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1
    UNITED STATES DISTRICT COURT
    FOR THE DISTRICT OF DELAWARE
2.
    CALLWAVE COMMUNICATIONS,
3
    LLC,
 4
                 Plaintiff,
                            C.A. No. 12:1701-RGA
5
    v.
    AT&T MOBILITY, LLC
6
7
    and GOOGLE, INC.,
8
                Defendants.
9
    CALLWAVE COMMUNICATIONS,
10
    LLC,
11
                Plaintiff,
12
    v.
                           C.A.No. 12-1704(RGA)
    VERIZON COMMUNICATIONS, INC.,
13
    CELLCO PARTNERSHIP d/b/a
    VERIZON WIRELESS and GOOGLE INC.,
    _____
1 4
15
    CALLWAVE COMMUNICATIONS, LLC.
16
                Plaintiff,
17
    v.
                        C.A. No. 12-1788(RGA)
    AT&T MOBILITY, LLC, BLACKBERRY
18
    LTD.and BLACKBERRY CORP.,
19
                Defendants.
20
21
              DEPOSITION OF BERNARD ROUS
22
                 March 17, 2016
23
24
25
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1	DEPOSITION OF BERNARD ROUS, a
2	Witness herein, taken by Defendant,
3	pursuant to Subpoena, at the offices of
4	Milbank Tweed Hadley & McCloy, LLP, 28
5	Liberty Street, New York, New York, on
6	March 17, 2016, at 3:05 p.m., before Debra
7	Stevens, a Certified Realtime and
8	Registered Professional Reporter and
9	Notary Public within and for the State of
10	New York.
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1 2	
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21	
2 2	
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2 5	
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1	APPEARANCES:
2	
3	PEPPER HAMILTON, LLP
4	Attorneys for Plaintiff
5	19th Floor, High Street Tower
6	125 High Street
7	Boston, MA 02110-2736
8	BY: LEAH MCCOY, ESQ.
9	(Via teleconference)
L O	
L 1	MILBANK, TWEED, HADLEY & McCLOY, LLP
L 2	Attorneys for Defendant Google Inc.
L 3	28 Liberty Street
L 4	New York, New York 10005-1413
L 5	BY: NATHANIEL BROWAND, ESQ.
L 6	
L 7	PAUL, WEISS, RIFKIND, WHARTON & GARRISON
L 8	Attorneys for the Witness Herein
L 9	1285 Avenue of the Americas
2 0	New York, NY 10019-6064
21	BY: JONATHAN FREEDMAN, ESQ.
2 2	
2 3	ALSO PRESENT:
2 4	Jonathan Popham, Videographer
2 5	
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1	EUAC 3

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		Page 5

1	
2	IT IS HEREBY STIPULATED AND
3	AGREED that all objections, except as to
4	the form of the questions, shall be
5	reserved to the time of the trial;
6	
7	IT IS FURTHER STIPULATED AND
8	AGREED that the within examination may be
9	subscribed and sworn to before any notary
10	public with the same force and effect as
11	though subscribed and sworn to before this
12	court.
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	Page 6

1	(Pre-marked for identification,
2	Rous Exhibit 1.)
3	THE VIDEOGRAPHER: We are now on
4	the record. Please note that the
5	microphones are sensitive and may pick
6	up whispering and private
7	conversations. Please turn off all
8	cell phones or place them away from
9	the microphones as they can interfere
1 0	with deposition audio. Recording will
11	continue until all parties agree to go
1 2	off the record.
1 3	My name is Jonathan Popham,
1 4	representing Veritext. The date today
1 5	is March 17, 2016, and the time is
16	approximately 3:06 p.m.
17	This deposition is being held at
18	Milbank, located at 28 Liberty Street,
19	New York, New York, and is being taken
2 0	by counsel for Defendant.
21	The caption of the case is
2 2	CallWave Communications LLC, v. AT&T
2 3	Mobility LLC and Google Incorporated
2 4	and Related Matters.
2 5	These cases are being held in
	Page 7

1	United States District Court for the
2	District of Delaware, Civil Action
3	Number 12-1701(RGA). The name of the
4	witness is Bernard Rous.
5	At this time will the attorneys
6	present in the room and everyone
7	attending remotely, please, identify
8	themselves and the parties they
9	represent.
1 0	MR. BROWAND: Nathaniel Browand,
11	from Milbank Tweed Hadley & McCloy, on
1 2	behalf of Defendant Google Inc.
1 3	MR. FREEDMAN: Jonathan Freedman
1 4	of Paul Weiss, Rifkind, Wharton &
15	Garrison, representing Association for
16	Computing Machinery and the witness,
17	Bernard Rous.
18	MS. McCOY: This is Leah McCoy
19	from Pepper Hamilton, representing
2 0	CallWave Communications LLC,
21	Plaintiff.
2 2	THE VIDEOGRAPHER: Our court
2 3	reporter is Debby Stevens representing
2 4	Veritext. Please, swear in the
2 5	witness and we can proceed.
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1	Whereupon,
2	BERNARD ROUS,
3	having been first duly sworn/affirmed,
4	was examined and testified as follows:
5	EXAMINATION BY
6	MR. BROWAND:
7	Q. Good afternoon.
8	A. Hi.
9	Q. Will you state your full name
10	for the record?
11	A. Bernard Rous.
12	Q. Mr. Rous, is there any reason
13	why you cannot testify fully and
14	truthfully today?
15	A. No.
16	Q. I am going to try to ask clear
17	questions but if at any time you don't
18	understand one of my questions, will you
19	let me know?
2 0	A. Yes.
21	Q. If your counsel makes objection,
2 2	I would ask you to go ahead and answer the
2 3	question anyway. Do you understand that?
2 4	A. Yes.
25	Q. If you answer one of my
	Page 9

1	questions, I am going to assume that you
2	understood it. Is that fair?
3	A. Yes.
4	Q. I understand you have had your
5	deposition taken before. Is that correct?
6	A. Yes.
7	Q. And about how many occasions has
8	that occurred?
9	A. Perhaps four or five.
L O	Q. What were the nature of those
L 1	cases?
L 2	A. Could you be a little clearer
L 3	about the question?
L 4	Q. Well, first, were you testifying
L 5	on behalf of ACM?
L 6	A. Yes.
L 7	Q. And do you know, what was the
L 8	nature of the dispute that you were
L 9	testifying about in the underlying cases?
2 0	A. I don't know.
21	Q. Why were you asked to give
2 2	testimony in those cases?
2 3	A. To authenticate dates of
2 4	published material that ACM had published.
2 5	Q. When did you provide the
	Page 10

1	testimony in these four or five cases?
2	A. Over the last two years. Two
3	Q. Do you know if any of these
4	cases involved patent infringement
5	matters?
6	A. I don't know. I assumed so but
7	I don't know.
8	Q. Have you ever testified about
9	the specific references that are the
10	subject of this deposition?
11	A. Not to my knowledge, no.
12	Q. What did you do to prepare for
13	this deposition?
14	A. I looked for the documents that
15	were asked for in the subpoena. I looked
16	for evidence about when they were
17	distributed publicly and who received them
18	and the numbers of people who would have
19	had access to them.
2 0	Q. So, when did you look for
21	documents responsive to the subpoena?
2 2	A. Are you asking me for a date?
2 3	Q. Roughly, if you know.
2 4	A. Maybe a month or two ago.
25	Q. When did you look for evidence
	Page 11

1	as to when these articles may have been
2	distributed?
3	A. At the same time.
4	Q. When did you look for the number
5	of people who may have received these
6	documents?
7	A. All at the same time.
8	Q. And have you done anything to
9	prepare for your deposition since that
L O	time?
L 1	A. Yes. I reviewed notes that I
L 2	had made about reviewed the documents
L 3	that were produced and the notes that I
L 4	had made about it to refresh my mind.
L 5	It's been a while since I did the original
L 6	research.
L 7	Q. When did that activity take
L 8	place?
L 9	A. Yesterday and today.
2 0	Q. Who did you speak with about
21	this deposition?
2 2	MS. McCOY: Objection to form.
2 3	A. With the ACM attorney.
2 4	Q. And who is that?
2 5	A. John Freedman.
	Page 12

1	Q. Anybody besides outside counsel?
2	A. Could you clarify the question
3	for me?
4	Q. Sure. Did you speak with
5	anybody besides outside counsel in
6	connection with preparing for your
7	deposition?
8	MS. McCOY: Objection to form.
9	A. Yes, I did.
10	Q. Who did you speak with?
11	A. I talked to some people on staff
12	at ACM headquarters about where I might
13	find relevant documents.
14	Q. And what did they tell you?
15	A. They told me, in some cases,
16	that where to look, and in other cases
17	that such documents did not exist.
18	Q. Okay. Which documents did not
19	exist?
2 0	A. Specific label run information
21	that was sent to a printer at the time
2 2	these things were printed.
2 3	Q. And where did they tell you to
2 4	look?
2 5	A. There were some printed
	Page 13

1	statistics that are kept on file at
2	headquarters that contain membership
3	numbers at the time and subscription
4	numbers.
5	Q. And were those documents
6	produced?
7	A. Yes.
8	Q. Where did you know to look on
9	your own?
L 0	MS. McCOY: Objection to form.
L 1	A. Well, I looked for the documents
L 2	themselves in the digital library, which
L 3	has a lot of information about the dates
L 4	of publication.
L 5	Q. And was that information also
L 6	produced?
L 7	A. Yes.
L 8	Q. Did you review any other
L 9	documents besides those we have covered in
2 0	connection with your deposition, preparing
21	for your deposition today?
2 2	A. Yes, actually, I did. Since
2 3	some of these documents were presented at
2 4	conference events, we have a conference
2 5	management system, a database that records
	Page 14

1	the number of registrants that attended an
2	event, and I looked in that database.
3	Q. And those documents concerning
4	the conference attendance and
5	registrations were produced?
6	A. Yes.
7	Q. Were there any other documents
8	you reviewed in connection with preparing
9	for your deposition today?
L 0	A. Well, I am not sure you would
L 1	call it a document, but I did look at some
L 2	data that is available through a
L 3	particular application that looks at some
L 4	of the data in the database behind the
L 5	digital library that is not displayed
L 6	within the digital library to a user.
L 7	MR. FREEDMAN: Can we go off the
L 8	record just one second?
L 9	MR. BROWAND: Sure.
2 0	THE VIDEOGRAPHER: Going off the
21	record at 3:16 p.m.
2 2	(Discussion held off the
2 3	record.)
2 4	THE VIDEOGRAPHER: Back on the
2 5	record at 3:16 p.m.
	Page 15

