231514 antitumor activity.

The instant invention differs from the cited references in that the cited references do not teach the addition of cisplatin. However, the quaternary reference, Cleare et al., teaches malonato platinum anti-tumor compounds such as cisplatin to treat malignant tumors (see the abstract).

Clearly, one skilled in the art would have assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary.

The instant invention differ from the cited references in that the cited references do not teach the applicants' preferred dosage range for the methylmalonic acid lowering agent. However, those skilled in the art would have been readily optimized effective dosages and concurrent administration dosage forms as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned

formulations is routinely made by those skilled in the art and is within the ability of tasks routinely performed by them without undue experimentation.

Claims 40-52 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614

Index of Claims				Application/Control No.					Applicant(s)/Patent Under Reexamination						
	ING			15	.	11776329					NIYIKIZA ET AL.				
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		52	✓		\checkmark										

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

	SEARCHED		
Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
5			

NOT A USPTO FORM			Atty. Docket No. X14173B		Serial No 11/776329		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			First Applicant Clet Niyikiza				
			Application Date July 11, 2007 US Nat'l Entry (if a				
<u>U.S. PA</u>	ГENT	DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where	T ⁶
		Country Code ³ -Number ⁴⁻ Kind Code5 (if known)	Publication Date MM-DD-YYYY	Document	Relevant Passages or Relevant Figures Appear	
/K.W./	BA	WO 95/27723	10-19-1995			
		NON PAT	TENT LITERAT	URE DOCUME	NTS	
Examiner	Cite				appropriate), title of the item	т6
Initials*	No. ¹		erial, symposium, catalog,		ne-issue number(s) publisher,	1
NZ NAL I	CA	POYDOCK M. Effect			survival of mice with	
/K.W./		implanted Ehrlich car				
8		12618-58,				
	CB	POYDOCK M, et al.				
8				hydroascorbic acid a	nd hydroxycobalamin.	
	Am J Clin Oncol 1985; 8: 2666-269.					
CC POYDOCK M, et al. Influence of Vitamins C and B12 on the Survival Rate of Mice Bearing Ascites Tumor. <i>Expl Cell Biol</i> 1982; 50:88-91.						
2000		Bearing Asciles Tumo	r. <i>Expl</i> Cell Blol 198	52; 50:88-91.		
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			Application DateGroup Art UnitJuly 11, 2007				
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Examiner Signature		Kevin Weddington/	Date Considered	08/30/2009			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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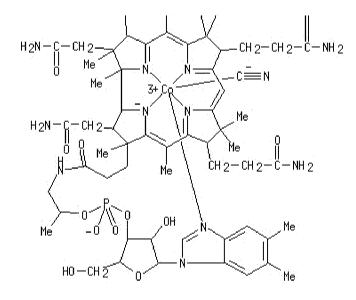
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E 4	1 VITAMIN B12 (2-(METHYLTHIO)HYPOXANTHINE ANALOG)/CN
E5	1 VITAMIN B12 (BENZOTRIAZOLE ANALOG)/CN
E6	1 VITAMIN B12 5-HYDROXYBENZIMIDAZOLE ANALOG/CN
E7	1 VITAMIN B12 ABC TRANSPORT ATP-BINDING PROTEIN (SALMONELLA EN TERICA TYPHI STRAIN CT18 GENE STY1768)/CN
E8	1 VITAMIN B12 ABC TRANSPORT ATP-BINDING PROTEIN (SALMONELLA EN TERICA TYPHI STRAIN TY2 GENE BTUD)/CN
E9	1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (PHOTO
E10	BACTERIUM PROFUNDUM STRAIN SS9 GENE SF1522)/CN 1 VITAMIN B12 ABC TRANSPORTER, ATP-BINDING PROTEIN BTUD (VIBRI
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	9 REGISTRY
	d STN: 16 Nov 1984
	n B12 (CA INDEX NAME)
OTHER NAMES:	
	zimidazole, 5,6-dimethyl-1-(3-O-phosphono- $lpha$ -D-ribofuranosyl)-,
	ter with cobinamide cyanide, inner salt
	nethylbenzimidazolyl cyanocobamide
	methylbenzimidazolyl-Co-cyanocobamide
CN Anacob CN Antiper	
CN Antiper CN Apikoba	
CN APIKODA CN B-Twelv	
CN B-Twelv	
CN Bedodel	
CN Bedoz	
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CN Berubi	
CN Berubio	
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CN Cobinamide, cyanide, dihydrogen phosphate (ester), inner salt, 3'-ester with 5,6-dimethyl-1- α -D-ribofuranosyl-1H-benzimidazole CN Cotel CN Covit Cromatonbic B12 CN CN Crystamin CN Crystamine Cyano-5,6-dimethylbenzimidazolylcobamide CN CN Cyano-B12 CN Cyanocobalamin Cyanocobalamine CN Cycolamin CN CN Cykobemin CN Cykobeminet CN Cyomin CN Cyredin CN Cytacon CN Cytamen CN Cytobion CN Depinar Dicopac Kit CN CN Dobetin CN Docemine CN Docibin Docigram CN ADDITIONAL NAMES NOT AVAILABLE IN THIS FORMAT - Use FCN, FIDE, or ALL for DISPLAY 8023-26-5, 8039-03-0, 11037-08-4, 24436-34-8 DR MF C63 H88 Co N14 O14 P CI CCS, COM LC STN Files: ADISINSIGHT, ADISNEWS, AGRICOLA, ANABSTR, AQUIRE, BEILSTEIN*, BIOSIS, BIOTECHNO, CA, CABA, CAPLUS, CASREACT, CBNB, CHEMCATS, CHEMINFORMRX, CHEMLIST, CIN, CAPILOS, CASREACT, CBNB, CHEMCATS, CHEMINFORMRX, CHEMLIST, CIN, CSCHEM, CSNB, DDFU, DRUGU, EMBASE, HSDB*, IFICDB, IFIPAT, IFIUDB, IMSCOSEARCH, IPA, MEDLINE, MRCK*, MSDS-OHS, PHAR, PIRA, PROMT, PS, RTECS*, SPECINFO, SYNTHLINE, TOXCENTER, USAN, USPAT2, USPATFULL, USPATOLD, VETU (*File contains numerically searchable property data) Other Sources: DSL**, EINECS**, TSCA**, WHO (**Enter CHEMLIST File for up-to-date regulatory information)

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- => s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or azi 150800 VITAMIN
 - 14280 B12
 - 11438 VITAMIN B12
 - (VITAMIN(W)B12)
 - 0 HYDROXYCOBOLAMIN
 - 0 CHLOROCOBOLAMIN
 - 0 AQUOCOBOLAMIN
 - 0 COBOLAMIN
 - 0 AZIDOCOBOLAMIN
- L3 11438 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)

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L4 20105 L2 OR L3

=> s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) 702915 CANCER 766313 ANTI 146280 NEOPLAST? 1149 ANTI-NEOPLAST? (ANTI(W)NEOPLAST?) 146280 NEOPLAST? 601058 CARCIN? 980216 TUMOR? L5 1707973 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) => s 14 and 15 773 L4 AND L5 L6 => s leukemia? 212559 LEUKEMIA? T.7 => s 16 and 17 66 L6 AND L7 L8 => d 1-66 L8 ANSWER 1 OF 66 MEDLINE on STN Full Text 2008123050 MEDLINE AN PubMed ID: 18280345 DN CD4+ CD56+ hematodermic/plasmacytoid dendritic cell tumor with response ТΤ to pralatrexate. AU Leitenberger Justin J; Berthelot Cindy N; Polder Kristel D; Pro Barbara; McLaughlin Peter; Jones Dan; Duvic Madeleine Department of Dermatology, The University of Texas MD Anderson Cancer CS Center, Houston, Texas 77030-4009, USA. NC CA16672 (United States NCI NIH HHS) K24-CA86815 (United States NCI NIH HHS) SO Journal of the American Academy of Dermatology, (2008 Mar) Vol. 58, No. 3, pp. 480-4. Journal code: 7907132. E-ISSN: 1097-6787. United States CY (CASE REPORTS) DT Journal; Article; (JOURNAL ARTICLE) (RESEARCH SUPPORT, N.I.H., EXTRAMURAL) (RESEARCH SUPPORT, NON-U.S. GOV'T) LΑ English FS Priority Journals 200803 ΕM Entered STN: 20 Feb 2008 ED Last Updated on STN: 15 Mar 2008 Entered Medline: 14 Mar 2008 ANSWER 2 OF 66 MEDLINE on STN T.8 Full Text AN 2007755529 MEDLINE PubMed ID: 18092842 DN Generalized pruritus: a prospective study concerning etiology. ΤI AU Polat Muhterem; Oztas Pinar; Ilhan Mustafa N; Yalcin Basak; Alli Nuran CS 1st Dermatology Department, Ankara Numune Education and Research Hospital, Ankara, Turkey.. drmuhterempolat@mynet.com American journal of clinical dermatology, (2008) Vol. 9, No. 1, pp. 39-44. SO Journal code: 100895290. ISSN: 1175-0561. СҮ New Zealand Journal; Article; (JOURNAL ARTICLE) DT LA English FS Priority Journals ΕM 200803 ED Entered STN: 21 Dec 2007 Last Updated on STN: 19 Mar 2008 Entered Medline: 18 Mar 2008 L8 ANSWER 3 OF 66 MEDLINE on STN Full Text AN 2003557044 MEDLINE PubMed ID: 14636871 DN

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ΤT
     Significance of elevated cobalamin (vitamin B12) levels in blood.
     Ermens A A M; Vlasveld L T; Lindemans J
AU
CS
     Clinical Laboratory, Amphia Hospital, lokatie Langendijk, Breda,
     Netherlands.. aermens@amphia.nl
     Clinical biochemistry, (2003 Nov) Vol. 36, No. 8, pp. 585-90. Ref: 42
Journal code: 0133660. ISSN: 0009-9120.
SO
     United States
СҮ
     Journal; Article; (JOURNAL ARTICLE)
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     General Review; (REVIEW)
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                         MEDLINE on STN
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     2003214619
AN
                     MEDLINE
DN
     PubMed ID: 12735212
     Erythropoietin and chronic lymphocytic leukemia.
ΤI
AU
     Mauro Francesca R; Gentile Massimo; Foa Robin
     Dipartimento di Biotecnologie Cellulari ed Ematologia, University La
CS
     Sapienza, Rome, Italy.
SO
     Reviews in clinical and experimental hematology, (2002) Vol. Suppl 1, pp.
     21-31. Ref: 58
     Journal code: 9815344. ISSN: 1127-0020.
СҮ
     Italy
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General Review; (REVIEW)
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     English
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     Priority Journals
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     Entered Medline: 11 Jul 2003
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Full Text
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AN
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DN
     PubMed ID: 12138901
     A case of acute myeloid leukemia with t(7;11) (p15;p15) mimicking myeloid
ТΤ
     crisis of chronic myelogenous leukemia.
AU
     Kawakami Keiki; Miyanishi Setsuko; Nishii Kazuhiho; Usui Eiji; Murata
     Tetsuya; Shinsato Isaku; Shiku Hiroshi
Division of Hematology, Suzuka General Hospital, Mie, Japan..
CS
     Kawakei@cocoa.ocn.ne.jp
     International journal of hematology, (2002 Jul) Vol. 76, No. 1, pp. 80-3.
SO
     Journal code: 9111627. ISSN: 0925-5710.
СҮ
     Ireland
     (CASE REPORTS)
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     Journal; Article; (JOURNAL ARTICLE)
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     200209
     Entered STN: 26 Jul 2002
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     Text
AN
     2002181127
                     MEDLINE
     PubMed ID: 11913109
DN
     [The significance of an elevated cobalamin concentration in the blood].
ΤI
     De betekenis van een te hoge cobalamineconcentratie in het bloed.
     Ermens A A M; Vlasveld L Th; van Marion-Kievit J A; Lensen C J P A;
AU
     Lindemans J
     Amphia Ziekenhuis, Klinisch-Chemisch en Hematologisch Laboratorium,
CS
     locatie Langendijk, Langendijk 75, 4819 EV Breda.
     Nederlands tijdschrift voor geneeskunde, (2002 Mar 9) Vol. 146, No. 10,
SO
     pp. 459-64.
     Journal code: 0400770. ISSN: 0028-2162.
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СҮ Netherlands (ENGLISH ABSTRACT) DT Journal; Article; (JOURNAL ARTICLE) LA Dutch Priority Journals FS 200207 ΕM Entered STN: 1 Apr 2002 ΕD Last Updated on STN: 12 Jul 2002 Entered Medline: 10 Jul 2002 ANSWER 7 OF 66 MEDLINE on STN L8 Full Text 2000188210 AN MEDLINE PubMed ID: 10723243 DN ΤI Rapidly progressive, refractory eosinophilia with a 250,000/microliter eosinophil count. AU Noguchi M; Okumura K; Kato A; Hirano T; Oshimi K CS Department of Hematology, Juntendo University School of Medicine. [Rinsho ketsueki] The Japanese journal of clinical hematology, (2000 Feb) SO Vol. 41, No. 2, pp. 135-9. Journal code: 2984782R. ISSN: 0485-1439. СҮ Japan (CASE REPORTS) DT (ENGLISH ABSTRACT) Journal; Article; (JOURNAL ARTICLE) LA Japanese Priority Journals FS ΕM 200005 ΕD Entered STN: 18 May 2000 Last Updated on STN: 18 May 2000 Entered Medline: 5 May 2000 ANSWER 8 OF 66 MEDLINE on STN Г8 Full Text AN 1998291239 MEDLINE DN PubMed ID: 9627769 ΤI Cobalamin metabolism in methionine-dependent human tumour and leukemia cell lines. AU Watkins D Department of Medicine, McGill University, Montreal, Que. CS SO Clinical and investigative medicine. Medecine clinique et experimentale, (1998 Jun) Vol. 21, No. 3, pp. 151-8. Journal code: 7804071. ISSN: 0147-958X. CY Canada Journal; Article; (JOURNAL ARTICLE) DT (RESEARCH SUPPORT, NON-U.S. GOV'T) LA English FS Priority Journals 199808 ΕM ΕD Entered STN: 3 Sep 1998 Last Updated on STN: 3 Sep 1998 Entered Medline: 27 Aug 1998 ANSWER 9 OF 66 MEDLINE on STN Г8 Full Text AN 1998287116 MEDLINE PubMed ID: 9625434 DN ΤI Synthesis, characterization and nitric oxide release profile of nitrosylcobalamin: a potential chemotherapeutic agent. AU Bauer J A Department of Chemistry, University of Akron, OH 44325-3601, USA. Anti-cancer drugs, (1998 Mar) Vol. 9, No. 3, pp. 239-44. CS SO Journal code: 9100823. ISSN: 0959-4973. СҮ ENGLAND: United Kingdom Journal; Article; (JOURNAL ARTICLE) DT LA English FS Priority Journals 199807 ΕM ED Entered STN: 11 Aug 1998 Last Updated on STN: 11 Aug 1998 Entered Medline: 29 Jul 1998

Г8 ANSWER 10 OF 66 MEDLINE on STN Full Text AN 1997450846 MEDLINE DN PubMed ID: 9307287 Cobalamin analogues modulate the growth of **leukemia** cells in vitro. ΤI McLean G R; Pathare P M; Wilbur D S; Morgan A C; Woodhouse C S; Schrader J AU W; Ziltener H J The Biomedical Research Centre, University of British Columbia, Vancouver, CS Canada. SO Cancer research, (1997 Sep 15) Vol. 57, No. 18, pp. 4015-22. Journal code: 2984705R. ISSN: 0008-5472. СҮ United States Journal; Article; (JOURNAL ARTICLE)
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English LA Abridged Index Medicus Journals; Priority Journals FS ΕM 197201 ED Entered STN: 10 Mar 1990 Last Updated on STN: 6 Feb 1998 Entered Medline: 25 Jan 1972 ANSWER 60 OF 66 MEDLINE on STN L8 Full Text AN 1971281351 MEDLINE PubMed ID: 5284678 DN ΤI Increased transcobalamin I in a leukemoid reaction. AU Hall C A; Wanko M SO The Journal of laboratory and clinical medicine, (1971 Aug) Vol. 78, No. 2, pp. 298-301. Journal code: 0375375. ISSN: 0022-2143. СҮ United States Journal; Article; (JOURNAL ARTICLE) DT LA English FS Abridged Index Medicus Journals; Priority Journals ЕΜ 197111 ΕD Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 3 Nov 1971 ANSWER 61 OF 66 Г8 MEDLINE on STN Full Text AN 1970113051 MEDLINE PubMed ID: 5740509 DN [The mechanism of the emergence of hematological remissions (on the ΤI problem of **tumor** regression)]. O mekhanizme vozniknoveniia gematologicheskikh remissii (K voprosu ob opukholevoi regressii). AU Alekseev G A Terapevticheskii arkhiv, (1968 Apr) Vol. 40, No. 4, pp. 16-25. Journal code: 2984818R. ISSN: 0040-3660. SO СҮ USSR DT Journal; Article; (JOURNAL ARTICLE) Russian LA FS Priority Journals ΕM 197004 ED Entered STN: 1 Jan 1990 Last Updated on STN: 1 Jan 1990 Entered Medline: 2 Apr 1970 Г8 ANSWER 62 OF 66 MEDLINE on STN Full Text AN 1969175359 MEDLINE PubMed ID: 5252793 DN Uptake of labelled vitamin B 12 and 4-iodophenylalanine in some tumors ТΤ of mice. AU Blomquist L; Flodh H; Ullberg S Experientia, (1969 Mar 15) Vol. 25, No. 3, pp. 294-6. Journal code: 0376547. ISSN: 0014-4754. SO СҮ Switzerland DT Journal; Article; (JOURNAL ARTICLE) English LA Priority Journals FS 196906 ΕM Entered STN: 1 Jan 1990 ED Last Updated on STN: 1 Jan 1990 Entered Medline: 19 Jun 1969 ANSWER 63 OF 66 MEDLINE on STN L8 Full Text 1969057044 AN MEDLINE PubMed ID: 5724527 DN Accumulation of labelled vitamin B12 in some transplanted tumours. ΤT АIJ Flodh H; Ullberg S International journal of cancer. Journal international du cancer, (1968 SO Sep 15) Vol. 3, No. 5, pp. 694-9. Journal code: 0042124. ISSN: 0020-7136.

