UNITED STATES PATENT AND TRADEMARK OFFICE

_____

BEFORE THE PATENT TRIAL AND APPEAL BOARD

_____

GAIN CAPITAL HOLDINGS, INC.,
Petitioner

v.

OANDA CORPORATION
Patent Owner

_____

Case No. CBM2020-00021
Patent 8,392,311

_____

**PATENT OWNER'S PRELIMINARY RESPONSE TO THE PETITION
FOR COVERED BUSINESS METHOD REVIEW**

TABLE OF CONTENTS

TABLE OF AUTHORITIES

Page(s)

Cases

Other Authorities

PATENT OWNER'S EXHIBIT LIST	
2001	Declaration of Erik Dykema In Support of Patent Owner's Motion for *Pro Hac Vice* Admission of Erik Dykema Under 37 C.F.R. § 42.10(c)
2002	Declaration of Ivan Zatkovich
2003	"Matchbook FX Holdings Inc. Trading Policies" – archived at https://web.archive.org/web/20000817031251/http://www.matchbookfx.com/pdf/tradingpol.pdf on August 17, 2000
2004	"Deal Station 2000 Demo FAQ" – archived at https://web.archive.org/web/20001218073000/http://www.mgforex.com/demo/default.asp?loc=DemoFaq on December 18, 2000
2005	Declaration of Dr. Michael Stumm
2006	"Reuters Launches the Reuters 3000 xtra Service" – Reuters, March 23, 1999, available at https://ir.thomsonreuters.com/news-releases/news-release-details/reuters-launches-reuters-3000-xtra-service
2007	"Reuters 3000 Xtra" – Reuters 2003, available at https://zbook.org/reuters-3000-xtra-brochure_MTYyMTAz.html
2008	"About Forex" – archived at https://web.archive.org/web/20000815201214/http://www.matchbookfx.com/index/aboutforex.html on August 15, 2000.
2009	"Investing in Forex vs. Stocks" – Investopedia, 2020, available at https://www.investopedia.com/articles/forex/11/forex-or-stocks.asp
2010	U.S. Patent No. 7,496,534
2011	Dacorogna, Michel *et al*., AN INTRODUCTION TO HIGH-FREQUENCY FINANCE, pp. 1-9, Academic Press (2001)
2012	C.V. of Ivan Zatkovich

DOCKET ALARM

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts

Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research

With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips

Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.