IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

MICHAEL J. SINDONI, JR., owner and Assignee of US Patent Appl'n No. 14/834,548,
PETITIONER
V.
FCA US LLC, owner and Assignee of US Patent Appl'n No. 14/209,123 Patent No. 9,067,525 – Issued June 30, 2015
RESPONDENT

VIA PRPS

Mail Stop PATENT BOARD Patent Trial and Appeal Board Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Case No.:_____

PETITION TO INSTITUTE A DERIVATION PROCEEDING AGAINST US PATENT APPLICATION NO. 14/209,123



PETITION TO INSTITUTE A DERIVATION PROCEEDING AGAINST **US PATENT APPLICATION NO. 14/209,123**

TABLE OF CONTENTS

I.	Introdu	action	3
II.	Mandatory Notices under 37 CFR § 42.8		4
	(1) Real Party-in-Interest		4
	(2) Related Matters		4
	(3) Lead and Back-up Counsel		4
	(4) Service Information		4
III.	. Standing under 37 CFR § 42.402		
IV.	7. Timeliness of the Instant Petition under 37 CFR § 42.403		
V.	Deriva	Derivation Fee under 37 CFR § 42.404	
VI.	Statement of Material Facts under 37 CFR § 42.22(c)		6
	A.	Initial Development of Invention by Petitioner	6
	В.	Communication of Invention to FCA US LLC	6
VII.	. Derivation of Multiple Claims of the '123 Application from Petitioner		
	A.	Petitioner's Claim 1/Respondent's Claim 1	7
	В.	Petitioner's Claim 2/Respondent's Claim 3	9
	C.	Petitioner's Claim 3/Respondent's Claim 4	9
	D.	Petitioner's Claim 4/Respondent's Claim 5	10
	E.	Petitioner's Claim 5/Respondent's Claim 6	10
	F.	Petitioner's Claim 6/Respondent's Claim 7	11
	G.	Petitioner's Claim 7/Respondent's Claim 8	11
	H.	Petitioner's Claim 8/Respondent's Claim 9	12
	I.	Petitioner's Claim 9/Respondent's Claim 10	12
	J.	Petitioner's Claim 10/Respondent's Claim 11	13
	K.	Petitioner's Claim 11/Respondent's Claim 12	13
	L.	Petitioner's Provisional Application	14
		(a) Similarities to Petitioners Specification	14
	.	(b) Similarities to Petitioners Drawings	15 16
	VIII. Conclusion		
IX.	X. CERTIFICATE OF SERVICE		



PETITION TO INSTITUTE A DERIVATION PROCEEDING AGAINST US PATENT APPLICATION NO. 14/209,123

I. Introduction

Petitioner Michael J. Sindoni, Jr., ("Sindoni" or "Petitioner") hereby petitions and respectfully requests that the Patent Trial and Appeal Board institute a derivation proceeding against US Patent Application No. 14/209,123 to Deyan Ninov et al., filed March 13, 2014 and titled "Adjustable loading ramp system for a vehicle" ("the '123 application", submitted herewith as Sindoni Exhibit 1001; authenticated in Declaration of Michael J. Sindoni, Jr., "Sindoni Dec." Sindoni Exhibit 1003, ¶ 2) owned by its Assignee, FCA US LLC of Auburn Hills, Michigan (hereinafter "Respondent").

As will be demonstrated below, this invention, which in brief is a ramp system for a pickup truck, was communicated to Respondent by Sindoni before Respondent filed the '123 application. Petitioner has filed his own patent application, no. 14/834,548, on August 25, 2015, claiming priority to Provisional Application No. 62/043,799, filed on August 29, 2014 ("the '548 application", Sindoni Exhibit 1002; authenticated in Sindoni Dec., Sindoni Exhibit 1003, ¶ 3). Sindoni **never** authorized FCA US LLC to file the '123 application or any other application on Sindoni's invention. At the very least, Claims 1, 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 of the '123 application were directly derived from the teachings provided to Respondent by Petitioner, and the remaining claims of the '123 application were readily obtainable therefrom.

