

**LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR  
DECLARATION IN SUPPORT OF PETITION  
FOR COVERED BUSINESS METHOD REVIEW OF U.S. PATENT NO.  
7,412,416**

1. U.S. Patent No. 7,412,416 B2 to Friesen et al. (“’416 patent”)
2. File History of Application Ser. No. 11/417,522, which became the ’416 patent, as filed and obtained from PAIR (“’416 File History”)
3. U.S. Patent No. 7,533,056 to Friesen et al. (“’056 patent”)
4. TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc., CBM2014-00131, Paper 19 (“’131 Ins. Dec.”)
5. TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc., CBM2014-00131, Paper 24 (“’131 Reh’g Dec.”)
6. TD Ameritrade Holding Corp. v. Trading Techs. Int’l, Inc., CBM2014-00131, Paper 38 (“’131 POR”)
7. IBG LLC v. Trading Techs. Int’l, Inc., CBM2015-00179, Paper 21 (“’179 POPR”)
8. Trading Techs. Int’l. v. CQG, No. 05-cv-4811, Slip. Op. (N.D. Ill. Feb. 24, 2015) (“TT v. CQG Slip. Op.”)
9. Transcript of the Deposition of Christopher Thomas, April 28, 2015 (“Thomas Tr.”)
10. Redacted Second Corrected Expert Report of Christopher Thomas, Trading Technologies International, Inc. v. CQG, Inc., Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) (“Thomas Report”)
11. “Futures/Option Purchasing System Trading Terminal Operation Guidelines,” Tokyo Stock Exchange (“TSE JP”)
12. Certified Translation of “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE”)
13. Certificate of Translation for “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE Certificate”)
14. Deposition Transcript of Atsushi Kawashima, Trading Technologies International, Inc., v. eSPEED, Inc., Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Kawashima Dep. Tr.”)
15. U.S. Patent No. 5,619,631 to Schott (“Schott”)
16. U.S. Patent No. 5,646,992 to Subler et al. (“Subler”)

17. U.S. Patent No. 5,136,501 to Silverman et al. (“Silverman”)
18. U.S. Patent No. 5,297,031 to Gutterman et al. (“Gutterman”)
19. WO 90/11571 to Belden
20. Mark J. Powers, “Starting Out in Futures Trading,” Sixth Edition, 2001 (“Powers”)
21. History of the American and NASDAQ Stock Exchanges
22. David M. Weiss, “After the Trade is Made,” 1993 (“Weiss”)
23. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
24. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
25. Richard W. Arms Jr., “Profits in Volume - Equivolume Charting,” 1971 (“Arms”)
26. Inside Macintosh, Promotional Edition, Apple Computer, Inc., 1985 (“Inside Macintosh”)
27. Valerie Illingworth, and I. C. Pyle, Dictionary of Computing, 4th Ed, Oxford University Press, 1996 (“Oxford Dictionary”)
28. U.S. Patent No. 1,760,287 to Schippers (“Schippers”)
29. U.S. Patent No. 5,347,452 to Bay, Jr. (“Bay”)