

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)
Petitioner

v.

FINANCIAL SYSTEMS TECHNOLOGY
(INTELLECTUAL PROPERTY) PTY. LTD.
Patent Owner

CASE IPR2013-00079
Patent RE40,520

**FINANCIAL SYSTEMS TECHNOLOGY
(INTELLECTUAL PROPERTY) PTY. LTD.'S
MANDATORY NOTICES UNDER 37 C.F.R. 42.8**

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner, Financial Systems Technology (Intellectual Property) Pty. Ltd., hereby files mandatory notices pursuant to 37 C.F.R. 42.8(a)(2). These notices are being timely filed within 21 days of service of the Petition, which was on December 12, 2012.

A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))

Financial Systems Technology (Intellectual Property) Pty. Ltd. is the owner of the entire interest in U.S. Patent No. RE40,520 ("the '520 patent"), and thus is a real-party-in-interest.

B. Related Matters (37 C.F.R. § 42.8(b)(2))

The following judicial matters may be affected by a decision in this proceeding: *Financial Systems Technology (Intellectual Property) Pty. Ltd. et. al. v. International Business Machines Corp.*, U.S. District Court for the Northern District of Illinois, Case No. 1:11-cv-08729 ("*FST v. IBM*").

C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

Patent Owner designates the following counsel:

Lead Counsel: Scott A. McKeown (Reg. No. 42,866) d: 703-412-6297
Back-up Counsel: Michael L. Kiklis (Reg. No. 38,939) d: 703-413-2707
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CERTIFICATE OF SERVICE

The undersigned certifies that a copy of the foregoing FINANCIAL SYSTEMS TECHNOLOGY (INTELLECTUAL PROPERTY) PTY. LTD.'S MANDATORY NOTICES UNDER 37 C.F.R. 42.8 § 42.10(b) was served on January 2, 2013 via UPS Next Day Air to the following:

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Respectfully Submitted,

/Scott A. McKeown/

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