Handling of Trading-Related Terminals, Etc. for Trading Participants

June 2003

Tokyo Stock Exchange

Trading System Department

TSE0000000996

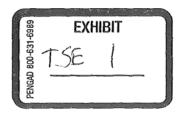




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1. Introduction

This document describes the handling procedures for terminals used by trading participants in the trading-related systems of this Exchange.

In the event that a trading participant wishes to add (including newly install), relocate, or remove a trading-related terminal of this Exchange, please read these handling procedures in advance and undertake the various arrangements in accordance with these handling procedures.

Furthermore, these handling procedures have been distributed also at the Participants Briefing Meeting(s) held attendant with system replacement to date.

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2. Provisioning Modes

The handling of terminals, etc. used by trading participants in trading and the like on trading-related systems (excepting ToSTNet; likewise below) of the Tokyo Stock Exchange (hereinbelow "Exchange") shall be selected from among the provisioning modes listed below.

I. Provisioning via Lease

- I-1 The Exchange provides [a terminal] to the trading participant by concluding a contract with a leasing company, the manufacturer in charge of maintenance, etc. (Futures/Options Trading System)
- I-2 The trading participant directly concludes a lease contract with a leasing company and thereby receives the provisioning of a terminal. (Stock · CB Trading System)*

II Use of a Dealer Procured Client Terminal

The trading participant uses a client terminal (hereinbelow, "dealer-procured client") that was procured by the dealer. The terminal server is selected from among provisioning modes I and III.

III. Trading Participant Purchases and Utilizes a Terminal (Server or Client) Provided by the Exchange



^{*} This handling shall apply to the portion of expenses incurred from June 2000 onward.

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