

Paper No. _____
Filed: June 21, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

IBG LLC, INTERACTIVE BROKERS LLC, TRADESTATION GROUP,
INC., and TRADESTATION SECURITIES, INC.

Petitioners, v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner.

Case CBM2016-00054
U.S. Patent 7,693,768

PATENT OWNER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners IBG, LLC, Interactive Brokers LLC, TradeStation Group, Inc., and TradeStation Securities, Inc. Confidential and Non-Confidential Exhibit 2359 was filed on E2E respectively as “Parties and Board Only” and “Available for Everyone” pursuant to the parties’ agreement under the default Protective Order.

| Filed Exhibits | Description |
|--------------------------------|---|
| Exhibit 2001 - Exhibit 2033 | Reserved |
| Exhibit 2034 | <i>Trading Techs. Int’l, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (Oct. 10, 2007) |
| Exhibit 2035 - Exhibit 2041 | Reserved |
| Exhibit 2042 | Decision Denying Request for Ex Parte Reexamination 90/011,250 (July 15, 2011) |
| Exhibit 2043 - Exhibit 2046 | Reserved |
| Exhibit 2047 | Corrected Request for Ex Parte Reexamination 90/008,576 (May 25, 2007) |
| Exhibit 2048 | Reserved |
| Exhibit 2049 | Request for Reexamination 90/011,250 (Sept. 22, 2010) |
| Exhibit 2050 - Exhibit 2055 | Reserved |
| Exhibit 2056 | Japanese Patent 2004-504652 |
| Exhibit 2057 - Exhibit 2120 | Reserved |
| Exhibit 2121 | U.S. Patent Classification System – Classification Definitions – Class 705 |
| Exhibit 2122 - Exhibit 2125 | Reserved |
| Exhibit 2126 | Senate Congressional Record, S5402-S5443 (Sept. 8, 2011) |

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| Exhibit 2127 - Exhibit 2142 | Reserved |
| Exhibit 2143 | CONFIDENTIAL - TS0028765 |
| Exhibit 2144 | CONFIDENTIAL - TS0107054 |
| Exhibit 2145 - Exhibit 2149 | Reserved |
| Exhibit 2152 - Exhibit 2153 | Reserved |
| Exhibit 2154 | CONFIDENTIAL - Video Deposition Transcript of Milan Galik, dated June 13, 2016 in <i>Trading Technologies International, Inc. v. BGC Parnters, Inc.</i> , Case No. 1:10-cv-715 (Consolidated) (N.D. Ill.). |
| Exhibit 2155 - Exhibit 2158 | Reserved |
| Exhibit 2159 | TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995) |
| Exhibit 2160 | Certified Translation of TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995) |
| Exhibit 2161 | Certification of Translation of TSE-filed opposition document to Japanese Patent Application No. 2001-564025 (TSE0000000982-995) |
| Exhibit 2162 | Reserved |
| Exhibit 2163 | Deposition Transcript of Atushi Kawashima, dated June 17, 2016 |
| Exhibit 2164 | Reserved |
| Exhibit 2165 | Deposition Transcript of Kendyl A. Roman, dated May 3, 2016 |
| Exhibit 2166 | Deposition Transcript of Kendyl A. Roman, dated May 5, 2016 |
| Exhibit 2167 | Reserved |
| Exhibit 2168 | Declaration of Eric Gould-Bear, 1/18/2017 |
| Exhibit 2169 | CONFIDENTIAL - Declaration of Christopher Thomas, 1/19/2017 |
| Exhibit 2170 | Declaration of Michael Burns, 1/18/2017 |
| Exhibit 2171 | Declaration of Timothy Geannopulos, 1/18/2017 |
| Exhibit 2172 | CONFIDENTIAL - Declaration of Jay Knobloch, 1/17/2017 |
| Exhibit 2173 | Declaration of Robbie McDonnell, 1/19/2017 |
| Exhibit 2174 | Declaration of Dan Olsen, 1/18/2017 |
| Exhibit 2175 | Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016) |