BY MR. BROWAND:
Q. So, Mr. Rous, you identified
some data that you looked at that was
available through a particular
application. Can you confirm for me that
the data that you looked at that is
available through this particular
application is apparent in the document
that has been marked Exhibit 1?
A. Yes.
MS. McCOY: Objection to the
form.
Q. Did you look at any other
documents in connection with preparing for
your deposition today?
A. No, not that I recall. No.
Q. When I refer to ACM today, will
you understand that I mean the Association
for Computing Machinery?
A. Yes.
Q. When I refer to CallWave today,
will you understand that I mean the
Plaintiff CallWave Communications LLC?
A. Okay.
Q. When I refer to Google today
Page 16

will you understand that I mean the
defendant Google Inc.?
A. Yes.
Q. Mr. Rous, who is your current
employer?
A. ACM.
Q. And what is ACM?
A. It's a not-for-profit scientific
and educational society for professionals
and researchers in computer science.
Q. What are some of ACM's
activities?
A. It runs a large publication
operation for essentially for research
in computer science and all its subfields.
It runs a conference, conferences, and it
organizes some educational activities,
including development of curricula for
higher education computer science.
Q. Can you explain the publication
operation generally?
A. I need a little more clarity on
that question.
Q. Sure. Does ACM publish only its
own publications?
Page 17

1	A. Yes. We publish only our own
2	publications. We have had arrangements
3	with other publishers where we sometimes
4	will have a marketing and distribution
5	relationship. And in the digital age, we
6	have hosted some publications from other
7	publishers in our digital library.
8	Q. And does ACM continue to do
9	that?
1 0	A. Yes.
11	Q. What is your current position at
1 2	ACM?
1 3	A. I am the director of
1 4	publications.
15	Q. What are your responsibilities
16	as director of publications?
17	A. To direct the publishing
18	program, develop new titles, direct
19	operations and the deployment of new
2 0	technologies for publishing and to develop
21	the strategic directions for our
2 2	publishing platform, which is the ACM
2 3	Digital Library.
2 4	Q. Are you knowledgeable about the
2 5	publishing records at ACM?
	Page 18

1	А.	Yes.
2		MS. McCOY: Objection to form.
3	Q.	How did you become knowledgeable
4	about thos	se records?
5	Α.	Experience.
6	Q.	Let's go back and talk about
7	your expe	rience. First let's discuss your
8	educationa	al background. Do you have an
9	undergradı	ıate degree?
10	Α.	Yes.
11	Q.	Where did you obtain that
12	degree?	
13	Α.	Brandeis University.
14	Q.	When did you obtain that degree?
15	Α.	1968.
16	Q.	Have you had any educational
17	training l	peyond your undergraduate degree?
18	Α.	Yes.
19	Q.	Where was that?
2 0	Α.	New School For Social Research
21	in New Yo	rk.
2 2	Q.	When did you attend the New
2 3	School?	
2 4	Α.	Early 70's.
2 5	Q.	And did you obtain a degree
		Do ~ 0 10
		Page 19

1	there?
2	A. I got a Master's.
3	Q. When was that?
4	A. I don't remember the particular
5	year. It was around 1973.
6	Q. And what was the Master's degree
7	in?
8	A. Anthropology.
9	Q. Do you have any further
10	educational training?
11	A. Well, yes. I completed all my
12	course work for my doctorate there as
13	well. I never completed my dissertation.
14	And I have taken a significant number of
15	credits in computer science. I never got
16	a degree in it.
17	Q. Where did you take those
18	courses?
19	A. Fairleigh Dickinson.
2 0	Q. When was that approximately?
21	A. I have to say I don't remember.
2 2	Q. After you left the New School
2 3	what did you do next?
2 4	A. I worked at ACM. I got a job
25	there in 1978 while I was still working on
	Page 20

<pre>1 my dissertation. And I was employed 2 full-time in 1980, I have been there 3 since. 4 Q. What was your initial position 5 in ACM?</pre>	
3 since. 4 Q. What was your initial position	
Q. What was your initial position	
5 in ACM?	
A. Bibliographic editor.	
Q. What were your responsibilities?	
8 A. We were even at that time	
9 creating a bibliographic database of	
10 computer science literature; that is,	
11 indexing the publications in computer	
12 science, whether they were published by	
13 ACM or by others, other publishers, as	
long as the subject matter was relevant to	
15 our field.	
Q. When did you start in that	
17 position?	
18 A. 1980.	
Q. And how long were you in that	
20 role?	
A. A few years.	
Q. What came next?	
A. I became executive editor of one	
of ACM's journals called "Computer	
Reviews," which is a review journal. It	
Page 21	

1	publishes reviews of the literature in the
2	field.
3	Q. How long were you in that role?
4	A. A number of years.
5	Q. After being executive editor,
6	what was next?
7	A. I became associate director of
8	publications.
9	Q. When did you take that position?
L O	A. I don't remember the years but
L 1	if you want to catch up quickly, after I
L 2	was associate director I became deputy
L 3	director and then I became director of
L 4	publications about four years ago.
L 5	Q. Do you have people that
L 6	currently work under you?
L 7	A. Yes.
L 8	Q. About how many?
L 9	A. Six.
2 0	Q. What are their titles and
21	responsibilities?
2 2	A. So, there is a journals manager,
2 3	there is a manager of operations, there is
2 4	a copyright and permissions coordinator,
2 5	there is an administrative assistant,
	Page 22

there is a something I don't
remember the exact title but it is the
equivalent of sort of the bibliographic
processing and editing. And there is an
assistant journals manager.
Q. Okay.
A. Assistant editor.
Q. You mentioned it earlier, but
what is the ACM Digital Library?
A. It's composed of, I would say,
two parts if you want. It contains all of
ACM's publications in digital form going
back to the very beginning. It was
launched in 1997, and we did a large
digitization project that captured
everything that we had published going
back to 195 the 50's.
And it has a second component,
which is a bibliographic database and
discovery service for computing
literature, which contains several million
works from other publishers in the field.
Q. Can you obtain copies of those
works from other publishers through the
ACM Digital Library?

Page 23

1	MS. McCOY: Objection to form.
2	A. Could you clarify a little bit,
3	when you say "you"?
4	Q. Sorry.
5	A. I don't quite understand the
6	question.
7	Q. First, who has access to the ACM
8	Digital Library?
9	MS. McCOY: Objection to form.
10	A. Okay. So, the entire world has
11	access to all of the bibliographic
12	information, both ours and that of other
13	publishers that we index. We provide that
14	service free to the world. It's a
15	discovery service.
16	The other part of it, which is
17	access to full text, is by subscription.
18	And there are about 3,000 libraries around
19	the world that subscribe, there are many
2 0	corporations that subscribe.
21	When I say 3,000 libraries, many
22	of them subscribe as consortia rather than
23	individually. Then there are about 30,000
2 4	or so individual members of ACM that also
25	subscribe to the digital library as a
	Page 24

1	whole.
2	Q. So you sell subscriptions to the
3	ACM Digital Library separate from ACM
4	membership itself?
5	A. Yes.
6	Q. You said people that have a
7	subscription to the ACM Digital Library
8	can access the full text of the
9	publications?
L 0	A. Yes.
L 1	Q. Which publications?
L 2	A. All of the publications that ACM
L 3	has published, all of the publications
L 4	that ACM hosts, full text, and that's
L 5	what they are able to access with an ACM
L 6	subscription.
L 7	The larger bibliographic
L 8	database is linked to source. That is, if
L 9	there is another publisher take, for
2 0	example, Elsevier which produces
21	several hundred computer science journals
2 2	and we index them, along with that index
2 3	is a link to the full text that is served
2 4	from the Elsevier site.
2 5	And our subscribers may or may
	Page 25

1	not gain automatic access to those full
2	texts when they follow the links. It all
3	depends on what their institution access
4	rights are with regard to the Elsevier
5	platform. We provide a linking mechanism.
6	Q. So that depends on the original
7	publisher's permissions and rights
8	associated with what full text access
9	gives you?
L 0	MS. McCOY: Objection to form.
L 1	A. I guess that I would put it more
L 2	this way: That it depends on the various
L 3	subscription licenses that the
L 4	individual's institution has bought. So,
L 5	if they bought one to the ACM Digital
L 6	Library and one to Elsevier's digital
L 7	library, it is likely that they are passed
L 8	directly through if they are coming from
L 9	the same IP address.
2 0	Q. Okay. So it is more dependent
21	upon the license of the subscriber?
2 2	A. Yes.
2 3	MS. McCOY: Objection to form.
2 4	Q. Has this general arrangement of
2 5	access to bibliographic information and
	Page 26

1	full text information through the ACM
2	Digital Library been this way since the
3	launch of the ACM Digital Library?
4	MS. McCOY: Objection to form.
5	A. Not entirely. The linking
6	mechanisms were firmly established
7	starting about 2000. There were linking
8	capacities using simple URL's before then,
9	but I can't tell you how systematically
L O	the literature was linked up.
L1	But starting in 2000 there
L 2	was the publishing community cooperated
L 3	in developing a linking mechanism, a
L 4	cross-platform linking mechanism through
L 5	something called the DOI, which is a
L 6	digital object identifier. And it is a
L 7	persistent identifier that doesn't usually
L 8	break with attrition like most URL's will.
L 9	Q. How did the system operate prior
2 0	to 2000?
21	A. Mostly as discovery service
2 2	search, a search index, not necessarily
2 3	linked to source.
2 4	Q. Was any full text of articles
2 5	available prior to 2000?
	Page 27

1	A. Oh, just ACM's and the few
2	publications that we hosted.
3	(So marked for identification as
4	Rous Exhibit 2.)
5	Q. Mr. Rous, you have been handed a
6	document marked Exhibit 2 to this
7	deposition, the first page of which is
8	entitled "Subpoena to Produce Documents,
9	Information Or Objects Or Permit
10	Inspection of Premises in a Civil Action."
11	Do you see that?
12	A. Yes.
13	Q. Have you seen this document
1 4	before?
15	A. Yes.
16	Q. When did you first see it?
17	A. A month or two months ago, when
18	I got a copy of this at ACM.
19	Q. How did you receive a copy of
2 0	it?
21	A. It was handed to me. Somebody
2 2	placed a hard copy on my desk.
2 3	Q. From whom? Do you know?
2 4	A. It came from the I believe it
2 5	came from the chief operating officer of
	Page 28