СҮ Denmark Journal; Article; (JOURNAL ARTICLE) DT LA English FS Priority Journals 196901 ΕM Entered STN: 1 Jan 1990 ED Last Updated on STN: 1 Jan 1990 Entered Medline: 30 Jan 1969 L8 ANSWER 64 OF 66 MEDLINE on STN Full Text 1966098269 AN MEDLINE PubMed ID: 4159695 DN ΤI Excretion of formiminoglutamic acid in reticulosis and carcinoma. Noeypatimanond S; Watson-Williams E J; Israels M C AU Lancet, (1966 Feb 26) Vol. 1, No. 7435, pp. 454-6. SO Journal code: 2985213R. ISSN: 0140-6736. СҮ ENGLAND: United Kingdom Journal; Article; (JOURNAL ARTICLE) DT LA English Abridged Index Medicus Journals; Priority Journals FS ΕM 196605 Entered STN: 1 Jan 1990 ED Last Updated on STN: 6 Feb 1998 Entered Medline: 23 May 1966 L8 ANSWER 65 OF 66 MEDLINE on STN Full Text AN 1965135871 MEDLINE PubMed ID: 14331187 DN ADENOSYLMETHIONINE ELEVATION IN LEUKEMIC WHITE BLOOD CELLS. ТΤ AU BALDESSARINI R J SO Science (New York, N.Y.), (1965 Aug 6) Vol. 149, pp. 644-5. Journal code: 0404511. ISSN: 0036-8075. СҮ United States DT Journal; Article; (JOURNAL ARTICLE) LA English FS OLDMEDLINE; NONMEDLINE ΕM 199612 Entered STN: 16 Jul 1999 ΕD Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Dec 1996 L8 ANSWER 66 OF 66 MEDLINE on STN Full Text 1960104214 AN MEDLINE PubMed ID: 13783966 DN ΤI Co58B12 absorption, plasma transport and excretion in patients with myeloproliferative disorders, solid tumors and non-neoplastic diseases. AU WEINSTEIN I B; WATKIN D M The Journal of clinical investigation, (1960 Nov) Vol. 39, pp. 1667-74. Journal code: 7802877. ISSN: 0021-9738. SO Journal; Article; (JOURNAL ARTICLE) DT LA English FS OLDMEDLINE; NONMEDLINE OS NLMPMC293407 ΕM 199811 ED Entered STN: 16 Jul 1999 Last Updated on STN: 16 Jul 1999 Entered Medline: 1 Nov 1998 => d his (FILE 'HOME' ENTERED AT 23:24:07 ON 31 AUG 2009) FILE 'REGISTRY' ENTERED AT 23:24:20 ON 31 AUG 2009 E VITAMIN B12/CN 1 S E3 T.1 FILE 'MEDLINE' ENTERED AT 23:24:53 ON 31 AUG 2009 L2 16339 S L1

L3 11438 S (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCO L4 20105 S L2 OR L3 L5 1707973 S (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?) L6 773 S L4 AND L5 212559 S LEUKEMIA? L7 L8 66 S L6 AND L7 => d an ti au si ab kwic 18 47 'SI' IS NOT A VALID FORMAT FOR FILE 'MEDLINE' The following are valid formats: The default display format is BIB. ABS ---- AB ALL ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CM, CY, DT, LA, FS, NCT, OS, EM, ED, AB, ST, CT, NA, RN, CN, GEN BIB ---- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED CBIB --- AN, DN, TI, AU, AUGR, AUCL, CS, NC, SO, CY, DT, LA, FS, NCT, OS, EM, ED DALL --- ALL, delimited for post processing IABS --- ABS, with a text label IALL --- ALL, indented with text labels IBIB --- BIB, indented with text labels IND ---- ST, CT, NA, RN, CN, GEN TRIAL -- TI, ST, CT, NA, RN, CN, GEN (SAM, TRI, FREE) HIT ---- All fields containing hit terms HITIND - IND KWIC --- All hit terms plus 20 words on either side OCC ---- List of display fields containing hit terms Hit terms will be highlighted in all available fields except CM and PY. To display a particular field or fields, enter the display field codes. For a list of display field codes, enter 'HELP DFIELDS' at an arrow prompt (=>). Examples of formats include: 'BIB'; 'AB'; 'SO,ST'. You may specify the format fields in any order, and the information will be displayed in the same order as the format specification. The same formats (except for HIT, HITIND, KWIC, and OCC) may be used with the DISPLAY ACC command to display the record for a specified Accession Number. ENTER DISPLAY FORMAT (BIB):end => d an ti au so ab kwic 18 47 ANSWER 18 OF 66 MEDLINE on STN L8 Full Text AN 1992074415 MEDLINE Effect of combined ascorbic acid and B-12 on survival of mice with ΤI implanted Ehrlich carcinoma and L1210 leukemia. Poydock M E AU SO The American journal of clinical nutrition, (1991 Dec) Vol. 54, No. 6 Suppl, pp. 1261S-1265S. Journal code: 0376027. ISSN: 0002-9165. AB A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) inhibited mitoses of **tumors** in mice. The present study was performed to test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily for 10 d. All controls died by day 19, but greater than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when $B\!-\!12$ is combined with vitamin C, the cobalt nucleus of B-12 attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited tumor cells. ΤI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia.

AB A combination of dehydroascorbic acid and hydroxycobalamin (vitamin B-12) inhibited mitoses of tumors in mice. The present study was performed to test the effect of these vitamins on the survival of mice bearing carcinomas and leukemias. In each assay 40 mice received 0.1 mL ip tumor cells (x10(5)). After 24 h, 20 mice were injected with 0.2 mL (0.4 g/kg body wt) of the vitamins daily. . . than 50% of the treated mice were alive after 60 d. In vitro findings revealed inhibition of mitoses in L1210 leukemia cells, but not in normal L929 cells. In recent research with cobalt-ascorbate plus vitamin C, we demonstrated that when B-12. . . attaches to a carbon on vitamin C, forming cobalt ascorbate. Tests proved that cobalt ascorbate plus vitamin C also inhibited **tumor** cells. Check Tags: Female СТ Animals *Ascorbic Acid: PD, pharmacology *Carcinoma, Ehrlich Tumor: MO, mortality Carcinoma, Ehrlich Tumor: PA, pathology Dehydroascorbic Acid: PD, pharmacology Drug Combinations *Leukemia, Experimental: MO, mortality Mice Mice, Inbred ICR Neoplasm Transplantation Survival Analysis *Vitamin B 12: PD, pharmacology 490-83-5 (Dehydroascorbic Acid); 50-81-7 (Ascorbic Acid); 68-19-9 RN (Vitamin B 12) L8 ANSWER 47 OF 66 MEDLINE on STN Full Text 1977019051 AN MEDLINE ΤI B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. AU Huennekens F M; DiGirolamo P M; Fujii K; Jacobsen D W; Vitols K S Advances in enzyme regulation, (1976) Vol. 14, pp. 187-205. Ref: 51 SO Journal code: 0044263. ISSN: 0065-2571. ТΤ B12 -- dependent methionine synthetase as a potential target for cancer chemotherapy. . . S-Methyltransferase: IP, isolation & purification CT *5-Methyltetrahydrofolate-Homocysteine S-Methyltransferase: ME, metabolism Animals Cells, Cultured Cobamides: BI, biosynthesis Enzyme Activation Flavoproteins: ME, metabolism Leukemia L1210: EN, enzymology Leukemia L1210: ME, metabolism Methionine: BI, biosynthesis *Methyltransferases: ME, metabolism Mice NADP: ME, metabolism *Neoplasms: ME, metabolism S-Adenosylmethionine: ME, metabolism RN 29908-03-0 (S-Adenosylmethionine); 53-59-8 (NADP); 63-68-3 (Methionine); 68-19-9 (Vitamin B 12) => file ca COST IN U.S. DOLLARS SINCE FILE TOTAL SESSION ENTRY FULL ESTIMATED COST 18.48 26.58 FILE 'CA' ENTERED AT 23:34:40 ON 31 AUG 2009 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2009 AMERICAN CHEMICAL SOCIETY (ACS)

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Substrate-selective inhibition of pappalysin activity against insulin-like ΤT growth factor-binding protein 4 using substrate-binding site ligands ΙN Oxvig, Claus; Mikkelsen, Jakob Hauge; Nielsen, Claus Gyrup

Aarhus Universitet, Den. PA

PCT Int. Appl., 219pp. SO

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 ΡI W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, AE, AG, AL, AM, AO, AI, AO, AZ, BA, BB, BG, BH, BR, BW, BI, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK,

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TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM US 20090105319 A1 20090423 US 2008-253918 20081017 PRAI US 2007-981400P P 20071019 US 2008-35969P Ρ 20080312 Þ US 2008-97171P 20080915 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 150:464210 RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 4 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 150:395435 CA ΤI Studies on similarity of hepatocarcinogenesis in liver cirrhosis to leukomogenesis AU Feng, Baozhang; Lei, Jianling; Fu, Yu; Liu, Fangjie; Zhou, Yingjie V-erb Lab, V-erb Gene Therapy Co., Ltd., Tianjin, 300020, Peop. Rep. China CS SO Zhongliu Yanjiu Yu Linchuang (2007), 19(6), 393-394 CODEN: ZYLIFJ; ISSN: 1006-9801 Zhongliu Yanjiu Yu Linchuang Zazhi Bianjibu PB DT Journal Chinese LΑ L15 ANSWER 5 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 150:268020 CA ΤI Transfer factor compositions and methods for therapeutic use thereof Ramaekers, Joseph C. ΤN ΡA USA SO U.S. Pat. Appl. Publ., 21pp. CODEN: USXXCO DT Patent LA English FAN.CNT 2 KIND PATENT NO. DATE APPLICATION NO. DATE ____ _____ _____ _____ _____ US 20090053197 A1 20090226 US 2007-762727 20070613 РT WO 2007-US13903 WO 2007149287 A2 20071227 20070614 A3 WO 2007149287 20081002 W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,

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 L C CC CL CM, CA, CN, CO, CN, MD, MD, ME, CN, TD, TC, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA AU2007275638A120080124AU2007-27563820070720CA2657307A120080124CA2007-265730720070720EP2057472A220090513EP2007-81065420070720 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, AL, BA, HR, MK, RS US 20090173876 A1 20090709 US 2007-880313 20070720 US 2006-832625P P WO 2007-US16477 W 20060721 PRAI US 2006-832625P 20070720 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) OSC.G 1 L15 ANSWER 11 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 148:106222 CA Pharmaceutical compositions containing inhibitors of histone deacetylase ΤI and B vitamins, and methods of use thereof in the treatment of histone deacetylase dependent diseases Shultz, Michael ΙN Novartis AG, Switz.; Novartis Pharma GmbH ΡA PCT Int. Appl., 58 pp. SO CODEN: PIXXD2 Patent DT LA English FAN.CNT 1 KIND DATE APPLICATION NO. DATE PATENT NO. _____ _____ WO 2008002862 A1 20080103 WO 2007-US72004 20070625 ΡT W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM 265190 A1 20080103 A1 AU 2007265190 AU 2007-265190 20070625 CA 2660782 CA 2007-2660782 20080103 20070625 A1 EP 2007-798994 A1 20090318 20070625 EP 2034978 R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR,

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 ΡI HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG AU 2002258546 B2 20060907 JP 2004535371 T 20041125 JP 2002-572885 20020315 US 20040224921 A1 20041111 US 2004-866988 20040615 US 6905884 B2 20050614 AU 2008200058 A1 20080131 AU 2008-200058 20080104 PRAI US 1999-161368P P 19991026 WO 2000-US29370 A2 20001026 US 2001-276036P P 20010316 US 2001-276036P P 20010316 US 2001-276036P P 20010316 US 2002-255730 A3 20020315 US 2002-97646 A1 20020315 WO 2002-US8285 W 20020315 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT YU, ZA, ZW ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 138:35768 OSC.G 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) RE.CNT 21 THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 31 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 137:89412 CA Detection of variations in the DNA methylation profile of genes in the ΤI determining the risk of disease IN Berlin, Kurt; Piepenbrock, Christian; Olek, Alexander PA Epigenomics A.-G., Germany

SO PCT Int. Appl., 636 pp.

CODEN: PIXXD2

DT Patent LA German FAN.CNT 69

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RW: GH, GM, DE, DK, BJ, CF, AU 2001077487 EP 1360319 R: AT, BE, IE, SI, EP 2014776	ES, FI, FF CG, CI, CM A A2 CH, DE, DF LT, LV, FI A2	<pre>GB, GR, GA, GN, 20011023 20031112 ES, FR, RO, MK, 20090114</pre>	EP 2001-955278 GB, GR, IT, LI, LU, NL, CY, AL, TR EP 2008-12765	SE, TR, BF, TG 20010406 20010406 SE, MC, PT, 20010406	
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RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ DE, DK, ES, FI, FR, GB, GR, IE, IT, LU BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR IT 99MI2711 A1 246917 B CA 2394944 A1 2000016817 A 20021001 BR 2000	, MC, NL, PT, SE, TR, BF, , NE, SN, TD, TG -MI2711 19991227
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 ASSIGNMENT HISTORY FOR US
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 19970822 19970822 19970822 19970822 US 1999-202328 19991022 20011022 20011022 20011022 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 128:226232 OSC.G 15 THERE ARE 15 CAPLUS RECORDS THAT CITE THIS RECORD (20 CITINGS) RE.CNT 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

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 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OS MARPAT 124:176815 OSC.G 9 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (10 CITINGS) RE.CNT 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD ALL CITATIONS AVAILABLE IN THE RE FORMAT

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L15 ANSWER 45 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 120:227009 CA OREF 120:40121a,40124a TI Prevention of birth defects and childhood **cancer** with fluoride IN Grogan, Jack R., Jr. PA USA SO Can. Pat. Appl., 17 pp. CODEN: CPXXEB DT Patent LA English FAN.CNT² CA 2071378 A1 199312 GB 2267824 DATE APPLICATION NO.
 Image: Second ΡI PRAI CA 1992-2071378 19920616 L15 ANSWER 46 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 119: 119:131055 CA OREF 119:23285a,23288a Influence of cobalamin on the survival of mice bearing ascites tumor ТΤ Tsao, Constance S.; Myashita, Koichi AU CS Linus Pauling Inst. Sci. Med., Palo Alto, CA, 94306, USA SO Pathobiology (1993), 61(2), 104-8 CODEN: PATHEF; ISSN: 1015-2008 DT Journal English LА OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 119:39993 CA OREF 119:7079a,7082a ТΤ Vitamins as chemotherapeutic and chemopreventive agents AU Ryan, Donna H.; Starr, Barry Pennington Biomed. Res. Cent., Baton Rouge, LA, 70808, USA CS SO Pennington Center Nutrition Series (1993), 3 (Vitamins and Cancer Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 DT Journal; General Review LA English L15 ANSWER 48 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 116:75807 CA OREF 116:12671a,12674a TI Effect of combined ascorbic acid and B-12 on survival of mice with implanted Ehrlich carcinoma and L1210 leukemia Poydock, M. Eymard AU Cancer Res. Inst., Mercyhurst Coll., Erie, PA, 16546, USA CS American Journal of Clinical Nutrition (1991), 54(6, Suppl.), 1261S-1265S SO CODEN: AJCNAC; ISSN: 0002-9165 DT Journal LA English OSC.G 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) L15 ANSWER 49 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 115:126995 CA OREF 115:21549a,21552a ΤI New **vitamin B12** derivatives, production thereof, and applications thereof Toraya, Tetsuo; Ishida, Atsuhiko; Uejima, Yasuhide; Fujii, Katsuhiko IN PA Teijin Ltd., Japan PCT Int. Appl., 49 pp. SO CODEN: PIXXD2 DT Patent LA Japanese FAN.CNT 1 KIND DATE PATENT NO. APPLICATION NO. DATE _____ _____ _____

ΡT WO 9010014 A1 19900907 WO 1990-JP253 19900228 W: US RW: CH, DE, FR, GB, IT 19901129 JP 02289597 A JP 1990-45905 19900228 JP 2962755 в2 19991012 19910508 EP 425680 A1 EP 1990-903929 19900228 R: CH, DE, FR, GB, IT, LI US 5405839 A PRAI JP 1989-45172 A 19950411 US 1993-104606 19930811 19890228 WO 1990-JP253 W 19900228 US 1990-601778 В1 19901026 MARPAT 115:126995 OS OSC.G 9 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD (11 CITINGS) THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT 2 ALL CITATIONS AVAILABLE IN THE RE FORMAT L15 ANSWER 50 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 106:98888 CA AN OREF 106:16133a,16136a Rapid determination of serum transcobalamins ΤI Hu, Jiuru; Wang, Fumin; Dou, Huanfu; Wang, Liangxu Nav. Gen. Hosp., Peop. Rep. China AU CS Zhonghua Xueyexue Zazhi (1986), 7(7), 431-3 SO CODEN: CHTCD7; ISSN: 0253-2727 Journal DT Chinese LA L15 ANSWER 51 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 105:126980 CA OREF 105:20333a,20336a Effects of 5-fluorouracil treatment of rat leukemia with concomitant ΤI inactivation of cobalamin Kroes, A. C. M.; Ermens, A. A. M.; Lindemans, J.; Abels, J. Inst. Hematol., Erasmus Univ., Rotterdam, Neth. Anticancer Research (1986), 6(4), 737-42 AU CS SO CODEN: ANTRD4; ISSN: 0250-7005 DT Journal English LA OSC.G THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS) .3 L15 ANSWER 52 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 105:108097 CA AN OREF 105:17335a,17338a Enhanced therapeutic effect of methotrexate in experimental rat leukemia ΤI after inactivation of cobalamin (vitamin B12) by nitrous oxide Kroes, A. C. M.; Lindemans, J.; Schoester, M.; Abels, J. Inst. Hematol., Erasmus Univ., Rotterdam, 3000 DR, Neth. AIJ CS Cancer Chemotherapy and Pharmacology (1986), 17(2), 114-20 SO CODEN: CCPHDZ; ISSN: 0344-5704 Journal DT English LA OSC.G 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS) L15 ANSWER 53 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 105:76826 CA AN OREF 105:12445a,12448a Kinetics of 57Co-cyanocobalamin distribution in organs and tissues of mice ΤI with transplanted **tumors** AU Vares, Yu. V.; Myasishcheva, N. V. Res. Inst. Carcinogen., Moscow, 115478, USSR CS Eksperimental'naya Onkologiya (1986), 8(3), 33-6 SO CODEN: EKSODD; ISSN: 0204-3564 DT Journal Russian LA L15 ANSWER 54 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 104:84931 CA AN

OREF 104:13417a,13420a Simultaneous multiple assays and compounds and compositions useful in them ΤT ΙN Olson, Douglas Richard Micromedic Systems, Inc., USA PA SO Eur. Pat. Appl., 26 pp. CODEN: EPXXDW DT Patent LA English FAN.CNT 2 PATENT NO. KIND DATE APPLICATION NO. DATE PATENT NO. _____ EP 165716A119851227EP 1985-303564EP 165716B119900131 19850521 РT R:AT, BE, CH, DE, FR, GB, IT, LI, LU, NL, SEUS4672028A19870609US1984-612979AT50066T19900215AT1985-303564 19840523 AT 1985-303564 19850521 T 19900215 A 19851128 B2 19890413 A 19860106 AU8542798A19851128AU582970B219890413JP61000092A19860106PRAIUS1984-612979A19840523EP1985-303564A19850521 AU 1985-42798 19850523 JP 1985-111312 19850523 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OSC.G 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (9 CITINGS) L15 ANSWER 55 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 103:213903 CA AN OREF 103:34477a,34480a Mitogenic inhibition and effect on survival of mice bearing L1210 ТΤ leukemia using a combination of dehydroascorbic acid and hydroxycobalamin Poydock, M. E.; Harguindey, S.; Hart, T.; Takita, H.; Kelly, D. AIJ Cancer Res. Unit, Mercyhurst Coll., Erie, PA, USA CS SO American Journal of Clinical Oncology (1985), 8(3), 266-9 CODEN: AJCODI; ISSN: 0277-3732 DT Journal LA English OSC.G 7 THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS) L15 ANSWER 56 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 99:35419 CA OREF 99:5533a,5536a TI Studies of the radioimmunoassay of serum haptocorrin and its clinical application Saito, Kainosuke AU Dep. Intern. Med., Sapporo Med. Coll., Sapporo, Japan CS Sapporo Igaku Zasshi (1983), 52(2), 237-52 SO CODEN: SIZSAR; ISSN: 0036-472X DT Journal LA Japanese L15 ANSWER 57 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 97:107723 CA OREF 97:17883a,17886a Production of transcobalamin II by various murine and human cells in ΤI culture AU Rabinowitz, R.; Rachmilewitz, B.; Rachmilewitz, M.; Schlesinger, M. Hadassah Med. Sch., Hebrew Univ., Jerusalem, 91010, Israel CS Israel Journal of Medical Sciences (1982), 18(7), 740-5 SO CODEN: IJMDAI; ISSN: 0021-2180 DT Journal LA English THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) OSC.G 2 L15 ANSWER 58 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 97:5040 CA OREF 97:987a,990a TI Influence of vitamins C and B12 on the survival rate of mice bearing ascites **tumor** AU Poydock, M. Eymard; Reikert, D.; Rice, J.

