07/03		HE UNITED STATES PATENT AND TRADEMARK OFFICE				
	Date: 7/3/97					
	Applicant: Serial No.: Filed:	Thomas Yohe et al. 08/				
	For: Docket No.:	APPARATUS AND METHOD FOR INCREASED DATA ACCESS IN A NETWORK FILE ORIENTED CACHING SYSTEM S-00014-003				
	Box Patent Application Commissioner of Patents and Trademarks Washington, D.C. 20231					
	Sir: Enclosed are the following papers:					
	X       Specification, abstract and claims, pages total.         X       Drawings:_ sheet(s), x formal, informal         X       Declaration and Power of Attorney X executed         unexecuted					
	A claim for priority under 35 U.S.C. 119 is hereby made with respect to Application No filed A certified copy of that application is enclosed/ will be filed later.					
and a second sec	<ul> <li>A claim for priority under 35 U.S.C. 120 is hereby made with respect to <u>U.S.</u> Application No. <u>08/565,393</u> filed <u>11/30/95</u>.</li> <li>Statement by inventor as to small entity status</li> </ul>					
	X     Enclosed herewith is a check in the amount of \$ 770.       which is calculated as follows.					
	x       Information Disclosure Statement         X       The inventorship for all the claims in this application are:					
	X The same or					
	ownershij	same. An explanation, including the o of the various claims at the time the last avention was made				
	is sub	mitted.	MICROSOFT EXHIBIT 1006			
			Page 1 of 49			

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 $\underline{x}$  An assignment of the invention to  $\underline{x}$  is attached

\_ will follow; enclosed herewith is a check in the amount of <u>40.00</u>

\_\_\_\_\_ A terminal disclaimer is filed herewith and fee \$55.00

#### CLAIMS AS FILED

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a)
		•	\$770.00
Total <u>Claims (37 CFR</u>	1.16(c)) -20=	X.\$ 22.00 -	
Independent			
Claims (37 CFR	<u>1.16(b)) - 3=</u>	<u>X \$ 80.00</u> -	0.00
Multiple depende (37 CFR 1.16(d))	ent claim(s), if any	\$260.00 -	0.00
Filing Fee Calcul	ation		
Large Entity	7	70.00	
Small Entity	(	) x .5	
		Respectfu	illy submitted,
			illiam Graham No. <u>33,891</u>

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 Applicant:
 Thomas Patrick Yohe et al.

 Serial No.:
 08/

 Filed:
 For:

 APPARATUS AND METHOD FOR INCREASED DATAACCESS IN A NETWORK FILE ORIENTED CACHING SYSTEM

 Docket No.:
 S-00014-003

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

#### EXPRESS MAIL CERTIFICATE

"Express Mail" label number Em 110421439Date of Deposit 7/3/97

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I hereby certify that the following attached: transmittal letter <u>X</u>\_\_\_\_ new application X continuation \_\_\_\_ divisional continuation-in-part X declaration and power of attorney <u>x</u> amendment \_ preliminary amendment information disclosure statement Х response \_\_\_\_ notice of appeal \_ X assignment petition for extension \_ notification of continuation affidavit \_\_\_\_ verified statement of small entity X filing fees terminal disclaimer and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and s addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 R. William Graham Reg. No. <u>33,891</u>

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#### Abstract of the Disclosure

An apparatus for increased data access in a network includes a file server computer having a permanent storage memory, a cache verifying computer operably connected to the file server computer in a manner to form a network for rapidly transferring data, the cache verifying computer having an operating system, a first memory and a processor with means for performing an operation on data stored in the permanent storage memory of the file server computer to produce a signature of the data characteristic of one of a file and directory, a remote client computer having an operating system, a first memory, a cache memory and a processor with means for performing an operation on data stored in the cache memory to produce a signature of the data, a communication server operably connected to the remote client computer to the cache verifying computer and the file server computer and comparators operably associated with the cache verifying computer and remote client computer for comparing the signatures of data with one another to determine whether the signature of data of the remote client is valid.

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### APPARATUS AND METHOD FOR INCREASED DATA ACCESS IN A NETWORK FILE

ORIENTED CACHING SYSTEM

This is a continuation-in-part of U.S. Serial No. 08/565,393 filed 11/30/95.

Field of the Invention

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OFFERENCE STREET

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ΟСΚΕ

The present invention relates to data access in a file oriented network system. More particularly, the present invention is directed to a client-agent-server utility which increases the speed in which data in the form of files and directories are accessed across slow link communications via remote node caching and verifying.

Related Art

Many operating systems are equipped to handle caching and verifying of data. Traditionally, in a remote client's caching system, optimization in retrieving data is limited to prefetching. In other words, an application program in a remote client requests from a file server transmission of a predetermined number of bytes of information (e.g., x bytes) and the operating system on the client prefetches the requested data plus another number of bytes of information (e.g., x + y bytes). Thus, when the application requests the bytes, it already exists in its readily accessible memory (cache).

In addition, there also exist problems with verification of directories in existing systems. It has been found, for example, that two remote clients concurrently accessing data and attempting to verify a directory will not necessarily obtain the same data due to the fact that the data from the file server computer will not necessarily send out the data in the same order to each of the remote clients. Thus, there is no clear indication whether the directory data is current.

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