

11-15-07

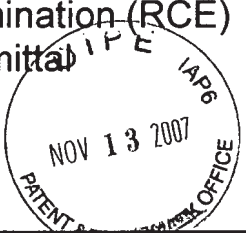
RCE

PTO/SB/30 (09-03)

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Request for Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	11/293,350
	Filing Date	12/01/2005
	First Named Inventor	Ramakrishna Satyavolu
	Art Unit	2176
	Examiner Name	Maikhanh Nguyen
	Attorney Docket Number	P3977CIP



This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____

ii. Other _____

b. Enclosed

i. Amendment/Reply

ii. Affidavit(s)/ Declaration(s)

iii. Information Disclosure Statement (IDS)

iv. Other _____

2. **Miscellaneous**

a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to 60000011 11293350

a. Deposit Account No. 50-0534 01 FC2801 405.00 0P

i. RCE fee required under 37 CFR 1.17(e)

ii. Extension of time fee (37 CFR 1.136 and 1.17)

iii. Other _____

b. Check in the amount of \$ 405.00 enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Donald R. Boys	Registration No. (Attorney/Agent)	35,074
Signature	<i>[Donald R. Boys]</i>	Date	11/13/2007

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Name (Print/Type)	Sheri Beasley
Signature	<i>[Sheri Beasley]</i>
Date	11/13/2007

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2176

Examiner: Nguyen, Maikhanh

In Re: Ramakrishna Satyavolu
Case: P3977CIP
Serial No.: 11/293,350
Filed: 12/01/2005
Subject: Categorization of Summarized Information

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Response D

Claims

All of the claims standing for examination are reproduced below with appropriate status indication.

1. (Previously presented) A system tangibly embodied on a computer-readable medium for categorizing transactions, comprising;

a collection function gathering information concerning transactions, including at least date, description and amount of the transactions, for a particular person or enterprise; and

a processing function categorizing individual ones of the collected transactions according to at least part of the transaction description; wherein the collection function automatically navigates to and retrieves the information concerning transactions from third-party Internet-connected web sites adapted to provide account information to the particular person or enterprise.

2. (Original) The system of claim 1 further comprising a compilation function summarizing transactions in individual categories.

3. (Original) The system of claim 2 further comprising a reporting function reporting the summarized transactions to the particular person or enterprise.

4. (Previously presented) The system of claim 1 wherein categorization is done according to category definitions entered by the particular person or on behalf of the enterprise.

5. (Previously presented) The system of claim 1 wherein categorization is done for a first plurality of persons or enterprises subscribing to the system according to category definitions entered by a second plurality of persons or enterprises subscribing to the system.

6. (Original) The system of claim 1 wherein categories are developed from information taken from communication between clients and the system.
7. (Original) The system of claim 6 wherein a probability algorithm is used in developing categories.
8. (Previously presented) The system of claim 1 wherein identifiers for categories are periodically amended according to further information that is collected and processed.
9. (Canceled)
10. (Previously presented) The system of claim 1 wherein the system reports to clients through the Internet network.
11. (Original) The system of claim 2 wherein the system further comprises a function storing past transaction history associated with the particular person or enterprise.
12. (Previously presented) The system of claim 11 wherein the past transaction history is used to predict future transaction statistical information.
13. (Previously presented) A method for categorizing transactions using proprietary software tangibly embodied on a computer-readable medium, comprising:
 - (a) automatically navigating to third-party Internet-connected web sites and gathering information concerning transactions by a collection function of the software from the Internet-connected web sites adapted to provide account information for a particular person or enterprise, said information including at least date, description and amount of the transactions;

(b) categorizing individual ones of the collected transactions according to at least part of the transaction description by a processing function; and

(c) reporting the categorized transactions to the particular person or enterprise by a reporting function.

14. (Original) The method of claim 13 further comprising summarizing transactions in individual categories by a compilation function.

15. (Canceled)

16. (Original) The method of claim 13 wherein categorization is done according to category definition entered by the particular person or on behalf of the enterprise.

17. (Original) The method of claim 13 wherein categorization is done for a first plurality of persons or enterprises according to category definition entered by a second plurality of persons or enterprises.

18. (Original) The method of claim 13 wherein categories are developed from information taken from communication between clients and the system.

19. (Original) The method of claim 18 wherein a probability algorithm is used in developing categories.

20. (Previously presented) The method of claim 13 wherein identifiers for categories are periodically amended according to further information that is collected and processed.

21. (Canceled)

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