1	ACM.
2	Q. You see Exhibit 2 has Attachment
3	A a few pages back?
4	A. Yes.
5	Q. You see Attachment A includes
6	some requests for production that begin on
7	page 4?
8	A. Yes.
9	Q. Have you read these requests?
L O	A. Yes.
L 1	Q. What actions were undertaken at
L 2	ACM to respond to these document requests?
L 3	A. I examined the publication data
L 4	that was available in the digital library
L 5	with regard to the date of publication. I
L 6	looked up the conference records to see.
L 7	And also, not just the dates but the venue
L 8	in which the article appeared.
L 9	And in determining that it was
2 0	at an ACM conference, I looked for the
21	information available about the number of
2 2	people who would have gotten it through
2 3	attendance at the conference.
2 4	I looked at subscription
2 5	numbers. I looked that up to see who else
	Page 29

1	would have gotten copies of the volumes of
2	proceedings that contained the articles in
3	question.
4	Q. And these were the documents we
5	referred to earlier that have all been
6	produced by ACM?
7	A. Yes. Yes.
8	Q. Do you know if any documents
9	that you reviewed in connection with
10	responding to these requests strike
11	that.
12	Do you know whether any
13	documents that you identified in
14	connection with responding to these
15	requests have been withheld from
16	production by ACM?
17	MS. McCOY: Objection to form.
18	MR. FREEDMAN: Don't testify
19	about communications with me. Just
2 0	based on your personal knowledge.
21	A. Could you repeat that question?
2 2	I wasn't clear on it.
2 3	Q. Do you know whether any
2 4	documents you identified in connection
2 5	with responding to these requests have
	Page 30

1	been withheld from production by ACM?
2	MS. McCOY: Objection to form.
3	A. I am not exactly sure how to
4	answer that. I did come across a document
5	that was actually cited in one of these
6	documents that was asked for, which but
7	it wasn't the subpoena didn't call for
8	it. So, I noticed it. I looked at it but
9	I didn't produce it.
L 0	Q. Okay.
L 1	A. And it was an earlier version of
L 2	one of the works that was asked for here.
L 3	Q. You considered that it wasn't
L 4	encompassed by these requests?
L 5	MS. McCOY: Objection to form.
L 6	MR. FREEDMAN: Do you understand
L 7	the question?
L 8	THE WITNESS: Not entirely.
L 9	A. It wasn't one of the documents
2 0	that was asked for. I noted it in the
21	documents that were produced, where there
2 2	was information within the digital library
2 3	that the document that was asked for
2 4	was a later version of an earlier work
2 5	that had been published by ACM.
	Page 31

1	Q. I understand.
2	Are you aware of any documents
3	responsive to this subpoena in ACM's
4	possession, custody or control that have
5	not been produced?
6	A. No.
7	MS. McCOY: Objection to form.
8	(So marked for identification as
9	Defendant's Exhibit 3.)
10	Q. Mr. Rous, you have been handed a
11	document marked Exhibit 3 to this
12	deposition, the first page of which is
13	entitled "Subpoena to Testify At a
1 4	Deposition in a Civil Action."
15	Do you see that?
16	A. Yes.
17	Q. Have you seen this document
18	before?
19	A. Yes.
2 0	Q. And did you see it at about the
21	same time that you saw Exhibit 2?
2 2	A. Yes, I believe so.
2 3	MS. McCOY: Objection to form.
2 4	Q. Exhibit 3 also includes an
2 5	Attachment A. Do you see that?
	Page 32

1	A. Yes.
2	Q. Attachment A identifies topics
3	for deposition on pages 1 and 2. Do you
4	see that?
5	A. Yes.
6	Q. Have you read these topics for
7	deposition?
8	A. Yes.
9	Q. What is your understanding of
10	the information sought by these deposition
11	topics?
1 2	MS. McCOY: Objection to form.
1 3	A. I guess I am not really clear
1 4	what you are asking me.
15	MR. FREEDMAN: Can you rephrase?
16	MR. BROWAND: Sure.
17	Q. Do you understand that these
18	topics seek information about the
19	authenticity and publication of the
2 0	articles that are identified in the
21	topics?
2 2	A. Yes.
2 3	MS. McCOY: Objection to form.
2 4	Q. What is your understanding as to
2 5	why you were selected to provide testimony
	Page 33

1	in response to these topics?
2	MS. McCOY: Objection to form.
3	A. My knowledge of the publishing
4	process and distribution process that ACM
5	uses for its various types of
6	publications, my knowledge of the digital
7	library, my knowledge of bibliographic
8	information.
9	Q. Do you believe you are qualified
L O	to provide testimony on these topics?
L 1	A. Yes.
L 2	MS. McCOY: Objection to form.
L 3	Q. Let's look at Exhibit A to this
L 4	deposition subpoena, which follows the
L 5	topics. You see Exhibit A is an article
L 6	by Henning Maass entitled "Location-Aware
L 7	Mobile Applications Based on Directory
L 8	Services" that was published in Mobile
L 9	Networks and Applications in 1998, and the
2 0	document bears Bates numbers 970PA_000547
21	through 563? Do you see that?
2 2	A. Yes.
2 3	Q. Can we refer to this exhibit as
2 4	the Maass article today?
2 5	A. Yes.
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1	Q. Is the Maass article, as it
2	appears on page 970PA 000547 through 563 a
3	true, correct and authentic copy of the
4	original?
5	A. So yes, to the best of my
6	knowledge.
7	Q. And how do you know that it is
8	authentic?
9	MS. McCOY: Objection to form.
10	A. I examined a copy of it that we
11	have in the ACM Digital Library. I would
12	point out that this is not an ACM
13	publication.
14	Q. Does ACM maintain access to
15	copies of the Maass article at the ACM
16	Digital Library?
17	A. Yes.
18	MS. McCOY: Objection to form.
19	Q. How do you know that?
2 0	A. I looked for it.
21	Q. Is maintaining access to copies
22	of the Maass article a regular part of
2 3	ACM's business?
2 4	A. Yes, it is.
25	Q. Is providing copies of the Maass
	Page 35

1	article through the ACM Digital Library a
2	regular part of ACM's business?
3	A. Yes.
4	MS. McCOY: Objection to form.
5	(So marked for identification as
6	Defendant's Exhibit 4.)
7	Q. Mr. Rous, you have been handed a
8	document marked Exhibit 4, which bears
9	production number ACM (BLACKBERRY)
10	0000001. Have you seen this document
11	before?
1 2	A. Yes.
13	Q. And what is it?
1 4	A. It is a copy of the
15	bibliographic record of this article that
16	is in the ACM Digital Library that was
17	obtained through an application known as
18	the ACM Digital Library Binder Facility
19	and stored there for the purposes of
2 0	producing this document for this
21	deposition.
2 2	MR. BROWAND: Can we go off the
2 3	record a minute.
2 4	MR. FREEDMAN: Fine.
2 5	THE VIDEOGRAPHER: Off the
	Page 36

1	record at 3:45 p.m.
	-
2	(Pause.)
3	THE VIDEOGRAPHER: Back on the
4	record at 3:46 p.m.
5	Q. In your last answer you said
6	"this article." Can you confirm that you
7	mean the Maass article?
8	A. The Maass article, yes.
9	MS. McCOY: Objection to form.
1 0	Q. Is Exhibit 4 a document that you
11	generated?
1 2	MS. McCOY: Objection to form.
1 3	A. Yes. Let's put it this way. I
1 4	used an application to capture this
1 5	information which contains the
16	bibliographic record which is in the
17	digital library and reflects the
18	bibliographic data of the publication
19	along with its abstract, which you can
2 0	also find in the previous exhibit.
21	Q. And so, does Exhibit 4 contain
2 2	information that is maintained as a
2 3	business record by ACM in the normal
2 4	course of business?
2 5	A. Yes.

1	MS. McCOY: Objection to form.
2	Q. Based on this business record,
3	what is your understanding of the date on
4	which the Maass article was first
5	published?
6	MS. McCOY: Objection to form.
7	A. August 1998.
8	Q. Do you believe that this
9	information is correct?
10	A. To the best of my knowledge.
11	Q. Do you have any reason to
12	believe that it is not correct?
13	A. No.
14	(So marked for identification as
15	Defendant's Exhibit 5.)
16	Q. Mr. Rous, you have been handed a
17	document marked Exhibit 5 to this
18	deposition, which bears production number
19	ACM (BLACKBERRY) 0000008.
2 0	Have you seen this document
21	before?
2 2	A. Yes.
2 3	Q. And what is it?
2 4	A. It's again, using the same
2 5	application from the ACM Digital Library
	Page 38

1	to put into one file the three documents
2	that were asked for in the subpoena, or
3	just not the full documents but the
4	citation bibliographic records for them.
5	Q. So this document contains the
6	citation bibliographic records for the
7	three articles that are the subject of
8	this deposition. Correct?
9	A. Yes.
10	MS. McCOY: Objection to form.
11	Q. Who generated this document?
12	A. I did.
13	Q. Let's look at the paragraph
14	below the entry for the Maass article. Do
15	you see that?
16	A. Yes.
17	Q. What is the stated date of
18	publication of the Maass article?
19	A. August 1998.
20	Q. And if you look in the paragraph
21	below the bibliographic information there
22	is a further description of the article.
2 3	A. Yes.
2 4	Q. Do you see that?
25	A. Yes.
	Page 39

1	Q. And do you see there is a
2	publication date listed in that paragraph?
3	A. Yes.
4	Q. And what is that publication
5	date?
6	A. September 1997.
7	Q. I see that. I am actually
8	referring to further down where it states
9	"Publication date 1998-08-01." Do you see
L O	that?
L 1	A. I am sorry. I am not following
L 2	where you are in this, on this page.
L 3	Q. Sure. It is about the third or
L 4	fourth line from the bottom.
L 5	A. Oh, yes, okay. I see it now.
L 6	Yes.
L 7	Q. That information, "Publication
L 8	date 1998-08-01," what does that mean?
L 9	A. August 1, 1998.
2 0	Q. Does that indicate that the
21	Maass article was published on August 1,
2 2	1998?
2 3	A. Yes, that's what it indicates.
2 4	Q. What is the origin of the
2 5	information in this paragraph?
	Page 40