Additionally, Petitioner submits that the patent application filed by Respondent is legally deficient. Two inventors are listed on the Application Data Sheet and Filing Receipt & Assignment as Joseph S Dehnar & Gregory A Howell. The signed Declaration has names listed as Joseph S. Dehnar & Gregory A. Howell. The Declaration should match the Application Data Sheet exactly pursuant to 37 CFR §1.76. Also it appears that Howell did not sign with his middle initial. The Information Disclosure Statement filed and signed off on by the Examiner does not have an application number listed. 37 CFR §1.98 states that the application number must be listed. The Examiner should have required that Respondent correct this, rather than signing off on it. The only time an applicant may omit the application number is when the Information Disclosure Statement is submitted concurrently with the initial application documents, when there is no application number.

Pursuant to 37 CFR § 42.22(a)(1), Petitioner respectfully requests that the Board correct the inventorship of the '123 application to **include** Michael J. Sindoni, Jr. (listed on Sindoni's



PETITION TO INSTITUTE A DERIVATION PROCEEDING AGAINST US PATENT APPLICATION NO. 14/209,123

'548 application) and/or to <u>delete</u> the inventors currently listed in the '123 application by

Respondent. Petitioner further respectfully requests that the Assignment of the '123 application

to FCA US LLC be voided. Petitioner also requests any additional relief the Board deems

appropriate.

II. Mandatory Notices under 37 CFR § 42.8

Pursuant to 37 CFR § 42.8, Petitioner is filing, as part of the instant petition, the

following notices.

(1) Real Party-in-Interest

The real party-in-interest for Petitioner is Michael J. Sindoni, Jr., having an address at

2078 Hardin Street, Delanson, NY, 12053.

The real party-in-interest for Respondent is believed to be FCA US LLC, having an

address at 800 Chrysler Drive East, Auburn Hills, MI, 48326-2757. (FCA US LLC is the

Assignee of the '123 application)

(2) Related Matters

None.

(3) Lead Counsel

Lead Counsel: Robert W. Gray, The Gray Law Group Ltd.,

1431 Opus Place, Suite 110, Downers Grove, IL, 60515

(4) Service Information

Service of the instant petition is being made via Express Mail and e-mail on the

following:

(a) The address and attorney of record for the '123 application:

Attorney Ralph E. Smith

800 Chrysler Dr.

CIMS 483-02-19

Auburn Hills, MI 48326

Phone: (248) 944-6519

Fax: (248) 944-6537



PETITION TO INSTITUTE A DERIVATION PROCEEDING AGAINST US PATENT APPLICATION NO. 14/209,123

III. Standing under 37 CFR§ 42.402

Petitioner Sindoni is an applicant for patent, namely, US Patent Application No. 14/834,548, entitled "Retractable bed ramps with platform for a pickup truck" filed August 25, 2015 ("the '548 application", Sindoni Exhibit 1002). As such, Petitioner has standing to file the instant petition. Power of Attorney was granted to the undersigned lead counsel upon the filing of the '548 application as reflected in Sindoni Exhibit 1004 (authenticated by Sindoni Dec., Sindoni Ex. 1003, at ¶ 6).

IV. Timeliness of the Instant Petition under 37 CFR § 42.403

The "Leahy-Smith America Invents Technical Corrections" was signed into law on January 14, 2013 (Public Law 112-274, at 126 STAT 2456, submitted herewith as Sindoni Exhibit 1005; see Sindoni Dec., Sindoni Ex 1003, at \P 4) to correct certain technical defects in the America Invents Act. Section 1, \P (k) amends 35 USC § 135(a) and establishes the times for the filing of a petition for a derivation proceeding, as follows:

(2) TIME FOR FILING.—A petition under this section with respect to an invention that is the same or substantially the same invention as a claim contained in a patent issued on an earlier application, or contained in an earlier application when published or deemed published under section 122(b), may not be filed unless such petition is filed during the 1-year period following the date on which the patent containing such claim was granted or the earlier application containing such claim was published, whichever is earlier.

(Sindoni Exhibit 1005, pages 3-4.) The '123 application was not published before issuance of the patent, and the patent was issued on June 30, 2015. Since the instant petition is being filed during the one-year period following June 30, 2015, the instant petition is timely.

V. Derivation Fee under 37 CFR § 42.404



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