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| Exhibit 2176 | Certified Translation of Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016) |
| Exhibit 2177 | Certification of Translation of Exhibit TSE1 from Kawashima 2005 Deposition (TSE0000000996-1016) |
| Exhibit 2178 | Declaration of Harold Abilock, 1/19/2017 |
| Exhibit 2179 – Exhibit 2200 | Reserved |
| Exhibit 2201 | Christopher Thomas CV |
| Exhibit 2202 | Reexam Certificate for U.S. Patent No. 6,772,132 |
| Exhibit 2203 | Reexam Certificate for U.S. Patent No. 6,766,304 |
| Exhibit 2204 | Microsoft DNA Case Study |
| Exhibit 2205 | Reserved |
| Exhibit 2206 | Excerpts from Interactive Brokers Group, Inc. Form 10-K Statement IBG_00000412-421 |
| Exhibit 2207 | Excerpts from TradeStation Group, Inc. Form 10-K Statement TS0005177-88 |
| Exhibit 2208 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Expert Report of David Silverman |
| Exhibit 2209 | Globex User Guide June 1995 (get excerpt -cover and p. 61) |
| Exhibit 2210 | Declaration of Daniel Durkin TTX00020829-00020830 (05/28/2004) |
| Exhibit 2211 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Trial Transcript - Brumfield Trial Testimony |
| Exhibit 2212 | Animation |
| Exhibit 2213 | Brumfield Sketch - eSpeed_PTX0321 |
| Exhibit 2214 | Animation |
| Exhibit 2215 | Brumfield Trading Results - eSpeed_PTX0396 |
| Exhibit 2216 | Declaration of David Martin TTX00061557- 00061558 (08/13/2004) |
| Exhibit 2217 | Brumfield Articles, CQG014380172-177 and CQG014380206-209 |
| Exhibit 2218 | Declaration of James Zellinger, TTX00061410- 00061412 (08/31/2004) |
| Exhibit 2219 | Declaration of Ray Cahnman, TTX00061529- 00061530 (07/23/2004) |
| Exhibit 2220 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Trial Transcript - David Feltes Trial Testimony |
| Exhibit 2221 | Declaration of Charles McElveen, TTX00061559-00061560 (05/18/2004) |

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| Exhibit 2222 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Trial Transcript - Tim Geannopoulos Trial Testimony |
| Exhibit 2223 | Trader Declaration Compilation |
| Exhibit 2224 | CONFIDENTIAL - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Excerpts from David Martin Deposition (06/08/2006) |
| Exhibit 2225 | CONFIDENTIAL - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Excerpts from Charles McElveen Deposition (01/17/2006) |
| Exhibit 2226 | Steidlmayer, J. Peter, <i>Steidlmayer on Markets Trading with Market Profile</i> , 2ed (2003) - PTX0814 |
| Exhibit 2227 | Declaration of Scott Johnston TTX00061397- 00061398 (09/24/2004) |
| Exhibit 2228 | <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Excerpts from Scott Johnston Deposition (06/27/2006) |
| Exhibit 2229 | Declaration of Tom Grisafi TTX00061542-00061543 (07/31/2004) |
| Exhibit 2230 | Collins, Daniel, <i>What is Behind your Front-End?</i> , Smart Trading 2001 - PTX0620 |
| Exhibit 2231 | eSpeed_PTX0782 |
| Exhibit 2232 | CONFIDENTIAL - <i>Trading Techs. Int'l. Inc., v. eSpeed, Inc.</i> , Case No. 04-cv-5312, Excerpts from Elliot Lapan Deposition (06/04/2007) |
| Exhibit 2233 | U.S. Patent No. 7,693,768 Claim Chart , with Exhibits 1-6 |
| Exhibit 2234 | Screenshot - Market Depth Trader Window |
| Exhibit 2235 | Screenshot - Placing Non-Automated Futures Trades |
| Exhibit 2236 | Screenshot - About the Matrix Window |
| Exhibit 2237 | TTX03586066-70 |
| Exhibit 2238 | Screenshot - TradeStation® Securities MATRIX |
| Exhibit 2239 | Declaration of Dieter Marlovics TTX00061553-00061554 (08/09/2004) |
| Exhibit 2240 | eSpeed_PTX0038 |
| Exhibit 2241 | eSpeed_PTX0078 |
| Exhibit 2242 | eSpeed_PTX0123 |
| Exhibit 2243 | eSpeed_PTX0279 |
| Exhibit 2244 | eSpeed_PTX0281 |
| Exhibit 2245 | eSpeed_PTX0286 |
| Exhibit 2246 | eSpeed_PTX0294 |

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