

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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IBG LLC; INTERACTIVE BROKERS LLC;  
TRADESTATION GROUP, INC.; TRADESTATION SECURITIES,  
INC.;

TRADESTATION TECHNOLOGIES, INC.; and

IBFX. INC.

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2015-00181  
U.S. Patent 7,676,411  
Case CBM2015-00182  
U.S. Patent 6,772,132

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Washington, D.C.  
May 5, 2016

CROSS-EXAMINATION

KENDYL A. ROMAN

1                   Cross-Examination of KENDYL A. ROMAN, a  
2 witness herein, called for examination by counsel  
3 for Patent Owner in the above-entitled matter,  
4 pursuant to notice, the witness being duly sworn by  
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and  
6 for the District of Columbia, taken at the offices  
7 of Sterne Kessler Goldstein Fox, 1100 New York  
8 Avenue, N.W., Washington, D.C. at commencing at 9:03  
9 a.m.  
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1 APPEARANCES:

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## 1 C O N T E N T S

2 KENDYL A. ROMAN EXAMINATION

3 By Mr. Sigmond 5

4

5 Afternoon Session - Page 128

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## 7 E X H I B I T S

8	EXHIBIT NO.		PAGE NO.
9	Exhibit 1019	(IBG) Declaration '411	13
10	Exhibit 1007	(IBG) Declaration '132	14
11	Exhibit 1017	(IBG) TSE Trading Terminal	
12		Operating Guide	111
13	Exhibit 1025	(IBG) Roman CV	27
14	Exhibit 1021	(IBG) Materials considered '411	22
15	Exhibit 1020	(IBG) CV	27
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17	Exhibit 1012	(IBG) CBM of '132	63
18	Exhibit 1001	(IBG) CBM of '411	44
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22	Exhibit 2106	document	149

1 PROCEEDINGS

2 Whereupon,

3 KENDYL A. ROMAN,

4 was called as a witness by counsel for Patent Owner,

5 and having been duly sworn, was examined and

6 testified as follows:

7 CROSS EXAMINATION

8 BY MR. SIGMOND:

9 Q. Good morning, Mr. Roman.

10 A. **Good morning.**

11 Q. Nice to see you again. Could you please  
12 state your name and address for the record?

13 A. **Kendyl Allen Roman, and the address of my  
14 lab is 3277 Kifer Road, Santa Clara, California.**

15 Q. And you understand you're under oath this  
16 morning?

17 A. **Yes.**

18 Q. And this means you're sworn to tell the  
19 truth as if you were in a court with a judge and a  
20 jury?

21 A. **Yes.**

22 Q. Is there anything that would prevent you

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