

1 UNITED STATES DISTRICT COURT  
 2 NORTHERN DISTRICT OF ILLINOIS  
 3 EASTERN DIVISION

4 TRADING TECHNOLOGIES INTERNATIONAL,) )  
 5 INC., ) )  
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Plaintiff,

v.

Defendants.

No. 04 C 5312  
 Chicago, Illinois  
 September 17, 2007  
 10:00 o'clock a.m.

11 VOLUME 4-A  
 12 TRIAL TRANSCRIPT OF PROCEEDINGS  
 13 BEFORE THE HONORABLE JAMES B. MORAN, and a JURY

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BRIEN GREY	859
DIRECT EXAMINATION	859
BY MR. SAMPSON:	

10:20:44 1 Technologies is going to call for its next witness Mr.  
10:20:47 2 Harris Brumfield.

10:21:16 3 (Witness sworn.)

10:21:16 4 - - -

10:21:16 5 HARRIS BRUMFIELD, DIRECT EXAMINATION

10:21:16 6 BY MR. BERGHOFF:

10:21:19 7 Q. Mr. Brumfield, could you please tell the jury  
10:21:21 8 your full name.

10:21:22 9 A. Harris Clayton Brumfield.

10:21:25 10 Q. And what is your current position?

10:21:27 11 A. CEO of Trading Technologies.

10:21:30 12 Q. And that's Trading Technologies, that's the  
10:21:33 13 plaintiff in this lawsuit?

10:21:35 14 A. Yes.

10:21:35 15 Q. Where do you live?

10:21:37 16 A. In Chicago.

10:21:40 17 Q. And with whom do you live?

10:21:42 18 A. I have a wife and three daughters.

10:21:47 19 Q. And did you graduate from college, Mr. Brumfield?

10:21:54 20 A. Yes, I did.

10:21:55 21 Q. And where did you go?

10:21:57 22 A. Mississippi State University.

10:21:59 23 Q. I assume that's in Mississippi?

10:22:04 24 A. Yes.

10:22:04 25 Q. And what did you study at Mississippi State?

10:22:07 1 A. Banking and finance.

10:22:09 2 Q. And when did you graduate?

10:22:10 3 A. In 1986.

10:22:13 4 Q. And you're here in Chicago now. When did you  
10:22:21 5 first come to Chicago?

10:22:22 6 A. Late 1986, late 1986.

10:22:28 7 Q. And what brought you to Chicago?

10:22:30 8 A. A couple of different friends mentioned the  
10:22:37 9 exchanges here, and one of them actually worked there,  
10:22:40 10 and one of them worked at Arthur Andersen and his wife  
10:22:46 11 worked for the exchange, and I knew them from school and  
10:22:47 12 they were older, and they thought my personality, it  
10:22:50 13 might be something that would interest me when I  
10:22:52 14 graduated. So I came and actually stayed on one of  
10:22:55 15 their couches for a month or so.

10:22:57 16 Q. And when was this?

10:22:58 17 A. This was November 1st, 1986.

10:23:01 18 Q. And did you get involved in any of the exchanges  
10:23:06 19 at that point in Chicago?

10:23:07 20 A. Yes. My one friends that worked at the exchange,  
10:23:12 21 worked at the Chicago Mercantile Exchange. I ended up  
10:23:16 22 getting a job running for PaineWebber at the Chicago  
10:23:21 23 Board of Trade in the green room.

10:23:23 24 Q. And how long did you keep that position as a  
10:23:25 25 runner?

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