APPENDIX A

2	<u>Claim Element-BRI</u>	PERLMAN & YOHE & SANTOS CLAIM MAPPINGS
3	Each Claim as a Whole	Perlman: Discloses all of the elements and all of the functions of each claim
4		arranged as they are arranged in the claim. See below. (See generally '820, Abstract, 1:1-9, 3:61-4:4, 8:52-9:2, claims 1, 4-6, 8, 10). E.g.: "The invention
5		comprises a mechanism for efficiently synchronizing the contents of databases stored on nodes of a computer network to ensure that those contents are consistent.
6		Generally, the mechanism comprises a database identifier generated by a node of the computer network and distributed to other receiving nodes coupled to the network. The database identifier is uniquely representative of the contents of the
7		distributing node's database and the receiving nodes compare this unique identifier
8		with their own generated database identifiers to determine if the identifiers, and thus their databases, are consistent and synchronized." (<u>id</u> ., 3:61-4:4).
9		Yohe: Except as otherwise noted, discloses all of the elements and all of the
0		functions of each claim arranged as they are arranged in the claim. See below. (See generally '943, 2:41-61, claims 1, 6, 8). E.g.: "The performance gains
1		realized by the present invention are derived from the fact that remote clients tend to repetitively access the same data by performing file reads. If a copy of the data
2		can be stored in the permanent storage memory of the remote client computer and also verified to be current when it is subsequently retrieved, this will improve
3		performance significantly. This is because it requires much less bandwidth to verify a block of data than it would to actually transfer a block of data." (<u>id</u> ., 4:32-
4		40).
5		Santos: Except as otherwise noted, discloses all of the elements and all of the

Appendix A to Microsoft's Petition for Inter Partes Review of U.S. Patent No. 6,757,717 Page 1 of 19

MICROSOFT

EXHIBIT 1001

A L A R Ζ-Find authenticated court documents without watermarks at docketalarm.com.

1

Σ	1	Claim Element-BRI	
Z	2		functions
Find	3		(See gen decompr (Santos §
authe	4		indexed find H(X
nticate	5		{HdrB, I fingerpri
d court	6		packet." {HdrB, 2
t docur	7		a new TO
fments	8		in its cac
Find authenticated court documents without watermarks at <u>docketalarm.com</u>	9	1. A system for data access in a packet-switched	Perlman networks
ıt wate	10	network, comprising:	model. (
rmark	11		Yohe: " such as t
s at <u>do</u>	12		using "pa 2:54-57,
cketal	13		Santos:
arm.co	14		network ¶ 1, Abst
B	15		" 1, АОЗ

PERLMAN & YOHE & SANTOS CLAIM MAPPINGS

ns of each claim arranged as they are arranged in the claim. See below. nerally Santos, Figs. 4, 5). Sender/Compressor "sends a packet to the ressor containing the TCP/IP header HdrB and the fingerprint H(X)." § 3.2.1, \P 3). Receiver/Decompressor "determines the payload X that is by H(X) in its cache." (Santos § 3.2.1, ¶ 5). If decompressor does not X) in its cache, i.e., "if the decompressor receives a fingerprint packet H(X) for which H(X) is not a valid entry in its cache, it sends the entire rint packet (including the header) back to the compressor as a rejection (Santos § 3.2.2, ¶ 3). "Compressor sends the complete TCP/IP packet X} to the decompressor, which processes the packet as if it were receiving CP/IP packet" (Santos § 3.2.2, ¶ 3), i.e., "upon receiving a TCP/IP packet led over the channel, the decompressor also computes H(X), and stores X che, indexed by H(X)." (Santos § 3.2.1, ¶ 2). **n:** Access to data and transmission of data packets over computer s including packet-switched networks using the OSI seven-layer protocol ('820, Abstract, 1:1-9, 1:16-23, 1:67-2:3, 7:12-22, 8:52-9:2, Fig. 2). "An apparatus for increased data access in a network" ('943, 2:41-42), the world wide web (id., 4:23-27) or other wide area network (id., Fig. 2), backet[s]" (id., 8:24-25). (See id., title, Abstract, 1:12-15, 2:43-46, 2:51, , 3:8-21, 4:22-24, 5:45-50, 5:59-60, 6:22-23, Fig. 2, claim 1). A system for transferring data over the Internet or other packet-switched for access to such data at client or server computers. (Santos $\S 1, \P 6, \S 6,$ stract).

Appendix A to Microsoft's Petition for Inter Partes Review of U.S. Patent No. 6,757,717 Page 2 of 19

