Victoria, Australia

Occupation:

Retired Orthopaedic Spine Surgeon

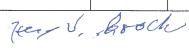
Date:

11 September 2012

Contents

| Document number | Details | Paragraph | Page |
|--------------------|---|-----------|------|
| 1 | Affidavit of Henry Vernon Crock made 11 September 2012 | 1-83 | 2 |
| 2 | Exhibit HVC-1 being a copy of Federal Court of Australia Practice Note CM7 | 3 | |
| 3 | Exhibit HVC-2 being a copy of curriculum vitae of Henry Vernon Crock | 12 | |
| 4 | Exhibit HVC-3 being a copy of Crock, "Observations on the management of failed spinal operations," in <u>The Journal of Bone and Joint Surgery</u> , Vol. 58-B, No. 2, pp. 193-199, May 1976 | 16 | |
| 5 | Exhibit HVC-4 being a copy of Crock, "Anterior Lumbar Interbody Fusion – Indications for its Use and Notes on Surgical Technique," in Clinical Orthopaedics and Related Research, No. 165, May 1982 | 16 | |
| 6 | Exhibit HVC-5 being a copy of Fujimaki, et. Al. "The Results of 150 Anterior Lumbar Interbody Fusion Operations Performed by Two Surgeons in Australia," in Clinical Orthopaedics and Related Research, No. 165, May 1982 | 16 | |
| 7 | Exhibit HVC-6 being a copy of Crock, A Practice of Spinal Surgery, Springer-Verlag Wein New York, Revised 1 st Edition, 1983 | 16 | |







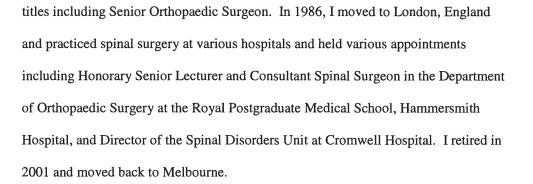
- I have been engaged by NuVasive, Inc. to review and provide comment on a number of publications in the field of spinal surgery. I have been advised that the disclosures and teachings in these publications have been put into issue in a patent lawsuit pending in the United States between NuVasive, Inc. and a subsidiary of Medtronic, Inc., namely, Warsaw Orthopedic, Inc. I have also been engaged to provide comment on certain testimony and contentions arising in connection to this lawsuit.
- I am being compensated for my time actually spent in working on this matter at my customary rate for consulting matters, and have received no compensation for this declaration from NuVasive, Inc., its representatives, or otherwise beyond that. In addition, I will not receive any added compensation based on the outcome of any proceedings in which my prior work is at issue. Finally, I am not, and never have been, an employee of NuVasive, Inc.
- I have been provided with a copy of the Federal Court of Australia Practice Note CM7 entitled "Expert Witnesses in Proceedings in the Federal Court of Australia" by a representative of NuVasive, Inc. Now shown to me and marked **Exhibit HVC-1** is a copy of Practice Note CM7. In considering the matters put to me and making this affidavit, I have complied with Practice Note CM7.

Experience and Qualifications

I have been asked me to provide details of my background and experience, particularly in relation to spinal interbody fusion procedures, in other words, surgical procedures

21/2





- I obtained a Doctor of Medicine and Doctor of Surgery (M.B.B.S.) from the University of Melbourne in 1953, a Medical Doctorate (M.D.) from the University of Melbourne in 1967, and a Masters of Surgery (M.S.) from the University of Melbourne in 1977.
- In terms of specialised training in surgery, I was made a Fellow of the Royal College of Surgeons in London in 1957 and a Fellow of the Royal Australasian College of Surgeons in 1961.
 - I have received numerous awards and honours during my career, including Officer of the Order of Australia (A.O.) in 1984 for services to medicine, especially in the field of orthopaedic surgery, Corresponding Fellow of the Japanese Orthopaedic Association in 1990, Honorary Fellow of the Royal College of Surgeons, Edinburgh in 1997, Honorary Member Spine Society of Australia in 2006, and Honorary Doctorate of Science from the University of Melbourne in 2009, the highest honorary award given by a university. In addition, I was elected President of the International Society for the Study of the Lumbar Spine in 1985, and have been awarded the LO Betts Medal by the

Bas.

8



once or twice a year from 1966 until my retirement in 2001 visiting Europe, Russia, Scandinavia, Canada, Japan, USA, Peoples' Republic of China, Hong Kong, India, Singapore, Indonesia, the Philippines, India, Great Britain, and Saudi Arabia.

I pride myself on being a teacher. Following my appointment at St. Vincent's
Hospital, Melbourne in 1961, I became actively involved in undergraduate and postgraduate teaching of orthopaedic surgery and, in particular, spinal surgery. I continued
training post-graduate fellows in spine surgery after moving to London in 1986. I
have trained at least 26 post-graduate fellows from countries ranging from Indonesia,
India, Canada, USA, Japan, Scotland, and Pakistan. In terms of teaching positions, I
was also Lecturer in Orthopaedic Surgery at Oxford University from 1959-1961,
Professorial Associate at St. Vincent's Hospital, Melbourne from 1961-1986, Visiting
Lecturer in the Department of Anatomy at the Royal College of Surgeons of England,
Senior Lecturer in the Department of Orthopaedics at the Royal Postgraduate Medical
School at Hammersmith Hospital, and Director of Spinal Disorders Unit at Cromwell
Hospital.

I have written a multitude of publications regarding spine surgery and served on the editorial boards of the British Journal of Bone and Joint Surgery, The European Spine Society Journal, Neuro-Orthopaedics (now ceased), and The Journal of Orthopaedic Science from the Japanese Orthopaedic Association. Among my publications include 6 books, 26 book chapters, and at least 35 papers, all of which were peer-reviewed. My book "An Atlas of Vascular Anatomy of the Skeleton and Spinal Cord" won the British

205



setting out my experience and publications.

13

- I was born on Sept 14, 1929 and am currently 82 years of age. I am of sound mind and able to understand completely and fully the contents of the materials I have reviewed and the statements I am making below. Although I am retired, I continue to receive and read various medical journals in my field of expertise, and attend medical conferences and collaborate with others in the field of spinal orthopaedics. For example, I still receive and regularly read publications including the Journal of Orthopaedic Science from the Japanese Orthopaedic Association, the Journal of the British Orthopaedic Association, the Australia and New Zealand Journal of Surgery, and the Journal of the Royal College of Surgeons of Edinburgh. I am also still a member of a variety of spine surgery associations, including the Australia Orthopaedic Association, the British Orthopaedic Association, the Japanese Orthopaedic Association, and am currently President of DISCS The Diagnostic Investigation of Spine Conditions and Sciatica in London a charitable trust established in 1993.
- 14 Currently, my physical health is such that I am not able to handle undue stress, and I am not able to travel long distances to the United States to participate in legal proceedings.
- I have voluntarily agreed to provide this Affidavit and the evidence contained therein of my own free will. The information contained in this Affidavit comes from my own recollection or from the documents that I identify as having consulted.

Zeny-V. Crocke

DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

