

### **CERTIFICATE OF TRANSLATION**

### I, HARUMI RUDOLPH, CERTIFY THAT:

- 1. I am fluent in Japanese and English.
- 2. I have been a member of the American Translator's Association (ATA) for 18 years. I passed the ATA qualification exam in 2000.
- 3. I have 25 years of Japanese-English translation/editing experience, and focus on legal, finance, IT, and medical content.
- 4. I am certified by TransPerfect, an ISO 9001:2008 certified company, in the areas of General Investment (Financial) and Serious Adverse Events Forms (Clinical-Technical).
- 5. I reviewed the Japanese-language document that is attached to this certification as Attachment A. I also reviewed the English-language document that is attached to this certification as Attachment B. To the best of my knowledge, the English-language document that is attached to this certification as Attachment B is a true and accurate translation from Japanese to English of the Japanese-language document that is attached to this certification as Attachment A.
- 6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true;



and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Harumi Rudolph

Executed this 14th day of November, 2016 in Colleyville, Texas.

0002



# ATTACHMENT A

東証業シス第86号 平成9年9月12日

発 買 シ ス テ ム 売 買 資 任 者 接価指数先物取引等売買システム取引責任者 発展オプション取引売買システム取引責任者 各位 債 券 売 買 シ ス テ ム 取 引 責 任 者

> 東京証券取引所 業務システム部長 須 山 満

次期先物/オプション売買システムに関する説明会の開催について

平素は、本所売買システムの運用に格別の配慮を賜り、厚く御礼申し上げます。

・・さて、本所は、平成8年4月の定例理事会において承認された本所売買システムのリプ ・レース計画に基づき、次期先物/オプション売買システムについて、平成10年年央の稼動を目標に準備を進めておりますが、この度、新システムの概要及び今後のスケジュール (案)がまとまりましたので、下記の日程で説明会を開催することとしました。

つきましては、説明会の開催について貴社の関連する部署に周知していただくとともに、 各担当者の出席につき御配慮くださるようよろしくお願いいたします。

記

.1. 開催日時 平成9年9月29日(月)午後4時~5時30分

-2.場 所 東京証券取引所 本館 2階 『東証ホール』

-3. 内 容 次期先物/オプション売買システムの概要について

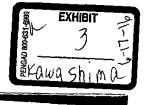
4. 出席人数 4名以内(1参加者当り)

なお、説明会は会場の都合上、9月29日と30日の2日間に分けて開催しますが、説明は両日とも同一内容です。出席人数につきましては会場の収容人数の関係から上記のと おり1参加者当たり4名以下とさせていただきますので、株式及び債券関連部署間で調整 のうえ御出席いただきますようお願いいたします。また、当日御出席いただけない場合は 資料を送付いたしますので下記まで連絡してください。

以 上

説明会に関する問合せ先

東京証券取引所 業務システム部 次期先物/オプション売買システム担当 電 話 (代表)3666-0141 (内線3036) 坂 本 (直通)3808-2574







# ATTACHMENT B

# DOCKET

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