

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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GAIN CAPITAL HOLDINGS, INC.,  
Petitioner

v.

OANDA CORPORATION  
Patent Owner

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Case No. CBM2020-00021  
Patent 8,392,311

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**PATENT OWNER OANDA CORPORATION'S  
MANDATORY NOTICES**

Pursuant to 37 C.F.R. § 42.8, Patent Owner OANDA Corporation submits these Mandatory Notices to satisfy the requirements of 37 C.F.R. § 42.8. Patent Owner reserves its rights to supplement or modify these Notices.

**1. Real Party Interest**

Pursuant to 37 C.F.R. § 42.8 (b)(1), Patent Owner identifies OANDA Corporation.

**2. Related Matters**

Patent Owner identifies the following related current and/or former proceedings involving the patent-at-issue:

Current Proceedings:

1. *OANDA Corp. v. GAIN Capital Holdings, Inc.*, No. 20-05784-BRM-DEA (N.J.)

Former Proceedings:

None

**3. Lead and Back-Up Counsel**

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies the following:

Drew Koning, Lead Counsel  
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4. **Service Information**

Pursuant to 37 C.F.R. § 42.8(b)(4), Patent Owner identifies the following:

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Patent Owner consents to electronic service by Petitioner in this matter at the email addresses identified immediately above.

Respectfully submitted,

/ Drew Koning /  
Drew Koning, Reg. No. 60,764  
Koning Zollar LLP

Date: October 5, 2020

## CERTIFICATE OF SERVICE

The undersigned hereby certifies that the following documents were served by electronic service, by consent (see Paper 2 at 3), on the date signed below:

### **PATENT OWNER OANDA CORPORATION's MANDATORY NOTICES**

### **PATENT OWNER OANDA CORPORATION's POWER OF ATTORNEY**

The names and address of the parties being served are as follows:

GAIN Capital Holdings, Inc.:

Michael Rosato (mrosato@wsgr.com)

Matthew Argenti (margenti@wsgr.com)

Wesley Derryberry (wderryberry@wsgr.com)

Respectfully submitted,

/ Drew Koning /

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Date: October 5, 2020