

## **SEL EXHIBIT NO. 2006**

CHI MEI INNOLUX CORP. v. PATENT OF SEMICONDUCTOR ENERGY  
LABORATORY CO., LTD.

IPR2013-00064



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	11/898,833
				Filing Date	September 17, 2007
				First Named Inventor	Hongyong ZHANG et al.
				Group Art Unit	2829
				Examiner Name	Michael Lebentritt
Sheet	1	of	1	Attorney Docket Number	0756-8108

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> <i>(if known)</i>			

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> <i>(if known)</i>				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>5</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/M.L./	C98	C. Zarowin and R. Horwath, "Control of Plasma Etch Profiles with Plasma Sheath Electric Field and RF Power Density," J. Electrochem. Soc.: Solid-State Science and Tech., November 1982, pp. 2541-2547.	

Examiner Signature	/Michael Lebentritt/	Date Considered	05/11/2010
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Notice of References Cited</b>	Application/Control No. 11/898,833	Applicant(s)/Patent Under Reexamination ZHANG ET AL.	
	Examiner MICHAEL S. LEBENTRITT	Art Unit 2829	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A US-5,311,041	05-1994	Tominaga et al.	257/66
*	B US-5,712,495	01-1998	Suzawa, Hideomi	257/49
*	C US-6,077,731	06-2000	Yamazaki et al.	438/150
*	D US-6,226,060	05-2001	Onisawa et al.	349/43
*	E US-6,346,730	02-2002	Kitakado et al.	257/350
*	F US-6,509,217	01-2003	Reddy, Damoder	438/153
	G US-			
	H US-			
	I US-			
	J US-			
	K US-			
	L US-			
	M US-			

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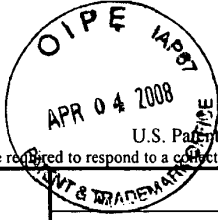
**NON-PATENT DOCUMENTS**

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Receipt date: 04/04/2008

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11898833 - GAU: 2829

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	Based on <del>10/925,984</del> 11898833
		Filing Date	August 26, 2004
		First Named Inventor	Hongyong ZHANG et al.
		Group Art Unit	<del>2826</del> 2829
		Examiner Name	<del>Victor A. Mandala</del> Lebentritt
Sheet	1	Of	19
		Attorney Docket Number	0756-8108

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Semiconductor Energy Laboratory Co., Ltd.'s Motion for Summary Judgment on its Claim of Infringement of the '480 Patent and on Defendants' Inequitable Conduct, Laches, License, and Patent Misuse Affirmative Defenses dated March 19, 2007	
		Declaration of Stanley A. Schlitter in Support of Semiconductor Energy Laboratory Co., Ltd.'s Motion for Summary Judgment (Exhibit 1, 5-21) dated March 19, 2007	
		Defendants' Motion for Summary Judgment of (A) Non-Infringement and Invalidity of the '258 Patent; (B) Non-Infringement and Invalidity of the '995 Patent; and (C) No Liability for Foreign Sales and/or Sales to Authorized Licensees dated March 19, 2007	
		Declaration of Robert W. Unikel in Support of Defendants' Motion for Summary Judgment of (A) Non-Infringement and Invalidity of the '258 Patent; (B) Non-Infringement and Invalidity of the '995 Patent; and (C) No Liability for Foreign Sales and/or Sales to Authorized Licensees (Exhibit 2-17) dated March 19, 2007	
		Semiconductor Energy Laboratory Co. Ltd.'s Memorandum of Points and Authorities in Opposition to Defendants' Motion for Summary Judgment dated April 16, 2007	
		Declaration of Stanley A. Schlitter in Support of Semiconductor Energy Laboratory Co., Ltd.'s Opposition to Defendants' Motion for Summary Judgment (Exhibit 2, 3, 6, 9, 10, 13, 77) dated April 16, 2007	
		Defendants' Opposition to Semiconductor Energy Laboratory Co., Ltd.'s Motion for Summary Judgment on its Claim of Infringement of the '480 Patent and on Defendants' Inequitable Conduct, Laches, License, and Patent Misuse Affirmative Defenses dated April 16, 2007	
		Declaration of Robert W. Unikel in Support of Defendants' Opposition to Semiconductor Energy Laboratory Co., Ltd.'s Motion for Summary Judgment on its Claim of Infringement of the '480 Patent and on Defendants' Inequitable Conduct, Laches, License, and Patent Misuse Affirmative Defenses (Exhibit 2, 8-14) dated April 16, 2007	
		Semiconductor Energy Laboratory Co., Ltd.'s Reply in Support of its Motion for Summary Judgment on its Claim of Infringement of the '480 Patent and on Defendants' Inequitable Conduct, Laches, License, and Patent Misuse Affirmative Defenses dated April 30, 2007	

Examiner Signature	/Michael Lebentritt/	Date Considered	11/04/2009
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.L./

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