UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MICHAEL J. SINDONI, Petitioner Application 14/834,548 Petitioner,

v.

FCA US LLC Respondent Application 14/209,123 Respondent.

Case DER2016-00003

Mailed: February 29, 2016

Before Andrew Kellogg, Trial Paralegal

NOTICE OF FILING DATE ACCORDED TO PETITION

The petition to institute a derivation proceeding designated Case DER2016-00003 has been accorded the filing date of January 26, 2016.



DER2016-00003 Petitioner Application 14/834,548 Respondent Application 14/209,123

Respondent is advised of the requirement to submit mandatory notice information under 37 C.F.R. § 42.8(a)(2) within 21 days of service of the petition.

The parties are encouraged to use the heading on the first page of this Notice for all future filings in the proceeding.

The parties are advised that under 37 C.F.R. § 42.10(c), recognition of counsel *pro hac vice* requires a showing of good cause. The parties are authorized to file motions for *pro hac vice* admission under 37 C.F.R. § 42.10(c). Such motions shall be filed in accordance with the "Order -- Authorizing Motion *for Pro Hac Vice* Admission" in Case IPR2013-00639, Paper 7, a copy of which is available on the Board Web site under "Representative Orders, Decisions, and Notices."

The parties are reminded that unless otherwise permitted by 37 C.F.R. § 42.6(b)(2), all filings in this proceeding must be made electronically in the Patent Review Processing System (PRPS), accessible from the Board Web site at http://www.uspto.gov/PTAB. To file documents, users must first obtain a user ID and password by registering with PRPS. Information regarding how to register with and use PRPS is available at the Board Web site.

Jurisdiction over Application 14/834,548 remains with the Examiner until such time as Petitioner's claim is in condition for allowance (absent the earlier filed application that allegedly derived the invention). *See* Changes to Implement Derivation Proceedings, 77 Fed. Reg. 56068, 56069 (Sept. 11, 2012), which is available on the Board Web site at http://www.uspto.gov/PTAB.



DER2016-00003 Petitioner Application 14/834,548 Respondent Application 14/209,123

Jurisdiction over Respondent's Application 14/209,123 remains with the Examiner until Petitioner's application is in condition for allowance.

If there are any questions pertaining to this notice, please contact Andrew Kellogg at 571-272-5366 or the Patent Trial and Appeal Board at 571-272-7822.



DER2016-00003 Petitioner Application 14/834,548 Respondent Application 14/209,123

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RESPONDENT:

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NOTICE CONCERNING ALTERNATIVE DISPUTE RESOLUTION (ADR)

The Patent Trial and Appeal Board (PTAB) strongly encourages parties who are considering settlement to consider alternative dispute resolution as a means of settling the issues that may be raised in an AIA trial proceeding. Many AIA trials are settled prior to a Final Written Decision. Those considering settlement may wish to consider alternative dispute resolution techniques early in a proceeding to produce a quicker, mutually agreeable resolution of a dispute or to at least narrow the scope of matters in dispute. Alternative dispute resolution has the potential to save parties time and money.

Many non-profit organizations, both inside and outside the intellectual property field, offer alternative dispute resolution services. Listed below are the names and addresses of several such organizations. The listings are provided for the convenience of parties involved in cases before the PTAB; the PTAB does not sponsor or endorse any particular organization's alternative dispute resolution services. In addition, consideration may be given to utilizing independent alternative dispute resolution firms. Such firms may be located through a standard keyword Internet search.

CPR INSTITUTE FOR DISPUTE RESOLUTION	AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION (AIPLA)	AMERICAN ARBITRATIO N ASSOCIATIO N (AAA)	WORLD INTELLECTUA L PROPERTY ORGANIZATI ON (WIPO)	AMERICAN BAR ASSOCIATION (ABA)
Telephone: (212) 949-6490	Telephone: (703) 415-0780	Telephone: (212) 484-3266	Telephone: 41 22 338 9111	Telephone : (202) 662-1000
Fax: (212) 949-8859	Fax: (703) 415-0786	Fax: (212) 307-4387	Fax: 41 22 733 5428	N/A
575 Lexington Ave New York, NY 10022	241 18th Street, South, Suite 700 Arlington, VA 22202	140 West 51st Street New York, NY	34, chemin des Colombettes CH-1211 Geneva 20,	1050 Connecticut Ave, NW Washington D.C. 20036
www.cpradr.org	www.aipla.org	10020 www.adr.org	Switzerland www.wipo.int	www.americanbar.org

If parties to an AIA trial proceeding consider using alternative dispute resolution, the PTAB would like to know whether the parties ultimately decided to engage in alternative dispute resolution and the reasons why or why not. If the parties actually engage in alternative dispute resolution, the



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