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Page 1 UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD TD AMERITRADE HOLDING CORP., ) TD AMERITRADE, INC., AND ) CBM2014-00131 TD AMERITRADE ONLINE ) (Patent 7,533,056) HOLDINGS CORP., ) CBM2014-00133 Petitioner, ) (Patent 7,676,411) v. ) CBM2014-00135 TRADING TECHNOLOGIES ) (Patent 6,772,132) INTERNATIONAL, INC., ) ) CBM2014-00137 Patent Owner. ) (Patent 7,685,055) Tuesday, April 28, 2015 Videotaped Deposition of CHRISTOPHER THOMAS, taken by Petitioner at the offices of Finnegan Henderson, 901 New York Avenue, Northwest, Washington, D.C. before Randi J. Garcia, Registered Professional Reporter, and Notary Public in and for the District of Columbia,

beginning at approximately 9:31 a.m., when were present on behalf of the respective parties:

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1	I N D E X		
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3	Witness: CHRISTOPHER THOMAS		
4	EXAMINATION PAGE		
5	By Mr. Sokohl5		
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9	(No exhibits marked)		
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Page 5 1 Thereupon, 2 CHRISTOPHER THOMAS 3 after having been first duly sworn, was 4 examined and testified as follows: 5 EXAMINATION 6 BY MR. SOKOHL: 7 Good morning. Q 8 Α Morning. 9 Would you please state your name for the Q 10 record. 11 Α Christopher E. Thomas. 12 And where do you currently live? Q 13 Α I live at 1919 West Greenleaf Avenue, 14 Chicago, Illinois. 15 Have you been deposed before? Q 16 Α Yes. 17 How many times? Q 18 Maybe five or six. Α 19 When was the last time you were deposed? Q 20 In either January or February this year. Α 21 Do you remember -- why don't we go 0 22 through the five or six times you said you were

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