1	Claim Element-BRI	PERLMAN & YOHE & SANTOS CLAIM MAPPINGS
2	(a) [*] a sender/computer including	<u>Perlman</u> : Each computer is capable of sending information over the network. The "a" sender/computer includes at least designated router R4. (See '820, 5:39- 52, 7:24, 20, 8:(0, 0)2, Fig. 2)
3	{* - reference labels added	53, 7:24-30, 8:60-9:2, Fig. 2).
4	throughout this claim listing}	Yohe: Each computer is capable of sending information over the network. The "a" sender/computer is, e.g., the "file server computer 18" combined with the
5		"cache verifying agent 54" residing on the "cache verifying computer 14" or "communication server 16." ('943, 4:42-44; Fig. 2). Alternatively, it is the cache
6		verifying computer integral with the communications server (<u>id</u> ., Abstract, 5:33- 36, claims 1, 7 ("said cache verifying computer has said communications server
7		integrally formed therewith")).
8		Santos: Each computer is capable of sending information over the network. Each
9		computer acts as both a sender (compressor) of packets and a receiver (decompressor) of packets. (See Santos § 2.5, ¶ 2, § 3.1, ¶ 1, § 3.4, ¶ 1, Abstract, ¶
10		2).
11	(i) an operating unit,	Perlman: Sender is a "general-purpose computer[]" ('820, 5:41-43) with an "operating system" (<u>id.</u> , 5:49) and ability to operate. (See <u>id.</u> , 1:11-25, 5:38-53, 8:57-9:2). The '717 does not describe anything reasonably called an "operating
12		unit" which is not also disclosed in this reference.
13		Yohe: Sender has an operating system and ability to operate. (See '943, 2:46-47,
14		5:17-18, 5:22-23, claim 1). The '717 does not describe anything reasonably called an "operating unit" which is not also disclosed in this reference.
15		

Appendix A to Microsoft's Petition for Inter Partes Review of U.S. Patent No. 6,757,717 Page 3 of 19

1	<u>Claim Element-BRI</u>	PERLMAN & YOHE & SANTOS CLAIM MAPPINGS
2		Santos: Sender implementation is an "Intel-based PentiumII" computer running Linux operating system (Santos § 3.4 , ¶ 1) and ability to operate. (Id., §§ 3.4 , 4). The '717 does not describe anything reasonably called an "operating unit" which
5		is not also disclosed in this reference.
4	(ii) a first memory,	<u>Perlman</u> : Each computer typically is a "general-purpose computer" and includes "a memory unit 204" which "may comprise storage locations typically composed
5		of random access memory (RAM) devices, which are addressable by the CPU 202 and network adapter 206." ('820, 5:41-49, Fig. 2). (See <u>id</u> ., '820, 8:52-9:2).
6		
7		Yohe: The cache verifying computer includes "a first memory" ('943, 2:47) (e.g., RAM) as does the file server computer. (See <u>id</u> ., Abstract, 2:46-47, 5:34-36,
8		claims 1, 6, 8).
9		Santos: Sender implementation includes 128MB of RAM. (Santos § 3.4, ¶ 1).
10	(iii) a permanent storage memory and	<u>Perlman</u> : Each computer typically is a "general-purpose computer" with an operating system (only portions of which are resident in RAM), and which
11		implements a particular protocol, necessarily using software stored in permanent memory. ('820, 3:14-21, 5:41-52, 8:52-9:2, Fig. 2).
12		Yohe: File server includes "a DD [(disk driver)] 78 and a PSD [(permanent
13		storage disk)] 80." ('943, 5:22-24). (See <u>id</u> ., Abstract, 2:47-49, 3:5-7, 3:22-24, claims 1, 6, 8). The cache verifying computer also necessarily has a permanent
14		memory in order to store its boot-up code, "operating system," LAN Driver 68, Network Transport Layer 66, etc. (Id., 5:14-21, 5:34-36, Fig. 2).
15		

15

Appendix A to Microsoft's Petition for Inter Partes Review of U.S. Patent No. 6,757,717 Page 4 of 19

1	Claim Element-BRI	PERLMAN & YOHE & SANTOS CLAIM MAPPINGS
2		
3		Santos: E.g., its general-purpose PentiumII PC necessarily has a ROM and a hard disk storing, e.g., its Linux operating system and "compressor" and "decompressor" code. (Santos § 2.5, ¶ 2). Implementation's 128MB RAM is
4		substantially smaller than the 200MB cache available for <u>each</u> direction of network traffic. (See <u>id.</u> , § 3.1 , ¶ 1).
5	(iv) a processor and a	<u>Perlman</u> : Each "general-purpose computer" "typically comprises a central
6		processing unit (CPU) 202." ('820, 5:41-44, Fig. 2). (See <u>id</u> ., '820, 8:52-9:2).
7		<u>Yohe</u>: File server computer includes "a processor" ('943, claim 8) and cache verifying computer includes "a processor" (<u>id.</u> , 2:47) and cache verifying agent
8		could be "a stand alone processor with its own memory and operating system" (<u>id</u> ., 5:34-36). (See id., Abstract, claim 1).
9		
10		<u>Santos</u>: Sender implementation is an "Intel-based PentiumII" computer running Linux operating system. (Santos § 3.4 , ¶ 1).
11	(b) remote receiver/computer including	Perlman: Each computer is capable of receiving information over the network. Remote nodes on a computer network receive data from other network nodes, e.g.,
12	I and S	routers R1-R3 and R5-R6. ('820, 5:39-43, 7:24-30, 8:60-9:2, Fig. 2).
13		Yohe: Each computer is capable of receiving information over the network. "A
14		network computer system 10 having at least one remote client computer 12." ('943, 4:42-43). (See <u>id</u> ., Abstract, Fig. 2, claims 1, 8).
15		

Appendix A to Microsoft's Petition for Inter Partes Review of U.S. Patent No. 6,757,717 Page 5 of 19

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.