

Filed on behalf of Microsoft Corporation

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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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MICROSOFT CORPORATION  
Petitioner

v.

PROXYCONN, INC.  
Patent Owner

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Case IPR2012-00026 (TLG)  
Case IPR2013-00109 (TLG)  
Patent 6,757,717 B1

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**FOURTH SUPPLEMENTAL APPENDIX AND LIST  
OF EXHIBITS TO PETITION FOR *INTER PARTES* REVIEW**

Petitioner Microsoft Corporation (“Microsoft”) serves this List of Exhibits with the exhibit number, a brief description of each exhibit, and a notation of which exhibits were served and which were filed pursuant to 37 C.F.R. § 42.63(e).

**IPR2012-00026**

**Exhibits 1001-1018:** Filed September 18, 2012 with Microsoft’s Petition for Inter Partes Review of U.S. Patent No. 6,757,717;

**Exhibits 1019-1020:** Served January 18, 2013, concurrently with the First Supplemental List of Exhibits and with Microsoft’s Notice of Supplemental Evidence in Response to Proxyconn’s Objections to Petitioner’s Exhibits 1001-1018;

**Exhibit 1021:** Filed March 21, 2013, concurrently with Microsoft’s Motion for *Pro Hac Vice* Admission Under 47 C.F.R. § 42.10;

**Exhibit 1022:** Served May 29, 2013, concurrently with Microsoft’s Objections To Patent Holder Proxyconn, Inc.’s Exhibits 2002, 2004; and filed August 20, 2013, concurrently with the Fourth Supplemental List of Exhibits, Microsoft’s Reply to Patent Owner’s Corrected Response to Petition, and with Microsoft’s Response to Patent Owner’s Corrected Motion to Amend.

**Exhibits 1023-1026:** Filed August 21, 2013, concurrently with the Fourth Supplemental List of Exhibits, Microsoft’s Reply to Patent Owner’s Corrected

Fourth Supplemental Appendix and List of Exhibits

Response to Petition, and with Microsoft’s Response to Patent Owner’s Corrected  
 Motion to Amend.

**LIST OF EXHIBITS**

<u>Ex.</u>	<u>Description</u>	<u>Offered for Truth?</u>	<u>Exhibits Not Filed</u>
1001	Appendix A, Chart mapping ’717 claims to prior art references Perlman, Yohe and Santos. (Part of Petition.)	No.	
1002	Leonid Goldstein, U.S. Patent No. 6,757,717, “System and Method for Data Access,” issued Jun. 29, 2004, with Certificate of Correction, issued Aug. 14, 2012. (Long Dep. Ex. 1021; Konchitsky Dep. Exhibit.)	Yes, but only for admissions of prior art and knowledge of PHOSITA.	
1003	Radia J. Perlman et al., U.S. Patent No. 5,742,820, “Mechanism for Efficiently Synchronizing Information Over a Network,” issued Apr. 21, 1998. (Long Dep. Ex. 1024; Konchitsky Dep. Exhibit.)	No.	
1004	Jonathan Santos et al., “USENIX, Increasing Effective Link Bandwidth by Suppressing Replicated Data,” Proceedings of the USENIX Annual Technical Conference (NO 98) New Orleans, Louisiana, June 1998. (Long Dep. Ex. 1026.)	No.	

Fourth Supplemental Appendix and List of Exhibits

<u>Ex.</u>	<u>Description</u>	<u>Offered for Truth?</u>	<u>Exhibits Not Filed</u>
1005	Thomas Patrick Yohe et al., U.S. Patent No. 5,835,943, “Apparatus and Method for Increased Data Access in a Network File Oriented Caching System,” issued Nov. 10, 1998. (Long Dep. Ex. 1022; Konchitsky Dep. Exhibit.)	No.	
1006	Thomas Patrick Yohe et al., U.S. Patent Application No. 08/888,311, filed July 3, 1997, issued as U.S. Patent No. 5,835,943.	No.	
1007	Expert declaration of Professor Darrell D. E. Long in Support of Microsoft’s Petition for <i>Inter Partes</i> Review. (Long Dep. Ex. 1020.)	Yes.	
1008	Microsoft Corporation, “[MS-RDC] – v20120705, Remote Differential Compression Algorithm Specification,” July 5, 2012.	No.	
1009	Defendant Microsoft Corporation’s Response to Plaintiff Proxyconn Inc.’s First Set of Interrogatories with supporting Exhibits A-B and E, dated July 25, 2012. (Exhibits C-D omitted.)	No.	
1010	[Microsoft’s] Supplemental Ex. E to Defendants’ Supplemental Interrogatory Response, dated Aug. 15, 2012.	No.	
1011	Plaintiff Proxyconn Inc.’s Objections and	No.	

Fourth Supplemental Appendix and List of Exhibits

<u>Ex.</u>	<u>Description</u>	<u>Offered for Truth?</u>	<u>Exhibits Not Filed</u>
	Responses to Defendant Microsoft Corporation's First Set Of Interrogatories, dated Sept. 9, 2012.		
1012	Plaintiff Proxycconn Inc.'s Second Amended Consolidated Complaint for Patent Infringement and supporting Ex. B, dated July 16, 2012. (Exhibit A omitted.)	No.	
1013	Defendants' Notice of Motion and Motion for Summary Judgment of Invalidity, dated July 3, 2012.	No.	
1014	Declaration of Darrell D. E. Long in Support of Defendants' Motion for Summary Judgment and supporting Exhibits A-C, dated July 3, 2012.	No.	
1015	Peter Mattis et al., U.S. Patent No. 6,128,623, "High Performance Object Cache," filed Apr. 15, 1998, issued Oct. 3, 2000.	No.	
1016	Reasons for Allowance of U.S. Application No. 09/398,007, dated Dec. 12, 2003.	No.	
1017	Stephen B. Baber et al., U.S. Patent No. 6,279,041, "Methods, Systems and Computer Program Products for Differencing Data Communications Using a Message Queue," issued Aug. 21, 2001.	No.	

Fourth Supplemental Appendix and List of Exhibits

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