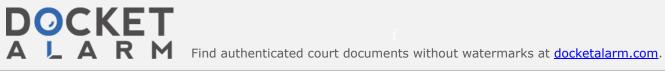
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	Application No.	Applicant(s)
Notice of Allowability	sobbuccason sec	Abtuarintol
	C9/894,537	KEMP ET AL.
· Indice of Sucardamin	Examiner	Art Unit
	Richard C Weisberger	3624 M4,
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith for previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon peltion by the applicant. See 37 CFR 1.31	: (OR REMAINS) CLOSED in this a ) or other appropriate communication IGHTS. This application is subject	pplication, if not included in will be mailed in due course. THIS
1. A This communication is responsive to amendment, forming	i disclaimer, interview summary.	
2. The allowed claim(s) is/are 41-54 and 58-81.		
3. A The drawings filed on <u>09/01</u> are scoepted by the Examine		•
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority unenclaim.</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ul>		
<ol> <li>Certified copies of the priority documents have</li> </ol>		•
<ol><li>Certified copies of the priority documents have</li></ol>	e been received in Application No. ,	·
<ol><li>Copies of the certified copies of the priority do</li></ol>	ocuments have been received in this	s national stage application from the
International Bureau (PCT Rule 17.2(2)).		
* Certified copies not received:		
5. Acknowledgment is made of a claim for domastic priority of		sional application).
(a) The translation of the foreign language provisional	• •	
6. Acknowledgment is made of a claim for domestic priority of	Inder 35 U.S.C. §§ 120 and/or 121.	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Fallure to timely comply will result in ABANDONMENT of		
7.  A SUBSTITUTE OATH OR DECLARATION must be sub- INFORMAL PATENT APPLICATION (PTO-152) which gives real		
8. CORRECTED DRAWINGS must be submitted.		
(a) I including changes required by the Notice of Draftsper	rson's Patent Drawing Review ( PT)	D-948) attached
1) 🔲 herete or 2) 🔲 to Paper No		
(b) including changes required by the proposed drawing	correction filed which has	been approved by the Examiner.
(c) including changes required by the attached Exemina	r's Amendment / Comment or in the	Office action of Paper No.
identifying Indicia such as the application number (see 37 CFR of each steel. The drawings should be find as a separate page		
9. DEPOSIT OF and/or INFORMATION about the depositached Examiner's comment regarding REQUIREMENT FOR 1		
Attachmonk(s)		
1 Notice of References Cited (PTO-582)	2 Notice of Inform	nal Patent Application (PTO-152)
3 Notice of Oraliperson's Patent Drawing Review (PTD-948)		nary (PTO-413), Paper No
52 Information Disclosure Statements (PTO-1449), Paper No.		endment/Comment
7 Examiner's Comment Regarding Requirement for Deposit of Biological Material	o∟) Excurrence s stay 9⊡ Other .	tement of Reasons for Allowance
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## Allowable Subject Matter

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1. The following is an examiner's statement of reasons for allowance: The following is an examiner's statement of reasons for allowance: This statement of reasons for allowance includes the major differences in the claims not found in the prior art of record and reasons why that differences are considered to define patentably over the prior art. The statement is not intended to necessarily state all the reasons for allowance or all the details why claims are allowed and should not be relied upon for this purpose. Rather, this statement reflects what the examiner considers important and therefore the primary reasons for the allowance of the claims.

The primary reason for allowance is the limitation directed to the "dynamic display" of a pluratity of the quantity of bids and asks aligned with a "static display" of corresponding prices. Here, unlike the prior art, the "static" display of prices is just that, static, and does not move in response to a change in the inside market. With this display of market depth, claimed in each of the independent claims, a trader places a trade order with the pointer in the area of the order entry region of the dynamic market depth region, through a single computer implemented action, see Figures 3 and 4. For example, in figure 3, a click on Bid Q 18 will send an order to the market to sell 17 lots of the commodity at a price of 89.

The closest prior art including US Patent 6,408,282, PCT WO 01/16852 and commonly owned non-patent literature "X Trader" (see, applicant's response to USPTO's request for information) all lack this feature. The PTO also inquired as to the subject matter of alleged infringement referenced in applicant's petition to make special



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in related case SN 09/590,692. The USPTO found no evidence of public use or any printed publication of the system known as J Trader, the subject matter of potential infringement and the applicant's basis for his petition to make special, earlier than November 6, 2000. Therefore, the USPTO has concluded that the system known as J trader is not prior art to the instant application, which has a filing date of June 06, 2000.

2. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Respectfully
Ву
Richard Weisberger

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