CS Mercyhurst Coll., Erie, PA, 16546, USA Experimental Cell Biology (1982), 50(2), 88-91 SO CODEN: ECEBDI; ISSN: 0304-3568 DT Journal English LA THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS) OSC.G 4 L15 ANSWER 59 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 95:93426 CA AN OREF 95:15687a,15690a ТΤ Determination of transcobalamins Selhub, Jacob; Rachmilewitz, Bracha; Grossowicz, Nathan IΝ Yissum Research Development Co., Israel PA U.S., 8 pp. Cont.-in-part of U.S. 4,167,556. SO CODEN: USXXAM DT Patent English LA FAN.CNT 4 PATENT NO. KIND DATE APPLICATION NO. DATE
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 19770520

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 19770602
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Full Text
     88:20262 CA
AN
OREF 88:3251a,3254a
     Analysis of cobalamin coenzymes in {\tt tumor} cells of mice spleen
ΤI
     Vares, Yu. V.; Myasishcheva, N. V.
AU
     Oncol. Res. Cent., Moscow, USSR
CS
     Voprosy Meditsinskoi Khimii (1977), 23(5), 681-4
SO
     CODEN: VMDKAM; ISSN: 0042-8809
DT
     Journal
    Russian
LA
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AN
     86:153564 CA
OREF 86:24107a,24110a
    Hemoglobin A2 levels in health and various hematologic disorders
ТΤ
AU
     Alperin, Jack B.; Dow, Patricia A.; Petteway, Mozellar B.
CS
     Dep. Intern. Med., Univ. Texas, Galveston, TX, USA
     American Journal of Clinical Pathology (1977), 67(3), 219-26
SO
     CODEN: AJCPAI; ISSN: 0002-9173
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DT
LA
    English
OSC.G
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              THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS)
L15 ANSWER 65 OF 88 CA COPYRIGHT 2009 ACS on STN
Full Text
     86:137655 CA
AN
OREF 86:21624h,21625a
     Determination of the unsaturated vitamin B12 binding capacity in
ΤT
     normal and physiopathological conditions
     Areekul, Suvit; Vongtapvanish, Srisuda
AU
     Fac. Trop. Med., Mahidol Univ., Bangkok, Thailand
CS
SO
     Southeast Asian Journal of Tropical Medicine and Public Health (1976),
     7(3), 496-8
     CODEN: SJTMAK; ISSN: 0125-1562
DT
     Journal
     English
LA
L15 ANSWER 66 OF 88 CA COPYRIGHT 2009 ACS on STN
Full Text
AN
     86:3
           CA
OREF 86:1a
ΤI
     B12-dependent methionine synthetase as a potential target for cancer
     chemotherapy
     Huennekens, F. M.; DiGirolamo, P. M.; Fujii, K.; Jacobsen, D. W.; Vitols,
AU
     K. S.
CS
     Dep. Biochem., Scripps Clin. Res. Found., La Jolla, CA, USA
SO
     Advances in Enzyme Regulation (1976), 14, 187-205
     CODEN: AEZRA2; ISSN: 0065-2571
DT
     Journal; General Review
    English
LA
OSC.G
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              THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)
L15 ANSWER 67 OF 88 CA COPYRIGHT 2009 ACS on STN
Full Text
AN
     82:29483 CA
OREF 82:4708h,4709a
ΤI
     Granulocyte colony stimulating activity and vitamin B12 binding
     proteins in human urine
     Gibson, Emma L.; Herbert, Victor; Robinson, William A.
AU
     Med. Cent., Univ. Colorado, Denver, CO, USA
CS
SO
     British Journal of Haematology (1974), 28(2), 191-7
     CODEN: BJHEAL; ISSN: 0007-1048
DT
     Journal
LA
     English
L15 ANSWER 68 OF 88 CA COPYRIGHT 2009 ACS on STN
Full
     Text
AN
     81:89342 CA
OREF 81:14171a,14174a
ΤI
     Characteristics of a novel serum vitamin B12-binding protein
     associated with hepatocellular carcinoma
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АIJ Waxman, Samuel; Gilbert, Harriet S. Mt. Sinai Sch. Med., City Univ. New York, New York, NY, USA CS British Journal of Haematology (1974), 27(2), 229-39 SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal English LA L15 ANSWER 69 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 80:131413 CA AN OREF 80:21193a,21196a N5-Methyltetrahydrofolate:homocysteine methyltransferase activity in ΤI extracts from normal, malignant, and embryonic tissue culture cells Ashe, Hilary; Clark, Brian R.; Chu, Fred; Hardy, Dorothy N.; Halpern, Barbara C.; Halpern, Richard M.; Smith, Roberts A. AU CS Mol. Biol. Inst., Univ. California, Los Angeles, CA, USA SO Biochemical and Biophysical Research Communications (1974), 57(2), 417-25 CODEN: BBRCA9; ISSN: 0006-291X DT Journal LA English OSC.G THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS) 6 L15 ANSWER 70 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 80:25638 CA OREF 80:4234h,4235a Glutathione peroxidase in human red cells in health and disease ΤT AU Hopkins, J.; Tudhope, G. R. Dep. Pharmacol. Ther., Univ. Dundee, Dundee, UK British Journal of Haematology (1973), 25(5), 563-75 CS SO CODEN: BJHEAL; ISSN: 0007-1048 DT Journal English LA OSC.G THERE ARE 49 CAPLUS RECORDS THAT CITE THIS RECORD (49 CITINGS) 49 L15 ANSWER 71 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 77:138108 CA OREF 77:22717a,22720a Leukemogenesis by Rauscher virus in mice ΤI AU Irino, Shozo; Miyoshi, Isao; Sezaki, Tatsuo; Nagao, Tadami; Taguchi, Hirokuni; Hara, Koichi; Hiraki, Kiyoshi Med. Sch., Okayama Univ., Okayama, Japan CS Exp. Leukemogenesis, Pap. Jap. Cancer Ass. Symp. Exp. Leuk. Res. (1972), Meeting Date 1970, 47-63. Editor(s): Yamamoto, Tadashi. SO Jap. Publisher: Univ. Park Press, Baltimore, Md. CODEN: 25POAE DT Conference LA English L15 ANSWER 72 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 76:70733 CA OREF 76:11401a,11404a ΤI Formiminoglutamic acid excretion after histidine loading in folic acid-vitamin B12 metabolic disturbances AU Wilmanns, W. CS Med. Universitaetsklin., Tuebingen, Fed. Rep. Ger. SO Wissenschaftliche Veroeffentlichungen der Deutschen Gesellschaft fuer Ernaehrung (1971), 19, 30-46 CODEN: WVGEAP; ISSN: 0043-6828 DT Journal German LА L15 ANSWER 73 OF 88 CA COPYRIGHT 2009 ACS on STN <u>Full Text</u> AN 75:96679 CA OREF 75:15287a,15290a Increased transcobalamin I in a leukemoid reaction ТΤ AU Hall, Charles A.; Wanko, Maxine CS Hematol. Res. Lab., Albany Veterans Adm. Hosp., Albany, NY, USA SO Journal of Laboratory and Clinical Medicine (1971), 78(2), 298-301

CODEN: JLCMAK; ISSN: 0022-2143 DT Journal LA English OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 74 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 74:40522 CA AN OREF 74:6517a,6520a ΤI Acquired aplastic anemia AU Keiser, G. CS Med. Abt., Buergerspital, Zug, Switz. Deutsche Medizinische Wochenschrift (1970), 95(40), 2032-4 SO CODEN: DMWOAX; ISSN: 0012-0472 DT Journal LA German L15 ANSWER 75 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 71:28714 CA AN OREF 71:5289a,5292a Determination of blood folate activity in humans in healthy and in various ΤI pathological states AU Karlin, Rosalie CS Inst. Pasteur, Lyons, Fr. Internationale Zeitschrift fuer Vitaminforschung (1969), 39(1), 44-64 SO CODEN: IZVIAK; ISSN: 0020-9406 DT Journal LA French L15 ANSWER 76 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 71:11249 CA OREF 71:2051a,2054a ΤI Vitamin B12 and some indexes of nucleic acid metabolism in leukemia Sheremet, Z. I.; Myasishcheva, N. V. AU Inst. Eksp. Klin. Önkol., Moscow, USSR Probl. Leikozov (1967), 164-70. Editor(s): Rostovtsev, N. F. Publisher: CS SO Izd. "Kolos", Moscow, USSR. CODEN: 20XPAO DTConference Russian LΑ L15 ANSWER 77 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 70:94909 CA OREF 70:17731a,17734a ΤI Uptake of labeled vitamin B12 and 4-iodophenylalanine in some tumors of mice AU Blomquist, Lars; Flodh, H.; Ullberg, Sven Dep. Pharmacol., Roy. Vet. Coll., Stockholm, Swed. Experientia (1969), 25(3), 294-6 CS SO CODEN: EXPEAM; ISSN: 0014-4754 DT Journal LA English THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) OSC.G 2 L15 ANSWER 78 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 69:84990 CA AN OREF 69:15874h,15875a Determination of formiminoglutamic acid excretion as a functional test for ΤI disturbances in folic acid and vitamin B12 metabolism Wilmanns, W.; Burgmann, T. Med. Universitaetsklin. Tuebingen, Tuebingen, Fed. Rep. Ger. AU CS Deutsche Medizinische Wochenschrift (1968), 93(38), 1801-6 SO CODEN: DMWOAX; ISSN: 0012-0472 DT Journal LА German L15 ANSWER 79 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text

AN 63:91925 CA OREF 63:16915d-f ΤI Adenosylmethionine elevation in leukemic white blood cells Baldessarini, Ross J.; Carbone, Paul P. Natl. Cancer Inst., Bethesda, MD AU CS Science (Washington, DC, United States) (1965), 149(3684), 644-5 CODEN: SCIEAS; ISSN: 0036-8075 SO DT Journal LA English L15 ANSWER 80 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 61:71260 CA AN OREF 61:12425g-h Some investigations of folic acid deficiency ΤI Kershaw, P. W.; Girdwood, R. H. AU CS Roy. Infirmary, Edinburgh SO Scot. Med. J. (1964), 9(5), 201-12 Journal DT LA Unavailable L15 ANSWER 81 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 60:41018 CA OREF 60:7258h,7259a Serum protein changes and organ dye concentrations in trypan blue ΤI carcinogenesis AU Brown, D. V.; Norlind, L. M.; Adamovics, A.; Bowen, A. CS Univ. of Washington, Seattle Proceedings of the Society for Experimental Biology and Medicine (1963), SO 114, 290-3 CODEN: PSEBAA; ISSN: 0037-9727 DT Journal Unavailable LA L15 ANSWER 82 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 60:5296 CA OREF 60:961a-d Red cell enzymes in anemia ΤI AU Vuopio, Pekka Finnish Red Cross Blood Transfusion Serv., Helsinki CS Scandinavian Journal of Clinical and Laboratory Investigation (1963), SO Suppl. 15(72), 90 pp. CODEN: SJCLAY; ISSN: 0036-5513 DT Journal Unavailable LA L15 ANSWER 83 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 55:18970 CA AN OREF 55:3798e-h Co58-[Vitamin]B12 absorption, plasma transport, and excretion in ΤI patients with myeloproliferative disorders, solid tumors, and non-neoplastic disease AU Weinstein, I. Bernard; Watkin, Donald M. Natl. Cancer Inst. Bethesda, MD CS Journal of Clinical Investigation (1960), 39, 1667-74 SO CODEN: JCINAO; ISSN: 0021-9738 DT Journal Unavailable LA L15 ANSWER 84 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 54:131385 CA AN OREF 54:25240i,25241a Clearance of intravenously injected radioactive cobalt-labeled vitamin ΤI B12 in chronic myeloid leukemia and other conditions Ritz, Norton D.; Meyer, Leo M. AIJ Maimonides Hosp., Brooklyn, NY CS SO Cancer (1960), 13, 1000-7 DT Journal

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L15 ANSWER 85 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 52:115884 CA OREF 52:20584a-b The diagnostic value of the determination of vitamin B12 in body ΤI fluids in diseases of the blood and liver Rachmilewitz, M.; Stein, Y. AU CS Rothschild Hadassah Univ. Hosp., Jerusalem, Israel Harefuah (1958), 54, 167-70 SO CODEN: HAREA6; ISSN: 0017-7768 Journal DTLA Unavailable L15 ANSWER 86 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 52:78440 CA OREF 52:13964a-c ΤI Serum vitamin B12 concentrations determined by Lactobacillus leichmannii assay in patients with **neoplastic** disease Mendelsohn, Robert S.; Watkin, Donald M. AU Natl. Insts. Health, Bethesda, MD CS SO Journal of Laboratory and Clinical Medicine (1958), 51, 860-6 CODEN: JLCMAK; ISSN: 0022-2143 Journal DT LA Unavailable OSC.G 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS) L15 ANSWER 87 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text 52:46370 CA AN OREF 52:8346c-f Chromatography of serum proteins in normal and pathologic serums: the ΤI distribution of protein-bound carbohydrate and cholesterol, siderophilin, thyroxine-binding protein, vitamin B12-binding protein, alkaline and acid phosphatases, radioiodinated albumin, and myeloma proteins Fahey, John L.; McCoy, Patricia F.; Goulian, Mehran Natl. Insts. of Health, Bethesda, MD AU CS Journal of Clinical Investigation (1958), 37, 272-84 SO CODEN: JCINAO; ISSN: 0021-9738 DT Journal Unavailable LA L15 ANSWER 88 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 50:90938 CA OREF 50:17113g-i,17114a Pathology and physiology of zinc metabolism ТΤ AU Wolff, H. P. Univ. Marburg a.d. Lahn, Germany Klinische Wochenschrift (1956), 34, 409-18 CS SO CODEN: KLWOAZ; ISSN: 0023-2173 DT Journal LA Unavailable THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS) OSC.G 1 => d an ti in au so pi ab kwic 44 47 L15 ANSWER 44 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 124:176815 CA OREF 124:32818h,32819a Preparation of **vitamin B12** derivatives as receptor modulating agents ТΤ for treating cancers Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. Morgan, A. Charles; Wilbur, D. Scott; Pathare, Pradip M. IΝ IΝ PCT Int. Appl., 101 pp. SO CODEN: PIXXD2 PATENT NO. KIND DATE APPLICATION NO. DATE _____ ____ _____ _____ _____

ΡI	WO	9527723			A1	19951019	WO 1995-US4404	19950407
		W: AU	, CA,			NO, NZ		
		RW: AT	, ВЕ,	CH,	DE,	DK, ES, FR,	GB, GR, IE, IT, LU,	MC, NL, PT, SE
	US	5739287			А	19980414	US 1995-406192	19950316
	US	5840880			А	19981124	US 1995-406191	19950316
	US	5869465			А	19990209	US 1995-406194	19950316
	AU	9522835			А	19951030	AU 1995-22835	19950407
	ΕP	754189			A1	19970122	EP 1995-916284	19950407
	ΕP	754189			В1	20021009		
		R: AT	, ве,	CH,	DE,	DK, ES, FR,	GB, GR, IE, IT, LI,	LU, MC, NL, PT, SE
	JP	1050233	1		Т	19980303	JP 1995-526497	19950407
	ΑT	225799			Т	20021015	AT 1995-916284	19950407
	US	6083926			А	20000704	US 1998-200422	19981123
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- AB Receptor modulating agents comprising a vitamin B12 targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is coupled), which are capable of modulating cell surface receptors by affecting the cell surface receptor trafficking pathway via retaining an agent/receptor complex in an endosome, are prepd. Said rerouting moiety is preferably (1) a lysosomotropic moiety selected from aminoglycoside antibiotics such as gentamycin, sisomicin, netilmicin, kanamycin, tobramycin, amikacin, neomycin, paromomycin, ribostamycin, butirosin, and streptomycin, (2) a peptide sorting sequence selected from endoplasmic reticulum retention peptides, golgi retention peptides, lysosomal retention peptides, organism specific retention peptides, and clathrin-binding peptides., and (3) a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These receptor modulating agents are useful for treating **neoplastic** disorders such as leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt. of 500 mg cyanocobalamin monocarboxylic acids I (R1 = R7 = OH, R2 - R6 = NH2; R1 = R3 - R6 = NH2, R2 = R7 = OH; R1 - R3 = R5 = R6 = NH2, R4 = R7 = OH) (prepn. given) and 3.6 g 1,12-diaminododecane in 100 mL H2O was adjusted to pH 6 with 1 N HCl, treated with 726 mg 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride, and stirred at room temp. for 22 h to give cyanocobalamin monocarboxylic acid N-(12-aminododecyl)amides I [R1 = NH(CH2)12NH2, R2 - R6 = NH2, R7 = OH] and I [R1 = R3 - R6 = NH2, R2 = NH(CH2)12NH2, R7 = OH] (II). II at 10 μ M in vitro killed 85% K562 cells.
- ΤI Preparation of vitamin B12 derivatives as receptor modulating agents for treating cancers
- AB Receptor modulating agents comprising a vitamin B12 targeting mol. coupled to a rerouting moiety (I; R1 - R7 = a linker, through which a rerouting moiety is. . . a conditional membrane binding peptide selected from charged glutamate, aspartate, and histidine. These recept modulating agents are useful for treating **neoplastic** disorders such as These receptor leukemia, sarcoma, myeloma, carcinoma, neuroma, melanoma, cancers of the lung, liver, breast, colon, cervix, and prostate, Hodgkin's disease, and non-Hodgkin's lymphoma. Thus, a mixt.. .
- ST vitamin B12 deriv prepn receptor modulating; anticancer vitamin B12 deriv; aminoglycoside antibiotic conjugate vitamin B12; peptide conjugate vitamin B12; conditional membrane binding peptide
- Peptides, preparation IΤ RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (peptide sorting sequence (e.g. endoplasmic retention peptides) or conditional membrane binding peptide; prepn. of vitamin B12-peptide conjugates as receptor modulating agents for treating cancers)
- IΤ Receptors

RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL (Biological study); PROC (Process)

(prepn. of vitamin B12 derivs. as receptor modulating agents affecting cell surface receptor trafficking pathway for treating cancers) Neoplasm inhibitors

IΤ (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers)

ΤT Antibiotics

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(aminoglycoside, prepn. of vitamin B12 -aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) ΤТ 57-92-1DP, Streptomycin, vitamin B12 conjugate 59-01-8DP, Kanamycin, vitamin B12 conjugate 1403-66-3DP, Gentamycin, vitamin B12 conjugate 1404-04-2DP, Neomycin, vitamin B12 conjugate 7542-37-2DP, Paromomycin, vitamin B12 conjugate 12772-35-9DP, Butirosin, vitamin B12 conjugate 25546-65-0DP, Ribostamycin, vitamin B12 conjugate 32385-11-8DP, Sisomicin, vitamin B12 conjugate 32986-56-4DP, Tobramycin, vitamin B12 conjugate 37517-28-5DP, Amikacin, vitamin B12 conjugate 56391-56-1DP, Netilmicin, vitamin B12 conjugate 173341-36-1P 173341-37-2P 160927-56-0P 173341-38-3P 173341-39-4P 173341-40-7P 173341-41-8P 173341-42-9P 173341-43-0P 173341-44-1P 173341-45-2P 173341-46-3P 173341-47-4P 173341-48-5P 173341-52-1P 173341-53-2P 173341-54-3P RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) IT **68-19-9**, Cyanocobalamin 99-31-0, 5-Aminoisophthalic acid 99-63-8, 1,3-Benzenedicarbonyl dichloride 108-30-5, reactions 769-39-1, 2,3,5,6-Tetrafluorophenol 813-19-4, Bis(tributyltin) 1711-02-0, 4-Iodobenzoyl chloride 2783-17-7, 1,12-Diaminododecane 35013-72-0 110079-43-1 RL: RCT (Reactant); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) 72040-64-3P 173341-22-5P 173341-23-6P 173341-24-7P IΤ 173341-25-8P 173341-26-9P 173341-27-0P 173341-28-1P 173341-29-2P 173341-30-5P 173341-32-7P 173341-31-6P 173341-33-8P 173341-34-9P 173341-35-0P 173341-49-6P 173341-50-9P 173341-51-0P 173341-59-8P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of vitamin B12 derivs. as receptor modulating agents for treating cancers) 173341-56-5P 173341-57-6P 173341-58-7P ΤT RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) 86-38-4, 6,9-Dichloro-2-methoxyacridine 51857-17-1 99008-43-2 ΤТ RL: RCT (Reactant); RACT (Reactant or reagent) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) 7657-92-3P IΤ 121714-48-5P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (prepn. of vitamin B12-aminoglycoside antibiotic conjugates as receptor modulating agents for treating cancers) L15 ANSWER 47 OF 88 CA COPYRIGHT 2009 ACS on STN Full Text AN 119:39993 CA OREF 119:7079a,7082a Vitamins as chemotherapeutic and chemopreventive agents ΤI AU Ryan, Donna H.; Starr, Barry SO Pennington Center Nutrition Series (1993), 3(Vitamins and Cancer Prevention), 147-60 CODEN: PCNSEW; ISSN: 1063-8822 A review with 45 refs. Therapy with retinoids has produced objective AB responses in patients with some types of skin cancer, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic **leukemia**. **Cancer** chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades

in humans, efficient evaluation of chemopreventive agents requires

research strategies utilizing intermediate biol. end points. Preneoplasia, classically defined histol. cellular change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable **cancer** patients, but now vitamins and their derivs. have an emerging role in **cancer** chemotherapy and chemoprevention.

AB A review with 45 refs. Therapy with retinoids has produced objective responses in patients with some types of skin cancer, and tretinoin is effective in producing terminal differentiation and complete remission in acute promyelocytic leukemia. Cancer chemoprevention trails are under way evaluating the activity of multiple vitamin prepns., beta-carotene, retinoids, vitamin C, vitamin E, vitamin B12, vitamin B6, and folate. Since carcinogenesis is a multistage process that can occur over decades in humans, efficient evaluation of chemopreventive agents requires research strategies utilizing. . . change, is being redefined by advances in mol. and cell biol. Vitamins have been exploited as unproven remedies to vulnerable cancer patients, but now vitamins and their derivs. have an emerging role in cancer chemotherapy and chemoprevention.

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); BIOL (Biological study)

(cancer chemotherapeutic and chemopreventive activity of)

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=> s ll

L16 2261 L1

=> s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or azi
L17 6738 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL
AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)

=> s (vitamin b12 or hydroxycobolamin or chlorocobolamin or aquocobolamin or cobolamin or azi
L18 888 (VITAMIN B12 OR HYDROXYCOBOLAMIN OR CHLOROCOBOLAMIN OR AQUOCOBOL
AMIN OR COBOLAMIN OR AZIDOCOBOLAMIN)/CLM

=> s 116 or 117 L19 7872 L16 OR L17

=> s 116 or 118 L20 2538 L16 OR L18

=> s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?) L21 271712 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?)

