

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

VISA INC. and VISA U.S.A. INC.,  
Petitioner,

v.

UNIVERSAL SECURE REGISTRY, LLC,  
Patent Owner.

---

Case CBM2019-00026  
Patent 8,577,813

---

Before PATRICK R. SCANLON, GEORGIANNA W. BRADEN, and  
JASON W. MELVIN, *Administrative Patent Judges*.

BRADEN, *Administrative Patent Judge*.

DECISION

Granting Motion for Joinder

35 U.S.C § 324; 35 U.S.C § 325(c); 37 C.F.R. § 42.222(b)

## I. INTRODUCTION

Petitioner, Visa Inc. and Visa U.S.A. Inc., filed a Petition (Paper 2, “Pet.”) requesting covered business method review of claims 1, 2, 4–11, 13–20, and 22–26 of U.S. Patent No. 8,577,813 B2 (Ex. 1101, “the ’813 patent”). Patent Owner, Universal Secure Registry, LLC, did not file a Preliminary Response.

Petitioner also filed a Motion for Joinder to join as a petitioner in CBM2018-00025. Paper 3 (“Mot.”). Petitioner filed the Petition and Motion for Joinder on December 20, 2018, within one month after we instituted trial in CBM2018-00025.

As explained further below, we determine institution is warranted on the same grounds as instituted in CBM2018-00025 and grant Petitioner’s Motion for Joinder.

### A. *Related Matters*

As required by 37 C.F.R. § 42.8(b)(2), Petitioner identifies various judicial or administrative matters that would affect or be affected by a decision in this proceeding. Pet. 2–5.

### B. *CBM2018-00025*

In CBM2018-00025, Apple, Inc., challenged claims 1, 2, 4–11, 13–20, and 22–26 of the ’813 patent. After considering the Petition and Patent Owner’s Preliminary Response, we instituted review of the claims challenged in that case. *Apple, Inc. v. Universal Secure Registry LLC*, Case CBM2018-00025 (PTAB Dec. 3, 2018) (Paper 8, “Apple Inst.”). Thus, the instituted review in CBM2018-00025 involves the following grounds of unpatentability:

References	Basis	Claims Challenged
Jakobsson <sup>1</sup> and Maritzen <sup>2</sup>	§ 103(a) <sup>3</sup>	1, 2, 4–11, 13, 16–20, and 24
Jakobsson, Maritzen, and Labrou <sup>4</sup>	§ 103(a)	14, 15, 22, 23, 25, and 26

Apple Inst. 7–8. Apple also relied on the Declaration of Dr. Victor Shoup (CBM2018-00025, Ex. 1102). *See id.*

## II. DISCUSSION

Petitioner’s Motion for Joinder states “the Petition is limited to the same grounds proposed in the CBM2018-00025 petition,” and “relies on the same prior art analysis and identical expert testimony to that submitted by Apple.” Mot. 4; *accord id.* (“Indeed, the Petition is nearly identical with respect to the grounds raised in the CBM2018-00025 petition, and does not include any grounds not raised in that petition.”). Thus, for the same reasons stated in our Decision on Institution in CBM2018-00025, we determine institution is warranted here. *See generally* Apple Inst.

Having determined that institution is warranted, we consider Petitioner’s Motion for Joinder. Section 325(c) provides, in relevant part,

---

<sup>1</sup> WO Patent Publication No. WO 2004/051585 A2, published June 17, 2004 (“Jakobsson,” CBM2018-00025, Ex. 1115).

<sup>2</sup> U.S. Patent Publication No. US 2004/0236632 A1, published Nov. 25, 2004 (“Maritzen,” CBM2018-00025, Ex. 1116).

<sup>3</sup> The America Invents Act included revisions to, *inter alia*, 35 U.S.C. § 103 effective on March 16, 2013. Because the ’813 patent issued from an application filed before March 16, 2013, the pre-AIA version of 35 U.S.C. § 103 applies.

<sup>4</sup> U.S. Patent Publication No. US 2004/0107170 A1, published Jun. 3, 2004 (“Labrou,” CBM2018-00025, Ex. 1117).

that “[i]f more than 1 petition for a post-grant review under this chapter is properly filed against the same patent and the Director determines that more than 1 of these petitions warrants the institution of a post-grant review under section 324, the Director may consolidate such reviews into a single post-grant review.” When determining whether to grant a motion for joinder we consider factors such as timing and impact of joinder on the trial schedule, cost, discovery, and potential simplification of briefing. *See Kyocera Corp. v. SoftView, LLC*, Case IPR2013-00004, slip op. at 4 (PTAB Apr. 24, 2013) (Paper 15).

Under the circumstances of this case, we determine that joinder is appropriate. Because the present Petition does not include any issues beyond those in the already instituted case, it will have minimal impact on the existing case. Petitioner agrees it “will not submit any separate filings unless it disagrees with Apple’s position, and in the event of such disagreement, it will request authorization from the Board to submit a short separate filing directed only to points of disagreement with Apple.” Mot. 6–7. Because Petitioner relies on the declaration as does Apple, no additional depositions will be required. *See id.* at 7.

Under these circumstances, we agree with Petitioner that joinder is appropriate and will not unduly impact the ongoing trial in CBM2018-00025. We limit Petitioner Visa’s participation in the joined proceeding, such that (1) Apple alone is responsible for all petitioner filings in the joined proceeding until such time that it is no longer an entity in the joined proceeding, and (2) Visa is bound by all filings by Apple in the joined proceeding, except for (a) filings regarding termination or settlement and (b) filings where Visa receives permission to file an independent paper. Visa

CBM2019-00026  
Patent 8,577,813

must obtain prior Board authorization to file any paper or to take any action on its own in the joined proceeding, so long as Apple remains as a non-terminated petitioner in the joined proceeding. This arrangement promotes the just and efficient administration of the ongoing trial in Case CBM2018-00025 and protects the interests of Apple as original petitioner in Case CBM2018-00025, and of Patent Owner.

For the foregoing reasons, and with the limitations discussed above, Petitioner's Motion for Joinder is *granted*.

### III. ORDER

Accordingly, it is:

ORDERED that a covered business method review is hereby instituted as to claims 1, 2, 4–11, 13–20, and 22–26 of the '813 patent on the following asserted grounds:

- (1) Claims 1, 2, 4–11, 13, 16–20, and 24 under 35 U.S.C. § 103(a) as unpatentable over Jakobsson and Maritzen;
- (2) Claims 14, 15, 22, 23, 25, and 26 under 35 U.S.C. § 103(a) as unpatentable over Jakobsson, Maritzen, and Labrou;

FURTHER ORDERED that Petitioner's Motion for Joinder with CBM2018-00025 is granted, and Visa Inc. and Visa U.S.A. Inc. are joined as petitioners in that case pursuant to 37 C.F.R. § 42.222, based on the conditions discussed above;

FURTHER ORDERED that the Petition is dismissed, pursuant to 37 C.F.R. § 42.71(a);

FURTHER ORDERED that the Scheduling Order in place for CBM2018-00025 (Paper 9) shall govern the joined proceeding;

FURTHER ORDERED that all future filings in the joined proceeding shall be made only in CBM2018-00025;

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.