FINGERPRINTING BY RANDOM POLYNOMIALS

by

Michael O. Rabin

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FINGERPRINTING BY RANDOM POLYNOMIALS

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Abstract

Randomly chosen irreducible polynomials $p(t) \in Z_2[t]$ are used to "fingerprint" bit-strings. This method is applied to produce a very simple real-time string matching algorithm and a procedure for securing files against unauthorized changes. The method is provably efficient and highly reliable for every input.

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Fingerprinting by Random Polynomials

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1. Introduction

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Prime numbers are used in several contexts to yield efficient randomized algorithms for various problems [1,4]. In these applications a randomly chosen prime p is used to "fingerprint" a long character-string by computing the residue of that string, viewed as a large integer, modulo p.

This method requires performing fixed-point arithmetic on k-bit integers, where $k = \lceil \log_2 p \rceil$, or at least addition/subtraction on such integers [4].

We propose using a randomly chosen irreducible (prime) polynomial $p(t) \in Z_2[t]$ of an appropriate small degree k instead of the prime integer p. It turns out that it is very easy to effect a random choice of an irreducible polynomial. The implementation of mod p(t) arithmetic requires just length-k shift registers and the exclusive-or operation on k-bit vectors. These operations are fast, involve simple circuits, and in VLSI require little chip area.

The applications given here are: a real-time string matching algorithm; detection of unauthorized changes in a file. The method obviously extends into other areas.

Polynomial modular computations are used in algebraic error correction codes. In these applications one carefully constructs a specific polynomial which is designed to facilitate coding and decoding. Under assumption of a random distribution of all possible error patterns, the code

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will detect/correct most occurrences of up to ℓ errors for some fixed ℓ . In the style of [5], we turn the tables around and instead of applying a fixed algorithm to (hopefully) randomly distributed inputs, we construct a randomizing algorithm which is efficient on every input. By randomizing the choice of the irreducible polynomial p(t), we obtain a provably highly dependable and efficient algorithm for <u>every</u> instance of the string matching problem to be solved; we successfully protect every file against any deliberate modification, etc. The mathematically provable efficacy of our method is of particular significance for this last application.

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2. Counting and Choosing Irreducible Polynomials

Even though any degree k can be used, implementation is most convenient when k is prime. Thus in practice we can use k = 17, 19, ..., 31, 61, etc.

Lemma 1. Let k be prime. The number of irreducible polynomials $p(x) = x^{k} + a_{k-1}x^{k-1} + \ldots + a_{0} \in Z_{2}[x]$, is $(2^{k} - 2)/k$. <u>Proof.</u> Let $GF(2^{k}) = E$ be the Galois field with 2^{k} elements. Every irreducible polynomial $p(x) \in Z_{2}[x]$ of degree k has exactly k roots in E, and since 1 < k these roots are in $E - Z_{2}$.

Let $\alpha \in E - Z_2^*$ and let 1 < m be the degree of the irreducuble polynomial $q(x) \in Z_2[x]$ that α satisfies. Then $[Z_2(\alpha): Z_2] = m$ and hence m|k (see [3]). Since k is prime, m = k. I.e., every $\alpha \in E - Z_2$ is a root of an irreducible polynomial of degree k.

Thus the set $E = Z_2$ is partitioned into sets of k elements,

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f $\in \mathbb{Z}_2[x]$ of degree k, and all such polynomials are obtained in this way. It follows that the number of these polynomials is $(2^k-2)/k$.

Consider a fixed prime k, say k = 31, how do we randomly choose an irreducible polynomial $t^{31} + b_1 t^{30} + \dots \in Z_2[t]$? We shall do this by calculating within the field $E = GF(2^k)$ of 2^k elements.

To this end we need <u>one</u> irreducible polynomial $f(x) = x^{k} + a_{1}x^{k-1} + \cdots \in Z_{2}[x]$. The elements of E will be the k-tuples $\gamma = (c_{1}, \ldots, c_{k})$, $c_{i} \in Z_{2}$. The addition of two elements is component-wise. To find the product $\gamma \cdot \delta$, where $\delta = (d_{1}, \ldots, d_{k})$, calculate (in $Z_{2}[x]$) the residue

$$e_1 x^{k-1} + \ldots + e_k \equiv (c_1 x^{k-1} + \ldots + c_k)(d_1 x^{k-1} + \ldots + d_k) \mod f(x);$$

then $\gamma \cdot \delta = (e_1, \ldots, e_k)$.

Details of how to computationally implement the arithmetic of a finite field can be found in [7], where an efficient method for finding irreducible polynomials of any degree n is also given. We assume that irreducible polynomials $f_2(x)$, $f_3(x)$, ..., $f_{31}(x)$,..., of small prime degrees are tabulated once and for all.

We shall now effect a random choice of an irreducible polynomial $p(t) = t^{31} + \ldots \in Z_2[t]$. We use the indeterminate t to distinguish these polynomials from the fixed polynomials $f_2(x)$, $f_3(x)$,... Choose randomly an element $\gamma = (c_1, \ldots, c_{31}) \in GF(2^{31}) - Z_2$. Namely, randomly generate a sequence of 31 bits and if it happens to be $(0, 0, \ldots, 0)$ or $(0, 0, \ldots, 0, 1)$ discard it.

The element γ satisfies, by the proof of Lemma 1, a unique irreducible polynomial $p(t) = t^{31} + b_1 t^{30} + \ldots + b_{31} \in \mathbb{Z}_2[t]$. To find it compute is $G_2(2^{31})$ (using f (x)) the powers

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