=> s (cancer or anti-neoplast? or neoplast? or carcin? or tumor?)/clm L22 59768 (CANCER OR ANTI-NEOPLAST? OR NEOPLAST? OR CARCIN? OR TUMOR?)/CLM

=> s 119 and 121 L23 4265 L19 AND L21

=> s 120 and 122 L24 254 L20 AND L22

=> s leukemia?

L25 72327 LEUKEMIA? => s leukemia?/clm L26 8743 LEUKEMIA?/CLM => s 123 and 125 1851 L23 AND L25 L27 => s 124 and 126 L28 24 L24 AND L26 => d 1-24L28 ANSWER 1 OF 24 USPATFULL on STN Full Text 2009:145928 USPATFULL AN ΤI Lipid compositions for the treatment and prevention of proliferative diseases and for the reduction of incidences of mutagenesis and carinogenesis ΙN Yosef, Fabiana Bar, Haifa, ISRAEL Enzymotec Ltd., Migdal Haemek, ISRAEL (non-U.S. corporation) ΡA A1 20090521 ΡT US 20090131523 US 2008-285806 A1 20081014 (12) ΑT US 2007-960798P PRAI 20071015 (60) DTUtility APPLICATION FS LN.CNT 1226 INCL INCLM: 514/558.000 INCLS: 426 2 514/558.000 NCL NCLM: 426/002.000 NCLS: A61K0031-20 [I,A]; A61K0031-185 [I,C*]; A23D0007-005 [I,A]; IC IPCI A23D0007-04 [I,A]; A23D0007-02 [I,C*]; A23L0001-29 [I,A] A61K0031-185 [I,C]; A61K0031-20 [I,A]; A23D0007-005 [I,C]; IPCR A23D0007-005 [I,A]; A23D0007-02 [I,C]; A23D0007-04 [I,A]; A23L0001-29 [I,C]; A23L0001-29 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 2 OF 24 USPATFULL on STN Full Text 2009:58740 USPATFULL AN Transfer Factor Compositions and Methods ΤТ Ramaekers, Joseph C., Aptos, CA, UNITED STATES IN A1 A1 ΡI US 20090053197 20090226 US 2007-762727 20070613 (11) ΑI US 2006-814777P 20060614 (60) PRAI US 2006-834739P 20060731 (60) Utility DT FS APPLICATION LN.CNT 1798 INCL INCLM: 424/130.100 NCL NCLM: 424/130.100 A61K0039-395 [I,A]; A61P0003-00 [I,A] TC IPCI IPCR A61K0039-395 [I,C]; A61K0039-395 [I,A]; A61P0003-00 [I,C]; A61P0003-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 3 OF 24 USPATFULL on STN Full Text 2008:253184 USPATFULL AN Advanced drug development and manufacturing ΤТ Birnbaum, Eva R., Los Alamos, NM, UNITED STATES IN Koppisch, Andrew T., Flagstaff, AZ, UNITED STATES Baldwin, Sharon M., Santa Fe, NM, UNITED STATES Warner, Benjamin P., Los Alamos, NM, UNITED STATES McCleskey, T. Mark, Los Alamos, NM, UNITED STATES Stewart, Jeffrey Joseph, Los Alamos, NM, UNITED STATES Berger, Jennifer A., Los Alamos, NM, UNITED STATES Harris, Michael N., Los Alamos, NM, UNITED STATES Burrell, Anthony K., Los Alamos, NM, UNITED STATES ΡT US 20080220441 A1 20080911 A1 20071010 (11) ΑI US 2007-974156

RLI Continuation-in-part of Ser. No. US 2001-859701, filed on 16 May 2001, PENDING Continuation-in-part of Ser. No. US 2002-206524, filed on 25 Jul 2002, ABANDONED Continuation-in-part of Ser. No. US 2003-621825, filed on 16 Jul 2003, Pat. No. US 6858148 US 2006-850594P 20061010 (60) PRAI DT Utilitv FS APPLICATION LN.CNT 10199 INCL INCLM: 435/071.000 INCLS: 436/501.000; 436/172.000; 436/086.000; 378/045.000 435/007.100 NCL NCLM: 378/045.000; 436/086.000; 436/172.000; 436/501.000 NCLS: G01N0033-53 [I,A]; G01N0021-76 [I,A]; G01N0033-68 [I,A]; IPCI IC G01N0023-223 [I,A]; G01N0023-22 [I,C*] G01N0033-53 [I,C]; G01N0033-53 [I,A]; G01N0021-76 [I,C]; IPCR G01N0021-76 [I,A]; G01N0023-22 [I,C]; G01N0023-223 [I,A]; G01N0033-68 [I,C]; G01N0033-68 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 4 OF 24 USPATFULL on STN Full Text 2007:328349 USPATFULL AN Modulation of Hyaluronan Synthesis and Degradation in the Treatment of ТΤ Disease Brown, Tracey Jean, Flemington, AUSTRALIA IN Brownlee, Gary Russell, East Burwood, AUSTRALIA ALCHEMIA ONCOLOGY LIMITED, Eight Mile Plains, AUSTRALIA, 4113 (non-U.S. PA corporation) US 20070286856 A1 20071213 PT A1 US 2004-574903 20041011 (10) ΑI WO 2004-AU1383 20041011 20070228 PCT 371 date PRAI AU 2003-905551 20031010 AU 2003-3906658 20031201 Utility DT FS APPLICATION LN.CNT 8892 INCL INCLM: 424/133.100 INCLS: 424/130.100; 424/142.100; 514/044.000; 530/387.100; 530/387.300; 530/388.100; 530/389.100; 536/022.100; 536/023.200; 536/024.500 NCL NCLM: 424/133.100 424/130.100; 424/142.100; 514/044.000A; 530/387.100; 530/387.300; 530/388.100; 530/389.100; 536/022.100; 536/023.200; 536/024.500 A61K0048-00 [I,A]; A61K0039-395 [I,A]; A61P0043-00 [I,A]; C07H0021-04 [I,A]; C07H0021-00 [I,C*]; C07K0016-18 [I,A] NCLS: IC IPCI A61K0048-00 [I,C]; A61K0048-00 [I,A]; A61K0031-395 [I,C*]; IPCR A61K0031-395 [I,A]; A61K0031-7105 [I,C*]; A61K0031-7105 [I,A]; A61K0031-711 [I,C*]; A61K0031-711 [I,A]; A61K0031-7115 [I,C*]; A61K0031-7115 [I,A]; A61K0031-712 [I,C*]; A61K0031-712 [I,A]; A61K0031-7125 [I,C*]; A61K0031-7125 [I,A]; A61K0039-395 [I,C]; A61K0039-395 [I,A]; A61P0035-00 [I,C*]; A61P0035-00 [I,A]; A61P0043-00 [I,C]; A61P0043-00 [I,A]; C07H0021-00 [I,C]; C07H0021-02 [I,A]; C07H0021-04 [I,A]; C07K0016-18 [I,C]; C07K0016-18 [I,A]; C07K0016-40 [I,C*]; C07K0016-40 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 5 OF 24 USPATFULL on STN Full Text 2007:284140 USPATFULL AN ΤΙ Nutraceutical composition and method of use for treatment / prevention of cancer Mazzio, Elizabeth, Tallahassee, FL, UNITED STATES ΙN Soliman, Karam, Tallahassee, FL, UNITED STATES PΤ US 20070248693 A1 20071025 A1 20070227 (11) US 2007-711883 ΑT Continuation-in-part of Ser. No. US 2005-233279, filed on 20 Sep 2005, RLI ABANDONED Continuation-in-part of Ser. No. US 2004-909590, filed on 2 Aug 2004, ABANDONED US 2003-491841P 20030802 (60) PRAI US 2004-540525P 20040129 (60) DT Utility FS APPLICATION

LN.CNT 2576 INCLM: 424/725.000 TNCL NCL NCLM: 424/725.000 A61K0036-00 [I,A]; A61P0035-00 [I,A] TC IPCI A61K0036-00 [I,C]; A61K0036-00 [I,A]; A61P0035-00 [I,C]; IPCR A61P0035-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 6 OF 24 USPATFULL on STN Full Text 2007:257306 USPATFULL AN COBALAMIN COMPOSITIONS FOR THE TREATMENT OF CANCER ТΤ ΙN Brown, Chad, Newport Beach, CA, UNITED STATES PA BEBAAS, INC. (U.S. corporation) PT US 20070225250 A1 20070927 US 2007-627816 A1 20070126 (11) ΑT PRAI US 2006-762131P 20060126 (60) Utility DT APPLICATION FS LN.CNT 699 INCLM: 514/052.000 INCL 514/052.000 NCL NCLM: A61K0031-714 [I,A]; A61K0031-7135 [I,C*] TC TPCT A61K0031-7135 [I,C]; A61K0031-714 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 7 OF 24 USPATFULL on STN Full Text AN 2007:161483 USPATFULL Composition and procedure for tissue creation, regeneration and repair ΤI by a cell-bearing biological implant enriched with platelet concentrate and supplements Gorrochategui Barrueta, Alberto, Bilbao, SPAIN ΙN Simon Elizundia, Josu, Bilbao, SPAIN A1 20070621 ΡI US 20070141036 US 2007-704784 A1 20070209 (11) Continuation-in-part of Ser. No. US 2003-475866, filed on 24 Oct 2003, ΑT RLI PENDING A 371 of International Ser. No. WO 2002-EP7, filed on 9 Jan 2002 DT Utility APPLICATION FS LN.CNT 1406 INCLM: 424/093.700 TNCL NCLM: 424/093.700 NCL IPCI A61K0035-14 [I,A] IC A61K0035-14 [I,C]; A61K0035-14 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 8 OF 24 USPATFULL on STN <u>Full Text</u> AN 2007:155116 USPATFULL ΤI Therapeutic molecules Collier, Greg, Victoria, AUSTRALIA Walder, Ken, Victoria, AUSTRALIA ΙN Kerr-Bayles, Lyndal, Victoria, AUSTRALIA PA Autogen Research Pty Ltd., North Brighton, Victoria, AUSTRALIA (non-U.S. corporation) Deakin University, Waurn Ponds, Victoria, AUSTRALIA (non-U.S. corporation) ΡI US 20070135335 A1 20070614 US 2004-545099 20040210 (10) ΑI A1 WO 2004-AU147 20040210 20060504 PCT 371 date US 2003-446191P 20030210 (60) PRAT DT Utility APPLICATION FS LN.CNT 6649 INCLM: 514/012.000 INCL INCLS: 514/044.000; 530/350.000 514/012.000 NCL NCLM: 514/044.000R; 530/350.000 NCLS: IC IPCI A61K0038-17 [I,A]; A61K0048-00 [I,A]; C07K0014-705 [I,A]; C07K0014-435 [I,C*]

IPCR A61K0038-17 [I,C]; A61K0038-17 [I,A]; A61K0048-00 [I,C]; A61K0048-00 [I,A]; C07K0014-435 [I,C]; C07K0014-705 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 9 OF 24 USPATFULL on STN Full Text 2007:30123 USPATFULL AN Detection of variations in the dna methylation profile ТΤ Berlin, Kurt, Stahnsdorf, GERMANY, FEDERAL REPUBLIC OF IΝ Piepenbrock, Christian, Berlin, GERMANY, FEDERAL REPUBLIC OF Olek, Alexander, Berlin, GERMANY, FEDERAL REPUBLIC OF A1 20070201 US 20070026393 РT US 2001-240970 20010406 (10) ΑI A1 WO 2001-DE1486 20010406 20030711 PCT 371 date DE 2000-100190588 20000406 PRAI DT Utility FS APPLICATION LN.CNT 16100 INCL INCLM: 435/006.000 INCLS: 536/024.300 NCL NCLM: 435/006.000 536/024.300 NCLS: IC IPCI C12Q0001-68 [I,A]; C07H0021-04 [I,A]; C07H0021-00 [I,C*] C12Q0001-68 [I,C]; C12Q0001-68 [I,A]; C07H0021-00 [I,C]; IPCR C07H0021-04 [I,A]; C07K0014-435 [I,C*]; C07K0014-47 [I,A]; C07K0014-82 [I,C*]; C07K0014-82 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 10 OF 24 USPATFULL on STN Full Text 2006:248357 USPATFULL AN Use of phenylmethimazoles, methimazole derivatives, and tautomeric ΤI cyclic thiones for the treatment of autoimmune/inflammatory diseases associated with toll-like receptor overexpression Kohn, Leonard D., Athens, OH, UNITED STATES Harii, Norikazu, Yaminashi, JAPAN ΙN Benavides-Peralta, Uruguaysito, Montevideo, URUGUAY Gonzalez-Murguiondo, Mariana, Montevideo, URUGUAY Lewis, Christopher J., Athens, OH, UNITED STATES Napolitano, Giorgio, Pescara, ITALY Giuliani, Cesidio, Roccamonce, ITALY Malgor, Ramiro, Athens, OH, UNITED STATES Goetz, Douglas J., Athens, OH, UNITED STATES US 20060211752 A1 20060921 ΡT US 2005-130922 A1 20050517 (11) ΑT Continuation-in-part of Ser. No. US 2004-912948, filed on 6 Aug 2004, RLI PENDING Continuation-in-part of Ser. No. US 2004-801986, filed on 16 Mar 2004, PENDING Utility DT FS APPLICATION LN.CNT 8384 INCL INCLM: 514/389.000 NCLM: 514/389.000 NCL A61K0031-4166 [I,A]; A61K0031-4164 [I,C*] IC TPCT TPCR A61K0031-4164 [I,C]; A61K0031-4166 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 11 OF 24 USPATFULL on STN Full Text 2006:41329 USPATFULL AN ΤI Inhibition of anaerobic glucose metabolism and corresponding composition as a natural non-toxic approach to cancer treatment IN Mazzio, Elizabeth Anne, Tallahassee, FL, UNITED STATES Soliman, Karam F., Tallahassee, FL, UNITED STATES ΡI US 20060035981 A1 20060216 A1 US 2005-233279 20050920 (11) ΑI Continuation-in-part of Ser. No. US 2004-909590, filed on 2 Aug 2004, RLI ABANDONED US 2003-491841P 20030802 (60) PRAI US 2004-540525P 20040129 (60)

DT Utility

FS APPLICATION LN.CNT 1613 INCL INCLM: 514/690.000 INCLS: 514/045.000; 514/051.000; 514/027.000; 514/251.000; 424/725.000; 424/748.000; 424/756.000; 424/745.000; 424/746.000; 424/729.000 NCLM: 514/690.000 NCL 424/725.000; 424/729.000; 424/745.000; 424/746.000; 424/748.000; NCLS: 424/756.000; 514/027.000; 514/045.000; 514/051.000; 514/251.000 IC IPCI A61K0031-12 [I,A]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A]; A61K0031-7042 [I,C*]; A61K0031-525 [I,A]; A61K0031-519 [I,C*]; A61K0036-328 [I,A]; A61K0036-23 [I,A]; A61K0036-185 [I,C*]; A61K0036-906 [I,A]; A61K0036-88 [I,C*] A61K0031-12 [I,A]; A61K0031-12 [I,C]; A61K0031-519 [I,C]; IPCR A61K0031-525 [I,A]; A61K0031-7042 [I,C]; A61K0031-7072 [I,A]; A61K0031-7076 [I,A]; A61K0036-185 [I,C]; A61K0036-23 [I,A]; A61K0036-328 [I,A]; A61K0036-537 [I,A]; A61K0036-82 [I,A]; A61K0036-88 [I,C]; A61K0036-906 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 12 OF 24 USPATFULL on STN Full Text 2005:69438 USPATFULL AN Dietary and pharmaceutical compositions for management and treatment of ТΤ oxidative stress Ellithorpe, Rita R., Santa Ana, CA, UNITED STATES ΙN Slesarev, Vladimir I., Coeur d'Alene, CA, UNITED STATES Dimitrov, Todor, Chestnut Hill, MA, UNITED STATES A1 20050317 A1 20040308 ΡT US 20050059579 US 2004-794285 20040308 (10) ΑT SN 2003-10455123 20030506 PRAI DT Utility APPLICATION FS LN.CNT 835 INCLM: 514/008.000 INCL NCLM: 514/008.000 NCL IC [7] ICM A61K038-16 IPCI A61K0038-16 [ICM, 7] A23L0001-305 [I,C*]; A23L0001-305 [I,A]; A61K0031-01 [I,C*]; IPCR A61K0031-015 [I,A]; A61K0031-352 [I,C*]; A61K0031-352 [I,A]; A61K0036-185 [I,C*]; A61K0036-185 [I,A]; A61K0038-16 [I,C*]; A61K0038-16 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 13 OF 24 USPATFULL on STN Full Text 2004:18482 USPATFULL AN ΤI Additive method of standardized drinks and potable water production Costa, Fortunato, Linda-a-Velha, PORTUGAL ΤN A1 20040122 A1 20030127 (10) ΡI US 20040013784 US 2003-239621 AI WO 2001-PT3 20010315 PT 2000-102430 20000316 PRAI Utilitv DT FS APPLICATION LN.CNT 1215 TNCL. INCLM: 426/590.000 NCLM: 426/590.000 NCL IC [7] C12C001-00 ICM C12C0001-00 [ICM, 7] IPCI IPCR A23L0001-29 [I,C*]; A23L0001-29 [I,A]; A23L0002-52 [I,C*]; A23L0002-52 [I,A]; C02F0001-68 [I,C*]; C02F0001-68 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 14 OF 24 USPATFULL on STN Text Full 2003:282627 USPATFULL AN ΤT Genostics Roberts, Gareth Wyn, Cambs, UNITED KINGDOM ΤN ΡA GENOSTIC PHARMA LIMITED (non-U.S. corporation) РT US 20030198970 A1 20031023

US 2002-206568 A1 20020729 (10) ΑT Continuation of Ser. No. US 1999-325123, filed on 3 Jun 1999, ABANDONED RLT PRAI GB 1998-12098 19980606 GB 1998-28289 19981223 Utility DT FS APPLICATION LN.CNT 4299 INCLM: 435/006.000 INCL INCLS: 536/024.300 NCL 435/006.000 NCLM: NCLS: 536/024.300 IC [7] ICM C120001-68 ICS C07H021-04 C12Q0001-68 [ICM,7]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] TPCT C07K0016-18 [I,C*]; C07K0016-18 [I,A]; C12Q0001-68 [I,C*]; IPCR C12Q0001-68 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 15 OF 24 USPATFULL on STN Full Text 2003:112524 USPATFULL AN Compositions for treating animal diseases and syndromes ΤТ Ramaekers, Joseph C., Aptos, CA, UNITED STATES ΤN US 20030077254 A1 20030424 PI B2 20051108 US 6962718 A1 20020430 (10) US 2002-136854 ΑT RLI Continuation-in-part of Ser. No. US 2001-847036, filed on 30 Apr 2001, PENDING DT Utility APPLICATION FS LN.CNT 2396 INCL INCLM: 424/093.300 INCLS: 424/617.000; 424/602.000; 424/094.500; 424/703.000; 514/168.000; 514/558.000; 514/251.000; 514/393.000; 514/356.000; 514/276.000 424/535.000; 424/093.300 424/093.400; 424/093.510; 424/400.000; 424/520.000; 424/725.000; NCLM: NCL NCLS: 424/094.500; 424/602.000; 424/617.000; 424/703.000; 514/168.000; 514/251.000; 514/276.000; 514/356.000; 514/393.000; 514/558.000 [7] IC ICM A61K045-00 TCS A61K038-52; A61K031-525 A61K0045-00 [ICM,7]; A61K0038-52 [ICS,7]; A61K0038-43 [ICS,7,C*]; IPCI A61K0031-525 [ICS,7]; A61K0031-519 [ICS,7,C*] IPCI-2 A61K0035-20 [ICM,7]; A61K0035-72 [ICS,7]; A61K0035-74 [ICS,7]; A61K0035-66 [ICS,7,C*]; A61K0035-78 [ICS,7] A61K0038-19 [I,C*]; A61K0038-19 [I,A] IPCR CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 16 OF 24 USPATFULL on STN Full Text AN 2002:337325 USPATFULL ΤI Fluorescent cobalamins and uses thereof Grissom, Charles B., Salt Lake City, UT, UNITED STATES ΙN West, Frederick G., Salt Lake City, UT, UNITED STATES McGreevy, James, Salt Lake City, UT, UNITED STATES Bentz, Joel S., Salt Lake City, UT, UNITED STATES Cannon, Michelle J., Price, UT, UNITED STATES US 20020192683 A1 20021219 ΡI US 6797521 в2 20040928 US 2002-97646 20020315 (10) A1 ΑT Continuation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000, RLI UNKNOWN US 1999-161368P 19991026 (60) PRAI US 2001-276036P 20010316 (60) DT Utility FS APPLICATION LN.CNT 1337 INCLM: 435/006.000 TNCL INCLS: 536/026.440 NCL NCLM: 436/505.000; 435/006.000 NCLS: 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000;