1	MS. McCOY: Objection to form.
2	A. So, the original the origin
3	is the information supplied to us by the
4	publisher.
5	Q. Is this information maintained
6	as a business record of ACM?
7	A. Yes.
8	Q. And do you believe that the
9	information in this business record is the
L O	best information available about the
L1	publication of the Maass article that ACM
L 2	has?
L 3	A. Yes.
L 4	MS. McCOY: Objection to form.
L 5	Q. So according to ACM's business
L 6	records, the Maass article was published
L 7	on August 1, 1998. Correct?
L 8	A. Yes.
L 9	MS. McCOY: Objection to form.
2 0	Q. Now, separate from the Maass
21	article's first publication, have you been
2 2	able to determine the date by which the
2 3	Maass article was made available through
2 4	the ACM Digital Library?
2 5	MS. McCOY: Objection to form.
	Page 41

1	A. Yes.
2	Q. How did you figure out the date
3	on which the Maass article was made
4	available through the ACM Digital Library?
5	MS. McCOY: Objection to form.
6	A. When we load a publication into
7	the digital library, the database there
8	is an automatic date stamp that is placed
9	on the creation date of the record. And I
L O	looked that date up for this article.
L 1	Q. And does Exhibit 1 to this
L 2	deposition show the automatic date stamp
L 3	that was placed on the creation date for
L 4	the record containing the publication of
L 5	the Maass article in the ACM Digital
L 6	Library?
L 7	MS. McCOY: Objection to form.
L 8	A. Yes.
L 9	Q. What is the date, that automatic
2 0	date stamp in Exhibit 1?
21	MS. McCOY: Objection to form.
2 2	A. February 8, 1999.
2 3	Q. So, is it your understanding
2 4	that the Maass article was made available
2 5	to subscribers of the ACM Digital Library
	Page 42

1	on February 8, 1999?
2	A. Yes.
3	MS. McCOY: Objection to form.
4	Q. So as of February 8, 1999,
5	anyone with a subscription to the ACM
6	Digital Library would have had access to a
7	copy of the Maass article?
8	MS. McCOY: Objection to form.
9	A. Yes.
10	Q. Based on ACM's regular business
11	practices at the time, how soon after
1 2	first publication of an article would it
1 3	typically have been made available through
1 4	the ACM Digital Library?
15	MS. McCOY: Objection to form.
16	A. Typically, it would be available
17	on the day of publication. This
18	particular article was not published by
19	ACM but by Baltzer, which was a publishing
2 0	company which I believe was bought by
21	Kluwer, which was subsequently acquired by
2 2	Springer.
2 3	As a hosted publication that we
2 4	did not produce, we are dependent on the
2 5	original publisher to ship us the
	Page 43

1	information for placing in the digital
2	library, which accounts for the delay here
3	between the publication date by the
4	original publisher and when we received it
5	and loaded it.
6	Q. And is the delay between
7	August 1, 1998, and February 8, 1999,
8	typical for a delay of hosted publications
9	at the time?
L O	A. No, I would not say that.
L 1	MS. McCOY: Object to form.
L 2	THE WITNESS: I'm sorry.
L 3	A. No, I wouldn't say it's typical.
L 4	These arrangements with third parties are
L 5	variable and depend on a lot of factors.
L 6	So, I don't know whether there is a
L 7	typical delay that I could give you for
L 8	this.
L 9	Q. But do you find this delay in
2 0	this circumstance to be in sort of the
21	realm of possibilities given the efforts
2 2	to, you know, digitize and make available
2 3	and host third-party content?
2 4	A. Certainly, yes.
2 5	MS. McCOY: Objection to form.
	Dage 44
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1	Q. Do you believe the information
2	on Exhibit 1 is true and correct?
3	A. Yes.
4	Q. Why do you believe that?
5	MS. McCOY: Objection to form.
6	A. I have found our records to be
7	very well kept, accurate. A lot of
8	attention goes into ensuring that. As a
9	quality publisher, you don't want to
10	publish incorrect information.
11	Q. Is that true of all of ACM's
1 2	business records?
1 3	A. Yes.
1 4	(So marked for identification as
15	Defendant's Exhibit 6.)
16	Q. Mr. Rous, you have been handed a
17	document marked Exhibit 6 to this
18	deposition, which bears production number
19	ACM (BLACKBERRY) 0000011. Have you seen
2 0	this document before?
21	A. Yes.
2 2	Q. What is it?
2 3	A. It's a record of our
2 4	institutional members statistics from
2 5	June 1999.
	Page 45

1	Q. Is this a document maintained as
2	a business record by ACM in the ordinary
3	course of business?
4	A. Yes.
5	Q. And where is the document kept?
6	A. In a filing cabinet.
7	Q. It's maintained in hard copy?
8	A. This far back, it's maintained
9	in hard copy, yes.
L O	Q. Down the first column there are
L 1	several row headings. Do you see that?
L 2	A. Yes.
L 3	Q. What do each of these row
L 4	headings on this document mean?
L 5	A. So, they are institutional
L 6	members had various packages of
L 7	publications that they could subscribe to.
L 8	The listing on the left, in the left
L 9	column, named those packages, both print,
2 0	the three above, and digital packages
21	below.
2 2	Q. So the first three packages are
2 3	print only memberships?
2 4	A. They are print packages, yes.
2 5	Q. The second three, the ones that
	Page 46

1	begin "Optical Digital Core Package,"
2	"Digital Master" and "Digital
3	Proceedings," those are digital
4	institutional subscriptions?
5	MR. FREEDMAN: You misspoke.
6	MS. McCOY: Object to form.
7	MR. FREEDMAN: It says
8	"optional." I think you said
9	"optical."
10	MR. BROWAND: Thank you.
11	Q. The first one reads "Optional
1 2	Digital Core Package"?
1 3	A. Yes.
1 4	Q. So as of February 8, 1999,
15	the if we look at the March 1999 column
16	and look at the rows for the digital
17	subscriptions, those would be added up,
18	those would be the total number of
19	institutional members that would have had
2 0	access to the Maass article. Is that
21	correct?
2 2	MS. McCOY: Objection to form.
2 3	A. No, I can't say that. I did not
2 4	produce these statistics for the purposes
2 5	of showing the distribution of the Maass
	Page 47

1	article.
2	Q. Okay.
3	A. And so I am not sure what the
4	relationship would be between ACM's
5	institutional members and access to the
6	to this Maass article.
7	Q. But all of the members listed in
8	the digital rows would have had access to
9	the ACM Digital Library?
10	MS. McCOY: Objection to form.
11	A. Could you repeat that question?
12	Q. I will probably have to rephrase
13	it. Let me just do that.
14	All of the memberships
15	corresponding to the last three rows of
16	Exhibit 6 identify institutional members
17	who would have had access to the ACM
18	Digital Library?
19	MS. McCOY: Objection to form.
2 0	A. No, that is not correct. At the
21	time, the digital library was segmented,
2 2	and
2 3	The various types of
2 4	publications you could obtain digital
2 5	subscriptions to, sections of the digital
	Page 48

1	library. And the Digital Proceedings
2	package, for example, would have nothing
3	to do with this article, which was not a
4	proceedings article but a journal article
5	produced by somebody else.
6	Those who subscribed I cannot
7	tell you whether or not ACM provided this
8	third-party publication in the Digital
9	Core package. I doubt it but I don't know
L 0	for sure.
L 1	Q. And what is the Digital Master
L 2	package? What access would be provided by
L 3	that package?
L 4	A. That package would include both
L 5	the Core, which were the ACM journals, and
L 6	the Proceedings, the ACM proceedings.
L 7	(So marked for identification as
L 8	Defendant's Exhibit 7).
L 9	Q. Mr. Rous, you have been handed a
2 0	document marked Exhibit 7 to this
21	deposition, which bears production number
2 2	ACM (BLACKBERRY) 00000112. Have you seen
2 3	this document before?
2 4	A. Yes.
2 5	Q. And what is it?

1	A. It's a record of our member
2	subscription counts from 1999.
3	Q. And are these for individuals
4	subscription counts?
5	A. Yes.
6	Q. So these subscription counts in
7	this exhibit are in addition to the
8	institutional membership counts in
9	Exhibit 6?
L O	A. Yes.
L 1	MS. McCOY: Objection to form.
L 2	Q. If you don't mind, as we did
L 3	with Exhibit 6, could you identify for me
L 4	in this first row the meaning of the
L 5	different row headings? The first one is
L 6	"Ton" it looks like, T-O-N?
L 7	A. Yes. The first four are
L 8	particular ACM journals, title they are
L 9	acronyms for the title. "Transactions on
2 0	Networking, "TON. "Transactions on
21	Programming Languages and Systems, " TOPLS,
2 2	et cetera.
2 3	Q. The fifth row is a total of
2 4	those first four?
2 5	A. No. No. I only produced this
	Page 50

1	page to give you the total. There are
2	perhaps another 30 titles that precede
3	these on previous pages of the statistics
4	that did not seem relevant.
5	Q. Those are further ACM
6	publications?
7	A. Yes.
8	Q. Below the total there is a row
9	headed "Digital Library"?
10	A. Yes.
11	Q. What does that mean?
12	A. Those are the ACM member
13	subscriptions to the ACM Digital Library.
14	Q. For each of the months?
15	A. For all of the contents of the
16	digital library for member subscriptions.
17	They were not separate packages.
18	Q. So would a member having the
19	digital library subscription have access
2 0	to all of the content in the ACM Digital
21	Library?
22	A. Yes.
2 3	Q. Including the Maass article?
2 4	A. Yes.
25	MS. McCOY: Objection to form.
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1	Q. What is the difference between
2	FY 99 row and FY 98?
3	A. FY is our fiscal year. It runs
4	from July 1st through June 30th, which is
5	why this is always split across two
6	calendar years. We are looking at a
7	single fiscal year.
8	Q. So as of March 1999, there were
9	over 11,000 individuals who had access to
10	all of the contents of the ACM Digital
11	Library, including the Maass article.
1 2	Correct?
13	MS. McCOY: Objection to form.
1 4	A. That's correct, yes.
15	Q. Is Exhibit 7 a business record
16	of ACM?
17	A. Yes.
18	Q. Do you believe the information
19	on Exhibit 7 to be true and accurate?
2 0	A. Yes.
21	Q. Where is Exhibit 7 maintained?
2 2	A. In a filing cabinet at ACM
2 3	headquarters.
2 4	(So marked for identification as
2 5	Defendant's Exhibit 8.)
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1	Q. Mr. Rous, you have been handed a
2	document marked Exhibit 8 to this
3	deposition, which bears production number
4	ACM (BLACKBERRY) 00000002. Do you see
5	that?
6	A. Yes.
7	Q. Have you seen this document
8	before?
9	A. Yes.
10	Q. And what is it?
11	A. It is a copy of the
1 2	bibliographic record for the article by
1 3	Spreitzer and Theimer from the ACM Digital
1 4	Library.
15	Q. Let's go back to Exhibit 3, the
16	deposition subpoena?
17	A. Okay.
18	Q. After the Maass article there is
19	Exhibit B. Exhibit B includes a copy of
2 0	the Spreitzer article by Mike Spreitzer
21	and Marvin Theimer entitled "Providing
2 2	Location Information in a Ubiquitous
2 3	Computing Environment" bearing Bates
2 4	numbers 970PA_000959 through 972.
2 5	Do you see that?
	Page 53

