UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

EASTERN DIVISION

Trading Technologies International, Inc

Civil Action No 04 C 5312

Plaintiff,

Judge: James B. Moran

V.

Magistrate: Sidney I.

Schenkier

eSpeed, Inc., eSpeed Internatinal Ltd., Ecco LLC, and EccoWare Ltd.

Defendants.

DEPOSITION UPON ORAL EXAMINATION

of DAVID FELTES

on Wednesday, 24 January, 2007

Taken at:

Taylor Wessing,

Caremlite House,

50 Victoria Embankment,

Blackfriars, London

England, UK

Before Susan A. McIntyre, CSR, RPR, CRR, MBIVR

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- 1 FELTES
- 2 A. Yes.
- 3 Q. Could you start by giving us a brief
- 4 rundown of your educational background; I think after
- 5 secondary school.
- 6 A. I went to Washington University in
- 7 St Louis. From graduating in '93 I have a Bachelors in
- 8 Political Science with Economics minor and I have taken
- 9 some IT courses at De Paul.
- 10 Q. The IT courses at De Paul followed your
- 11 education at Washington University?
- 12 A. Yes.
- 13 Q. How many classes did you take at De Paul?
- 14 A. Two.
- 15 Q. Are you currently taking any continuing
- 16 education classes?
- 17 A. No.
- 18 Q. Where do you reside?
- 19 A. I reside in London, in Blackheath.
- 20 Q. Could you give us the address for the
- 21 record?
- 22 A. 26 Weymss, W-E-Y-M-S-S, Road, SE3.
- Q. Are you a US citizen?
- 24 A. I am a US citizen and I'm also a UK
- 25 citizen. I have dual nationality.



- 1 FELTES
- 2 Q. After your graduation from Washington
- 3 University, can you tell me a little bit about your
- 4 work history?
- 5 A. Sure. I worked on the family farm for a
- 6 while in West Chicago, Illinois, and then in July of
- 7 1994 began working for MJM Trading, which was
- 8 associated with Marquette Partners. I clerked on the
- 9 floor and then did system trading and programming
- 10 upstairs concurrently.
- In 1995 I began working half my time and
- 12 half my salary was paid for by Marquette Partners in
- working with their traders and their IT staff,
- 14 basically doing trader support as well as trading
- 15 off-the-floor systems for them.
- In 1996 I went full time with Marquette in
- 17 Marquette Electronic Brokerage, which was a brokerage
- 18 unit that they had formed. At that point I did IT as
- 19 well as brokering and clearing in back office. As well
- 20 as continuing doing trader support for Marquette, the
- 21 main company.
- In 1998 I began doing IT full-time for
- 23 Marquette. In September of '98 I came to Europe, to
- 24 their Frankfurt offices, their Paris offices and their
- 25 London offices for about eight weeks.



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