436/064.000; 436/164.000; 436/172.000; 514/052.000; 536/026.440 IC [7] ICM C12Q001-68 ICS C07H023-00 IPCI C12Q0001-68 [ICM,7]; C07H0023-00 [ICS,7] IPCI-2 G01N0033-567 [ICM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] A61B0001-04 [I,C*]; A61B0001-04 [I,A]; A61B0001-313 [N,C*]; IPCR A61B0001-313 [N,A]; A61B0005-00 [N,C*]; A61B0005-00 [N,A]; A61B0019-00 [N,C*]; A61B0019-00 [N,A]; A61K0047-48 [I,C*]; A61K0047-48 [I,A]; A61K0049-00 [I,C*]; A61K0049-00 [I,A]; C07F0015-00 [I,C*]; C07F0015-06 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; G01N0021-64 [N,C*]; G01N0021-64 [N,A]; G01N0033-52 [I,C*]; G01N0033-52 [I,A]; G01N0033-574 [I,C*]; G01N0033-574 [I,A]; G01N0033-58 [I,C*]; G01N0033-58 [I,A]; G02B0021-00 [I,C*]; G02B0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 17 OF 24 USPATFULL on STN Full Text AN 2002:206597 USPATFULL Bioconjugates and delivery of bioactive agents ΤT Grissom, Charles B., Salt Lake City, UT, UNITED STATES West, Frederick G., Salt Lake City, UT, UNITED STATES Howard, Allen W., JR., Dexter, MI, UNITED STATES ΤN ΡI US 20020111294 A1 20020815 US 6790827 B2 20040914 US 2001-982940 A1 20011022 (9) ΑT Division of Ser. No. US 1999-202328, filed on 22 Oct 1999, PATENTED A RLI 371 of International Ser. No. WO 1997-US14140, filed on 22 Aug 1997, UNKNOWN US 1996-24430P 19960827 (60) PRAI US 1996-25036P 19960827 (60) DT Utility FS APPLICATION LN.CNT 2337 TNCL INCLM: 514/006.000 INCLS: 514/044.000; 424/043.000 NCL NCLM: 514/006.000 NCLS: 424/001.110; 424/001.530; 424/001.690; 435/091.100; 435/091.310; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500; 424/043.000; 514/044.000A TC [7] ICM A61K048-00 ICS A61K051-00; A61K038-17; A61K009-00 A61K0048-00 [ICM,7]; A61K0051-00 [ICS,7]; A61K0038-17 [ICS,7]; IPCI A61K0009-00 [ICS,7] IPCI-2 A61K0038-16 [ICM, 7]; A61K0051-00 [ICS, 7]; C12N0011-06 [ICS, 7]; C12N0011-00 [ICS,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00 [ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; TPCR A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 18 OF 24 USPATFULL on STN Full Text 2002:92630 USPATFULL AN Bioconjugates and delivery of bioactive agents ΤТ Grissom, Charles B., Salt Lake City, UT, UNITED STATES IN West, Frederick G., Salt Lake City, UT, UNITED STATES Howard, W. Allen, JR., Dexter, MN, UNITED STATES University of Utah Research Foundation, Salt Lake City, UT, UNITED PA STATES, 84108 (U.S. corporation) US 20020049154 A1 20020425 PT US 6777237 B2 20040817 US 2001-982968 A1 20011022 (9) ΑT Division of Ser. No. US 1999-202328, filed on 22 Oct 1999, GRANTED, Pat. RLI No. US 6315978 A 371 of International Ser. No. WO 1997-US14140, filed on 22 Aug 1997, UNKNOWN US 1996-24430P 19960827 (60) PRAT US 1996-25036P 19960827 (60) DT Utility

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LN.CNT 2360 INCLM: 514/006.000 TNCL INCLS: 514/044.000; 604/020.000 435/455.000; 514/006.000 NCL NCLM: 424/001.110; 424/001.530; 424/001.690; 424/001.730; 435/091.100; 435/091.310; 435/181.000; 514/001.000; 514/002.000; 514/004.000; 514/006.000; 536/023.100; 536/024.500; 514/044.000A; 604/020.000 NCLS: IC [7] ICM A61K038-16 ICS A61K048-00; A61N001-30 IPCI A61K0038-16 [ICM,7]; A61K0048-00 [ICS,7]; A61N0001-30 [ICS,7] IPCI –2 A61K0051–00 [ICM, 7]; A61K0038–16 [ICS, 7]; C12N0011–06 [ICS, 7]; C12N0011–00 [ICS, 7, C*]; C12P0019–34 [ICS, 7]; C12P0019–00 [ICS, 7, C*]; C07H0021–04 [ICS, 7]; C07H0021–00 [ICS, 7, C*] IPCR A61K0041–00 [I, C*]; A61K0041–00 [I, A]; A61K0047–48 [I, C*]; A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 19 OF 24 USPATFULL on STN Full Text 87:41588 USPATFULL AN Compositions and method for simultaneous multiple array of analytes ΤI using radioisotope chelate labels IN Olson, Douglas R., Doylestown, PA, United States ICN Micromedic Systems, Inc., Costa Mesa, CA, United States (U.S. PA corporation) US 4672028 19870609 ΡT AI US 1984-612979 19840523 (6) DT Utility FS Granted LN.CNT 784 INCLM: 435/005.000 INCL INCLS: 435/007.000; 435/017.000; 435/026.000; 435/810.000; 436/500.000; 436/505.000; 436/510.000; 436/536.000; 436/542.000; 436/545.000; 436/804.000; 436/808.000; 436/811.000; 436/813.000; 436/814.000; 436/816.000; 436/817.000; 436/818.000; 436/820.000; 436/826.000 NCL NCLM: 435/005.000 435/007.230; 435/007.400; 435/017.000; 435/026.000; 435/810.000; NCLS: 435/973.000; 435/975.000; 436/500.000; 436/505.000; 436/510.000; 436/536.000; 436/542.000; 436/545.000; 436/804.000; 436/808.000; 436/811.000; 436/813.000; 436/814.000; 436/816.000; 436/817.000; 436/818.000; 436/820.000; 436/826.000 IC [4] ICM G01N033-53 ICS G01N033-567; G01N033-536 IPCI G01N0033-53 [ICM,4]; G01N0033-567 [ICS,4]; G01N0033-536 [ICS,4] A61K0035-66 [I,C*]; A61K0035-74 [I,A]; A61K0038-00 [I,C*]; IPCR A61K0038-00 [I,A]; A61K0038-22 [I,C*]; A61K0038-22 [I,A]; A61K0038-24 [I,C*]; A61K0038-24 [I,A]; C07F0015-00 [I,C*]; C07F0015-00 [I,A]; C07H0015-00 [I,C*]; C07H0015-00 [I,A]; C07H0023-00 [I,C*]; C07H0023-00 [I,A]; G01N0033-534 [I,C*]; G01N0033-534 [I,A]; G01N0033-60 [I,C*]; G01N0033-60 [I,A]; G01N0033-74 [I,C*]; G01N0033-74 [I,A] 436/536; 436/542; 436/545; 436/500; 436/505; 436/510; 436/804; 436/808; EXF 436/811; 436/813; 436/814; 436/817; 436/818; 436/816; 436/820; 436/826; 435/5; 435/7; 435/4; 435/17; 435/26; 435/810 CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 20 OF 24 USPAT2 on STN Full Text AN 2005:49435 USPAT2 ΤI Methods of increasing delivery of active agents to brain comprising administering receptor associated protein (RAP) fragments conjugated to active agents Zankel, Todd, San Francisco, CA, UNITED STATES ΤN Starr, Christopher M., Sonoma, CA, UNITED STATES Raptor Pharmaceutical Inc., Novato, CA, UNITED STATES (U.S. corporation) PA B2 20090804 US 7569544 ΡT US 2004-812849 20040330 (10) ΑT Continuation-in-part of Ser. No. US 2003-600862, filed on 20 Jun 2003, RLI ABANDONED

DT Utility

FS GRANTED LN.CNT 5335 INCL INCLM: 514/012.000 NCL NCLM: 514/012.000 IC A61K0048-00 [ICM, 7]; A61K0039-395 [ICS, 7] IPCI IPCI-2 A61K0038-18 [I,A]; C07K0019-00 [I,A]; C07K0014-435 [I,A]; C07K0014-48 [I,A]; C07K0014-485 [I,A]; C07K0014-50 [I,A] A61K0038-17 [I,C*]; A61K0038-17 [I,A]; A61K0039-395 [I,C*]; TPCR A61K0039-395 [I,A]; A61K0048-00 [I,C*]; A61K0048-00 [I,A]; C07K0014-435 [I,C*]; C07K0014-705 [I,A] CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 21 OF 24 USPAT2 on STN Full Text AN 2003:93594 USPAT2 Use of multiple antioxidant micronutrients as systemic biological ТΤ radioprotective agents against potential ionizing radiation risks Prasad, Kedar N., Denver, CO, UNITED STATES ΙN Haase, Gerald M., Greenwood Village, CO, UNITED STATES Cole, William C., Centennial, CO, UNITED STATES Premier Micronutrient Corporation, Nashville, TN, UNITED STATES (U.S. ΡA corporation) US 7449451 РT B2 20081111 US 2002-229274 ΑI 20020828 (10) DTUtility GRANTED FS LN.CNT 1344 INCL INCLM: 514/052.000 INCLS: 514/251.000; 514/184.000; 514/393.000; 514/350.000; 514/167.000; 514/474.000; 514/458.000; 514/440.000; 514/552.000; 514/276.000; 514/562.000; 514/494.000; 514/574.000; 514/763.000 514/052.000 NCL NCLM: NCLS: 514/167.000; 514/184.000; 514/251.000; 514/276.000; 514/350.000; 514/393.000; 514/440.000; 514/458.000; 514/474.000; 514/494.000; 514/552.000; 514/562.000; 514/574.000; 514/763.000 A61K0031-714 [ICM, 7]; A61K0031-7135 [ICM, 7, C*]; A61K0031-59 [ICS, 7]; A61K0031-555 [ICS, 7]; A61K0031-525 [ICS, 7]; A61K0031-519 [ICS, 7, C*]; A61K0031-51 [ICS, 7]; A61K0031-506 [ICS, 7, C*]; IC IPCI A61K0031-4184 [ICS,7]; A61K0031-4164 [ICS,7,C*]; A61K0031-015 [ICS,7]; A61K0031-01 [ICS,7,C*] IPCI-2 A61K0031-714 [I,A]; A61K0031-7135 [I,C*]; A61K0031-59 [I,A]; A61K0031-555 [I,A]; A61K0031-525 [I,A]; A61K0031-519 [I,C*]; A61K0031-51 [I,A]; A61K0031-506 [I,C*]; A61K0031-4184 [I,A]; A61K0031-4164 [I,C*]; A61K0031-015 [I,A]; A61K0031-01 [I,C*] A61K0031-7135 [I,C]; A61K0031-714 [I,A]; A61K0031-01 [I,C]; IPCR A61K0031-015 [I,A]; A61K0031-4164 [I,C]; A61K0031-4184 [I,A]; A61K0031-506 [I,C]; A61K0031-51 [I,A]; A61K0031-519 [I,C]; A61K0031-525 [I,A]; A61K0031-555 [I,C]; A61K0031-555 [I,A]; A61K0031-59 [I,C]; A61K0031-59 [I,A] 514/52; 514/167; 514/184; 514/251; 514/276; 514/350; 514/393; 514/440; EXF 514/458; 514/474; 514/494; 514/552; 514/562; 514/574; 514/763; 514/188; 514/725 CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 22 OF 24 USPAT2 on STN Full Text 2002:337325 USPAT2 AN Fluorescent cobalamins and uses thereof ΤI Grissom, Charles B., Salt Lake City, UT, United States West, Frederick G., Salt Lake City, UT, United States McGreevy, James, Salt Lake City, UT, United States IN Bentz, Joel S., Salt Lake City, UT, United States Cannon, Michelle J., Price, UT, United States University of Utah Research Foundation, Salt Lake City, UT, United PA States (U.S. corporation) US 6797521 B2 20040928 ΡI US 2002-97646 20020315 (10) ΑI Continuation-in-part of Ser. No. WO 2000-US29370, filed on 26 Oct 2000 RLI US 1999-161368P -19991026 (60) PRAI US 2001-276036P 20010316 (60) DT Utility FS GRANTED

LN.CNT 1187 INCLM: 436/505.000 TNCL INCLS: 514/052.000; 536/026.440; 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; 436/064.000; 436/164.000; 436/172.000 436/505.000; 435/006.000 435/004.000; 435/007.100; 435/007.210; 435/007.230; 436/063.000; 436/064.000; 436/164.000; 436/172.000; 514/052.000; 536/026.440 NCL NCLM: NCLS: IC [7] ICM G01N033-567 ICS A61K031-70; C07H023-00 C12Q0001-68 [ICM, 7]; C07H0023-00 [ICS, 7] IPCI IPCI-2 G01N0033-567 [ICM,7]; A61K0031-70 [ICS,7]; C07H0023-00 [ICS,7] A61B0001-04 [I,C*]; A61B0001-04 [I,A]; A61B0001-313 [N,C*]; A61B0001-313 [N,A]; A61B0005-00 [N,C*]; A61B0005-00 [N,A]; A61B0019-00 [N,C*]; A61B0019-00 [N,A]; A61K0047-48 [I,C*]; IPCR A61K0047-48 [I,A]; A61K0049-00 [I,C*]; A61K0049-00 [I,A]; C07F0015-00 [I,C*]; C07F0015-06 [I,A]; C09K0011-06 [I,C*]; C09K0011-06 [I,A]; G01N0021-64 [N,C*]; G01N0021-64 [N,A]; G01N0033-52 [I,C*]; G01N0033-52 [I,A]; G01N0033-574 [I,C*]; G01N0033-574 [I,A]; G01N0033-58 [I,C*]; G01N0033-58 [I,A]; G02B0021-00 [I,C*]; G02B0021-00 [I,A] 536/26.44; 514/52; 436/505 EXF CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 23 OF 24 USPAT2 on STN Full Text 2002:206597 USPAT2 AN ΤI Bioconjugates and delivery of bioactive agents Grissom, Charles B., Salt Lake City, UT, United States West, Frederick G., Salt Lake City, UT, United States Howard, Jr., W. Allen, Dexter, MI, United States IN University of Utah Research Foundation, Salt Lake City, UT, United ΡA States (U.S. corporation) US 6790827 20040914 ΡI в2 US 2001-982940 20011022 (9) ΑT Division of Ser. No. US 202328, now patented, Pat. No. US 6315978 US 1996-24430P 19960827 (60) RLI PRAI US 1996-25036P 19960827 (60) DT Utilitv GRANTED FS LN.CNT 2388 INCLM: 514/006.000 INCL INCLS: 424/001.110; 424/001.530; 424/001.690; 435/091.310; 435/091.100; 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500 514/006.000 NCL NCLM: 424/001.110; 424/001.530; 424/001.690; 435/091.100; 435/091.310; NCLS: 435/181.000; 435/455.000; 514/001.000; 514/002.000; 514/004.000; 536/023.100; 536/024.500; 424/043.000; 514/044.000A IC [7] ICM A61K038-16 ICS A61K051-00; C12N011-06; C12P019-34; C07H021-04 A61K0048-00 [ICM,7]; A61K0051-00 [ICS,7]; A61K0038-17 [ICS,7]; TPCT A61K0009-00 [ICS, 7] IPCI-2 A61K0038-16 [ICM,7]; A61K0051-00 [ICS,7]; C12N0011-06 [ICS,7]; C12N0011-00 [ICS,7,C*]; C12P0019-34 [ICS,7]; C12P0019-00 [ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; IPCR A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] 424/1.11; 424/1.69; 424/1.53; 424/9.361; 424/193.1; 435/6; 435/91.1; 435/91.31; 435/455; 435/181; 514/1; 514/2; 514/4; 514/6; 514/44; EXF 536/23.1; 536/24.5 CAS INDEXING IS AVAILABLE FOR THIS PATENT. L28 ANSWER 24 OF 24 USPAT2 on STN Full Text 2002:92630 USPAT2 AN Bioconjugates and delivery of bioactive agents ΤT Grissom, Charles B., Salt Lake City, UT, United States ΤN West, Frederick G., Salt Lake City, UT, United States Howard, Jr., Allen W., Dexter, MI, United States

University of Utah Research Foundation, Salt Lake City, UT, United ΡA

States (U.S. corporation) ΡI US 6777237 B2 20040817 US 2001-982968 ΑI 20011022 (9) RLI Division of Ser. No. US 202328, now patented, Pat. No. US 6315978 US 1996-24430P PRAI 19960827 (60) US 1996-25036P 19960827 (60) DT Utility GRANTED FS LN.CNT 2410 INCL INCLM: 435/455.000 INCLS: 424/001.690; 424/001.110; 424/001.730; 424/001.530; 435/091.100; 435/091.310; 435/181.000; 514/001.000; 514/002.000; 514/004.000; 514/006.000; 536/023.100; 536/024.500 435/455.000; 514/006.000 NCL NCLM: 424/001.110; 424/001.530; 424/001.690; 424/001.730; 435/091.100; NCLS: 435/091.310; 435/181.000; 514/001.000; 514/002.000; 514/004.000; 514/006.000; 536/023.100; 536/024.500; 514/044.000A; 604/020.000 IC [7] ICM A61K051-00 ICS A61K038-16; C12N011-06; C12P019-34; C07H021-04 IPCI A61K0038-16 [ICM, 7]; A61K0048-00 [ICS, 7]; A61N0001-30 [ICS, 7] IPCI-2 A61K0051-00 [ICM, 7]; A61K0038-16 [ICS, 7]; C12N0011-06 [ICS, 7]; C12N0011-00 [ICS, 7, C*]; C12P0019-34 [ICS, 7]; C12P0019-00 [ICS,7,C*]; C07H0021-04 [ICS,7]; C07H0021-00 [ICS,7,C*] IPCR A61K0041-00 [I,C*]; A61K0041-00 [I,A]; A61K0047-48 [I,C*]; A61K0047-48 [I,A]; C07H0021-00 [I,C*]; C07H0021-00 [I,A] 435/6; 435/91.1; 435/91.31; 435/181; 435/455; 514/1; 514/2; 514/4; 514/6; 514/44; 424/1.11; 424/1.53; 424/9.361; 424/193.1; 536/23.1; EXF 536/24.5 CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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CERTIFICATION OF FACSIMILE TRANSMISSION

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PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date

First Applicant:	Clet Niyikiza	Group Art Un	it: 1614
Serial No.:	11/776,329	Examiner:	Kevin E. Weddington
Application Date	: July 11, 2007	Confirmation	No.: 6568
For:	NOVEL ANTIFOLATE COM	BINATION TH	HERAPIES
Docket No.:	X14173B		

REPLY UNDER 37 C.F.R. 1.111 & AMENDMENT UNDER 37 C.F.R. 1.121

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office action of September 8, 2009, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begin on page 2 of this paper.

Remarks begin on page 5 of this paper.

Serial No. 11/776,329

Amendments to the Claims

The following listing of claims will replace all prior versions, and listing, of claims in the application.

Listing of Claims:

Claims 1-39 (Cancelled)

40. (currently amended) A method for administering pemetrexed disodium to a patient in need thereof comprising <u>administering an effective amount of folic acid and an effective amount of a</u> <u>methylmalonic acid lowering agent followed by</u> administering an effective amount of pemetrexed disodium in combination with a methylmalonic acid lowering agent, wherein:

the methylmalonic acid lowering agent is selected from the group consisting of vitamin B12, hydroxycoboalamin, cyano-10-chlorocoboalamin, aquocoboalamin perchlorate, aquo-10-coboalamin perchlorate, azidocoboalamin, cobalamin, cyanocobalamin, or chlorocoboalamin;

the methylmalonic acid lowering agent is administered from about 1 week to about 3 weeks prior to the first administration of the pemetrexed disodium; and

the methylmalonic acid lowering agent administration is repeated about every 6 to about every 12 weeks until administration of the pemetrexed disodium is discontinued.

41. (currently amended) The method of claim 40, wherein the methylmalonic <u>acid</u> lowering agent is vitamin_B12.

42. (previously presented) The method of claim 41, wherein the vitamin B12 is administered as an intramuscular injection of about 500 μ g to about 1500 μ g.

43. (previously presented) The method of claim 42, wherein the vitamin B12 is administered as an intramuscular injection of about 1000 μ g.

44. (currently amended) The method of claim 41, 42 or 43, wherein the vitamin B12 administration is repeated about every <u>9 weeks 6 to about every 12 weeks following the administration</u> <u>of vitamin B12</u> until the administration of the pemetrexed disodium is discontinued.

