### UNITED STATES PATENT AND TRADEMARK OFFICE

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### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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## UNIVERSITY OF WATERLOO,

Assignee of U.S. Patent Application No. 15/513,914

Petitioner,

V.

### SALIENT ENERGY INC.

Assignee of U.S. Patent No. 9,780,412

Respondent.

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Case No.: DER2018-00018

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#### PETITIONER'S UPDATED EXHIBIT LIST



UW Ex.	Description
1001	U.S. Patent No. 9,780,412
1002	U.S. Patent App. 15/513,914
1003	U.S. Prov. App. No. 62/230,502: manuscript and filing receipt
1004	PCT/CA2016/050613, published as WO2016/197236
1005	Confidential - Declaration of Dipan Kundu
1006	Confidential - Declaration of Linda F. Nazar
1007	Assignment Abstract of U.S. Patent App. 15/513,914
1008	Assignment Abstract of U.S. Patent No. 9,780,412
1009	Confidential - Oct. 22, 2015 e-mail from Brian Adams
1010	§ 1.64 substitute statement from U.S. Patent No. 9,780,412 file history
1011	Kundu et al., "A high-capacity and long-life aqueous rechargeable zinc battery using a metal oxide intercalation cathode," Art. No. 16119, Nature Energy (Aug. 26, 2016)
1012	US20170250449A1 (publication of U.S. Patent App. 15/513,914)
1013	US20170207492A1 (publication of U.S. Patent App. 15/461,849)
1014	Reserved
1015	Reserved
1016	Confidential - Technology Disclosure Form dated May 28, 2015
1017	Confidential - PowerPoint Presentation dated January 12, 2015
1018	U.S. Patent Application No. 13/794,508 to Pellion
1019	Confidential - PowerPoint Presentation



1020	Reserved
1021	Confidential - Laboratory notebook excerpts from August 7 to September 25, 2014
1022	Confidential - Laboratory notebook excerpts from September 15, 2014 to March 20, 2015
1023	Confidential - Laboratory notebook excerpts from April 20 to May 30, 2015
1024	Default Protective Order
1025	Confidential – Settlement Agreement

# Respectfully submitted,

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Dated: January 22, 2019



## **CERTIFICATE OF SERVICE**

The undersigned certifies service of the foregoing PETITIONER'S

UPDATED EXHIBIT LIST with EXHIBIT 1025 on counsel for Respondent via
email to the following:

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