1	A. Yes.
2	Q. Is Exhibit 8 the ACM Digital
3	Library record of this article that
4	appears as Exhibit B to the deposition
5	subpoena?
6	MS. McCOY: Objection to form.
7	A. Yes.
8	Q. Looking at Exhibit B to the
9	deposition subpoena, can we agree to call
10	this article the Spreitzer article?
11	A. Okay.
12	Q. Is the Spreitzer article, as it
13	appears on these pages, a true and
1 4	authentic copy of the original?
15	A. Yes. To the best of my
16	knowledge, yes.
17	Q. How do you know that?
18	A. I downloaded a copy from the ACM
19	Digital Library and scanned through it and
2 0	it matched this.
21	Q. And this is a publication by
2 2	ACM. Correct?
2 3	A. Yes.
2 4	Q. And ACM published this article?
2 5	A. Yes.
	Page 54

1	MS. McCOY: Objection to form.
2	Q. Referring back to Exhibit 8,
3	what is the date of publication of the
4	Spreitzer article?
5	A. December 1993.
6	Q. Was publishing the Spreitzer
7	article a regular part of ACM's business?
8	A. Yes.
9	Q. Does ACM maintain and provide
L O	access to copies of the Spreitzer article?
L 1	A. Yes.
L 2	MS. McCOY: Objection to form.
L 3	Q. And was providing access to
L 4	copies of the Spreitzer article a regular
L 5	part of ACM's business?
L 6	A. Yes.
L 7	Q. Let's go back to Exhibit 8. Do
L 8	you believe the information in this
L 9	business record stating that the Spreitzer
2 0	article was published by ACM in
21	December 1993 to be true and correct?
2 2	A. Yes.
2 3	Q. And have you been able to
2 4	determine the date by which the Spreitzer
2 5	article was made available through the ACM
	Page 55

1	Digital Library?
2	MS. McCOY: Objection to form.
3	A. I did not specifically look this
4	up. The digital library was launched in
5	July of 1997, and it included all of our
6	previous proceedings and journals which
7	had been digitized for the release of the
8	digital library. So back this was
9	published four or five years before the
1 0	launch of the digital library. But we had
11	digitized it, so, yes, it was available
1 2	then.
1 3	Q. Just to make this clear, your
1 4	expectation and understanding based on
15	ACM's business practice was that the
16	Spreitzer article was made available in
17	the ACM Digital Library in July 1997.
18	Correct?
19	A. Yes.
2 0	MS. McCOY: Objection to form.
21	Q. And all of the individual
2 2	subscribers to the ACM Digital Library
2 3	would have had access to the Spreitzer
2 4	article as of that date. Correct?
2 5	MS. McCOY: Objection to form.
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1	A. That's a true statement, but
2	when we launched it in July of 1997 there
3	were no subscriptions at all. It was free
4	and open to the world for a period until
5	October. And it was only in October that
6	we made it available by subscription.
7	Q. When you say October, you mean
8	October of 1997?
9	A. Yes.
10	(So marked for identification as
11	Rous Exhibit 9.)
1 2	Q. Mr. Rous, you have been handed a
1 3	document marked Exhibit 9 to the
1 4	deposition, which bears production number
15	ACM (BLACKBERRY) 00000004. Do you see
16	that?
17	A. Yes.
18	Q. What is it?
19	A. This is a screen shot from our
2 0	conference management system database that
21	shows a record of the conference, the ACM
2 2	Symposium on Operating Systems Principals
2 3	and information about it, financial and
2 4	dates and attendance.
2 5	Q. Does this document contain data
	Page 57

1	that is maintained as a business record of
2	ACM?
3	A. Yes.
4	Q. Where is this data contained? I
5	think you said it is a conference
6	management system database?
7	A. Yes.
8	Q. And is it your understanding
9	that this was the symposium at which the
1 0	Spreitzer article was presented?
11	A. Yes.
1 2	MS. McCOY: Objection to form.
1 3	Q. Do you believe that the
1 4	information well, let me focus it down
1 5	on the attendance information. Do you
16	believe that the attendance information in
17	Exhibit 9 is true and correct?
18	MS. McCOY: Objection to form.
19	A. To the best of my knowledge,
2 0	yes.
21	Q. And so according to this
2 2	document, there were 300 attendees at the
2 3	14th ACM Symposium on Operating Systems
2 4	Principals in December 1993?
2 5	A. Yes.
	Page 58

1	MS. McCOY: Object to the form.
2	Q. How was the Spreitzer article
3	made available to conference attendees?
4	MS. McCOY: Objection to form.
5	A. So, in hard copy. Copies are
6	printed before the conference takes place
7	and shipped to the location so that when
8	the conference registrants and attendees
9	arrive they pick up their copy on the way
10	in.
11	Q. And that is part of ACM's
12	regular business practice?
13	A. Yes.
14	Q. And you expect that that
15	happened on this particular conference
16	occasion?
17	A. Yes.
18	Q. Do you have any reason to
19	suspect that copies of the Spreitzer
2 0	article were not made available to the 300
21	conference attendees?
2 2	A. No.
2 3	MS. McCOY: Sorry. Objection to
2 4	the form.
25	(So marked for identification as
	Page 59

1	Defendant's Exhibit 10.)
2	Q. Mr. Rous, you have been handed a
3	document marked Exhibit 10 to this
4	deposition, which bears production number
5	ACM (BLACKBERRY) 0000005. Do you see
6	that?
7	A. Yes.
8	Q. Have you seen this document
9	before?
10	A. Yes.
11	Q. What is it?
1 2	A. It is, like the previous one,
1 3	it's a snapshot sorry screen shot of
1 4	the data from our conference management
15	system about the ACM Symposium on
16	Operating Systems Principals.
17	Q. So this is a different business
18	record maintained by ACM concerning the
19	same symposium that was also identified in
2 0	Exhibit 9?
21	A. Yes.
2 2	MS. McCOY: Objection to form.
2 3	Q. Towards the bottom of the page
2 4	there is a label entitled "circulation
2 5	types/counts." Do you see that?
	Page 60

1	A. Yes.
2	Q. What does the information below
3	that mean?
4	A. So, there are three different
5	counts given. The first one is the
6	conference, with the number of 450, which
7	means that 450 copies were printed and
8	shipped to the conference.
9	The second number, called single
L O	copy, the number is 200. That is the
L 1	number of copies that were printed and
L 2	placed in our warehouse inventory for
L 3	single copy sales post conference.
L 4	The third number, distribution
L 5	of 6900 were the number of copies that
L 6	were printed for distribution to members
L 7	of the sponsoring special interest group
L 8	that sponsored the conference. And that
L 9	sponsoring special interest group is
2 0	called ACM SIGOPS.
21	I could elaborate a little bit
2 2	since this may not be clear. ACM has a
2 3	number of special interest groups,
2 4	currently about 36, that are in
2 5	subdisciplines of computer science. And
	Page 61

1	it was the practice that each special
2	interest group had its own newsletter that
3	went to the members of that specific
4	subset of ACM members who belonged to the
5	SIG, that SIG.
6	It was the practice to publish
7	the sponsored proceedings in a special
8	issue of the newsletter as well as in a
9	volume of proceedings so that the number
10	of members of SIGOPS who would be entitled
11	to receive the newsletter, the special
1 2	issue of the newsletter that contained
13	this article from the conference
1 4	proceedings is given in that number of
15	6900.
16	Q. So, the total of those three
17	numbers is 7,550 copies?
18	A. Yes.
19	Q. And my question is, copies of
2 0	what?
21	MS. McCOY: Objection to form.
2 2	A. Copies of the volume of
2 3	proceedings and that same set of articles
2 4	that was in the proceedings reissued with
2 5	a different cover, which was the

1	newsletter cover for the special issue.
2	So, it is essentially published
3	twice, once as a volume of proceedings and
4	once as a special issue of the newsletter
5	for that group.
6	Q. If we turn back to Exhibit 8?
7	A. Yes. Here it is.
8	Q. Those two publications, once as
9	the proceedings and once as the
L O	newsletter
L1	A. Yes.
L 2	Q appear in this digital
L 3	library record; correct?
L 4	A. That's correct. So that
L 5	Exhibit 8 that you are looking at is a
L 6	speaks of the article by Spreitzer and it
L 7	says it's published in the Proceedings of
L 8	the Symposium on Operating Systems
L 9	Principals 1993 and in the newsletter of
2 0	ACM SIGOPS with the title of the
21	newsletter, Operating Systems Review.
2 2	Q. Am I correct that according to
2 3	ACM's business records, as of December
2 4	1993, 7,550 copies of the Spreitzer
2 5	article had been made available in either
	Page 63

1	the conference proceedings or in the
2	newsletter you just identified?
3	A. Yes.
4	Can I clarify that a little,
5	just to be clear?
6	Q. Sure.
7	A. That number you see there of
8	7,550 will the copies produced and sent in
9	those different directions. Before, when
L 0	we were looking at another exhibit, you
L 1	saw conference attendance was 300. This
L 2	is the number of copies that were sent to
L 3	the conference.
L 4	They normally overprint. They
L 5	don't know how many people necessarily
L 6	will show up. There are some
L 7	registrations on site, so the number of
L 8	copies produced and sent to the conference
L 9	may not be the same as the number of
2 0	attendees who received a copy.
2 1	Q. Understood.
2 2	After the Spreitzer article in
2 3	Exhibit 3 there is an Exhibit C, which is
2 4	an article by Ulf Leonhardt and Jeff Magee
2 5	entitled "Multi-Sensor Location Tracking"

