

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CMI CORP.
Petitioner

v.

PATENT OF HIRAKATA YOSHIHARU and
SHUNPEI YAMAZAKI

Patent Owner

CASE IPR2013-00066
PATENT 7,876,413

MANDATORY NOTICES BY PATENT OWNER UNDER 37 CFR § 42.8

Pursuant to 37 CFR § 42.8(a)(2), Patent Owner Semiconductor Energy Laboratory Co., Ltd., submits the following mandatory notices:

(1) Real Party-in-Interest

The real party-in-interest for the Patent Owner is Semiconductor Energy Laboratory Co., Ltd., a Japanese Corporation with its principal place of business located at 398, Hase, Atsugi-shi, Kanagawa-ken, 243-0036 Japan. The Board appears to have incorrectly identified Yoshiharu Hirakata (identified in caption as “Hirakata Yoshiharu”) and Shunpei Yamazaki as the Patent

Owner in the caption of the NOTICE OF INCOMPLETE PETITION mailed November 30, 2012. Mr. Hirakata and Dr. Yamazaki were inventors named in the patent but not the Patent Owner. However, Semiconductor Energy Laboratory Co., Ltd., is the Patent Owner and real party-in-interest, by virtue of an assignment recorded with the Patent and Trademark Office at reel 009581, frame 0943. See, MPEP § 306.

Accordingly, the Patent Owner requests correction of the caption and that future mailings properly reflect Semiconductor Energy Laboratory Co., Ltd., as the Patent Owner.

(2) Related Matters

U.S. Patent No. 7,876,413 was asserted against Petitioner Chi Mei Innolux in a civil action styled *Semiconductor Energy Laboratory Co., Ltd. v. Chimei Innolux Corp., et al.*, Case No. SACV 12-0021-JST (C.D. Cal).

The following U.S. patent and application claim benefit of the priority of the '413 patent's filing date: U.S. Patent No. 8,068,204 and Application Serial No. 13/304,660.

In addition, Inter Partes Review No. IPR2013-00068 was filed by Petitioner with respect to U.S. Patent No. 8,068,204.

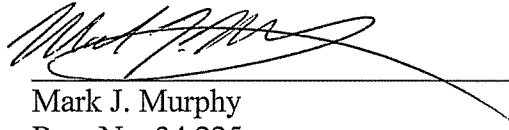
(3) Lead and Back-up Counsel

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(4) Service

Please address all correspondence in this matter to lead and backup counsel at the address provided above.

Respectfully submitted,



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CERTIFICATE OF SERVICE

I certify that the foregoing MANDATORY NOTICES OF PATENT OWNER UNDER 37 CFR § 42.8 was served on the Petitioner by Federal Express Standard Overnight at the following addresses on December 18, 2012.

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