### UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE PATENT TRIAL AND APPEAL BOARD

#### NUVASIVE, INC. Petitioner

v.

WARSAW ORTHOPEDIC, INC. Patent Owner

Patent Number: 8,251,997 B2 Issue Date: August 28, 2012

Case IPR2013-00208

## NOTICE OF SUPPLEMENTAL EVIDENCE UNDER 37 C.F.R. § 42.64(b)(2)

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IPR2013-00208 U.S. Patent No. 8,251,997 B2 Our Ref. 102.0010-041R2

In response to Petitioner's Objection to Evidence filed on December 30,

2013, Warsaw submits the following items of supplemental evidence pursuant to

37 C.F.R. § 42.64(b)(2).

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WARSAW2057	Excerpts from "Axial Skeleton: Osteology and Anthrology"; Kinesiology of the Musculoskeletal System, Foundations for Rehabilitation, Second Edition
WARSAW2058	Article titled "Clinical Presentation of Disc Degeneration"; The Lumbar Intervertebral Disc
WARSAW2059	Declaration of Thomas H. Martin, Esq.
WARSAW2060	Excerpts from Trial Testimony - Warsaw Orthopedic, Inc. v. NuVasive, Inc., Case No. 08-CV-1512 MMA (MDD) (S.D. Cal.)
WARSAW2061	NuVasive Financial Data, NuVasive Revenues by Fiscal Year, Plaintiff's Exhibit No. PX2077 - Warsaw Orthopedic, Inc. v. NuVasive, Inc., Case No. 08-CV-1512 MMA (MDD) (S.D. Cal.)
WARSAW2062	NuVasive Financial Data, NuVasive Units by Fiscal Year, Plaintiff's Exhibit No. PX2078 - Warsaw Orthopedic, Inc. v. NuVasive, Inc., Case No. 08-CV-1512 MMA (MDD) (S.D. Cal.)

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account No. 50-3726 (Customer ID 22882).

Respectfully Submitted,

Dated: January 14, 2014

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Thomas H. Martin Registration No. 34,383 Attorney for Patent Owner MARTIN & FERRARO, LLP 1557 Lake O'Pines Street, NE Hartville, Ohio 44632 Telephone: (330) 877-0700 Facsimile: (330) 877-2030

## **EXHIBIT APPENDIX**

<u>Exhibit No.</u>	Description
WARSAW2001	Excerpts from Deposition of Dr. Michelson, dated November 19, 2010
WARSAW2002	Letter to Dr. Michelson from J. Pafford, dated March 28, 1994.
WARSAW2003	Memorandum from Larry Boyd re: Michelson Devices - Interbody Fusion Devices, dated January 26, 1994
WARSAW2004	Dezider Imre Invoice, dated December 26, 1993
WARSAW2005	Memorandum from Larry Boyd re: Meeting with Dr. Gary Karlin Michelson, dated January 11, 1994
WARSAW2006	Memorandum from Larry Boyd re: Notes on Threaded Dowel Concepts of Dr. Michelson, dated January 11, 1994
WARSAW2007	Letter to Dr. Michelson from L. Boyd, dated January 13, 1994
WARSAW2008	Excerpts from Trial Testimony - Warsaw Orthopedic, Inc. v. NuVasive, Inc., Case No. 08-CV-1512 MMA (MDD)
WARSAW2009	License Agreement between Sofamor Danek Group, Inc. and Karlin Technology, Inc., dated December 31, 1993
WARSAW2010	U.S. Patent No. 5,860,973
WARSAW2011	Memorandum of Decision Following Bench Trial on Inequitable Conduct, <i>Warsaw Orthopedic, Inc. v. NuVasive, Inc.</i> , Case No. 08-CV-1512 MMA (MDD), dated February 14, 2012
WARSAW2012	Excerpts from NuVasive's Reply in Support of Its Renewed Motion for Judgment as a Matter of Law or A New Trial, <i>Warsaw Orthopedic, Inc. v. NuVasive, Inc.</i> , Case No. 08-CV- 1512 MMA (MDD), dated December 23, 2011

WARSAW2013	Excerpts from NuVasive's Memorandum of Points and Authorities in Support of Its Renewed Motion for Judgment as a Matter of Law or a New Trial, <i>Warsaw Orthopedic, Inc. v.</i> <i>NuVasive, Inc.</i> , Case No. 08-CV-1512 MMA (MDD), dated October 27, 2011
WARSAW2014	Excerpts from NuVasive's Closing Argument Regarding Inequitable Conduct Committed During the Prosecution of the '973 Patent, <i>Warsaw Orthopedic, Inc. v. NuVasive, Inc.</i> , Case No. 08-CV-1512 MMA (MDD), dated December 23, 2011
WARSAW2015	Excerpts from NuVasive's Proposed Findings of Fact and Conclusions of Law Regarding the Unenforceability of U.S. Patent No. 5,860,973 for Inequitable Conduct, <i>Warsaw</i> <i>Orthopedic, Inc. v. NuVasive, Inc.</i> , Case No. 08-CV-1512 MMA (MDD), dated December 23, 2011
WARSAW2016	Letter to R. Jansen from P. McAfee, M.D., dated January 16, 1995
WARSAW2017	Excerpts from Deposition of Dr. Bruce E. Van Dam, dated January 27, 2011
WARSAW2018	Baulot, et al., "Complementary Anterior Spondylodesis by Thoracoscopy - Technical Note Regarding an Observation," Lyon Surg. 90:347-51, November 28, 1994
WARSAW2019	Rosenthal, et al., "Removal of a Protruded Thoracic Disc Using Microsurgical Endoscopy," May 2004
WARSAW2020	Excerpts from Deposition of L. Boyd, dated February 18, 1999 from Sofamor Danek Holdings, Inc. v. U.S. Surgical Corp., Case No. 98-2369 GA (JSG) (W.D. Tenn.)
WARSAW2021	Excerpts from Deposition of L. Boyd, dated December 3, 2010 from <i>Warsaw Orthopedic, Inc. v. NuVasive, Inc.</i> , Case No. 08-CV-1512 MMA (MDD) (S.D. Cal.)

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