1	and it bears production numbers
2	970PA_000982 through 993.
3	Do you see that?
4	A. Yes.
5	Q. Can we refer to this article as
6	the Leonhardt article?
7	A. Okay.
8	Q. And is the Leonhardt article
9	bearing these production numbers a true,
L O	correct and authentic copy of the
L 1	original?
L 2	A. Yes, to the best of my
L 3	knowledge.
L 4	Q. And how do you know that?
L 5	A. I looked for this article in the
L 6	ACM Digital Library and found it and
L 7	printed off a copy and compared it to
L 8	this. I didn't read it word for word but
L 9	I scanned through it and it is the same.
2 0	Q. And was ACM responsible for
21	publishing the Leonhardt article?
2 2	A. Yes.
2 3	Q. And did ACM in fact publish the
2 4	Leonhardt article?
2 5	A. Yes.
	Page 65

1	Q. And was publishing the Leonhardt
2	article as it appears on these pages a
3	regular part of ACM's business?
4	A. Yes.
5	Q. And does ACM maintain and
6	provide access to copies of the Leonhardt
7	article?
8	A. Yes.
9	Q. And is providing access to
10	copies of the Leonhardt article a regular
11	part of ACM's business?
12	A. Yes.
13	(So marked for identification as
1 4	Rous Exhibit 11.)
15	Q. Mr. Rous, you have been handed a
16	document marked Exhibit 11, which bears
17	production number ACM (BLACKBERRY)
18	00000003. Have you seen this document
19	before?
2 0	A. Yes.
21	Q. And what is it?
2 2	A. It is a copy of a bibliographic
2 3	record of this article from the ACM
2 4	Digital Library.
2 5	Q. Is this record maintained as a
	Page 66

1	business record by ACM in the normal
2	course of its business?
3	A. Yes.
4	Q. How was the document generated?
5	A. This Exhibit 11 was generated by
6	using an ACM application called the
7	Digital Library Binder Facility.
8	Q. Who generated it?
9	A. I did.
L O	Q. Approximately when did you do
L 1	that?
L 2	A. About a month, six weeks ago.
L 3	Q. What is your understanding of
L 4	the date on which the Leonhardt article
L 5	was first made available to the public by
L 6	ACM?
L 7	MS. McCOY: Objection to form.
L 8	A. October 25, 1998.
L 9	Q. How do you know that?
2 0	A. It's ACM's practice to publish
21	proceedings on the first day of the
2 2	conference at which the papers are
2 3	presented.
2 4	Q. And October 25, 1998 was the
2 5	first day of the MobiCom 98 Fourth Annual
	Page 67

1	ACM International Conference on Mobile
2	Computing and Networking?
3	MS. McCOY: Objection to form.
4	A. Yes. I would say ACM slash
5	IEEE. It is a jointly sponsored
6	conference between ACM and IEEE, IEEE
7	being another association for actually,
8	I believe it is a trade association for
9	engineers.
10	(So marked for identification as
11	Rous Exhibit 12.)
12	Q. Mr. Rous, you have been handed a
13	document marked Exhibit 12 to this
1 4	deposition which bears production number
15	ACM (BLACKBERRY) 00000006. Have you seen
16	this document before?
17	A. Yes.
18	Q. And what is it?
19	A. It's a record from ACM's
2 0	conference management system describing
21	the income and expense and the date and
2 2	attendance at the MobiCom 1998
2 3	International Conference on Mobile
2 4	Computing.
2 5	Q. Is this a document maintained as
	Page 68

1	a business record by ACM in the normal
2	course of its business?
3	A. Yes.
4	Q. This document identifies a total
5	attendance at the MobiCom 98 conference of
6	354 attendees. Do you see that?
7	A. Yes.
8	Q. Do you believe that information
9	to be true and correct?
1 0	A. Yes.
11	(So marked for identification as
1 2	Defendant's Exhibit 13.)
1 3	Q. Mr. Rous, you have been handed a
1 4	document marked Exhibit 13 to this
15	deposition, which bears production number
16	ACM (BLACKBERRY) 0000007. Do you see
17	that?
18	A. Yes.
19	Q. And have you seen this document
2 0	before?
21	A. Yes.
2 2	Q. And what is it?
2 3	A. It is a screen shot of another
2 4	piece of information from ACM's conference
2 5	management system about the same
	Page 69

1	conference, the ACM/IEEE International
2	Conference on Mobile Computing and
3	Networking from 1998.
4	Q. Again, if you would, summarize
5	what the meaning of the information that
6	appears below "circulation types/counts"
7	is?
8	A. Yes. Okay. So, the first type
9	is conference. It means and the number
10	is 400. The count is 400, and that is the
11	number of copies that were printed and
12	shipped to this conference. The single
13	copy number of 75 is the number of copies
14	that were printed and put into our
15	warehouse for post conference sales.
16	And the MP MOBILE refers to a
17	program that was in place at this time
18	known as Member Plus for SIG Mobile, which
19	if you paid for the Member Plus SIG Mobile
2 0	program you got a copy of this
21	proceedings. And the number there is 400.
2 2	Q. And
2 3	A. And that is the number produced.
2 4	Q. Is Exhibit 13 a document
2 5	maintained as a business record by ACM in
	Page 70

its normal course of business?
A. Yes.
Q. And according to this document
there were 875 copies of the conference
proceedings for the MobiCom 98 Fourth
Annual ACM/IEEE International Conference
On Mobile Computing and Networking.
Correct?
A. Yes.
MS. McCOY: Objection to form.
Q. And those conference proceedings
included, according to ACM's business
record, the Leonhardt article. Correct?
MS. McCOY: Objection to form.
A. Yes, that's correct.
Q. And so 875 copies would have
been made available to either the
attendees at the conference or put into
the warehouse or provided to the MP Mobile
subscribers as of October 25, 1998.
Correct?
MS. McCOY: Objection to form.
A. Yes.
Q. Those 875 copies, again,
included the Leonhardt article?
Page 71

1	A. Yes.
2	MS. McCOY: Objection to form.
3	(Pause.)
4	THE WITNESS: May I offer a
5	point of clarification?
6	MR. BROWAND: Sure.
7	THE WITNESS: I am just
8	testifying about ACM's distribution of
9	this work. This was a joint
10	conference with the IEEE, and in our
11	joint arrangement we each had rights
12	to independently produce and
13	distribute the same work, my point
14	being I am only telling you about
15	ACM's distribution; I have nothing to
16	say about theirs. But there was
17	another source for this.
18	Q. So, ACM made the Leonhardt
19	article available to the public and IEEE
20	also made the Leonhardt article available
21	to the public?
2 2	A. That's correct, yes.
2 3	MS. McCOY: Objection to form.
2 4	Q. And so according to these
2 5	records, 875 copies of the Leonhardt
	Page 72

1	article were made available in the ways
2	that we have discussed as of October 25,
3	1998. Correct?
4	MS. McCOY: Objection to form.
5	A. Yes.
6	MR. BROWAND: Mr. Rous, thank
7	you for your time. I have no further
8	questions.
9	MS. McCOY: I do have some
10	questions. We have been going about
11	an hour and a half. How about we take
12	five minutes?
13	THE VIDEOGRAPHER: Going off the
14	record 4:46 p.m. This marks the end
15	of media 1.
16	(Recess.)
17	THE VIDEOGRAPHER: We are back
18	on the record at 4:54 p.m. This marks
19	the beginning of media 2.
2 0	EXAMINATION BY
21	MS. McCOY:
2 2	Q. Hello, Mr. Rous.
2 3	A. Hi.
2 4	Q. This is Leah McCoy from Pepper
2 5	Hamilton on behalf of CallWave, as I noted
	Page 73
	1 4 3 5 7 3

1	earlier. I just have a few questions for
2	you following up on Mr. Browand's
3	questioning.
4	You said the phrase a couple
5	times that certain documents were business
6	records of ACM. Can you tell me what you
7	mean by that?
8	A. Yes. These are the official
9	records where ACM records its business
10	information.
11	Q. And how do you know that?
12	A. I have worked at ACM for
13	35 years. I know how it's done.
14	Q. Okay. Let's turn specifically
15	to Exhibit 1. Do you have that in front
16	of you?
17	A. Yes.
18	Q. Did you create this document?
19	A. Yes, I took a snapshot
20	sorry a screen shot of a of this
21	view of certain data that is held in our
2 2	database.
2 3	Q. Was this document produced in
2 4	the litigation?
2 5	MR. BROWAND: Objection to form.
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1	A. I did not quite hear the
2	question. Can you repeat it?
3	MR. FREEDMAN: This is John
4	Freedman. I will respond to that. It
5	was produced at the start of the
6	deposition today in the litigation.
7	Q. Can you hear me?
8	A. Yes.
9	Q. When was this document created?
L O	MR. BROWAND: Objection to form.
L 1	A. Yes.
L 2	Q. And why did you create this
L 3	document yesterday?
L 4	MR. BROWAND: Objection to form.
L 5	MR. FREEDMAN: You may answer.
L 6	A. Because because this article
L 7	was not published by ACM and there were
L 8	some records that I saw on the internet,
L 9	in internet searches, that seemed to
2 0	indicate that ACM actually had this
21	article in our digital library at the date
2 2	of publication, I looked into our database
2 3	to verify whether that was true or not.
2 4	That's why I looked it up. And
2 5	I found that in fact it was subsequent to
	Page 75

1	the August 1st publication date that we
2	actually got a copy into the digital
3	library.
4	Q. Did anyone ask you to perform
5	that search?
6	MR. BROWAND: Objection to form.
7	MR. FREEDMAN: You can answer
8	yes or no.
9	A. Not directly, no. But
10	Q. Did someone
11	A. It was pointed out to me that
1 2	there was information on the Web, if you
1 3	performed a search, actually located in
1 4	the internet archive created by the Way
15	Back Machine, that seemed to indicate that
16	ACM had this earlier, and that made me
17	look and on my own I decided to
18	investigate to see whether that was true
19	because it seemed to be it didn't
2 0	quite I wasn't sure, so that is why I
21	looked it up.
2 2	Q. Who pointed that out to you?
2 3	MR. BROWAND: Objection to form.
2 4	MR. FREEDMAN: You may answer.
2 5	A. Counsel pointed it out. ACM's
	Page 76