45 - 46. (cancelled)

Serial No. 11/776,329

47. (currently amended) The method of claim $46 \underline{44}$ wherein the folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

48. (previously presented) The method of claim $47 \underline{44}$ wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

49. (currently amended) The method according to any one of claims 40-4346-48, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

50. (previously presented) The method of claim 49 wherein about 350μ g to about 1000μ g of folic acid is administered.

51. (previously presented) The method of claim 50 wherein 350 μ g to 600 μ g of folic acid is administered.

52. (currently amended) The method of claim 40 $\frac{1}{1000}$ or 45 further comprising the administration of cisplatin to the patient.

53. (new) An improved method for administering pemetrexed disodium to a patient in need of chemotherapeutic treatment, wherein the improvement comprises:

a) administration of between about 350 μ g and about 1000 μ g of folic acid prior to the first administration of pemetrexed disodium;

b) administration of about 500µg to about 1500µg of vitamin B12, prior to the first administration of pemetrexed disodium; and

c) administration of pemetrexed disodium.

54. (new) The method of claim 53 further comprising the administration of cisplatin to the patient.

55. (new) The method of claim 53, wherein vitamin B12 is administered as an intramuscular injection of about 500 μ g to about 1500 μ g.

56. (new) The method of claim 55, wherein vitamin B12 is administered as an intramuscular injection of about 1000 μ g.

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57. (new) The method of claim 56, wherein between 0.3 mg to about 5 mg of folic acid is administered orally.

58. (new) The method of claim 57 wherein about 350 μ g to about 1000 μ g of folic acid is administered.

59. (new) The method of claim 58 wherein 350 µg to 600 µg of folic acid is administered.

60. (new) The method of claim 59 wherein folic acid is administered 1 to 3 weeks prior to the first administration of the pemetrexed disodium.

61. (new) The method of claim 59 wherein the folic acid is administered from about 1 to about 24 hours prior to administration of the pemetrexed disodium.

62. (new) The method of claim 53, 59, or 60, wherein the vitamin B12 administration is repeated about every 6 to about every 12 weeks following the administration of vitamin B12 until administration of pemetrexed disodium is discontinued.

63. (new) The method of claim 62 further comprising the administration of cisplatin to the patient.

Remarks

Thank you for taking the time to discuss this case with me earlier today. I look forward to a timely allowance of this case. Please call me at the number provided below if during final review of the files an issue presents itself.

Claims 1-39, 45, and 46 have been cancelled. Claim 40 has been amended to a) introduce a new limitation, pretreatment with folic acid, b) remove the requirement for cyclic administration, c) to include cobalamin and cyanocobalamin in the Markush group, and d) correct spelling errors. Applicants submit that no new material has been introduced through this amendment. This amendment finds support at least at page 7, lines 5-8, page 9, lines 1-11, and page 15, line 20. Claim 41 has been amended to include a space between "vitamin" and "B12" and to add the term "acid" to the phrase "methylmalonic lowering agent." Claims 47, 49, and 52 have been amended to correct claim dependency. Applicants submit that no new material has been introduced through these amendments. Claims 53 - 62 are new and find support at least at page 13, lines 21 to 25, page 6, lines 3-5; page 7, lines 20-27; and page 14, line 3. No Claims are allowed and all claims stand rejected under 35 U.S.C. 103(a). In view of the reasons set forth below, Applicants submit that the rejection is improper and should be withdrawn. Entry of the amendments and reconsideration and allowance of the present application are respectfully requested.

Rejections Under 35 USC §103(a)

All claims stand rejected under 35 U.S.C. 103(a) as allegedly being unpatentable over Taylor (5,344,932) in view of Tsao et al., "Influence of Cobalamin on the Survival of Mice Bearing Ascites Tumor," Pathobiology, Vol. 61, No. 2, pp. 104-108 (1993), further in view of Worzalla et al., Anticancer Research, Vol. 18, No. 5, pp. 3235-3239, and further in view of Cleare et al. (4,149,707). Applicants submit that the Examiner meant to cite to Cleare et al. at 4,140,707 ("Malonato Platinum Anti-Tumor Compounds") and not 4,149,707 ("Spring Device"). Applicants address the Examiner's concerns below based upon the belief that Cleare et al. refers to US Patent #4,140,707. If this is incorrect, Applicants reserve the right to address the new art in a future communication.

The presently claimed invention is directed to improving the therapeutic utility of pemetrexed disodium by administering to a patient a methylmalonic acid lowering agent and folic acid followed by administering an effective amount of pemetrexed disodium. Applicants have discovered that the claimed method reduces mortality and nonhematologic events, such as skin rashes and fatigue events without compromising pemetrexed disodium's efficacy, see page 3,

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lines 5-15 of the Specification. Prior to Applicant's invention a skilled artisan would not have been motivated to combine pemetrexed disodium with a methylmalonic acid lowering agent, such as vitamin B12, and folic acid and there would have been no reasonable expectation in the art that the claimed treatment method would provide a viable chemotherapy regimen, let alone reduce toxic events related to administration of pemetrexed disodium.

The Examiner alleges that in view of Taylor, Tsao, Worzalla, and Cleare a skilled artisan would have "assumed the combination of three antineoplastic agents into a single composition would give an additive effect in the absence of evidence to the contrary." *Office Action* (OA) dated 9/8/2009, page 4, paragraph 3. Applicants respectfully assert that the Examiner's obviousness rejection is inappropriate and should be withdrawn.

The *Graham v. John Deere Co. of Kansas City*, 383 U.S. 1 (1966), factors control an obviousness inquiry. *KSR International Co. v. Teleflex Inc.*, 127 S.Ct. 1727 (2007). Those factors are: 1) "the scope and content of the prior art"; 2) the "differences between the prior art and the claims"; 3) "the level of ordinary skill in the pertinent art"; and 4) objective evidence of nonobviousness. *KSR*, 127 S. Ct. at 1734 (quoting *Graham*, 383 U.S. at 17-18).

The Court in *KSR* acknowledged the importance of identifying "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does" in an obviousness determination. *KSR*, 127 S. Ct. at 1731. *KSR* also did not disturb the longstanding requirement that an obviousness determination requires that a skilled artisan would have perceived a reasonable expectation of success in making the invention in light of the prior art. *In re Kubin*, 561 F.3d 1351, 1352+ (Fed. Cir. 2009) (quoting *In re O'Farrell*, 853 F.2d 894, 903-904 (Fed. Cir. 1988)).

Rejection based upon Taylor in view of Tsao

The Examiner alleges that pemetrexed disodium and vitamin B12 were known to be "antineoplastic agents" and therefore could be combined "into a single composition [that] would give an additive effect." OA, page 4, paragraph 3. However, the Examiner appears to have misinterpreted the understanding in the art concerning vitamin B12 antineoplastic activity and the teachings of Taylor. Particularly, the rejection overstates what Tsao as a whole fairly discloses. Tsao teaches that conflicting results have been found for the use of vitamin B12 as an antitumor agent in animals and in man (see page 104, column 1 at about line 13 through column 2 at about line 18). Tsao states:

"the results of two survey studies using data from several hospitals failed to confirm that B12 therapy was effective either when it was

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administered alone of in conjunction with X-ray or chemotherapeutic agents...Experiments with laboratory animals also showed conflicting results."

(see p. 104, col. 1, lines 15-21). In fact, Tsao reports that cyanocobalamin "did not affect cell growth at a daily dose as high as 1,000 mg/kg body weight." Tsao, page 105, last paragraph. It is therefore submitted that when viewed as a whole, a person of ordinary skill in the art reading Tsao would not have perceived a reasonable expectation of success in making Applicant's invention in view of the scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, Applicants submit that the activity of B12 as a potential antitumor therapeutic is still inconclusive even as of today (see Volkov 2008, attached, introductory paragraph, page 324, "Researchers have attempted to correlate vitamin B12 with malignancy ever since the multifunctional role of cobalamin has begun to be understood...There are many hypotheses about the role of vitamin B12 in growth of malignancy, but we still have many more questions than we have answers.").

Additionally, page 3 of the OA asserts that Taylor discloses certain glutamic acid derivatives, including pemetrexed disodium, as effective antineoplastic agents and that pemetrexed disodium can be combined with other antineoplastic agents. The OA admits that Taylor "does not teach the addition of a methylmalonic acid lowering agent." However, the OA goes on to suggest that "the secondary reference, Tsao et al., teaches a methylmalonic acid lowering agent such as cobalamin (vitamin B12) is effective as having antitumor activity (see the abstract)." *OA*, pp 3-4. The rejection particularly notes column 8, lines 64-68, of Taylor, which merely states the compounds of the invention "can be administered … with other therapeutic agents, including antineoplastic agents [which is another genus of compounds], steroids, etc. to a mammal suffering from neoplasm …" As discussed *supra*, at the time of Applicant's invention there was scientific uncertainty concerning vitamin B12 and its use as an antitumor agent. In fact, as will be further discussed below, the skilled artisan would have expected a decrease in the antineoplastic activity of pemetrexed disodium when administered in combination with vitamin B12, see Specification page 3, lines 7-8, not an additive or even a synergistic effect for antineoplastic activity, see Specification page 16, lines 6-9.

Applicants respectfully assert the Examiner has not made a *prima facie* showing of obviousness, at least because the rejection lacks support for why a skilled artisan would have combined pemetrexed disodium with a methylmalonic acid lowering agent and folic acid as claimed and that there would have been any reasonable expectation the claimed method would provide a viable chemotherapy regimen and reduce toxicity associated with pemetrexed disodium

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administration. In view of the comments made *supra*, Applicants respectfully request reconsideration and allowance of the present application.

Although the Examiner has not set forth a *prima facie* showing of obviousness, to expedite allowance of the application, Applicants make the following additional remarks. The Supreme Court's ruling in KSR states that prior-art elements "work[ing] together in an unexpected and fruitful manner" is an indicia of nonobviousness. KSR at 416. A skilled artisan would have understood at the time that pemetrexed disodium is a multitargeted antifolate having specific activity at three enzymes in the biosynthesis of nucleic acids. The enzymes are dihydrofolate reductase (DHFR), thymidine synthase (TS), and GAR formyltransferase (GARFT). (Shih, 1999 and Shih, 1997, attached.) All of these enzymes need a folate derivative to function. DHFR obviously has dihydrofolate as a substrate; TS needs N^5 , N^{10} -methylenetetrahydrofolate as a methyl source (returning folate as dihydrofolate); and GARFT has N¹⁰-formyltetrahydrofolate as a formyl source returning it as tetrahydrofolate. (Kisliuk, 1999 and Kisliuk, 1984, attached.) Pemetrexed disodium is, in simple terms, a folate analogue and acts by competing with folate at each of the enzymes' folate binding sites. If there is an excess of the natural ligand (the natural folate source) for the three enzymes then the effectiveness of pemetrexed disodium is reduced. This is shown for example in Table 1 of Worzalla. It can be seen that for the five cancer cell-lines reported, increasing the folic acid concentration from 1 μ m to 10 μ m gives up to a 14-fold decrease in efficacy of pemetrexed disodium (14-fold increase in IC₅₀). The skilled person, if they indeed had all of the knowledge of Taylor, Tsao, and Worzalla, would understand that by adding vitamin B12 they could be releasing the pool of N^5 -methyltetrahydrofolate so causing an effective increase in the concentration of the natural folate substrate, thereby decreasing the efficacy of pemetrexed disodium. The skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy.

At the time of the invention, the skilled artisan would have been aware it was standard of care to avoid vitamins in patients undergoing chemotherapy, because the usage of vitamins could decrease the effectiveness of the chemotherapy. See for example:

 AstraZeneca's compound, Tomudex® (raltitrexed), is a TS inhibitor approved in 1995 in the United Kingdom and marketed in Europe for the treatment of colorectal cancer. The monograph as provided in Martindale's 1999, "The Complete Drug Reference" (attached) states that "Raltitrexed should not be given with folic or folinic acid which may impair its cytotoxic action." (page 560, Interactions.)

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2. Methotrexate is a DHFR inhibitor that was approved in 1959 in the United States. The 1999 monograph as published by the "Physicians' Desk References" clearly states:

"Vitamin preparations containing folic acid or its derivatives may decrease responses to systemically administered methotrexate. Preliminary animal and human studies have shown that small quantities of intravenously administered leucovorin enter the CSF primarily as 5-methyltetrahydrofolate and, in humans, remain 1-3 orders of magnitude lower than the usual methotrexate concentrations following intrathecal administration. However, high doses of leucovorin may reduce the efficacy of intrathecally-administered methotrexate. Folate deficiency states may increase methotrexate toxicity." (pages 1398-1399, *Drug Interactions*, attached.)

 Fluorouracil (5-FU) is an inhibitor of TS. In the 1998 monograph as published by the "Physicians' Desk References" for 5-FU, there is a warning that the administration of folinic acid is associated with increased toxicity "Leucovorin calcium may enhance the toxicity of fluorouracil." (page 2463, *Drug Interactions*, attached.)

Leucovorin or folinic acid is a 5-formyl derivative of tetrahydrofolic acid. The 1999 monograph from the "Physicians' Desk References" describes leucovorin as "one of several active, chemically reduced derivatives of folic acid. It is useful as an antidote to drugs which act as folic acid antagonists," and "[a]dministration of leucovorin can counteract the therapeutic and toxic effects of folic acid antagonists such as methotrexate, which act by inhibiting dihydrofolate reductase. In contrast, leucovorin can enhance the therapeutic and toxic effects of fluoropyrimidines used in cancer therapy, such as 5-fluorouracil." (page 1389, *Drug Interactions*, attached.)

Applicants unexpectedly discovered administering vitamin B12 and folic acid as claimed reduces toxicity of pemetrexed disodium. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) This is clearly demonstrated by the examples in the specification wherein treatment toxicities were reduced in tumor bearing mice with or without the addition of folic acid. For example, the Specification at pg 15, lines 24-25 states, "Vitamin B12 supplementation with ALIMTA has a moderate effect on drug related toxicity, lowering drug related deaths to 3% and severe toxicities by about 25%." Page 15, lines 25-27 of the specification states, "The combination of vitamin B12 and folic acid with ALIMTA has lowered the drug related deaths to <1% in over 480 so treated." The specification also explains that pilot studies in humans established that vitamin B12 given to patients receiving ALIMTA experienced fewer side effects. Clinical studies sponsored by Eli Lilly (Lilly) confirmed less overall pemetrexed disodium-related

-9-

toxicity. Specifically, as is shown in the table below, reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

	Percent of occurrences prior to B12/folic acid treatment (N=246)	Percent of occurrences post B12/folic acid treatment (N≈78)
Hematologic Toxicity/Non-	37%	6.4%
Hematologic Toxicity		
Nentropesia	32%	2.6%
Miscositis	5%	1.3%
Diarrhea	6%	2.6%
Neutropenia and Mucositis	3%	0%
Neutropenia and Diarchea	3%	<u> 0%</u>
Neutropenia and infection	2%	0%

Table 1

(See Specification, Table 1, page 16.)

Today, Lilly's pemetrexed disodium product, ALIMTA®, is an FDA approved product in the United States and its prescribing information (attached) includes the following information on the need to administer B12 and the effects of vitamin supplementation in reducing toxicity.

<u>Need for Folate and Vitamin B12 Supplementation</u> Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and GI toxicity *[see Dosage and Administration (2.3)]*. In clinical studies, less overall toxicity and reductions in Grade 3/4 hematologic and nonhematologic toxicities such as neutropenia, febrile neutropenia, and infection with Grade 3/4 neutropenia were reported when pretreatment with folic acid and vitamin B12 was administered.

(Approved Label for NDA 021462, lines 118-122.) The Approved Label goes on to instruct that "Patients must also receive one (1) intramuscular injection of vitamin B12 during the week preceding the first dose of ALIMTA and every 3 cycles thereafter." (Approved Label for NDA 021462, lines 33-34.) And that "Patients treated with ALIMTA must be instructed to take folic acid and vitamin B12 as a prophylactic measure to reduce treatment-related hematologic and gastrointestinal toxicity *[see Dosage and Administration (2.3)]*." (Approved Label for NDA 021462, lines 696-697.)

Table 8 of the Approved Label compares the incidence (percentage of patients) of CTC Grade 3/4 toxicities in patients who received vitamin supplementation with daily folic acid and vitamin B12 from the time of enrollment in the study (fully supplemented) with the incidence in

patients who never received vitamin supplementation (never supplemented) during the study in the ALIMTA plus cisplatin arm.

Adverse Evens ^a (%)	Fully Supplemented Patients (N=168)	Never Supplemented Patients (N=32)					
Neutropenia/granulocytopenia	23	38					
Thromborytopenia	5	9					
Vonnting	11	31					
Febrile neutropenis	ĩ	ġ					
Infection with Grade 3/4 neutropenia	0	6					
Diarthes	\$	ğ					
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Table 8: Selected Grade 3/4 Adverse Events Comparing Fully Supplemented versus Never Supplemented Patients in the ALIMTA plus Cisplatin arm (% incidence)

* Refer to NCI CTC criteria for lab and non-laboratory values for each grade of toxicity (Version 2.9).

Clearly, Applicants have made a significant discovery not obvious in view of the references cited in the Office Action. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium administration, such as patient death, without reduction of pemetrexed disodium's efficacy. (See Specification at pg 15, lines 21-25 and pg 16, lines 6-9.) Under the Supreme Court's decision in *KSR*, the combination of a methylmalonic acid lowering agent, particularly vitamin B12 or a pharmaceutical derivative, and pemetrexed disodium does more than yield predictable results, the combination works together in an unexpected and fruitful manner. Therefore, the rejection is clearly improper and should be withdrawn.

Rejection based upon Taylor in view of Tsao, Worzolla, Cleare, and general knowledge in the prior art

Because the combination of a methylmalonic acid lowering agent, folic acid, and pemetrexed disodium is not obvious to one of skill in the art under 35 U.S.C. 103(a), then the additional limitation introduced by the remaining dependent claims cannot be held obvious. (*See Callaway Golf Co. v. Acushnet Co.*, 576 F.3d 1331 at 1344, 91 U.S.P.Q.2d 1705 (Fed. Cir. 2009). Furthermore, the Examiner has misinterpreted the teaching of Worzalla. In addition to the arguments made *supra*, Worzolla et.al. discloses that the addition of folic acid may reduce the effectiveness of pemetrexed disodium. (See for example table 1 of Worzalla: for the 5 cancer celllines reported, increasing the folic acid concentration from 1 µm to 10 µm gives up to a 14-fold decrease in efficacy of pemetrexed disodium.) Worzolla provides no suggestion that lowering methylmalonic acid levels would further reduce associated toxicities while maintaining the therapeutic efficacy of pemetrexed disodium. Cleare does not disclose or provide rationale for the combination of platinum anti-tumor compounds with Applicant's claimed method of treating patients with pemetrexed disodium. Serial No. 11/776,329

Additionally, the Examiner has presented no reason, as is required under *KSR* that the claimed dosing cycles and ranges are obvious. A *prima facie* case of obviousness requires supporting objective evidence to be sustained. An examiner must substantiate his or her "suspicions" or "hunches" on the basis of facts drawn from the prior art. Application of Lunsford, 53 C.C.P.A. 1011, 357 F.2d 385, 391, 148 U.S.P.Q. (BNA) 721, 725 (1966). Applicants respectfully assert that the Examiner's allegation that "readily optimized effective and concurrent administration dosage forms" are available in the art or are within "the ability of tasks routinely performed…without undue experimentation" does not rise to the level of "supporting objective evidence" under Application of Lunsford. Applicants respectfully submit that the Examiner could not arrive at the presently claimed invention, its dosing ranges and/or its cyclic administration.

Conclusion

Applicants respectfully contend that a *prima facie* case of obviousness has not been established, the Applicants' claimed invention is unobvious. A skilled artisan would not have expected the reduction of severe toxicities associated with pemetrexed disodium, such as patient death, without the expected effect of reduction of pemetrexed disodium's efficacy. The rejection is improper and should be withdrawn.

Entry of the amendments and allowance of the claims in view of the amendments and discussion *supra* are respectfully requested.

Respectfully submitted,

/Elizabeth A McGraw/

Elizabeth A. McGraw Attorney for Applicants Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

November 13, 2009

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329
Filing Date		2007-07-11
First Named Inventor	Clet N	liyikiza
Art Unit		1614
Examiner Name		
Attorney Docket Number		X14173B

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	First Named Inventor Clet N		Niyikiza	
	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	X14173B	

1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
6	KISLIUK, RL., 1999. "Folate Biochemistry in RElation to Antifolate Selectivity." In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.	
7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
8	Methotrexate, Physicians Desk Reference, (c) 1999. pp. 1397-1413.	
9	MORGAN, et al., "Folic acid supplementation prevent deficient blood folate levels and hyperhomocysteinemia during long-term, low dose methotrexate therapy for rheumatoid arthritis: implications for cardiovascular disease prevent," J. Rheumatol. 25:441-446. (1998).	
10	NIYIKIZA, C., et al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of Clinical Oncology (ASCO) Meeting Abstract No. 2139 (1998).	
11	Raltitrexed, The Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560.	

INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet Niyikiza Art Unit 1614 Examiner Name Attorney Docket Number X14173B

	12		SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.							
	13		HIH, C., et al., "Preclinical Pharmacology Studies and the Clinical Development of a Novel Multitargeted Antifolate, ITA (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.							
	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.								
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English language translation is attached.

INFORMATION DISCLOSURE	Application Number		11776329	
	Filing Date 2		2007-07-11	
	First Named Inventor Clet N		Niyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Number		X14173B	

CERTIFICATION S	STATEMENT
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

X Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Elizabeth A McGraw/	Date (YYYY-MM-DD)	2009-11-13
Name/Print	Elizabeth A. McGraw	Registration Number	44646

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Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Cle	et Niyikiza				
Filer:	Elizabeth Ann McGraw/Lisa Capps					
Attorney Docket Number:	Attorney Docket Number: X14173B					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
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International Application Number:						
Confirmation Number:	6568					
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First Named Inventor/Applicant Name:	Clet Niyikiza					
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568		
25885 ELILILLY & (7590 11/19/2009 COMPANY		EXAMINER			
PATENT DIVI P.O. BOX 6288	SION		WEDDINGTON, KEVIN E			
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER		
			1614			
			NOTIFICATION DATE	DELIVERY MODE		
			11/19/2009	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)						
Interview Summary	11/776,329	NIYIKIZA ET AL.						
E E	Examiner	Art Unit						
×	KEVIN WEDDINGTON	1614						
All participants (applicant, applicant's representative, PTO pe	ersonnel):							
(1) <u>KEVIN WEDDINGTON</u> .	(3) <u>Bill McMillen</u> .							
(2) <u>Elizabeth A. McGraw</u> .	(4)							
Date of Interview: <u>12 November 2009</u> .								
Type: a)⊠ Telephonic b)∏ Video Conference c)∏ Personal [copy given to: 1)∏ applicant 2)∏ applicant's representative]								
Exhibit shown or demonstration conducted: d)⊠ Yes e)⊟ No. If Yes, brief description: <u>Proposed Amendment (Right-Faxed)</u> .								
Claim(s) discussed: <u>The claims in general</u> .								
Identification of prior art discussed: <u>The pior art of record</u> .								
Agreement with respect to the claims f) was reached. g)	☐ was not reached. h)⊠ N	I/A.						
Substance of Interview including description of the general na reached, or any other comments: <u>The attorney of record, Ms.</u> <u>response to the outstanding rejections</u> . The attorney will office	<u>. McGraw, explained the pro</u>	posed amendment wit	<u>h the</u>					
(A fuller description, if necessary, and a copy of the amendme allowable, if available, must be attached. Also, where no cop allowable is available, a summary thereof must be attached.)	py of the amendments that w							
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.								
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614								

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11776329		
Application Number		11770329		
Filing Date		2007-07-11		
First Named Inventor Clet N		IIYIKIZA		
Art Unit		1614		
Examiner Name Kevin		E. Weddington		
Attorney Docket Number		X14173B_US		

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Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), T ⁵ publisher, city and/or country where published.								T⁵	

INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet NIYIKIZA Art Unit 1614 Examiner Name Kevin E. Weddington Attorney Docket Number X14173B_US

	1		Maysishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination with Cobalamine Derivatives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.					
	2 McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.							
	3	Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.						
If you wis	h to a	dd add	ditional non-patent literature document citation information please click the Add button Add					
			EXAMINER SIGNATURE					
Examiner	Signa	ature	Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
Standard S ⁻ ⁴ Kind of do	Г.З). ^з f cument	For Japa by the	TO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPC panese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent docum appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark he on is attached.	nent.				

	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor Clet N		NYIKIZA	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name	Kevin	E. Weddington	
	Attorney Docket Number		X14173B_US	

CERTIFICATION S	TATEMENT
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Elizabeth A. McGraw/	Date (YYYY-MM-DD)	2009-12-15
Name/Print	Elizabeth A. McGraw	Registration Number	44,646

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Ac	knowledgement Receipt
EFS ID:	6638731
Application Number:	11776329
International Application Number:	
Confirmation Number:	6568
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES
First Named Inventor/Applicant Name:	Clet Niyikiza
Customer Number:	25885
Filer:	Elizabeth Ann McGraw/Linda Durbin
Filer Authorized By:	Elizabeth Ann McGraw
Attorney Docket Number:	X14173B
Receipt Date:	15-DEC-2009
Filing Date:	11-JUL-2007
Time Stamp:	14:32:14
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted wit	th Payment	no	no						
File Listing	g:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Information Disclosure Statement (IDS)	X14173BIDS1449.pdf	608355	no	4				
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Warnings:									
Information:									

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3	NPL Documents	X14173BNO2McDonald.pdf	13863361	no	186
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characterize Post Card, as <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inte an internatio and of the Im	vledgement Receipt evidences receip d by the applicant, and including pages described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin <u>ge of an International Application ur</u> abmission to enter the national stage and other applicable requirements a F ge submission under 35 U.S.C. 371 with tional Application Filed with the USP rnational application is being filed ar bonal filing date (see PCT Article 11 an iternational Filing Date (Form PCT/RC urity, and the date shown on this Ack	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international application orm PCT/DO/EO/903 indication PCT/DO/EO/903 indication orm PCT/DO/EO/903 indication of as a Receiving Office and the international applicat d MPEP 1810), a Notification D/105) will be issued in due co	It serves as evidence components for a filin course and the date s ion is compliant with ing acceptance of the Filing Receipt, in du ion includes the nece of the International <i>J</i> course, subject to pres	of receipt s og date (see hown on th the condition application course. ssary comp Application criptions co	similar to a 37 CFR is ons of 35 n as a onents for Number oncerning

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568		
25885 ELI LILLY & (7590 02/05/201	0	EXAMINER			
PATENT DIVI P.O. BOX 6288	SION		WEDDINGTO	DN, KEVIN E		
	, IS, IN 46206-6288		ART UNIT	PAPER NUMBER		
			1614			
			NOTIFICATION DATE	DELIVERY MODE		
			02/05/2010	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com

	Application No.	Applicant(s)
	11/776,329	NIYIKIZA ET AL.
Office Action Summary	Examiner	Art Unit
	KEVIN WEDDINGTON	1614
The MAILING DATE of this communication app Period for Reply	bears on the cover sheet with the o	correspondence address
 A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D. Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earmed patent term adjustment. See 37 CFR 1.704(b). 	ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be tir will apply and will expire SIX (6) MONTHS from a, cause the application to become ABANDONE	N. mely filed n the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>13 N</u>	lovember 2009	
	action is non-final.	
3) Since this application is in condition for allowa		osecution as to the merits is
closed in accordance with the practice under E	•	
Disposition of Claims		
	application	
 4) Claim(s) <u>40-44 and 47-63</u> is/are pending in the 4a) Of the above claim(s) is/are withdrawis/ 		
5) Claim(s) is/are allowed.	with toth consideration.	
6)⊠ Claim(s) <u>40-44 and 47-63</u> is/are rejected.		
7) Claim(s) $$		
8) Claim(s) is/are objected to:	r election requirement	
	election requirement.	
Application Papers		
9) The specification is objected to by the Examine	er.	
10) The drawing(s) filed on is/are: a) acc	epted or b) objected to by the	Examiner.
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correct		
11) The oath or declaration is objected to by the Ex	caminer. Note the attached Office	e Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)-(d) or (f).
1. Certified copies of the priority document	s have been received.	
2. Certified copies of the priority document	s have been received in Applicat	ion No
3. Copies of the certified copies of the prio	rity documents have been receive	ed in this National Stage
application from the International Burea	u (PCT Rule 17.2(a)).	
* See the attached detailed Office action for a list	of the certified copies not receive	ed.
Attachment(s)		
1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summary	(PTO-413)
2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D	ate
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>11-13-09; 12-15-09</u> .	5) 🔛 Notice of Informal F 6) 🔲 Other:	ratent Application
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Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are presented for examination.

Applicants' amendment, response and information disclosure statement filed

November 13, 2009; and the information disclosure statement filed December 15, 2009

have been received and entered.

Accordingly, the rejection made under 35 USC 103(a) as being obvious over

Taylor (5,344,932) of PTO-1449 in view of Tsao et al., Pathobiology, vol. 61, No. 2, pp.

104-108 (1993) of PTO-1449, further in view of Worzalla et al., Anticancer Research,

Vol. 18, No. 5, pp. 3255-3239 of PTO-1449, and further in view of Cleare et al.

(4,149,707) as set forth in the Office action dated September 8, 2009 at pages 2-5 as

applied to claims 40-52 is hereby withdrawn because of applicants' remarks.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Application/Control Number: 11/776,329 Art Unit: 1614

Claims 40-44 and 47-63 are rejected on the ground of nonstatutory obviousnesstype double patenting as being unpatentable over claims 1-7 of U.S. Patent No. 7,053,065 B2. Although the conflicting claims are not identical, they are not patentably distinct from each other because the only difference between the present claims and the patented claims lies in that in the present claims, addition agent(s) is administered with the presently claimed active agents (pemetrexed disodium and vitamin B12).

The present claims would anticipate the patented claims because the patented claims recite "**comprising**" and thus opens the claims to the inclusion of additional active agent(s).

Claims 40-44 and 47-63 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEVIN WEDDINGTON whose telephone number is (571)272-0587. The examiner can normally be reached on 12:30 pm - 9:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin Marschel can be reached on (571)272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 11/776,329 Art Unit: 1614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> KEVIN WEDDINGTON Primary Examiner Art Unit 1614

/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614

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/K.W./	1	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
	4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
	5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
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	7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
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	9	MORGAN, et al., "Folic acid supplementation prevent deficient blood folate levels and hyperhomocysteinemia during long-term, low dose methotrexate therapy for rheumatoid arthritis: implications for cardiovascular disease prevent," J. Rheumatol. 25:441-446. (1998).	
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INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet Niyikiza Art Unit 1614 Examiner Name Attorney Docket Number X14173B

/K.W./	12		HIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring nzymes," Cancer Research. 57:1116-1123. 1997.								
/K.W./	13		, C., et al., "Preclinical Pharmacology Studies and the Clinical De (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therap								
/K.W./	14	VOLKOV, I., "The master key effect of vitamin B12 in treatment of malignancy - A potential therapy?", Medical Hypotheses. 70:324-328. 2008.									
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INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet NIYIKIZA Art Unit 1614 Examiner Name Kevin E. Weddington Attorney Docket Number X14173B_US

/K.W./	1	Maysishecheva, N.V., et al.: "Antitumor Activity o Derivatives", Eksperimentalnaya Onkologija (198		th Cobalamine						
/K.W./ 2 McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.										
/K.W./ 3 Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.										
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ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288 EXAMINER

WEDDINGTON, KEVIN E

ART UNIT PAPER NUMBER

1614 DATE MAILED: 03/10/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.						
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568						
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TLE OF INVENTION: NOVEL ANTIFOLATE COMBINATION THERAPIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/10/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

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A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568
25885 75	90 03/10/2010		EXAN	IINER
ELI LILLY & CO	OMPANY		WEDDINGT	ON, KEVIN E
PATENT DIVISIO	DN		ART UNIT	PAPER NUMBER
P.O. BOX 6288 INDIANAPOLIS,	IN 46206-6288		1614 DATE MAILED: 03/10/201	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 132 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 132 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)						
Notice of Allowability	11/776,329 Examiner	NIYIKIZA ET AL.						
······································								
	KEVIN WEDDINGTON	1614						
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	plication. If not included will be mailed in due course. THIS						
1. X This communication is responsive to <u>February 23, 2010</u> .								
2. X The allowed claim(s) is/are <u>40-44 and 47-63; renumbered</u>	<u>1-22</u> .							
 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Copies of the certified copies of the priority documents have Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 	 been received. been received in Application No cuments have been received in this i of this communication to file a reply IENT of this application. itted. Note the attached EXAMINER' 	national stage application from the complying with the requirements						
 (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t 6. ☐ DEPOSIT OF and/or INFORMATION about the depo 	 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. 							
Attachment(s) 1. □ Notice of References Cited (PTO-892) 2. □ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date See Continuation Sheet 4. □ Examiner's Comment Regarding Requirement for Deposit of Biological Material /KEVIN WEDDINGTON/ Primary Examiner Art Unit: 1614	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendr 8. Examiner's Stateme 9. Other	(PTO-413), te <u>2-23-2010</u> .						
U.S. Patent and Trademark Office								

Continuation Sheet (PTOL-37)

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 11-13-2009; 12-15-2009.

	Application No.	Applicant(s)							
Interview Summary	11/776,329	NIYIKIZA ET AL.							
interview Summary	Examiner	Art Unit							
	KEVIN WEDDINGTON	1614							
All participants (applicant, applicant's representative, PTO	personnel):								
(1) <u>KEVIN WEDDINGTON</u> .	(3)								
(2) <u>Elizabeth A. McGraw</u> .	(4)								
Date of Interview: <u>23 February 2010</u> .									
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant 2	2) applicant's representative	e]							
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.								
Claim(s) discussed: <u>The claims in general</u> .									
Identification of prior art discussed: <u><i>Niyikiza et al. (7,053,06</i></u>	<u>65 B2)</u> .								
Agreement with respect to the claims f) was reached. g	ŋ) was not reached. h)⊠ N	√A.							
Substance of Interview including description of the general reached, or any other comments: <u>The attorney of record, M</u> cannot be used in an Obviousness-Type Double Patenting <u>Niyikiza et al. (7,053,065 B2) which has a restriction require</u> should not had been made.	<i>Is. McGraw, stated that the N rejection because the presen ement. The Examiner agreed</i>	iyikiz et al. (7,053, t application is a E Is that an ODP reje	<u>065 B2)</u> Divisional of ection						
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no c allowable is available, a summary thereof must be attached	opy of the amendments that v								
INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT	THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview								
/KEVIN WEDDINGTON/ Primary Examiner, Art Unit 1614									

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation Sheet (PTOL-413)

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Application No. 11776329



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 6568

SERIAL NUM	BER	FILING or 3	371(c)	CLASS	GRO	OUP ART	UNIT	ΑΤΤΟ	RNEY DOCKET
11/776,32	9	DATE 07/11/200)7	510		1614			NO. X14173B
		RULE							
Paolo Pao	iza, Ind oletti, In	ianapolis, IN; Idianapolis, IN; sthoven, Ancas	ter, CAN	ADA;					
 ** CONTINUING DATA **********************************									
Foreign Priority claimed Yes No 35 USC 119(a-d) conditions met Yes No Verified and /KEVIN E WEDDINGTON/									
Acknowledged ADDRESS	Examiner's	Signature	Initials		1				
ADDRESS ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288 UNITED STATES									
TITLE									
NOVEL A	NTIFO	LATE COMBIN	ATION TH	HERAPIES					
FILING FEE FEES: Authority has been given in Paper Image: All Fees No									ing Ext. of time)
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7	48	✓	✓	✓	=						
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	KEVIN WEDDINGTON	1614

	ORIGINAL						INTERNATIONAL CLASSIFICATION						ATION	
	CLASS			SUBCLASS					С	LAIMED		NON-CLAIMED		
514			52	52		А	6	1	к	31 / 70 (2006.01.01)				
CROSS REFERENCE(S)		А	6	1	к	31 / 685 (2006.01.01)								
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	Claims re	enumbere	d in the s	ame orde	r as prese	ented by a	applicant		СР] T.D.	[] R.1.	47	
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	8		24	1	40	15	56								
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	10		26	3	42	17	58								
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	14		30		46	21	62								
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	16		32	7	48										

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	2	2
/KEVIN WEDDINGTON/ Primary Examiner.Art Unit 1614	02/23/2010	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11776329	NIYIKIZA ET AL.
	Examiner	Art Unit
	Kevin E Weddington	1614

SEARCHED	
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Class	Subclass	Date	Examiner
514	52	2/11/09	KEW
514	77	2/11/09	KEW
514	249	2/11/09	KEW
514	251	2/11/09	KEW
514	265.1	2/11/09	KEW

SEARCH NOTES		
Search Notes	Date	Examiner
Consultation with parent applications, 10/297,821 and 11/288,807	2/11/09	KEW
EAST and PALM for Inventors' Names	2/11/09	KEW
CAS-ONLINE search with MEDLINE, CA and USPATALL	9/1/2009	KEW
Updated Searches	2/23/2010	KEW

INTERFERENCE SEARCH

Class	Subclass	Date Examine					
514	52	2/23/2010	KEW				
514	77	2/23/2010	KEW				
514	249	2/23/2010	KEW				
514	251	2/23/2010	KEW				
514	265.1	2/23/2010	KEW				

Doc code: IDS Dow description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09) Approved for use through 07/31/2012. OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	•			Applic	ation N	umber		11776329				
	PARAMAN			Filing	Date			2007-07-11				
	×	ION DISCLOSU		First N	Vamed	Inventor	Clet I	Niyikiza				
				Art Ur	nit			1614				
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	Application Number		11776329	
	Filing Date		2007-07-11	
INFORMATION DISCLOSURE	First Named Inventor Clet N		Niyikiza	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1614	
	Examiner Name			
	Attorney Docket Numb	er	X14173B	

/K.W	, ¹	ALIMTA, NDA 021462, Approved Label of 07/02/2009.	
	2	"Clinical Chemistry: principle, procedures, correlations," 3rd edition, 1996, published by Lippincott: pp. 618-627.	
	3	Fluorouracil, Physicians Desk References, (c) 1998, pp 2463-2464.	
	4	HAMMOND, L., et al., "A phase I and pharmacokinetic (PK) study of the multitarget antifol (MTA) LY231514 with folic acid, " American Society of Clinical Oncology (ASCO) Meeting Abstract No. 866 (1998).	
	5	KISLIUK, RL., 1984. "The Biochemistry of Folates." In Sirotnak (Ed.), Folate Antagonists as Therapeutic Agents. pp. 2-68. Harcourt Brace Jovanovich, Publishers.	
	6	KISLIUK, RL., 1999. "Folate Biochemistry in RElation to Antifolate Selectivity." In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey.	
	7	Leucovorin, Physicians Desk Reference, (c) 1999. pp 1389-1391.	
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	9	MORGAN, et al., "Folic acid supplementation prevent deficient blood folate levels and hyperhomocysteinemia during long-term, low dose methotrexate therapy for rheumatoid arthritis: implications for cardiovascular disease prevent," J. Rheumatol. 25:441-446. (1998).	
V	10	NIYIKIZA, C., et al., "LY231514 (MTA): relationship of vitamin metabolite profile to toxicity," American Society of Clinical Oncology (ASCO) Meeting Abstract No. 2139 (1998).	
/K.W./	11	Raltitrexed, The Complete Drug Reference, Martindale, 32nd Ed., Pharmaceutical Press, London, pp 560. 1990	
	•	00/02/0010	

INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet Niyikiza Art Unit 1614 Examiner Name Attorney Docket Number X14173B

/K.W./	12	SHIH, C., et al., "LY231514, a Pyrrolo[2,3-d]pyrimidine-based Antifolate that Inhibits Multiple Folate-requiring Enzymes," Cancer Research. 57:1116-1123. 1997.					
/K.W./	13	SHIH, C., et al., "Preclinical Pharmacology Studies and the Clinical Development of a Novel Multitargeted Antifolate, MTA (LY231514)," In Jackson (Ed.), Antifolate Drugs in Cancer Therapy. pp 13-36. Humana Press, New Jersey. 1998					
/K.W./	14	VOLKOV, I., "The master key Hypotheses. 70:324-328. 200		nent of malignancy - A potential the	erapy?", Medical		
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If you wis	h to a	ld additional non-patent liter	ature document citation in	formation please click the Add k	outton Add	<u> </u>	
			EXAMINER SIGN	ATURE			
Examiner	Signa	ture /Kevin Weddi	ngton/	Date Considered	02/26/2010		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Standard ST ⁴ Kind of do	F.3). ³ F cument	or Japanese patent documents, th	e indication of the year of the reig	² Enter office that issued the document of the Emperor must precede the ser PO Standard ST.16 if possible. ⁵ Applic	ial number of the patent doo	cument.	

