

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC; INTERACTIVE BROKERS LLC;
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,
INC.;

TRADESTATION TECHNOLOGIES, INC.; and

IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00179
U. S. Patent 7,533,056

Washington, D.C.
May 3, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

Henderson Legal Services, Inc.

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1 Cross-Examination of KENDYL A. ROMAN, a
2 witness herein, called for examination by counsel
3 for Patent Owner in the above-entitled matter,
4 pursuant to notice, the witness being duly sworn by
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
6 for the District of Columbia, taken at the offices
7 of Sterne Kessler Goldstein Fox, 1100 New York
8 Avenue, N.W., Washington, D.C. at commencing at 9:14
9 a.m.

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1 C O N T E N T S

2 KENDYL A. ROMAN EXAMINATION

3 By Mr. Sigmond 5

4

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7 E X H I B I T S

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11	Exhibit 1033	(IBG) Roman CV	28
12	Exhibit 1010	(IBG) U.S. Patent 5,136,501	34
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16		for '056 patent	13
17	Exhibit 1004	(IBG) Tokyo Stock Exchange	
18		Futures/Option Purchasing System	
19		Trading Terminal Operation Guide	36
20	Exhibit 1014	Tab D	
21	Exhibit 2103	Roman Patent 6,803,931 B1	96
22	*Exhibits retained.		

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08:30:13 1 P R O C E E D I N G S

09:14:18 2 Whereupon,

09:14:18 3 KENDYL A. ROMAN,

09:14:18 4 was called as a witness by counsel for Patent Owner,

09:14:18 5 and having been duly sworn, was examined and

09:14:18 6 testified as follows:

09:14:20 7 CROSS EXAMINATION

09:14:20 8 BY MR. SIGMOND:

09:14:55 9 Q. Good morning, Mr. Roman. My name is Leif

09:15:01 10 Sigmond. I represent Trading Technologies and I'm

09:15:05 11 going to be taking your deposition this morning.

09:15:07 12 Can you please state your full name and address for

09:15:10 13 the record?

09:15:10 14 A. Kendyl Alan Roman, and there is an accent

09:15:14 15 on the A. Business address or home address?

09:15:17 16 Q. Business address would be great.

09:15:19 17 A. 3277 Kifer, K-I-F-E-R, Road, Santa Clara,

09:15:24 18 California.

09:15:24 19 Q. You understand you were just sworn in

09:15:27 20 under oath this morning.

09:15:28 21 A. Yes.

09:15:28 22 Q. And is there any reason that you --

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