1	counsel, John Freedman, pointed it out to
2	me.
3	Q. Have you had any communications
4	with Google since the subpoena was sent to
5	you?
6	MR. BROWAND: Objection to form.
7	A. No.
8	Q. Have you had any communications
9	with counsel for Google, from Milbank?
L O	A. No.
L 1	MR. BROWAND: Objection to form.
L 2	Q. Has your counsel had any
L 3	communications with Google?
L 4	MR. BROWAND: Objection to form.
L 5	MR. FREEDMAN: You may answer.
L 6	A. I really don't know.
L 7	Q. Do you know if your counsel has
L 8	had any communication with counsel for
L 9	Google?
2 0	MR. BROWAND: Objection to form.
21	A. I am sorry. I thought you had
2 2	just asked that question. Could you
2 3	distinguish the last two questions?
2 4	Q. Sure. I am distinguishing
2 5	between Google, the company and its
	Page 77

1	employees, and outside counsel
2	representing Google.
3	You can answer whether you know
4	whether your counsel has had
5	communications with either employees for
6	Google or counsel representing Google.
7	A. Yes. I believe I think so,
8	yes.
9	MR. BROWAND: Objection to form.
10	Q. Sorry. Can you just clarify
11	your answer?
12	A. Yes. I think that ACM's counsel
13	did have communications with Google's
14	counsel, as far as I know.
15	Q. Do you know what those
16	communications consisted of?
17	A. No
18	MR. FREEDMAN: I am cutting this
19	off. You can't go into my
2 0	communications with Mr. Rous.
21	MS. McCOY: Well, if you told
2 2	Mr. Rous what you discussed with
2 3	Google, those would not be
2 4	attorney-client communications.
2 5	MR. FREEDMAN: I don't
	Daga 70
	Page 78

1	necessarily agree with that, but you
2	are not going to examine him about my
3	communications with him.
4	Q. Mr. Rous, were you ever provided
5	with a declaration?
6	MR. FREEDMAN: At any time?
7	MR. BROWAND: Objection to form.
8	MR. FREEDMAN: At any time in
9	any context?
1 0	MS. McCOY: In connection with
11	the subpoena.
1 2	A. I don't really know. I am not
1 3	sure I understand what the question is.
1 4	Q. Have you ever seen a document
15	that was titled "Declaration" that had a
16	space for you to sign at the bottom in
17	response to the subpoena?
18	MR. BROWAND: Objection to form.
19	A. I don't think so, no.
2 0	Q. Let me just backtrack a little
21	bit.
2 2	Let's look first at what I
2 3	believe has been marked Exhibit 3 to
2 4	Exhibit A. That is the Maass article. Do
2 5	you have that in front of you?
	Page 79

1	A. Exhibit 3 to Exhibit C?
2	Q. I am sorry. It is Exhibit A to
3	Exhibit 3. I got that backwards. It's
4	the Maass article.
5	A. Yes. I have it before me.
6	Q. It's got Bates number
7	970PA_00057.
8	A. 547. Yes.
9	Q. 547. Excuse me. Thank you.
10	THE VIDEOGRAPHER: Counsel, I
11	need to take a pause and go off the
12	record if I can.
13	MS. McCOY: Sure.
14	THE VIDEOGRAPHER: Off the
15	record at 5:04 p.m.
16	(Pause)
17	THE VIDEOGRAPHER: Back on the
18	record at 5:04 p.m.
19	Q. We are looking at the Maass
20	article which we have identified. Who
21	published this article?
2 2	A. Baltzer Science Publishers.
23	Q. Did ACM have anything to do with
2 4	publishing this article?
25	MR. BROWAND: Objection to form.
	Page 80

1	A. No.
2	May I clarify that answer?
3	Q. Sure.
4	A. So, we are not the publishers,
5	the original publishers; Baltzer is. When
6	you say do we have anything to do with
7	publishing it, if by publishing it you
8	mean making it available, then the answer
9	is yes by virtue of an agreement that we
10	had with Baltzer, who was the publisher.
11	Q. Got it. Thank you.
1 2	So, you stated that the
1 3	publication date of this article, to the
1 4	best of your knowledge, was August 1,
15	1998. Does that date appear on Exhibit A
16	to Exhibit 3?
17	A. No.
18	Q. So where did you get that
19	information?
2 0	A. From the bibliographic record
21	for this article in the ACM Digital
2 2	Library.
2 3	Q. We already looked at that
2 4	bibliographic record. What exhibit was
2 5	that? That's Exhibit 5?
	Page 81

1	A. So, Exhibit 4 has that record.
2	Q. Oh, I see.
3	A. Also, Exhibit 1 has a look at a
4	part of that record in the database also.
5	Q. Okay.
6	A. Which distinguishes between the
7	date on which ACM created the record,
8	February 8, 1999, and the article
9	publication date of August 1, 1998, which
10	is the data that was given to us by the
11	publisher, Baltzer.
1 2	Q. Looking first at Exhibit 4,
13	where does the publication date appear on
1 4	Exhibit 4, ACM BLACKBERRY 1?
15	A. It states it's published in the
16	journal. It gives the journal title,
17	volume, issue number, and the date
18	August 1998.
19	Q. Was that information generated
2 0	by ACM?
21	MR. BROWAND: Objection to form.
2 2	A. If if you mean was this
2 3	record that you see in Exhibit 4 generated
2 4	by ACM, yes. If you are talking about the
2 5	data that is shown in this record, that is
	Page 82

1	data supplied to us by the publisher.
2	Q. Thank you for that
3	clarification. So, ACM put together this
4	record but the information in it did not
5	come from ACM?
6	A. Correct.
7	MR. BROWAND: Objection to form.
8	Q. Did you do anything to verify
9	this information?
L O	MR. BROWAND: Objection to form.
L 1	MR. FREEDMAN: By you, do you
L 2	mean Mr. Rous personally?
L 3	MS. McCOY: I mean Mr. Rous
L 4	personally, prior to the deposition.
L 5	A. No.
L 6	Q. Looking at
L 7	A. Excuse me. Are you talking
L 8	about, when you say prior to this
L 9	deposition, you mean in the course of
2 0	preparing for the deposition, or do you
21	mean back at the time
2 2	Q. I do.
2 3	A. Oh, okay.
2 4	Q. I do. I'm sorry. That was
2 5	unclear. You're right.
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1	I mean in preparation for the
2	deposition, did you do anything to verify
3	this information?
4	A. Well, I did. If you see the
5	record here, you will see there is a DOI
6	on the record, a digital object
7	identifier. That is actually a link which
8	takes you to the publisher site, which is
9	now Springer, by virtue of acquiring
1 0	Kluwer, that acquired Baltzer.
11	When you follow that I did
1 2	follow that link and I found this record
1 3	on the publisher's site there with the
1 4	date of publication. That was as much of
15	a verification as I did.
16	Q. And the date of publication
17	there, was it as it appears on Exhibit 4,
18	August 1998?
19	MR. BROWAND: Objection to form.
2 0	A. To the best of my recollection,
21	yes.
2 2	Q. Looking at, then, Exhibit 5, ACM
2 3	BLACKBERRY 8 am I right that is
2 4	Exhibit 5?
2 5	COURT REPORTER: Yes.
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1	Q. Mr. Rous, confirm for me that
2	this page, while also generated by ACM,
3	the information again did not come from
4	ACM?
5	MR. BROWAND: Objection to form.
6	A. Yes. For the third item on that
7	Exhibit 5, correct.
8	Q. That information was supplied to
9	you by a third party?
L O	A. Yes.
L 1	Q. Going back to when you were
L 2	talking about how you prepared for this,
L 3	you mentioned that staff had helped you
L 4	prepare, I believe. Can you tell me who
L 5	exactly that was?
L 6	MR. FREEDMAN: By prepare, do
L 7	you mean prepare for the deposition,
L 8	or assist him in obtaining documents?
L 9	Are you making a distinction?
2 0	MS. McCOY: I am recalling his
21	testimony that in order to prepare for
2 2	the documents for this deposition he
2 3	spoke to staff.
2 4	A. Okay. Yes. So I spoke with the
2 5	associate director of information systems
	Page 85

1	to determine I asked him whether or not
2	there were any digital records maintained
3	in storage off site or available that
4	would have membership statistics numbers,
5	subscription numbers, label runs, dates
6	and numbers from these time periods for
7	the two ACM publications.
8	And he told me no and referred
9	me to the conference management system to
L O	get what data I could there.
L 1	And I spoke with the manager of
L 2	membership and subscriptions to find out
L 3	where the particular member and
L 4	subscription statistics in hard copy,
L 5	where the filing cabinets were exactly. I
L 6	knew about them, and then I did the
L 7	research myself.
L 8	Q. Is that everyone?
L 9	A. Yes.
2 0	Q. I have a follow-up question now
21	about Exhibit 1. Sorry to go back and
2 2	forth. Do you have that in front of you?
2 3	A. Yes, I do.
2 4	Q. So, Exhibit 1, you testified
2 5	that it shows that on February 8, 1999,
	Page 86

```
1
    this was made available to your
2
    subscribers?
3
         Α.
               Yes.
4
               So this was a hosted
         0.
5
    publication. Correct?
6
         Α.
               Correct.
7
         Q.
               Not a document that was produced
    by ACM?
8
9
        Α.
               Correct.
10
               MR. BROWAND: Objection to form.
               Now I'd like to look at
11
         Ο.
12
    Exhibit 6. That is Bates ACM (BLACKBERRY)
13
    11.
14
        Α.
               Okay.
15
         Q.
               I am sorry. I mean 7. That is
16
    number 12. Sorry.
17
        Α.
               Exhibit 7?
18
         Q.
               Exhibit 7, page 12. Yes.
19
        Α.
               Okay.
20
               I just want to confirm or
         0.
    clarify your testimony about the
21
22
    subscription digital library. I believe
    that is the sixth topic heading on the
23
24
    page, digital library?
25
        Α.
           Yes.
                                            Page 87
```

1	Q. What is the distinction between
2	FY 99 and FY 98?
3	A. Those are our two fiscal years.
4	Q. Okay. So FY 99 stands for
5	fiscal year 1999?
6	A. Yes.
7	Q. And FY 98, fiscal year 1998?
8	A. Yes.
9	Q. So the document I am looking at
10	has two numbers for July of 1998, one for
11	each; two for August of '99 and '98.
12	Right? Do you see what I am saying?
13	There are two numbers?
14	A. Yes. Yes.
15	Q. Then when you get to February
16	there is only one number and it is for FY
17	98.
18	A. That's correct.
19	Q. So as I am reading this, you do
2 0	not have a number for February 1999?
21	MR. BROWAND: Objection to form.
2 2	A. For that's correct.
2 3	Q. Looking at this document, there
2 4	is no subscription number for March of
2 5	1999?
	De 00
	Page 88