Doc code: IDS Dow description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09) Approved for use through 07/31/2012. OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMEDCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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INFORMATION DISCLOSURE					nventor	Clet I						
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(Not for submission under 37 CFR 1.99)				iner Na	me	Kovir	E. Weddington					
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INFORMATION DISCLOSURE Application Number 11776329 Filing Date 2007-07-11 First Named Inventor Clet NIYIKIZA Art Unit 1614 Examiner Name Kevin E. Weddington Attorney Docket Number X14173B_US

/K.W./	1	Maysishecheva, N.V., et al.: "Antitumor Activity of Methotrexate When Used in Combination with Cobalamine Derivatives", Eksperimentalnaya Onkologija (1982), vol. 4, no. 5:29-33.					
/K.W./ 2 McDonald, A.C., et al.: "Clinical Phase I Study of LY231514, a Multitargeted Antifolate, Administered by Daily x 5 q 21 Schedule", Annals of Oncology (1996), vol. 7:85, Abstract No. 291.							
/K.W./	/K.W./ 3 Sofyina, Z.P., et al.: "Possibility of Potentiating the Antineoplastic Action of Folic Acid Antagonist by Methylcobalamine Analogs", Vestnik Akademii Medicinskich Nauk SSSR (1979), vol. 1: 72-78.						
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 6568

SERIAL NUMBE 11/776,329	R FILING OR 371(c) DATE 07/11/2007 RULE	c	514	GRO	UP ART 1614	UNIT	-	ATTORNEY OCKET NO. X14173B	
** CONTINUING D This applicat which is a D which is a 3 which claims and claims b and claims b	, Indianapolis, IN; ATA ***********************************	7 11/29/20 02 PAT 7 /15/2001 /30/2000 7/2000 AE 3/2001	,053,065 3N						cwc 4/16/ 10
** 08/31/2007 Foreign Priority claimed yes 35 USC 119 (a-d) conditions yes met Net after Allowance IN Verified and Examiner's Signature Acknowledged Examiner's Signature Initials Initials									
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PART B - FEE(S) TRANSMITTAL

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Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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11/776,329	07/11/2007			Clet Niyikiza			X14173B	6568
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PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant: NIYIKIZA Clet

Serial No.: 11/776329

Group Art Unit: 1614 Examiner: Weddington, Kevin E. Confirmation No.: 6568

Application Date: July 11, 2007

NOVEL ANTIFOLATE COMBINATION THERAPIES

Docket No.: X14173B

<u>COMMUNICATION - REMINDER AT TIME OF ISSUE OF</u> <u>CHANGE OF INVENTORSHIP</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Attention: Mail Stop Issue Fee

Sir:

For:

The above-captioned application has been allowed. In the Notice of Allowance and Issue Fee Due, the first named Applicant is identified as <u>Clet Niyikiza</u>. <u>Clet Niyikiza</u> is the first of three named Applicants: Clet Niyikiza, Paolo Paoletti, and James Jacob Rusthoven in the original filing of this application. However, a Petition to Correct Inventorship was submitted July 11, 2007, removing Applicants Paolo Paoletti and James Jacob Rusthoven.

Accordingly, we ask that the proper steps be taken to ensure that the patent issues solely in the name of <u>Clet Niyikiza</u>.

Respectfully submitted, /Elizabeth A McGraw/ Elizabeth A. McGraw Attorney for Applicants Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 April 26, 2010

Electronic Patent Application Fee Transmittal							
Application Number:	blication Number: 11776329						
Filing Date:	11-	Jul-2007					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Clet Niyikiza						
Filer:	Elizabeth Ann McGraw/Linda Durbin						
Attorney Docket Number:	X14173B						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
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Extension-of-Time:						
Miscellaneous:						
	Tot	al in USD	(\$)	1810		

Electronic A	Electronic Acknowledgement Receipt						
EFS ID:	7485297						
Application Number:	11776329						
International Application Number:							
Confirmation Number:	6568						
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES						
First Named Inventor/Applicant Name:	Clet Niyikiza						
Customer Number:	25885						
Filer:	Elizabeth Ann McGraw/Linda Durbin						
Filer Authorized By:	Elizabeth Ann McGraw						
Attorney Docket Number:	X14173B						
Receipt Date:	26-APR-2010						
Filing Date:	11-JUL-2007						
Time Stamp:	13:47:13						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

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1.53(b)-(d) an Acknowledg <u>National Star</u> If a timely su U.S.C. 371 an	nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due of g date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati	course and the date s on is compliant with ng acceptance of the	hown on th the condition application	is ons of 35				

OK TO ENTER: /K.W./ 05/24/2010

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Type or print name of person signing certification

Signature

Date

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Applicant:	NIYIKIZA Clet	
For:	NOVEL ANTIFOLATE COMBINATION T	HERAPIES
Docket No.:	X-14173B	

AMENDMENT AND PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Amendment and Petition

This amendment and petition is to delete the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed: Paolo Paoletti, of Indianapolis, Indiana, and James Jacob Rusthoven, of Ancaster, Canada.

2. Claims Now On File

The claims in this application are as follows: New claims 29-39 filed on July 11, 2007

3. Diligence

This amendment and petition is being filed diligently after discovery that any claims for which the above named inventors who are being deleted are now no longer the inventors of the subject matter being claimed.

4. Fee Payment

Please charge \$130.00, the surcharge required by \$1.17(i), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840, in the name of Eli Lilly and Company. I enclose an original and two copies of this paper.

Respectfully submitted,

/Manisha A. Desai/ Manisha A. Desai, Ph.D. Attorney for Applicant Registration No. 43,585 Telephone: (317) 433-5333

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

July 11, 2007

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FORM PTO 1449 (modified)			Atty: Docket No X-14173B	Serial No	6,329
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		First Applicant NIYIKIZA Clet	<u> </u>	Y ., L	
		Filing Date Group			
		<u>U.</u>	S. PATENT DOCUME	ENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Pages or Relevant Figures Appear
/KW/	AA	US 5,405,839	4/ 11/1995	Toraya	
	AB	US 5,431,925	07/0C/1995	Ohmori, et al.	
	AC	US 5,563,126	10/8/1996	Allen, et al	
	AD	US 5,736,402	4/7/1 998	Francis, et al.	
	AE	US 6,207,651	3/27/2001	Allen, et al	
	AF	US 6,297,224	10/2/2001	Allen, et al.	
	AG	US 6,528,496	3/4/2003	Allen, et al.	
	AII	US 03/0216350	11/20/2003	Allen, et al.	
	Al	US 03/0225030	12/4/2003	Allen, et al.	
	AJ	US 2,920,015	01/1960	Thompson, Robert E.	
	AK	US 2004/0005311 Al	01/2004	Pitman, Bradford D.	
V	AL	US 5,344,932	09/1994	Taylor, Edward C.	
/KW/	AM	US 7,053,065	05/2006	Niyikiza, et al.	- · · ·
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Examiner Signature	/Kevin Weddington/ (02/11/2009)	Date Considered 0	2/11/2000		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

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Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO P tent Documents at www.isero. for MPEP 901.24. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the rigm of the limperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Ti indiation is attached. Burden Hurst Natement: This form is estimated to take 2.0 hours to complete. This will say depending upon the needs of the individual ease. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Tindenark Office, Washington. ⁽³⁾C 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commiss our for Patents, P.O. Box 1459, 1459, 1459, 1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov						
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/776,329	07/11/2007	Clet Niyikiza	X14173B	6568		
25885 ELI LILLY & (7590 07/13/2010	EXAMINER				
PATENT DIVI	SION	WEDDINGTON, KEVIN E				
P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288			ART UNIT	PAPER NUMBER		
			1614			
			NOTIFICATION DATE	DELIVERY MODE		
			07/13/2010	ELECTRONIC		

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Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@lilly.com



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.	
11776329	7/11/2007	NIYIKIZA, CLET	X14173B		
			EXAMINER		
ELI LILLY & COMPANY PATENT DIVISION		KEVIN WEDDINGTON			
	P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288		ART UNIT	PAPER	
			1614	20100706	
			DATE MAILED:		

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

In view of the papers filed July 11, 2007, the inventorship in this nonprovisional application has been changed by the deletion of Paolo Paoletti and James Jacob Rusthoven.

The solely applicant is Clet Niyikiza.

/KEVIN WEDDINGTON/ Primary Examiner Art Unit: 1614

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.usplogov						
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS	
11/776,329	07/11/2007	1614	1846	X14173B	11 2	
	CONFIRMATION NO. 6568					
25885 CORRECTED FILING RECEIPT				TED FILING RECEIPT		
ELI LILLY & COMPANY						
PATENT DIVISION					OC000000042552942*	
P.O. BOX 6288 *OC00000042552942*						
INDIANAPOLIS, IN 46206-6288						

Date Mailed: 07/14/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Clet Niyikiza, Indianapolis, IN;

Power of Attorney: The patent practitioners associated with Customer Number 25885

Domestic Priority data as claimed by applicant

This application is a DIV of $11/288,807 \ 11/29/2005 \ ABN$ which is a DIV of $10/297,821 \ 12/05/2002 \ PAT \ 7,053,065$ which is a 371 of PCT/US01/14860 06/15/2001 which claims benefit of $60/215,310 \ 06/30/2000$ and claims benefit of $60/235,859 \ 09/27/2000 \ ABN$ and claims benefit of $60/284,448 \ 04/18/2001$

Foreign Applications

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/776,329**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

NOVEL ANTIFOLATE COMBINATION THERAPIES

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

Title

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandrix, Virginia 22313-1450 www.urptu.gov

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Bib Data Sheet

CONFIRMATION NO. 6568

SERIAL NUMBI 11/776,329	ER	FILING OR 371(c) DATE 07/11/2007 RULE	C	514	GRO	UP AR1 1614	T UNIT		ATTORNEY OCKET NO. X14173B
** CONTINUING I This applica which is a I which is a 3 which claim and claims and claims ** FOREIGN APP	DATA ation DIV o 371 o is bei bene bene	lianapolis, IN; is a DIV of 11/288,807 f 10/297,821 12/05/200 f PCT/US01/14860 06/ nefit of 60/215,310 06/3 fit of 60/235,859 09/27 fit of 60/284,448 04/18 TIONS	11/29/20 2 PAT 7 15/2001 30/2000 /2000 AE /2001	7,053,065 3N					
Foreign Priority claime 35 USC 119 (a-d) cond met Verified and Acknowledged ADDRESS	ditions	yes no yes no Met aff Allowance	er itials	STATE OR COUNTRY IN	DRA	EETS WING 0	TOT/ CLAI 11	MS	INDEPENDENT CLAIMS 2
FILING FEF	EES	COMBINATION THER : Authority has been gi to charge/cro for following	ven in P	aper OSIT ACCOU	NT	□ 1.1 time)	6 Fees (7 Fees (Proce	essing Ext. of
101 101 101 101 101 101 101 101 101 101				☐ <u>1.18 Fees (Issue)</u> ☐ Other ☐ Credit					





APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/776,329	08/10/2010	7772209	X14173B	6568
25885	7590 07/21/2010			

ELI LILLY & COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, IN 46206-6288

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 162 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Clet Niyikiza, Indianapolis, IN;

<u>PATENT</u> <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

U. S. Patent No.	:	7,772,209			
Issued:	:	August 10, 2010			
First Applicant	:	Clet Niyikiza			
Serial No.	:	11/776,329			
Application Date	:	July 11, 2007			
Entitled	:	Antifolate Combination Therapies			
Docket No.	:	X14173B			
REQUEST FOR CERTIFICATE OF CORRECTION					
<u>UNDER 37 C.F.R. 1.322</u>					

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patentee of the above-identified patent respectfully requests that you issue a Certificate of Correction to correct errors in the printed patent. Attached is Form PTO 1050 on which the errors are specified.

Some of the errors are typographical and were made inadvertently. The remaining errors occurred during the printing of the patent.

Please charge the fee under 1.20(a) and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account No. 05-0840 to cover the cost of this Certificate of Correction.

Respectfully submitted,

/Elizabeth A. McGraw/ Elizabeth A. McGraw Attorney for Applicant Registration No. 44,646 Phone: 317-277-7443

Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288 September 20, 2010 PTO/SB/44 (09-07) Approved for use through 08/31/2010. OM8 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>1</u> of <u>1</u>

PATENT NO. 7,772,209

APPLICATION NO: 11/776,329

ISSUE DATE : August 10, 2010

INVENTOR(S) : Clet Niyikiza

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

First Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

First Page, Col. 2, Line 27, under Other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

First Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

First Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert -- 266--, therefor.

Column 1, Line 5: Delete "12 May," and insert --5 Dec. --, therefor.

Column 10, Line 62: In Claim 1, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4: In Claim 4, delete "2," and insert --3, --, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Eli Lilly and Company P.O. Box 6288 Indianapolis, IN 46206-6288

This collection of information is required by 37 CFR 1.322, 1 323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	pplication Number: 11776329					
Filing Date:	11-	Jul-2007				
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Cle	t Niyikiza				
Filer:	Elizabeth Ann McGraw/Linda Durbin					
Attorney Docket Number:	X14	4173B				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Certificate of correction		1811	1	100	100	
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Miscellaneous:						
Total in USD (\$)						

Electronic Acknowledgement Receipt						
EFS ID:	8464324					
Application Number:	11776329					
International Application Number:						
Confirmation Number:	6568					
Title of Invention:	NOVEL ANTIFOLATE COMBINATION THERAPIES					
First Named Inventor/Applicant Name:	Clet Niyikiza					
Customer Number:	25885					
Filer:	Elizabeth Ann McGraw/Linda Durbin					
Filer Authorized By:	Elizabeth Ann McGraw					
Attorney Docket Number:	X14173B					
Receipt Date:	21-SEP-2010					
Filing Date:	11-JUL-2007					
Time Stamp:	15:28:58					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$100				
RAM confirmation Number	1875				
Deposit Account	050840				
Authorized User					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. Se	ction 1.20 (Post Issuance fees)				

	<i>a</i> .				
File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	X14173BRequestCertificateofC	276775	no	2
		orrection.pdf	3dfd3cab0967543cd0618f3e2c32e60ff567 1bd0		
Warnings :					
Information:					
2 Fee Worksheet (PTO-875) fee-info.pdf			30372	no	2
			23f9dc93ad89b23edb112ce21d94211041f 77577	110	
Warnings:					
Information					
		Total Files Size (in bytes):	30	7147	
New Applica If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter an internatic and of the In	tions Under 35 U.S.C. 111 ication is being filed and the applica and MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application ur bmission to enter the national stage ad other applicable requirements a F ge submission under 35 U.S.C. 371 wi tional Application Filed with the USP mational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack on.	R 1.54) will be issued in due on og date of the application. Ander 35 U.S.C. 371 Form PCT/DO/EO/903 indication form PCT/DO/EO/903 indication ill be issued in addition to the PTO as a Receiving Office and the international application of MPEP 1810), a Notification O/105) will be issued in due co	course and the date s on is compliant with t ng acceptance of the Filing Receipt, in due ion includes the neces of the International A ourse, subject to pres	hown on th he condition application course. Ssary comp Application criptions co	is ons of 35 as a onents for Number oncerning

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 7,772,209 B2

 APPLICATION NO.
 : 11/776329

 DATED
 : August 10, 2010

 INVENTOR(S)
 : Clet Niyikiza

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, Col. 2, Line 22, under Other Publications: Delete "Homocystein" and insert --Homocysteine--, therefor.

Title Page, Col. 2, Line 27, under other Publications: Delete "hydroxocobaltniin" and insert --hydroxocobalamin--, therefor.

Title Page, Col. 2, Line 28, under Other Publications: Delete "mce" and insert --mice--, therefor.

Title Page, Col. 2, Line 37, under Other Publications: Delete "2666" and insert --266--, therefor.

Column l, Line 5, Delete "12 May," and insert --5 Dec.--, therefor.

Column 10, Line 62, In Claim l, delete "hydroxycobalamin," and insert --hydroxocobalamin,--, therefor.

Column 11, Line 4, In Claim 4, delete "2," and insert --3,--, therefor.

Signed and Sealed this

Twenty-sixth Day of October, 2010

Javid J. Kgpos

David J. Kappos Director of the United States Patent and Trademark Office

Page 1 of 1

🗞 AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court ______ Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DI	STRICT COURT Southern District of Indiana		
PLAINTIFF			DEFENDANT		
ELI LILLY AND COMPA	NY		TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1 7,772,209 B2	8/10/2010	CLET NIY!KIZA, Inventor			
2					
3					
4					
5					

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	G Amen	ndment G Answer G Cross Bill G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,772, 209 B2	8/10/2010	***SEE ATTACHED COMPLAINT FILED ON 10/29/2010***
2		
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT			
		·	
CLERK James Ranges	(BY) DEPUTY CLERK	Davison	ATE 11/2/2010

🛸 AO 120 (Rev. 3/04)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court <u>Southern District of Indiana</u> on the following Patents or G Trade						
DOCKET NO 1:10-cv-1376-TWP-DMU DATE FILED U.S. DI			STRICT COURT Southern District of Indiana			
PLAINTIFF ELI LILLY AND COMPANY			DEFENDANT TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 7,772,209 B2	8/10/2010	CLE	ET NIYIKIZA, Inventor			
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	nendment	Answer	G Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLD	ER OF PATENT OR I	`RADEMARK
1		***S	EE ATTACH	ED ANSWER F	ILED ON 2/7/11***
2					
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
		
CLERK James Briggs	(BY) DEPUTY CLERK DOUCOD DATE 2/14/2011	

Case 1:10-cv-01376-TWP-DML Document 52 Filed 02/28/11 Page 1 of 1

🛸 AO 120 (Rev. <u>3/04)</u>

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK				
In Compliance filed in the U.S. Dis	Southern Di	strict of I	1116 you are hereby advised that a court action has been ndiana on the following Patents or G Trademarks:				
DOCKET NO 1:10-cv-1376-TWP-DML	DATE FILED 10/29/2010	U.S. DI	STRICT COURT Southern District of Indiana				
PLAINTIFF ELI LILLY AND COMPANY			DEFENDANT TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK				
1 7,772,209 B2	8/10/2010	CLE	LET NIYIKIZA, Inventor				
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	endment S		G Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK			ER OF PATENT OR T	
1		**SEE /	ATTACHE	D ANSWER FIL	ED ON 2/22/2011**
2					
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5			- <u> </u>		<u></u>

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT			
CLERK James Biggs	(BY) DEPUTY CLERK	Davison	DATE 2/28/2011

🗞 AO 120 (Rev. 3/04)

тΩ.	Mail Stop 8
TO:	Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court ______ Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:11-cv-942-TWP-TAB	DATE FILED 7/15/2011	U.S. DISTRICT COURT Southern District of Indiana		
PLAINTIFF	I	DEFENDANT		
ELI LILLY AND COMPA	NY	APP PHARMACEUTICALS, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 7,772,209	8/10/2010	**SEE ATTACHED COMPLAINT**		
2				
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	G Amen	dment	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLD	ER OF PATENT OR	TRADEMARK
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5					

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE
	Stalon Labran	7/25/2011
Copy 1—Upon initiation of action, mail this Copy 2—Upon filing document adding pate	copy to Director Copy 3—Upon termination of action, mail nt(s), mail this copy to Director Copy 4—Case file copy	this copy to Director

🗞 AO 120 (Rev. 3/04)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK			
In Complian filed in the U.S. D	istrict Court Southern Dist	1116 you are hereby advised that a court action has been ndiana on the following Patents or G Trademarks:				
DOCKET NO 1:10-cv-1376-TWP-DML DATE FILED 10/29/2010 U.S. DI			STRICT COURT Southern District of Indiana			
PLAINTIFF ELI LILLY AND COMPANY			DEFENDANT TEVA PARENTERAL MEDICINES, INC., APP PHARMACEUTICALS, LLC, PLIVA HRVATSKA D.O.O., TEVA PHARMACEUTICALS USA INC., and BARR LAB			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK			
1 7,772,209 B2	8/10/2010	CLE	T NIYIKIZA, Inventor			
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In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	<u> </u>	The second se				
		G Amendment	🛛 Answer	G Cross Bill	Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATE OR TRADEMA		HOLD	DER OF PATENT OR T	TRADEMARK		
1							
2		**Se	**See attached Answer to Complaint filed in				
3		Cor	Consolidated Case 1:11-cv-942-TWP-TAB.**				
4							
5							

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT CLERK (BY) DEPUTY CLERK DATE JAMA Brigs DATE 9/26/2011

AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Southern District of Indiana on the following G Patents or G Trademarks:

DOCKET NO 1:11-cv-942-TWP-TAB	DATE FILED 7/15/2011	U.S. DISTRICT COURT Southern District of Indiana			
PLAINTIFF		DEFENDANT			
ELI LILLY AND COMPANY		,	APP PHARMACEUTICALS, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 7,772,209	8/10/2010				
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In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		_		6
	G	Amendment	G Answer	G Cross Bill	G Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK			HOLDER OF PATENT OR TRADEMARK	
1					
2					
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

See attached Order of Consolidation.

	CLERK James Buggs	(BY) DEPUTY CLERK	DATE 9/12/2011
--	-------------------	-------------------	-------------------