1	MR. BROWAND: Objection to form.
2	A. That's correct.
3	Q. The same with April, May,
4	June of 1999. There are no numbers for
5	February through June of 1999?
6	MR. BROWAND: Objection to form.
7	A. That's correct. You will see
8	that the statistics are as of January 31,
9	1999.
1 0	Q. Thank you.
11	You said that this document was
1 2	maintained in a cabinet at ACM
1 3	headquarters?
1 4	A. Yes.
1 5	Q. And that did you testify that
16	a staff member helped you locate those
17	cabinets?
18	A. Yes.
1 9	Q. Is this a cabinet that many,
2 0	many staff members have had access to over
21	the last 16 years?
2 2	MR. BROWAND: Object to form.
2 3	A. I don't know the answer. I
2 4	don't know the answer to the I don't
2 5	know the answer to that question.
	• •
	Page 89

1	Q. Okay. So you are testifying
2	that you don't know how many people have
3	had access to the cabinet where this
4	document was stored over the last
5	16 years?
6	MR. BROWAND: Objection to form,
7	Counsel.
8	A. Yes.
9	Q. Let's move on to Exhibit 8. I
10	believe that is page 2 of the ACM
11	BLACKBERRY production.
12	A. Yes, I have it.
13	Q. This document, you stated, was
1 4	published in December of 1993?
15	A. Yes.
16	Q. And that the digital library
17	itself wasn't launched until 1997?
18	A. Correct.
19	Q. And that you did not verify that
2 0	this document was made available upon
21	launch in 1997?
2 2	MR. BROWAND: Objection to form.
2 3	A. Yes.
2 4	Q. Looking then at Exhibit 10,
25	which is, I believe, the printing data for
	Page 90

1	that same article, ACM page 5?
2	A. Yes.
3	Q. The 7,550 number there at the
4	bottom, was that the number of copies
5	made?
6	A. Yes.
7	Q. Was that the number of people
8	who saw the article?
9	MR. BROWAND: Objection to form.
10	A. Highly unlikely. And I would
11	say I would say not.
12	Q. Do you have any information
13	about the number of people who saw that
14	article?
15	MR. BROWAND: Objection to form.
16	A. Yes. Well, some information,
17	yes. The number of attendees that was
18	given is lower than the number of copies
19	that were shipped to the conference. But
2 0	the attendees would each have gotten a
21	copy of the proceedings.
22	But I cannot tell you how many
23	of the attendees opened the volume of
2 4	proceedings and read every article, so I
25	can't answer that question.
	Page 91

1	Q. Okay. Thank you. Let's look
2	quickly at Exhibit 13, ACM page 7.
3	A. Yes.
4	Q. I just wanted to clarify what
5	Mobile Plus Member meant or MP Mobile.
6	You said if you paid for Mobile
7	Plus you got a copy. Can you clarify what
8	you meant by that?
9	A. Yes. So, at that time ACM
10	made had a program or an offering which
11	was called a Member Plus package or a
12	Member Plus offering. So, you could as
13	opposed to getting a copy of the
14	proceedings as a member of the sponsoring
15	suborganization, sponsoring special
16	interest group, anyone who could who
17	was a member of ACM, could subscribe to a
18	Member Plus program where they would pay
19	extra to get a copy of specific titles
2 0	like the SIG sponsored SIG Mobile
21	sponsored conferences.
22	It was a program at the time. I
2 3	am not sure if we still have it and it
2 4	certainly didn't exist I am not sure
2 5	when it was instituted, but it didn't
	Page 92

1	exist in '93, for example.
2	Q. Does this MP Mobile number here,
3	did that reflect the number of copies that
4	were made available if people wanted them?
5	MR. BROWAND: Objection to form.
6	A. It reflects the number of copies
7	that were produced for people who
8	subscribe to the Member Plus SIG Mobile
9	offering.
L 0	Q. Okay.
L 1	A. And that is and I would add
L 2	that that is probably not an estimate.
L 3	They really had to estimate conference
L 4	attendees and they overprinted generally,
L 5	so they wouldn't run out for on-site
L 6	registrations, which they couldn't
L 7	predict.
L 8	But with something like a
L 9	Membership Plus subscription program, they
2 0	knew how many people there were. And this
21	number, this count of copies produced for
2 2	them is probably much closer to the actual
2 3	number of subscribers.
2 4	Q. Do any of the documents produced
2 5	reflect the number of Mobile Plus
	Page 93

1	subscribers in October of 1999?
2	A. No.
3	MR. BROWAND: Objection to form.
4	MS. McCOY: Give me two seconds.
5	(Pause.)
6	Q. One quick follow-up question
7	about Exhibit 1. Do you have it in front
8	of you?
9	A. Yes.
1 0	Q. Looking at this document right
11	here, do you have any reason do you
1 2	have any evidence that anyone saw this
1 3	any excuse me. Let me start over.
1 4	Do you have any evidence that
1 5	anyone received copies of this article
16	prior to February 8, 1999?
17	MR. BROWAND: Objection to form.
18	A. Received from ACM prior to this
19	date?
2 0	Q. Yes.
21	A. No.
2 2	Q. Do you have evidence that people
2 3	received it from somewhere else prior to
2 4	1998 1999, rather?
2 5	MR. BROWAND: Objection to form.
	Page 94

1	A. Yes.
2	Q. What is that?
3	A. The publication date from the
4	publisher.
5	Q. Did you do anything to verify
6	that date?
7	MR. BROWAND: Objection to form.
8	A. Yes. I did mention that I went
9	to the publisher's site and looked at
L 0	their own their own dates, which were
L 1	August 1998.
L 2	MS. McCOY: Okay. No further
L 3	questions. Thank you very much for
L 4	your time.
L 5	THE WITNESS: You are welcome.
L 6	MR. FREEDMAN: Go ahead.
L 7	EXAMINATION BY
L 8	MR. BROWAND:
L 9	Q. Mr. Ruse, I would like to ask
2 0	you just a couple questions about
2 1	Exhibit 7. Let's look at the "Digital
2 2	Library" row.
2 3	A. Yes.
2 4	Q. For fiscal
2 5	MS. McCOY: Sorry. Can you
	Page 95

1	clarify what the Bates number on the
2	page is?
3	MR. BROWAND: It is 12.
4	MS. McCOY: Thank you.
5	Q. Do you see the row that is
6	labeled Fiscal Year 98?
7	A. Yes.
8	Q. And the numbers in that row
9	correspond to July 1998, August 1998,
10	September 1998, October, November,
11	December, January 1999, February 1999 I
12	am sorry.
13	Am I reading that correctly or
14	incorrectly?
15	A. Okay. So, it is confusing. So,
16	when you see, in the row labeled FY 98
17	MS. McCOY: I am sorry. Can I
18	just clarify where you are all
19	looking? Page 12 has many instances
2 0	of FY 98.
21	MR. BROWAND: We are talking
22	about under "Digital Library."
23	MS. McCOY: Got it. Thank you.
2 4	A. So, if you remember, our fiscal
25	year runs from July 1st through June 30th.
	Page 96
	rage 70

1	This is the fiscal year. These are the
2	actual months, but the year is the fiscal
3	year. So, the digital library, you see
4	the zeros in July, August, September in
5	that row FY 98?
6	Q. Yes.
7	A. So that is when the digital
8	library was open and free to the world
9	with no subscribers, starting in October
10	of 1997, which is our fiscal '98. That is
11	when the subscriptions began. And this is
12	just showing the racheting up of
13	subscriptions to the digital library
14	during this period of fiscal going over
15	from fiscal 98 to fiscal 99.
16	Q. So, fiscal year 98 includes the
17	months from July 1997
18	A. Correct.
19	Q to June 1998?
20	A. That is correct. Thank you.
21	Q. And fiscal year 1999, at least
22	the part of it that is shown on this
23	document, goes from July 1998 to
2 4	January 1999?
	A. That's correct.
25	A. Illat S Correct.

1	Q. And through this time period the
2	number of subscriptions to the digital
3	library is increasing in each month.
4	Correct?
5	A. That's correct.
6	Q. And so by March of 1999, is it
7	your understanding that the number of
8	individual subscriptions to the digital
9	library would have been more than the
10	27,049 that is identified for
11	January 1999?
12	A. That is correct. I know that.
13	I know that for a fact.
14	Q. And so in March of 1999 there
15	were more than 27,000 individuals that had
16	access to the Maass article that was made
17	available through the ACM Digital Library.
18	Correct?
19	MS. McCOY: Objection to form.
2 0	A. Yes. Correct.
21	MR. BROWAND: No further
22	questions.
2 3	MR. FREEDMAN: I have no
2 4	questions.
25	MS. McCOY: I am all set. Thank
	Page 98

1	you.
2	THE VIDEOGRAPHER: This
3	concludes today's testimony of Bernard
4	Rous. Going off the record at
5	5:33 p.m. This also concludes media
6	2.
7	COURT REPORTER: Ms. McCoy, your
8	transcript order?
9	MS. McCOY: We would like a
10	rough, please. There was no video,
11	was there?
12	COURT REPORTER: Yes, there was
13	video.
14	MS. McCOY: We would like
15	whatever Defendants order.
16	MR. FREEDMAN: We'd like to read
17	and sign, please.
18	[TIME NOTED: 5:34 p.m.]
19	
2 0	
21	
22	
2 3	
2 4	
2 5	
	Da ~ a 0 0
	Page 99

1	CERTIFICATION
2	
3	I, DEBRA STEVENS, a Notary Public for
4	and within the State of New York, do
5	hereby certify:
6	That the witness whose testimony as
7	herein set forth, was duly sworn by me;
8	and that the within transcript is a true
9	record of the testimony given by said
10	witness.
11	I further certify that I am not
12	related to any of the parties to this
1 3	action by blood or marriage, and that I am
1 4	in no way interested in the outcome of
15	this matter.
16	IN WITNESS WHEREOF, I have hereunto
17	set my hand this 17th day of March, 2016.
18	
19	
2 0	Delue Skeus
21	Delue Aklus
2 2	DEBRA STEVENS, RPR-CRR
2 3	
2 4	
2 5	
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1	I declare under penalty of perjury
2	under the laws that the foregoing is
3	true and correct.
4	
5	Executed on, 20,
6	at
7	
8	
9	
10	
11	
12	BERNARD ROUS
13	
14	
15	
16	
17	
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19	
20	
21	
2 2	
23	
2 4	
25	
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[98 - attorney]

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[attorneys - come]

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